

DRAFT MINUTES

University of Connecticut & UConn Health Joint Audit & Compliance Committee

June 26, 2025
Virtual Meeting

Committee Members	<u>Board of Trustees</u> Mark Boxer, Andrea Dennis-LaVigne, Jeanine Gouin, Daniel Toscano <u>UConn Health Board of Directors</u> Francis Archambault, Jr., Rick Carbray, Jr., Geoffrey Matous
University Staff	Radenka Maric, Donald Babcock, Ken Blier, Renee Boggis, Lakeesha Brown, Kevin Chamberlin, Anne D'Alleva, Michael Dwyer, Kimberly Fearney, Nicole Gelston, Jeffrey Geoghegan, Jessica Grabel, Haleh Ghaemolsabahi, Kimberly Hill, Kayla Hogrefe, Philip Hunt, Andrea Keilty, Stacy Koehler, Peggy McCarthy, Rick McCarthy, Genice Nelson, Bryan Neurath, Gregory Perrotti, Angelo Quaresima, Rachel Rubin, Caryl Ryan, Janel Simpson, Scott Simpson, David Wallace, Michelle Williams, Reka Wrynn
External Invitees	John Harrison, and Brian Sullivan

Vice-Chair Boxer convened the Committee at 10:02 a.m.

1. Executive Session

On a motion by Director Archambault, seconded by Trustee Carbray, the Committee voted unanimously to go into the Executive Session to discuss:

- C.G.S. 1-210(b)(1) – Preliminary drafts or notes that the public agency has determined that the public's interest in withholding such documents clearly outweighs the public interest in disclosure; and
- C.G.S. 1-200(6)(B) – Records or the information contained therein pertaining to strategy and negotiations with respect to pending claims; and
- C.G.S. 1-210(b)(10) – Records, reports and statements privileged by the attorney-client relationship; and
- C.G.S. 1-210(b)(20) – Records of standards, procedures, processes, software, and codes not otherwise available to the public, the disclosure of which would compromise the security and integrity of an information technology system.

The entire Executive Session was attended by the following:

Committee members: Boxer, Archambault, Carbray, Dennis-LaVigne, Gouin, Matous, and Toscano.

University Staff: Fearney, Gelston, and Hill.

The following University staff were in attendance for part of the Executive Session: Maric, Babcock, D'Alleva, Dwyer, Geoghegan, Ghaemolsabahi, Hunt, Keilty, Koehler, P. McCarthy, R. McCarthy, Perrotti, Quaresima, Rubin, J. Simpson, S. Simpson, Wallace, and Williams.

The Executive Session ended at 11:02 a.m., and the Committee returned to the Open Session at 11:03 a.m.

2. Public Participation

No members of the public signed up to address the Committee.

3. Minutes of March 27, 2025, Meeting

On a motion by Director Archambault, seconded by Trustee Dennis-LaVigne, the Committee voted to unanimously approve the minutes of the March 27, 2025, Meeting.

4. External Audit Activities

Associate Vice President and Chief Audit Executive Quaresima provided an update on the status of external audit engagements.

Brian Sullivan from CBIZ CPAs P.C. presented the Committee with the UConn 2000 Infrastructure Program Agreed Upon Procedures Report for the Fiscal Year Ending June 30, 2024.

UConn Health Associate Vice President and Chief Pharmacy Officer Chamberlin presented the Committee with the Annual 340B Drug Pricing Program Audits for the period of June 1, 2024 – November 30, 2024, for:

- John Dempsey Hospital
- Ryan White (Ryan White Parts A&B)
- Hemophilia Treatment Center (HTC)

John Harrison from the Auditors of Public Accounts presented the UConn and UConn Health State-Wide Single Audit for the Fiscal Year Ended June 30, 2024, as well as the UConn Departmental Audit for the Fiscal Years Ending June 30, 2022, and 2023.

5. Significant Internal Audit Activities

Mr. Quaresima provided the Committee with updates on the Status of Audit Assignments, the Status of Audit Observations as well as an updated AMAS Organizational Chart/Staffing.

Dr. Genice Nelson provided the Committee with an update on the New England Sickle Cell Institute Audit.

The Committee reviewed and accepted five audit reports.

6. Compliance Activities

Associate Vice President and Chief Compliance Officer Fearney provided the Committee with updates on significant compliance activities, including a presentation on University Compliance Data Summary Annual Report as well as several informational /educational items.

Director of University Compliance Hill and Assistant Director for Education & Development Hogrefe provided the committee with a presentation on a new certificate program, Leading with Integrity: A Manager's Guide to Compliance and Ethics.

7. Information Technology Updates

Interim Vice President and Chief Information Officer Ghaemolsabahi provided an update on the UConn information technology activities.

Chief Information Officer R. McCarthy provided an update on UConn Health information technology activities.

8. Other Business

There was no Other Business.

9. Adjournment

On a motion by Trustee Dennis-LaVigne, seconded by Trustee Toscano, the Committee voted unanimously to adjourn the meeting. The Committee adjourned at 11:48 a.m.

Respectfully submitted,
Karen Violette