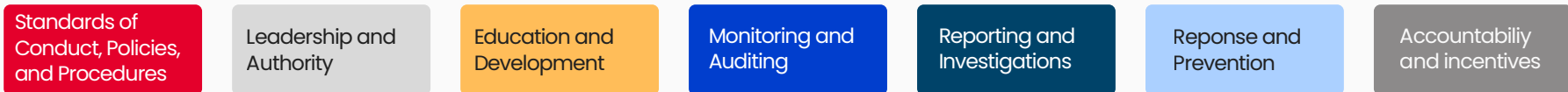


SIGNIFICANT COMPLIANCE ACTIVITIES

DECEMBER 2025 – FEBRUARY 2026



Seven Elements of an Effective Compliance Program



Significant Compliance Activities: Office of University Compliance

As of March 2, 2026, OUC has received 24 reported concerns in 2026. Many matters received in the final months of 2025 have carried forward into 2026 and continue to require active investigative work.



Annual Compliance and Ethics Training launched in January 2026 for UConn and UConn Health. Training includes information on the University's Code of Conduct, the University Guide to the State Code of Ethics, Privacy, Updates to Title II of the ADA and the Drug-Free Schools and Communities Act.



Educational email campaigns (18) were developed and disseminated to the UConn and UConn Health communities on topics such as Faculty Affiliated Companies, UConn's revised Travel and Entertainment Policy, and Where to Go When Navigating Concerns. Samples are attached.



New FERPA and Privacy Training for All launched in February.



The Policy team supported a UConn Health Stroke Center Joint Commission site visit.



