



UConn

UNIVERSITY OF CONNECTICUT

UConn HEALTH

University of Connecticut Board of Trustees
University of Connecticut Health Center Board of Directors

Joint Audit & Compliance Committee
Virtual Meeting

June 3, 2026

PUBLIC SESSION

Public Streaming Link (with live captioning upon request): <https://techsupport.uconn.edu/bot/>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

AGENDA

University of Connecticut Board of Trustees
University of Connecticut Health Center Board of Directors

Joint Audit & Compliance Committee Special Meeting (Virtual Meeting)

Wednesday, June 3, 2026

9:00 a.m. – 9:15 a.m. - Public Session

Public Streaming Link (with live captioning upon request): <https://techsupport.uconn.edu/bot/>

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| AGENDA | | |
|---|------------------------|-------------------|
| CALL TO ORDER | | |
| PUBLIC PARTICIPATION (limited to agenda items)* | | |
| *Individuals who wish to speak during the Public Participation portion of the Wednesday, June 3, meeting, limited to agenda items, must sign up no later than 9:00 a.m. on Tuesday, June 2, by emailing BoardCommittees@uconn.edu . Speaking requests must include a name, topic, and affiliation with the University (i.e., student, employee, member of the public). Per the University By-Law, the Board may limit the entirety of public comment to a maximum of 30 minutes. The sign-up list may be closed if, due to the number of people seeking to speak, the 30-minute allotment will be exceeded. As an alternative, individuals may submit written comments to the Board via BoardCommittees@uconn.edu , and all comments will be shared with the Board. | | |
| Agenda Items | Proposed Action | Attachment |
| EXTERNAL AUDIT ACTIVITIES | | |
| Appointment of Clifton Larson Allen, LLP (CLA) to Perform Audit of School of Business Expenditures | Approval | 1.1 |
| ADJOURNMENT | | |

NOTE: If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.

ATTACHMENT 1.1

TO: Members of the Joint Audit and Compliance Committee

FROM: Angelo Quaresima
Associate Vice President and Chief Audit Executive

DATE: June 3, 2026

SUBJECT: Appointment of Clifton Larson Allen, LLP (CLA) to Perform Audit of School of Business Expenditures

RECOMMENDATION

It is recommended the Joint Audit and Compliance Committee (JACC) approve the appointment of CLA to conduct an independent audit of travel, payroll, and procurement expenditures within UConn's School of Business and its affiliated centers to assess compliance with applicable university policies and federal and state regulations for the period of July 1, 2021, through June 30, 2025. The review will evaluate financial activities and transactions across the School of Business, as well as broader internal control and oversight processes. The engagement is expected to be completed within an estimated timeframe of 90 to 120 days from project initiation. The proposed fee for this engagement is \$84,000.

BACKGROUND

Based on a review conducted by the Office of University Compliance (OUC) regarding travel and use of university resources within the School of Business, OUC recommended an audit of expenditures in the School of Business.

The Office of Audit and Management Advisory Services (AMAS) partnered with Procurement and utilized State of Connecticut contract number 23PSX0146 to solicit proposals from two firms available for audit services under the contract. AMAS held meetings with each firm to discuss audit objectives, scope, staffing, budget, and deliverables. AMAS recommended management select CLA to perform the independent audit of the School of Business.

AMAS seeks JACC approval of this engagement.