

UConn Board of Trustees Meeting, Wednesday, September 23, 2026
Joint Audit and Compliance Committee (JACC) Report

JACC met on June 25, 2026

1. Audit Activities

External

- Kevin Chamberlin, UConn Health's Chief Pharmacist, presented the 340B Drug Pricing Program Mock Audits for calendar year 2025. 340B Compliance Partners completed the audits of Ryan White and Hemophilia Treatment Center resulting in 2 findings and 3 areas for improvement (AFIs) within the reports. The Pharmacy provided responses and implemented corrective action plans where required.

Internal

- JACC reviewed and accepted 3 internal audit reports. Management agreed with the recommendations and provided corrective action plans. In addition, AMAS has 9 audits currently in process.
- Status of Audit Observations: As of May 31, 2026, UConn & UConn Health have 113 open management action items which is a decrease of 20 from the prior quarter (net new and closed action items). AMAS continues to work with management to resolve open items, with a focus on items greater than 2 years old.
- AMAS refilled 2 vacant positions. Shannon Scheich, Internal Auditor and Kevin Guralnick, Senior Auditor.

2. Compliance Activities

- The Office for Inclusion and Civil Rights presented a regulatory update.
- The Office of University Compliance updated on significant compliance activities.
- An updated Summary Dashboard on the Healthcare Compliance, Privacy & Integrity Program was provided.

3. Information Systems

- An update was provided on UConn's and UConn Health's Information Technology.