

UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 254, JUNE 25, 2025

AGENDA

UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

**Wilbur Cross Building
North Reading Room (109)
233 Glenbrook Road
Storrs, Connecticut**

Wednesday, June 25, 2025

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:00 a.m.**

1. Public Participation*

* Individuals who wish to speak during the Public Participation portion of the Wednesday, June 25, meeting must do so in person and sign up 24 hours in advance of the meeting's start time (i.e., 9:00 a.m. on Tuesday, June 24) by emailing boardoftrustees@uconn.edu. Speaking requests must include a name, topic, and affiliation with the University (i.e., student, employee, member of the public). Per the University By-Laws, the Board may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Board via boardoftrustees@uconn.edu, and all comments will be transmitted to the Board.

2. Chairman's Report

(a) Matters Outstanding

(b) Board Recognition

(c) Minutes of the Meeting of April 23, 2025

(d) Consent Agenda Items:

(1) Contracts and Agreements (Attachment 1)

(2) Project Budget (Design) – Motor Pool Fuel Tank Replacement (Attachment 2)

(3) Project Budget (Final) – Gampel Pavilion Renovation (Attachment 3)

(4) Project Budget (Revised Final) – University Second Electrical Feed (Attachment 4)

(5) Project Budget (Revised Final) – Boiler Plant Equipment Replacement and Utility Tunnel Connection (Attachment 5)

(6) Project Budget (Final) – Albert N. Jorgensen and Harriet S. Jorgensen Theatre and Performing Arts Center Envelope and Various Site Improvements (Attachment 6)

(7) Project Budget (Final) – Alumni Center Wood Shake Roof Replacement (Attachment 7)

(8) Project Budget (Revised Final) – Branford House Exterior Repairs, Phases 1, 2, & 3 (Attachment 8)

- (9) Project Budget (Revised Final) – Andover Infrastructure and Software Upgrade Phases I through VI (Attachment 9)
 - (10) Project Budget (Final) – Voice Over Internet Protocol (VoIP) Implementation and 5ESS Decommissioning (Attachment 10)
 - (11) Project Budget (Planning) – UConn Health Connecticut Tower Infrastructure Upgrade (Attachment 11)
 - (12) Project Budget (Design) – UConn Health TM416 MRI Upgrade and Mobile Unit (Attachment 12)
 - (13) Project Budget (Final) – UConn Health Torrington Clinical Practice Relocation (Attachment 13)
 - (14) Project Budget (Final) – UConn Health Emergency Department Low Acuity Expansion (Attachment 14)
 - (15) Project Budget (Final) – UConn Health BB013 Animal Research MRI Renovation (Attachment 15)
 - (16) Project Budget (Revised Final) – UConn Health Psychiatry Seclusion Suite & Nurse Station Security Renovation (Attachment 16)
 - (17) Project Budget (Final) – UConn Health Main Liquid Oxygen Tank Replacement (Attachment 17)
 - (18) Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2024-2025 (Attachment 18)
 - (19) Tenure Recommendation (Attachment 19)
 - (20) Designation of Emeritus Status (Attachment 20)
 - (21) Sabbatical Leave Recommendations (Attachment 21)
 - (22) Graduate Certificate in Insurance Law (Attachment 22)
 - (23) JD Certificate in Health Law (Attachment 23)
 - (24) Program Closure: Graduate Certificate in School Law (Attachment 24)
 - (25) Program Closure: Graduate Certificate in Addiction Sciences (Attachment 25)
 - (26) Naming Recommendation for the Rob & P.J. Brewer & Family Women's Ice Hockey Assistant Coach's Office (Attachment 26)
 - (27) Naming Recommendation for the Urman Peace Garden (Attachment 27)
 - (28) Appointment to Canvassing Board – Election of Alumni Trustee (Attachment 28)
 - (e) Informational items:
 - (1) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* – Article IX – The University Senate (Attachment 29)
 - (2) Notification of Proposed Changes to the *By-Laws of the University of Connecticut* (Attachment 30)
3. President's Report
4. University Senate Report
Senate Executive Committee Chair, Dr. Laura Burton

5. Financial Affairs Committee Report
 - (a) Report on Committee activities
 - (b) Presentation: FY 26 UConn and UConn Health Budget Presentation (Attachment 31)
Presenter: Jeffrey Geoghegan, Executive Vice President
for Finance and Chief Financial Officer
 - (c) Items requiring Board discussion and approval:
 - (1) Fiscal Year 2026 Spending Plan for the University of Connecticut, Storrs and Regional Campuses (Attachment 32)
 - (2) Fiscal Year 2026 Capital Budget for the University of Connecticut, Storrs and Regional Campuses (Attachment 33)
 - (3) Thirty-Third Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 34)
 - (4) Fiscal Year 2026 Spending Plan for the University of Connecticut Health Center (Attachment 35)
 - (5) Fiscal Year 2026 Capital Budget Spending Plan for the University of Connecticut Health Center (Attachment 36)
 - (6) Project Budget (Design) – Avery Point Housing Development (Attachment 37)
 - (7) Project Budget (Final) – Student Health and Wellness (SHaW) Suite at Avery Point Campus (Attachment 38)
 - (8) Project Budget (Final) – Pharmacy Biology Building (PBB) Research Support Expansion (Attachment 39)
6. Academic Affairs Committee Report
 - (a) Report on Committee activities
7. UConn Health Report
 - (a) Report on UConn Health activities
8. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
9. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Electric Distribution Easement at 400 Farmington Avenue, Farmington, CT (Attachment 40)
 - (c) Informational Item:
 - (1) Project Budget (Revised Final) – Alumni Residence Halls Roof Replacement (Attachment 41)
10. Student Life Committee Report
 - (a) Report on Committee activities

11. Institutional Advancement Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Statement of Work for the UConn Foundation for Fiscal Year 2026 and First Amendment to the Second Amended and Restated Master Agreement (Attachment 42)
12. Committee for Diversity, Equity and Inclusion Report
 - (a) Report on Committee activities
13. Committee on Compensation Report
 - (a) Report on Committee activities
14. Committee for Research, Entrepreneurship and Innovation Report
 - (a) Report on Committee activities
15. Other Business
16. Executive Session anticipated
17. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

ATTACHMENT 1

**UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES
CONTRACTS, REAL ESTATE AGREEMENTS AND
PURCHASE ORDERS OF \$1,000,000 OR MORE FOR APPROVAL
JUNE 25, 2025**

**The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.*

****S/MBE OR CT-Based Coding:** S=Small, M=Minority, W=Woman Owned; C= CT-Based

PROCUREMENT - NEW* (Grouped by Family)										
No.	Contractor	Approval Amount	Term	Description/Purpose	Fund Source	University of Connecticut Business Owner	Sourcing	Spend to Date [Actual]	**S/MBE/WBE/CT Based	
FACILITIES										
1	BP Energy Retail Company LLC Contract #LM-110524-3	\$11,000,000	05/01/25-04/30/28	University contract to provide electricity. The supply is to be delivered to facilities at Storrs and Regional campuses (excluding Avery Point) that are located in the local distribution company (i.e. Eversource or United Illuminating.) Initial term, plus two one-year extensions remain.	Operating Funds	Stanley Nolan, AVP Facilities Operations	University of Connecticut Bid	\$0		
2	BP Energy Retail Company LLC Contract #LM-110524-3UCH	\$30,000,000	05/01/25-04/30/28	University contract to provide electricity. The supply is to be delivered to facilities at the Health Center Campus that are located in the local distribution company (i.e. Eversource or United Illuminating.) This is for UConn Health Center Primary Account and small accounts. Initial term, plus two one-year extensions remain.	Operating Funds	Stanley Nolan, AVP Facilities Operations	University of Connecticut Bid	\$0		
3	Bulldog Fire Apparatus Contract #FS12-23	\$1,500,000	12/01/23-11/30/27	Consortia contract for the purchase of Fire Apparatus and Related Vehicles. Contract to be utilized for the purchase of fire rescue vehicles.	Operating Funds	Christopher M. Renshaw, Interim Fire Chief / Assoc. Director	University of Connecticut Bid	\$0		
4	Charter Oak Building Maintenance, Inc dba Charter Oak Maintenance Contract #LM022625	\$1,500,000	07/01/25-06/30/27	University set-aside contract to provide janitorial services for the Avery Point Campus. Initial term, plus three one-year extensions remain.	Operating Funds	Stanley Nolan, AVP Facilities Operations	University of Connecticut Bid	\$0	C,S,M,W	
5	MSL Corporate Cleaning Services (fka MSL Housekeeping Services LLC) Contract #LM030125	\$2,500,000	07/01/25-06/30/27	University set-aside contract to provide janitorial services for the Downtown Hartford and School of Law campus. Initial term, plus three one-year extensions remain.	Operating Funds	Stanley Nolan, AVP Facilities Operations	University of Connecticut Bid	\$0	C,S,M,W	
6	Charter Oak Building Maintenance, Inc dba Charter Oak Maintenance Contract #LM022425	\$2,300,000	07/01/25-06/30/27	University set-aside contract to provide janitorial services for the Stamford and Waterbury Campus. Initial term, plus three one-year extensions remain.	Operating Funds	Stanley Nolan, AVP Facilities Operations	University of Connecticut Bid	\$0	C,S,M,W	
PROCUREMENT - AMENDMENTS* (Grouped by Family)										
No.	Contractor	Approval Amount	Term	Description/Purpose	Fund Source	University of Connecticut Business Owner	Sourcing	Spend to Date [Actual]	**S/MBE/WBE/CT Based	
BUSINESS SUPPORT SERVICES										
7	Sauder Manufacturing Co., dba Sauder Education Contract #15PSX0041	\$0 (Previous Contract Value \$2,000,000; Total New Contract Value \$2,000,000)	07/01/15-12/31/25 (includes three month extension)	State contract for freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. Amend to extend term three months through 12/31/25. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which may be extended prior to current expiration date of 09/01/25. Future extensions may be exercised at the discretion of the State.	Multiple Sources	Joseph M. Thompson, Chief Procurement Officer	Other/State Bid	\$1,016,921	C	
8	NBI New England Contract #15PSX0041	\$0 (Previous Contract Value \$2,000,000; Total New Contract Value \$2,000,000)	07/01/15-12/31/25 (includes three month extension)	State contract for freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. Amend to extend term three months through 12/31/25. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which may be extended prior to current expiration date of 09/01/25. Future extensions may be exercised at the discretion of the State.	Multiple Sources	Joseph M. Thompson, Chief Procurement Officer	Other/State Bid	\$1,657,626	C	
9	Robert H Lord Company Contract #15PSX0041	\$0 (Previous Contract Value \$2,500,000; Total New Contract Value \$2,500,000)	07/01/15-12/31/25 (includes three month extension)	State contract for freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. Amend to extend term three months through 12/31/25. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which may be extended prior to current expiration date of 09/01/25. Future extensions may be exercised at the discretion of the State.	Multiple Sources	Joseph M. Thompson, Chief Procurement Officer	Other/State Bid	\$957,310	C	
10	W.B. Mason Contract #15PSX0041	\$0 (Previous Contract Value \$2,000,000; Total New Contract Value \$2,000,000)	07/01/15-12/31/25 (includes three month extension)	State contract for freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. Amend to extend term three months through 12/31/25. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which may be extended prior to current expiration date of 09/01/25. Future extensions may be exercised at the discretion of the State.	Multiple Sources	Joseph M. Thompson, Chief Procurement Officer	Other/State Bid	\$16,700		
11	Red Thread Spaces LLC Contract #15PSX0041	\$0 (Previous Contract Value \$15,000,000; Total New Contract Value \$15,000,000)	07/01/15-12/31/25 (includes three month extension)	State contract for freestanding office, lounge furniture, dormitory, and residential furniture for all University campuses. Amend to extend term three months through 12/31/25. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with the State's agreement which may be extended prior to current expiration date of 09/01/25. Future extensions may be exercised at the discretion of the State.	Multiple Sources	Joseph M. Thompson, Chief Procurement Officer	Other/State Bid	\$12,481,699		
12	GBSA Inc. dba Graystone Group Advertising Contract #UC-MF121119	\$284,000 (Previous Contract Value \$1,116,000; Total New Contract Value \$1,400,000)	08/01/20-07/31/26 (includes one year extension)	University contract for employment advertising services for the Department of Human Resources. Amend to increase contract value \$284,000, for a total new contract value of \$1,400,000. Amend to extend contract term one year, through 07/31/26. Contract value increase and extension are requested to cover additional anticipated printing projects through the extended term to allow for sufficient time for completion of a new solicitation and resulting agreement. Zero extensions remain.	Operating Funds	Joseph M. Thompson, Chief Procurement Officer	University of Connecticut Bid	\$847,566	C	
13	Allied Printing Services Inc Contract #MFO52322	\$500,000 (Previous Contract Value \$1,000,000; Total New Contract Value \$1,500,000)	06/17/22-06/30/26 No Change	University contract for printing services for the Department of University Communications for printing the UConn Magazine. Amend to increase contract value \$500,000.00, for a total new contract value of \$1,500,000. Contract term remains the same. Requested contract increase will provide funding for printing of three UConn Magazine issues for the fiscal coming year. Sourced through a public solicitation managed by the University in 2022. Zero extensions remain.	Operating Funds	Michael Kirk, Interim VP of Communications	University of Connecticut Bid	\$973,095	C	
CONSTRUCTION										
14	G. Donovan Associates, Inc. Contract# OC.GC2024-02	\$1,000,000 (Previous Contract Value \$1,000,000; Total New Contract Value \$2,000,000)	05/01/24-05/30/27 No Change	University contract for an On-Call General Contractor, services to be used at all UConn campuses to provide project support as needed. Amend to increase contract value \$1,000,000, for a total new contract value of \$2,000,000. Contract term remains the same. Zero extensions remain.	Multiple Sources	Eric Kruger, Vice President Facility Services & University Planning	University of Connecticut Bid	\$288,000	S, C	
15	Mattern Construction Inc. Contract# OC.GC2024-06	\$1,000,000 (Previous Contract Value \$1,000,000; Total New Contract Value \$2,000,000)	05/01/24-05/30/27 No Change	University contract for an On-Call General Contractor, services to be used at all UConn campuses to provide project support as needed. Amend to increase contract value \$1,000,000, for a total new contract value of \$2,000,000. Contract term remains the same. Zero extensions remain.	Multiple Sources	Eric Kruger, Vice President Facility Services & University Planning	University of Connecticut Bid	\$155,250	S, C	

**UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES
CONTRACTS, REAL ESTATE AGREEMENTS AND
PURCHASE ORDERS OF \$1,000,000 OR MORE FOR APPROVAL**

CONSTRUCTION SERVICES									
16	Mattern Construction, Inc. Contract #CA052323	\$1,000,000 (Previous Contract Value \$3,000,000; Total New Contract Value \$4,000,000)	09/01/23-08/31/26 No Change	University contract for Set-Aside Trade Labor Services Agreement to provide trade labor services for Academic Renovations to be used at all UConn campuses. Amend to increase contract value \$1,000,000, for a total new contract value of \$4,000,000. Contract term remains the same.	Multiple Sources	Eric Kruger, Vice President Facility Services & University Planning	University of Connecticut Bid	\$2,337,904	S, C
PROFESSIONAL AND SPECIALIZED SERVICES									
17	Consumer Product Distributors Inc LLC Contract #UC-20-CK121219-8	\$550,000 (Previous Contract Value \$950,000; Total New Contract Value \$1,500,000)	12/09/20-06/30/26 (includes one year extension)	University contract to provide products and merchandise to be sold at the University's Convenience Store located in the Student Union on the Storrs campus. Amend to increase contract value \$550,000, for a total new contract value of \$1,500,000. Amend to extend contract term one year, through 06/30/26. No extensions remain.	Auxiliary Services	Nathan Fuerst, Vice President, Student Life & Enrollment	University of Connecticut Bid	\$831,000	
18	Game Point Capital, LLC Contract# UC-24-KA083123	\$0 (Previous Contract Value \$8,000,000; Total New Contract Value \$8,000,000)	09/01/25-08/31/26 (includes one year extension)	University contract used for postseason compensation, travel and award insurance. This agreement is the result of a publicly advertised solicitation that was completed in FY23. The initial term was for one year with four one-year extensions available. Amend to extend contract term one year, through 08/31/26. Three one-year extensions remain. Contract value remains the same.	Multiple Sources	David Benedict, Director of Athletics	University of Connecticut Bid	\$3,242,871	
19	Gateway Hardware Contract# UC-20-CK031920-8	\$550,000 (Previous Contract Value \$950,000; Total New Contract Value \$1,500,000)	06/25/20-06/30/26 (includes one year extension)	University contract used to purchase Ice Hockey Equipment (Clothing and protective gear) for the Department of Athletics for Men and Women's Teams. Amend to increase contract value \$550,000, for a total new contract value of \$1,500,000. Amend to extend contract term one year through 06/30/26. Two (one-year) extensions remain.	Operating Funds	David Benedict, Director of Athletics	University of Connecticut Bid	\$801,747	
20	The Windham Region Transit District Contract# UC-24-DD082324	\$4,436,000 (Previous Contract Value \$864,000; Total New Contract Value \$5,300,000)	08/01/24-06/30/28 (includes three year extension)	University contract used to provide accessible van services to pre-approved students, faculty, and staff on Storrs campus. Amend to increase contract value \$4,436,000, for a total new contract value of \$5,300,000. Amend to extend contract term three years through 06/30/28. No extensions remain.	Operating Funds	Stanley Nolan, AVP Facilities Operations	University of Connecticut Bid	\$407,650	
TECHNOLOGY									
21	Mainline Information Systems Inc Contract #UC-16-SF071116-I	\$2,000,000 (Previous Contract Value \$18,000,000; Total New Contract Value \$20,000,000)	06/01/17-06/01/26 No Change	University contract providing operating system, network, and security hardware and services for all University campuses. Amend to increase contract value \$2,000,000, for a total new contract value of \$20,000,000. Contract term remains the same. Contract value increase is to purchase additional servers and storage equipment to replace outdated equipment needed by ITS (UConn Storrs and UCH) through a \$10M Deferred Maintenance bond funds. Zero extensions remain.	Multiple Sources	Haleh Ghaemolsabahi, AVP, Information Technology	University of Connecticut Bid	\$16,669,047	
22	Northeast Research & Educ Network (NEREN) Contract# TBD	\$0 (Previous Contract Value \$2,000,000; Total New Contract Value \$2,000,000)	5 years, Commencing Upon Execution	NEREN is a consortium of non-profit organizations that provide a fiber-optic network connecting and unifying the research and education communities in New York and New England. NEREN securely enables universities in the world to explore the global resources that utilize ultra broadband applications. This contract covers membership fees and broadband applications and services for Connecticut Education Network (CEN). Amend to extend initial term from three years to five years. Two (2-year) extensions remaining.	Operating Funds	Haleh Ghaemolsabahi, AVP, Information Technology	GPO/Consortia	\$0	
REVENUE - NEW									
No.	Contractor	Approval Amount	Term	Description/Purpose	Fund Source	University of Connecticut Business Owner	Sourcing	Revenue to Date (Anticipated)	**S/MBE/WBE/CT Based
REVENUE AGREEMENTS									
23	Global Spectrum LLC, dba OVG360	\$3,000,000	Upon execution up to 10 years	License for University use of the PeoplesBank Arena in Hartford, Connecticut for men's basketball games, women's basketball games and men's ice hockey games for up to 10 years. Annual expenditure of approximately \$2.0 million includes the per game license fee and the facility fee (ticket surcharge) for each ticket sold up to 12,450 for men's and women's basketball games and up to 4,600 for men's ice hockey games. Annual revenue anticipated at \$3.0 million includes: annual premiums sales guarantee, escalated by additional games above 15 basketball games and estimated additional revenue sharing for sale of premium spaces.	Multiple Sources	David Benedict, Director of Athletics	N/A - Revenue	\$ 3,000,000	
24	NHTSA through CTDOT NHTSA 693J92540005 CTDOT 01703770PL Contract #SPS # 241354	\$ 6,145,883	Upon execution through 12/31/29	The Connecticut Department of Transportation (CTDOT), in partnership with the University of Connecticut (UConn), was awarded federal funding under the State Electronic Data Collection (SEDC) funding opportunity to update its nationally recognized crash data collection system. This grant will secure law enforcement input, facilitate MMUCC 6 software development, pilot test, certify law enforcement Records Management System (RMS) vendors, train law enforcement, deploy the MMUCC 6 compliant crash form and begin processing of MMUCC 6 compliant crash data. CTDOT anticipates that two years will be needed for development and then implementation. The target date for a MMUCC 6 compliant crash form will be January 1, 2027. CTDOT will work with UConn to update the current MMUCC 4 based crash form to be compliant with MMUCC 6. This will necessitate the development of new software requirements; and validation and edit rules to meet MMUCC 6 data collection requirements. UConn will contract with the law enforcement RMS vendors across Connecticut to update their software and then certify their systems to meet the new MMUCC 6 definitions. Changes to the crash form will require CTDOT to create MMUCC 6 training course materials and a MMUCC 6 refresher course. The State's centralized crash data repository will be updated to meet the new MMUCC 6 guidelines. CTDOT's current nightly Electronic Data Transfer (EDT) protocols to NHTSA will be updated to meet the new SEDC transfer protocols for data transmission. Staff at CTDOT and UConn will continue to monitor individual police departments for accurate and timely crash report submissions. The MMUCC 6 compliant data will add to the State's crash data repository which includes crashes dating as far back as 1995.	Revenue Generating	Eric Jackson, Research Professor Civil Engineering	N/A - Revenue	\$ 1,120,554	
25	Ovations Food Services, L.P. Contract # UC-25-CDR031925	\$ 3,000,000	07/01/25-06/30/28	University contract for management of food and beverage concessions at all athletic facilities on the Storrs campus. The initial term is three years, with two (3-year) extensions remaining.	Revenue Generating	David Benedict, Director of Athletics	University of Connecticut Bid	0	

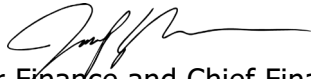
**UNIVERSITY OF CONNECTICUT
BOARD OF TRUSTEES
CONTRACTS, REAL ESTATE AGREEMENTS AND
PURCHASE ORDERS OF \$1,000,000 OR MORE FOR APPROVAL**

REVENUE - AMENDMENTS									
No.	Contractor	Approval Amount	Term	Description/Purpose	Fund Source	University of Connecticut Business Owner	Sourcing	Revenue to Date (Actual)	**S/MBE/WBE/CT Based
REVENUE AGREEMENTS									
26	Connecticut Department of Social Services	\$5,243,257 (Previous Contract Value \$62,305,529; Total New Contract Value \$67,548,786)	07/01/15-06/30/28	This revenue generating Memorandum of Agreement (MOA) is a partnership between the Connecticut Department of Social Services (DSS) and UConn School of Social Work whereby UConn will provide training, staff development, project/program development services, and vendor management support to DSS. Amend to increase contract value by \$5,243,257 for a new total of \$67,548,786. Extensions to this MOA may be exercised by written agreement of all parties.	Revenue Generating	Laura Curran, Dean of Social Work	N/A - Revenue	\$ 62,305,529	
27	FHWA through CTDOT	\$10,397,634	Upon execution through 07/31/30	The Connecticut Transportation Safety Research Center (CTSRC) at the University of Connecticut proposes a five-year initiative (2025-2030) to enhance and modernize the Connecticut Roadway Safety Management System (CRSMS), in continued partnership with the Connecticut Department of Transportation (CTDOT). CRSMS is a web-based application that supports the Highway Safety Manual's six-step, data-driven safety analysis process. This project focuses on rebuilding outdated modules to improve system performance and user experience. It also includes critical data updates, updating traffic volumes using machine learning, and employing AI and computer vision to create an annual inventory of center line rumble strips. The system will also gain new features, including a crash dashboard and a corridor analysis tool to streamline analysis of roadway segments and intersections. CTSRC will offer ongoing user training for CTDOT staff, local agencies, and consultants, and will support CTDOT in developing federally required safety reports.	Revenue Generating	Eric Jackson, Research Professor Civil Engineering	N/A - Revenue	\$ -	
28	Office of Early Childhood 24OECMSCM1UCS A1 Contract #SPS # 41460-007	\$895,880 (Previous Contract Value \$4,346,746; Total New Contract Value \$5,242,626)	07/01/24-12/31/26	The purpose is to facilitate social media and marketing efforts engaging family and providers throughout the state by contracting with a TBD vendor to support developing a Pyramid Model marketing and communication strategy plan focusing on the message of "Pyramid for All." Develop and produce materials to support the State's first Community-Wide Implementation Site Pilot, with a focus on family home provider needs with attention to language and other considerations as determined by OEC for the state's early care and education workforce.	Revenue Generating	Kate Sweeney, Assistant Extension Professor	N/A - Revenue	\$ 1,456,764	
29	Raytheon Technologies Corporation (f/k/a UTC) Contract # UTC070112	N/A	07/01/19-06/30/26 (includes one year extension)	Continuation of the UTC Employee Scholar Program under the Tuition Discount Policy that provides 5% graduate school tuition discount to companies that have paid at least \$1.0mm in graduate and undergraduate tuition the prior fiscal year. The 5% discount applies to students only if Raytheon Technologies Corporation (f/k/a UTC) exceeds \$1.0mm per year. (Tuition Discount Policy was originally adopted by the Board on 06/28/12). Amend to extend contract term one year, through 06/30/26.	Revenue Generating	Anne D'Alleva, Provost & EVP for Academic Affairs	N/A - Revenue	\$ 15,132,518	
REAL ESTATE AGREEMENTS FOR APPROVAL *									
<i>*Not all provisions of all Agreements appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.</i>									
UNIVERSITY AS LESSEE									
No.	Lessor	Annual Amount Payable	Term	Purpose	Fund Source	Program Director			
1	Connecticut Center for Advanced Technology, Inc.	\$19,236.84 per year w/1% increase annually	09/01/25 -08/31/28	The Sixth Amendment to the Sublease is to extend the existing lease agreement for 4,158 sq. ft. at 222 Pitkin Street in Hartford for an additional three years beyond its current expiration date of August 31, 2025. The extended lease term will be from September 1, 2025, through August 31, 2028 and includes a 1% increase in rent annually, which mirrors previous yearly increases under the Sublease.	Operating Funds	Joe Ercolano, Director of the Connecticut Small Business Development Center (CTSBDC)			
2	Farmington Exchange LLC	\$238,002.50	09/01/15-08/31/28 (includes requested extension of 1 year with two 1-year renewal option)	Amendment to extend the current Child and Family Studies lease of 12,865 sf (Suites 173, 181, 183) at the Exchange in Farmington for an additional year plus two 1-year renewal options.	Operating Funds	Mary Beth Bruder, Professor, Child and Family Studies			

ATTACHMENT 2

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Motor Pool Fuel Tank Replacement
(Design: \$525,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$525,000, as detailed in the attached project budget, for the Motor Pool Fuel Tank Replacement project. This reflects a \$225,000 increase over the previously approved budget of \$300,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$525,000 in UCONN 2000 Bond Funds for the Motor Pool Fuel Tank Replacement project."

BACKGROUND:

Provide plans, permitting, specifications, and construction administration services for the removal and replacement of underground fuel tanks located at its Motor Pool in Storrs, Connecticut. The two (2) 10,000-gallon underground storage tanks (diesel and gasoline) and associated fueling equipment (pumps, controls, piping, etc.) need to be replaced per UST regulations.

This project also includes an accelerated feasibility study to relocate the Motor Pool and its fueling station within campus, and an assessment of the benefits of above ground storage. Tank replacement or relocation will occur as a separate, standalone construction phase pending the outcome of that study. Engineering planning and design services will proceed concurrently for the Motor Pool and Tank Replacement include, but may not be limited to, civil, environmental, structural, and electrical.

This project is necessary to meet federal and state regulatory compliance requirements to remove the existing storage tanks by December 2025. The removal is a proactive measure to prevent potential failures and environmental hazards that could occur if the aging tanks begin to leak as well as to avoid fines from the CT DEEP for non-compliance.

The Design Budget is attached for your information.

Attachment

352 Mansfield Road, UNIT 1122, Gulley Hall
Storrs, CT 06269
PHONE 860-486-3455
jeffrey.geoghegan@uconn.edu

An Equal Opportunity Employer

263 Farmington Avenue, MC 1920
Farmington, CT 06030
PHONE 860-679-3162
geoghegan@uchc.edu

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: MOTOR POOL FUEL TANK REPLACEMENT

	APPROVED PLANNING 2/25/2025	PROPOSED DESIGN 6/25/2025
<u>BUDGETED EXPENDITURES</u>		
	PRC	
CONSTRUCTION	\$ -	\$ -
DESIGN SERVICES	225,000	370,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	25,000	40,000
INSURANCE AND LEGAL	-	5,000
MISCELLANEOUS	10,000	10,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 260,000	\$ 425,000
 PROJECT CONTINGENCY	 40,000	 100,000
TOTAL BUDGETED EXPENDITURES	\$ 300,000	\$ 525,000
<u>SOURCE(S) OF FUNDING*</u>		
UCONN 2000 BOND FUNDS	\$ 300,000	\$ 525,000
TOTAL BUDGETED FUNDING	\$ 300,000	\$ 525,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

MOTOR POOL FUEL TANK REPLACEMENT

Project Budget (DESIGN)

JUNE 25, 2025



ATTACHMENT 3

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Gampel Pavilion Renovation (Final: \$36,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$36,000,000, as detailed in the attached project budget, for the Gampel Pavilion Renovation project, for Construction-Phase 1. This reflects an increase of \$27,000,000 over the previously approved budget of \$9,000,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$36,000,000 in UCONN 2000 Bond Funds for the Gampel Pavilion Renovation project."

BACKGROUND:

The University is set to advance its efforts to upgrade Athletic Facilities by undertaking major renovation of the Harry A. Gampel Pavilion on the Storrs Campus, to modernize the facility and create more revenue-generating facilities. As a prominent University landmark, Gampel Pavilion is the home of the men's and women's basketball teams and the men's golf team. The facility opened in January 1990 and totals more than 171,000 square feet in the domed arena.

The goal is to upgrade Harry A. Gampel Pavilion to enhance the daily experience for student-athletes and visitors by instilling a sense of Husky pride by offering first-class facilities that support player development and recruitment, as well as to introduce new premium clubs and concession options for fans. The planning of the Gampel Pavilion renovation and the market survey to identify potential revenue sources and strategies for maximizing income within the arena are ongoing.

This funding increase covers Phase I of Construction, including new roofing, fixed seating structure, additional interior expansion space, IT upgrades at the new seating structure/lower bowl, and allows the university to retain the services of a Construction Manager for the remaining phases. A subsequent revised budget will be submitted for approval for the remaining construction phases of the project.

By transforming Gampel Pavilion into a modern, fan-centric, and revenue-focused facility, this project will create sustainable income opportunities that support UConn Athletics and enhance the University's national profile.

The Final Budget for Phase I of Construction is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **FINAL**

PROJECT NAME: **GAMPEL PAVILION RENOVATION**

	APPROVED PLANNING 10/11/2024	APPROVED REVISED PLANNING 10/30/2024	APPROVED DESIGN 2/26/2025	PROPOSED FINAL¹ 6/25/2025
<u>BUDGETED EXPENDITURES</u>				
	PRC			
CONSTRUCTION	\$ -	\$ 1,100,000	\$ 1,350,000	\$ 20,000,000
DESIGN SERVICES	100,000	100,000	5,000,000	5,000,000
TELECOMMUNICATIONS	-	-	-	3,500,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	2,000,000
OTHER AE SERVICES (including Project Management)	250,000	250,000	1,800,000	1,800,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	-	-
INSURANCE AND LEGAL	5,000	5,000	10,000	10,000
MISCELLANEOUS	-	-	-	50,000
OTHER SOFT COSTS	-	-	-	140,000
SUBTOTAL	\$ 355,000	\$ 1,455,000	\$ 8,160,000	\$ 32,500,000
PROJECT CONTINGENCY	120,000	145,000	840,000	3,500,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 475,000</u>	<u>\$ 1,600,000</u>	<u>\$ 9,000,000</u>	<u>\$ 36,000,000</u>
<u>SOURCE(S) OF FUNDING*</u>				
UCONN 2000 BOND FUNDS	<u>\$ 475,000</u>	<u>\$ 1,600,000</u>	<u>\$ 9,000,000</u>	<u>\$ 36,000,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 475,000</u>	<u>\$ 1,600,000</u>	<u>\$ 9,000,000</u>	<u>\$ 36,000,000</u>

¹ Budget includes design of full project and construction of Phase I improvements

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

ATTACHMENT 4

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for University Second Electrical Feed
(Revised Final: \$18,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$18,000,000, as detailed in the attached project budget, for the University Second Electrical Feed project for additional design services. This reflects an increase of \$3,000,000 over the previously approved Final budget. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$18,000,000 in UCONN 2000 bond funds for the University Second Electrical Feed project."

BACKGROUND:

The UConn Storrs campus receives electrical power from two sources: 1) the generation of power on campus at the Central Utility Plant by UConn owned and operated electrical generating turbines, and 2) the Utility provider's (Eversource) overhead power lines via a utility substation located west of the area "F" parking lot on the north side of North Eagleville Road.

The University's electrical demand at times exceeds the capacity of the on-campus generation system and the single connection to the Utility. The campus-wide demand also exceeds the capacity of the existing transformer infrastructure fed from the existing Eversource transmission circuit. Each of the sources was originally designed with the capacity to independently provide all electricity needed for the campus, thus providing the University with the reliability and redundancy necessary should one or the other service be interrupted. Studies have shown that as the University continues to expand in accordance with its Master Plan, the electrical demand has increased to a point where both imported and campus-based production of electrical power will need to be increased to maintain the required reliability and resiliency of the on-campus electrical distribution system.

This project establishes a new second connection to Eversource, terminating at a new 50-75 MVA transformer located on the exterior of the Supplemental Utility Plant (SUP) in the substation switchgear yard (Named UConn 38E, southeast of the SUP proper). Included is a required modernization of the electrical distribution control system to manage multiple sources and the increased load demands which enables code compliant switching for personnel safety. Phase 1 of the SUP was completed in 2022 (building, electrical switchgear, emergency power generators, and chillers), with installation of a boiler in progress. Phase 2 of the SUP project, currently on hold, is required to add electrical generation capacity to maintain the reliability and resiliency of the campus.

Due to market conditions (long lead times and escalation for certain electrical equipment), the construction of the Eversource Second Electrical Feed project has been phased to allow for the timely procurement of equipment with long lead times (Phase 1). Phase 2 of the project, the balance of the work, will include construction of UConn's new 38E substation switchgear yard (including sitework and structures, the procurement and installation of all remaining equipment), and connections to existing UConn electrical infrastructure and Eversource.

To facilitate this new service connection exclusively for the UConn Storrs campus, Eversource incurs costs related to both the new connection between 38E and their existing substation at 12J and updates to service for the existing UConn Storrs substation at 5P. The scope project's design has also changed to incorporate system-wide upgrades on the Storrs campus. The current \$1.5M request is to complete the design of 38E and the associated upgrades and services.

Phase 2 of the Eversource Second Electrical Feed project is currently in the Design phase with expected design completion in Fall 2025. Construction of Phase 2 is currently scheduled to be bid in Fall/Winter 2025 and be complete in Fall 2027. The total project budget is contingent upon the structure of payments to Eversource for their new facilities exclusively serving the UConn Storrs campus and is anticipated to be approximately \$45M.

This project is needed to provide reliable electrical service for the Storrs campus, including during unplanned disruption and planned maintenance of the Central Utility Plant.

The Revised Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED FINAL**

PROJECT NAME: **UNIVERSITY SECOND ELECTRICAL FEED**

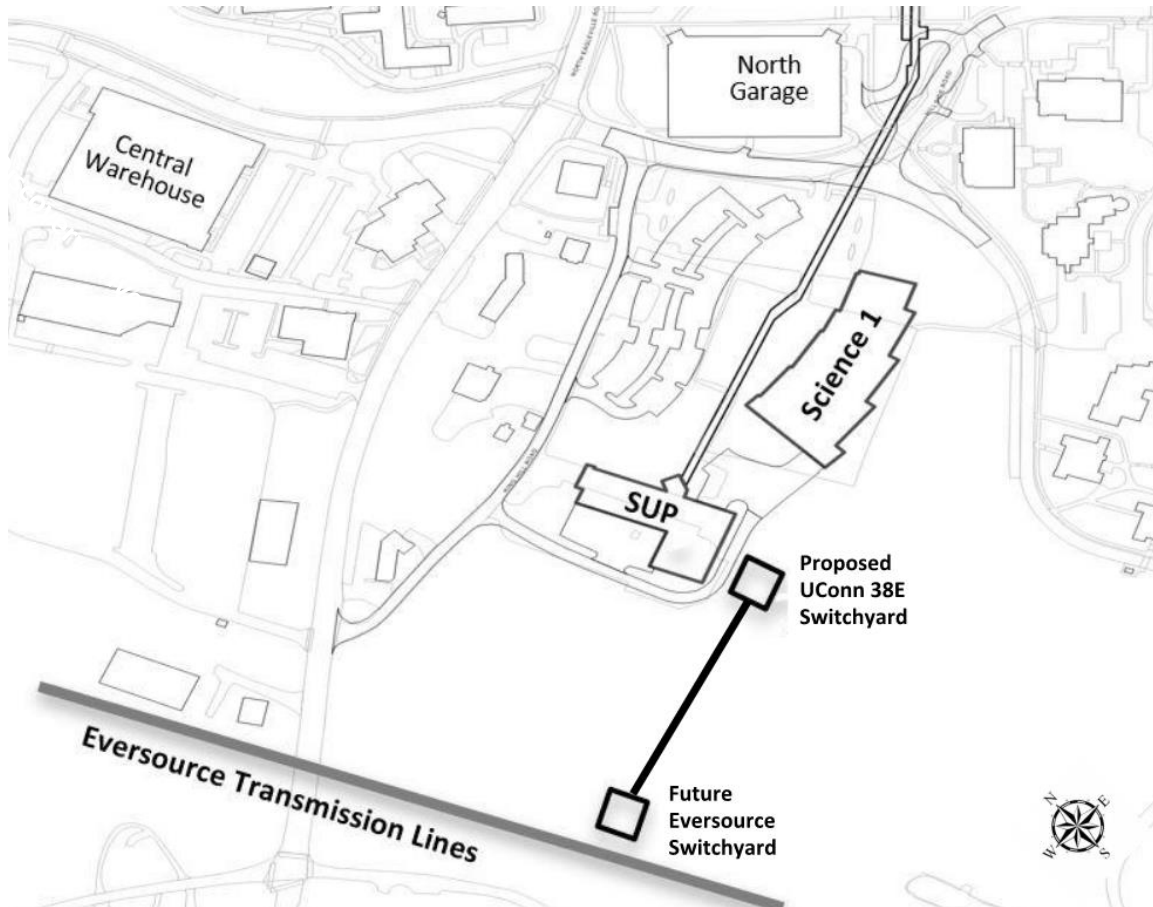
	APPROVED PLANNING 2/21/2019 PRC	APPROVED REVISED PLANNING 3/12/2021 PRC	APPROVED REVISED PLANNING 8/20/2021 PRC	APPROVED DESIGN 12/8/2021	APPROVED FINAL 9/27/2023	PROPOSED REVISED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>						
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 300,000	\$12,000,000	\$12,000,000
DESIGN SERVICES	75,000	200,000	315,000	2,300,000	1,445,000	3,425,000
TELECOMMUNICATIONS	-	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	250,000	250,000
OTHER AE SERVICES (including Project Management)	5,000	10,000	12,000	95,000	500,000	500,000
ART	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	-	-
INSURANCE AND LEGAL	3,000	5,000	5,000	5,000	5,000	5,000
MISCELLANEOUS	-	-	-	-	-	-
OTHER SOFT COSTS	-	-	-	-	-	-
SUBTOTAL	\$ 83,000	\$ 215,000	\$ 332,000	\$2,700,000	\$14,200,000	\$16,180,000
PROJECT CONTINGENCY	12,000	35,000	38,000	300,000	800,000	1,820,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 95,000</u>	<u>\$ 250,000</u>	<u>\$ 370,000</u>	<u>\$3,000,000</u>	<u>\$15,000,000</u>	<u>\$18,000,000</u>
<u>SOURCE(S) OF FUNDING*</u>						
UCONN 2000 BOND FUNDS	\$ 95,000	\$ 250,000	\$ 370,000	\$3,000,000	\$15,000,000	\$18,000,000
TOTAL BUDGETED FUNDING	<u>\$ 95,000</u>	<u>\$ 250,000</u>	<u>\$ 370,000</u>	<u>\$3,000,000</u>	<u>\$15,000,000</u>	<u>\$18,000,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UNIVERSITY SECOND ELECTRICAL FEED

Project Budget (REVISED FINAL)

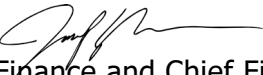
June 25, 2025



ATTACHMENT 5

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Boiler Plant Equipment Replacement and Utility Tunnel
Connection (Revised Final: \$46,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$46,500,000, as detailed in the attached project budget, for the Boiler Plant Equipment Replacement and Utility Tunnel Connection project. This reflects an increase of \$3,500,000 over the previously approved budget of \$43,000,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$46,500,000 in UCONN 2000 bond funds for the Boiler Plant Equipment Replacement and Utility Tunnel Connection project."

BACKGROUND:

This project has been implemented in 3 phases.

Phase 1 was for the pre-purchase of 3 factory-fabricated, dual fuel water-tube boilers. Early purchase of the boilers was necessary to meet the project construction schedule due to the long lead time required to fabricate the boilers. Phase 1 was completed in Spring 2021.

Phase 2 was to complete utility tunnel interconnections between the future Supplemental Utility Plant (SUP) and the existing Central Utility Plant (CUP). Work included extension of the steam, chilled water, and electrical connections from their current locations within the existing "North Utility Tunnel" at the northeast corner of the UTEB building into the CUP and extension of the existing electrical distribution system. Additionally, three existing steam boilers currently located at the CUP, historically used as backup boilers during periods that the Cogeneration turbines were inoperative, were decommissioned and demolished. The existing boilers were inefficient; required extensive maintenance to stay operational and replacement parts were difficult to obtain or are no longer manufactured. Additionally, the University was notified by CT DEEP that the boilers were required to be de-commissioned by June 2023 due to non-compliance with regulatory emissions restrictions. Phase 2 was completed in Spring 2022.

Phase 3 replaces the existing aged boilers decommissioned and removed in Phase 2. The project intent is to install one of the replacement boilers in the SUP and two in the CUP. The two new boilers in the CUP were completed in spring 2022, while the installation of the SUP boiler is on-going.

The Revised Final budget increases the project costs by \$3,500,000. The additional project cost is for work needed to correct code and safety deficiencies discovered by a third-party independent Engineer. It is anticipated that corrective work may continue into 2026 as some work must be completed during the annual campus-wide steam shutdown.

This work is necessary to ensure continued safe and code-compliant operation of essential heating infrastructure.

The Revised Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: BOILER PLANT EQUIPMENT REPLACEMENT AND UTILITY TUNNEL CONNECTION

	APPROVED PLANNING 3/21/2019	APPROVED REVISED PLANNING 4/24/2019	APPROVED DESIGN 8/14/2019	APPROVED FINAL 2/26/2020	APPROVED REVISED FINAL 6/28/2023	PROPOSED REVISED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>						
PRC						
CONSTRUCTION	\$ -	\$ -	\$ 600,000	\$ 29,200,000	\$ 34,900,000	\$ 38,400,000
DESIGN SERVICES	350,000	870,000	1,050,000	2,000,000	2,000,000	2,000,000
TELECOMMUNICATIONS	-	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	40,000	100,000	100,000	1,200,000	1,200,000	1,200,000
OTHER AE SERVICES (including Project Management)	25,000	125,000	150,000	2,000,000	2,150,000	2,150,000
ART	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-
ENVIRONMENTAL	5,000	5,000	160,000	550,000	550,000	550,000
INSURANCE AND LEGAL	5,000	10,000	10,000	50,000	50,000	50,000
MISCELLANEOUS	5,000	10,000	30,000	-	-	-
OTHER SOFT COSTS	-	-	-	-	-	-
SUBTOTAL	\$ 430,000	\$ 1,120,000	\$ 2,100,000	\$ 35,000,000	\$ 40,850,000	\$ 44,350,000
PROJECT CONTINGENCY	50,000	130,000	200,000	5,000,000	2,150,000	2,150,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 480,000</u>	<u>\$ 1,250,000</u>	<u>\$ 2,300,000</u>	<u>\$ 40,000,000</u>	<u>\$ 43,000,000</u>	<u>\$ 46,500,000</u>
<u>SOURCE(S) OF FUNDING*</u>						
UCONN 2000 BOND FUNDS	\$ 480,000	\$ 1,250,000	\$ 2,300,000	\$ 40,000,000	\$ 43,000,000	\$ 46,500,000
TOTAL BUDGETED FUNDING	<u>\$ 480,000</u>	<u>\$ 1,250,000</u>	<u>\$ 2,300,000</u>	<u>\$ 40,000,000</u>	<u>\$ 43,000,000</u>	<u>\$ 46,500,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOILER PLANT EQUIPMENT REPLACEMENT AND UTILITY TUNNEL CONNECTION

Project Budget (REVISED FINAL)

June 25, 2025



Existing Central Utility Plant



Basis of Design Water-Tube Boiler

ATTACHMENT 6

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Albert N. Jorgensen & Harriet S. Jorgensen Theatre and
Performing Arts Center Envelope and Various Site Improvements
(Final: \$1,064,595)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$1,064,595 as detailed in the attached project budget for the Albert N. Jorgensen & Harriet S. Jorgensen Theatre and Performing Arts Center Envelope and Various Site Improvements. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$1,064,595 in University Funds for the Albert N. Jorgensen & Harriet S. Jorgensen Theatre and Performing Arts Center Envelope and Various Site Improvements project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the program projects scope and budget."

BACKGROUND:

This project will include building envelope repairs and various site improvements over multiple phases and fiscal years. Professional Design has been completed, which has identified and prioritized specific scopes of work which are based on existing condition assessments, previous repairs/work orders and input from stakeholders. The scopes of work are packaged to be bid and stay within the approved fiscal year budget.

The first phase of construction will focus on removal, waterproofing and reinstalling all the roof coping around the entire building. Alternate scope within the bid will repair cracks in all corner brick work. Both scopes of work will address areas currently allowing water infiltration and building deterioration.

The request for waiver of the 3-stage budget approval process is to support the phase one project timeline (late summer start) and that this is a multi-year/multi-phased specific scope budgeted project.

The envelope repairs are crucial to safeguarding the integrity of the building, ensuring its continued preservation, and significantly prolonging its life as a valued asset for years to come.

This aligns with the strategic initiative Seven World-Class Campuses, One Flagship University and Student Success Journey.

This project is specifically funded through the Infrastructure Maintenance Fee which supports operating and maintenance costs of University facilities.

The Final Budget is attached for your information.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

**PROJECT NAME: ALBERT N. JORGENSEN & HARRIET S. JORGENSEN THEATRE AND
PERFORMING ARTS CENTER ENVELOPE AND VARIOUS SITE
IMPROVEMENTS**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED FINAL 6/25/2025</u>
CONSTRUCTION	\$ 899,305
DESIGN SERVICES	64,595
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	50,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
OTHER SOFT COSTS	-
SUBTOTAL	\$ 1,013,900
PROJECT CONTINGENCY	50,695
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,064,595</u>
<u>SOURCE(S) OF FUNDING *</u>	
UNIVERSITY FUNDS	\$ 1,064,595
TOTAL BUDGETED FUNDING	<u>\$ 1,064,595</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

**ALBERT N. JORGENSEN & HARRIET S. JORGENSEN
THEATRE AND PERFORMING ARTS CENTER ENVELOPE
AND VARIOUS SITE IMPROVEMENTS**

**Project Budget (FINAL)
JUNE 25, 2025**



ATTACHMENT 7

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Alumni Center Wood Shake Roof Replacement
(Final: \$782,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$782,000 as detailed in the attached project budget for the Alumni Center Wood Shake Roof Replacement. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$782,000 in University Funds for the Alumni Center Wood Shake Roof Replacement project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the program projects scope and budget."

BACKGROUND:

The Alumni Center project is a multi-year/phase building improvement project that has been carried out by priorities based on conditions. These priorities were developed in collaboration with University stakeholders and design professionals. Previous phases have been completed under the BOT budget threshold including complete restoration of the building Cupola which had significantly deteriorated, allowing water infiltration damaging interior ceilings, walls and floors. Also, specific exterior window replacements, again allowing the outside elements into the building were completed.

The current request for project funding increase is the final phase of the project to removing the existing wood shake roof and install a new faux slate shingle roof. As part of the project, some minor building envelope improvements such as siding repairs will also be made. These upgrades address aging infrastructure and help extend the building's lifespan.

The roof replacement is a vital and time-sensitive investment, essential to safeguarding the structural integrity of the building and securing its long-term viability. Delaying this work could compromise the facility's condition and increase future costs.

This aligns with the strategic initiative Seven World-Class Campuses, One Flagship University and Student Success Journey.

This project is specifically funded through the Infrastructure Maintenance Fee which supports operating and maintenance costs of University facilities.

The Final Budget is attached for your information.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: ALUMNI CENTER WOOD SHAKE ROOF REPLACEMENT

<u>BUDGETED EXPENDITURES</u>	APPROVED PLANNING 8/6/2021 PRC	APPROVED FINAL 4/19/2023 PRC	APPROVED FINAL 5/15/2025 PRC	PROPOSED FINAL 6/25/2025
CONSTRUCTION	\$ -	\$ 236,364	\$ 236,364	\$ 606,125
DESIGN SERVICES	90,909	90,909	113,636	113,636
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-	25,000
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	-	-
INSURANCE AND LEGAL	-	-	-	-
MISCELLANEOUS	-	-	-	-
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	\$ 90,909	\$ 327,273	\$ 350,000	\$ 744,762
PROJECT CONTINGENCY	9,091	32,727	35,000	37,238
TOTAL BUDGETED EXPENDITURES	<u>\$ 100,000</u>	<u>\$ 360,000</u>	<u>\$ 385,000</u>	<u>\$ 782,000</u>
<u>SOURCE(S) OF FUNDING *</u>				
UNIVERSITY FUNDS	\$ 100,000	\$ 360,000	\$ 385,000	\$ 782,000
TOTAL BUDGETED FUNDING	<u>\$ 100,000</u>	<u>\$ 360,000</u>	<u>\$ 385,000</u>	<u>\$ 782,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

ALUMNI CENTER WOOD SHAKE ROOF REPLACEMENT
Project Budget (FINAL)
JUNE 25, 2025



ATTACHMENT 8

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Branford House Exterior Repairs, Phases 1, 2, & 3
(Revised Final: \$1,645,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$1,645,000, as detailed in the attached project budget, for the Branford House Exterior Repairs, Phases 1, 2 & 3. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$1,645,000 in University Funds for Branford House Exterior Repairs, Phases 1, 2 & 3 project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget."

BACKGROUND:

This project involves repairing the building envelope of the historic, three-level, granite-masonry Gilded-Age manor at the Avery Point campus. Elements of the work include the following: repointing mortar joints, repairing/replacing granite masonry units, flashing, perimeter sealants, selective slate roof tile replacement, copper roof repairs, and copper gutter/downspout repairs. The work also incorporates repair of windows, including removal and reinstallation, new wood blocking, flashing and sealants.

This project has been carried out in multiple phases. The sequence of the work is dictated by the priority of repairs. Phases 1 & 2 (FY22 & FY23) have been completed and have made significant improvements to the building. The additional funding request with this resolution is for Phase 3 for the repair of the elevator shaft and former stair tower at the east side of the building that have been identified being of particular concern.

The envelope repairs are imperative to maintaining the structural integrity of the building, ensuring its continued preservation, and extending its service life as a valuable and enduring asset to the community.

This aligns with the strategic initiatives Seven World-Class Campuses, One Flagship University and Husky Pride & Resilience.

This project is specifically funded through the Infrastructure Maintenance Fee which supports operating and maintenance costs of University facilities.

On June 5, 2024, the Executive Vice President for Finance and Chief Financial Officer approved an increase of \$38,000 (4.75% of the approved Revised Final Budget) for a new approved Revised Final Budget of \$838,000.

On January 6, 2025, the Executive Vice President for Finance and Chief Financial Officer approved an increase of \$35,000 (4.18% of the approved Revised Final Budget) for a new approved Revised Final Budget of \$873,000. This amount was subsequently increased to \$1,350,000 and approved by the Board on February 26, 2025.

The Revised Final Budget is attached for your information and reflects an increase of \$295,000 to the previously approved Final Budget of \$1,350,000 for a total budget of \$1,645,000.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: REVISED FINAL

PROJECT NAME: BRANFORD HOUSE EXTERIOR REPAIRS, PHASES 1, 2 & 3

	PHASE 1 PRC 7/1/2022	APPROVED FINAL 2/22/2023	APPROVED REVISED FINAL 6/5/2024 EVPF&CFO	APPROVED REVISED FINAL 1/6/2025 EVPF&CFO	APPROVED REVISED FINAL 2/26/2025	PROPOSED REVISED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>						
CONSTRUCTION	\$298,000	\$ 630,000	\$ 630,000	\$ 665,000	\$1,095,455	\$ 1,433,182
DESIGN SERVICES	74,000	97,000	131,818	131,818	131,818	131,818
TELECOMMUNICATIONS	-	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-	-	-	-
ART	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	-	-
INSURANCE AND LEGAL	-	-	-	-	-	-
MISCELLANEOUS	-	-	-	-	-	-
OTHER SOFT COSTS	-	-	-	-	-	-
SUBTOTAL	\$372,000	\$ 727,000	\$ 761,818	\$ 796,818	\$1,227,273	\$ 1,565,000
PROJECT CONTINGENCY	28,000	73,000	76,182	76,182	122,727	80,000
TOTAL BUDGETED EXPENDITURES	<u>\$400,000</u>	<u>\$ 800,000</u>	<u>\$ 838,000</u>	<u>\$ 873,000</u>	<u>\$1,350,000</u>	<u>\$ 1,645,000</u>
<u>SOURCE(S) OF FUNDING *</u>						
UNIVERSITY FUNDS	\$400,000	\$ 800,000	\$ 838,000	\$ 873,000	\$1,350,000	\$ 1,645,000
TOTAL BUDGETED FUNDING	<u>\$400,000</u>	<u>\$ 800,000</u>	<u>\$ 838,000</u>	<u>\$ 873,000</u>	<u>\$1,350,000</u>	<u>\$ 1,645,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 6.25.25
FO500106

BRANFORD HOUSE EXTERIOR REPAIRS, PHASES 1, 2 & 3
Project Budget (REVISED FINAL)
JUNE 25, 2025



ATTACHMENT 9

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Andover Infrastructure and Software Upgrade Phases I through VI
(Revised Final: \$4,855,439)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$4,855,439, as detailed in the attached project budget, for the Andover Infrastructure and Software Upgrade Phases I through VI for Construction. The increase of \$500,000 to the Project Budget is to establish a sixth phase for FY26, furnishing and installing controllers for five additional facilities at the Storrs campus and Spring Hill Farm. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$4,855,439 in University Funds for the Andover Infrastructure and Software Upgrade Phases I through VI project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the program projects scope and budget."

BACKGROUND:

UConn monitors buildings through the Andover Building Management System. This system controls and monitors the buildings' mechanical and electrical equipment such as ventilation, lighting, power systems, fire systems, and security systems. Its useful life is ending. The system needs to be upgraded to the next-generation EcoStruxure Building Operation System over several years to securely facilitate the exchange of data from both ESC and third-party energy, lighting, HVAC, fire safety, security, and workplace management systems to create future-ready smart buildings.

This project has multiple phases. Currently the cost of the entire project is estimated at around \$8M. The sequence of building upgrades in a particular phase will vary depending upon the funding availability and building access. Seventy-three campus buildings have already been updated as part of Phases I through V. Phase VI will add five more facilities in FY26 and upgrade the main BMS server.

This project is essential to safeguarding the University's physical assets. By proactively upgrading the BMS, UConn ensures the extended lifespan and sustained value of its facilities, minimizes the risk of costly emergency repairs, and preserves the functionality of critical building systems well into the future.

This project will align with the strategic initiatives of Wellness of People and Planet and Seven World-Class Campuses, One Flagship University.

This project is specifically funded through the Infrastructure Maintenance Fee which supports operating and maintenance costs of University facilities.

The Revised Final Budget is attached for your information reflecting an increase of \$500,000 to the previously approved Final Budget of \$4,355,439 for a total Budget of \$4,855,439.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED FINAL**

PROJECT NAME: **ANDOVER INFRASTRUCTURE AND SOFTWARE UPGRADE - PHASES I THRU VI**

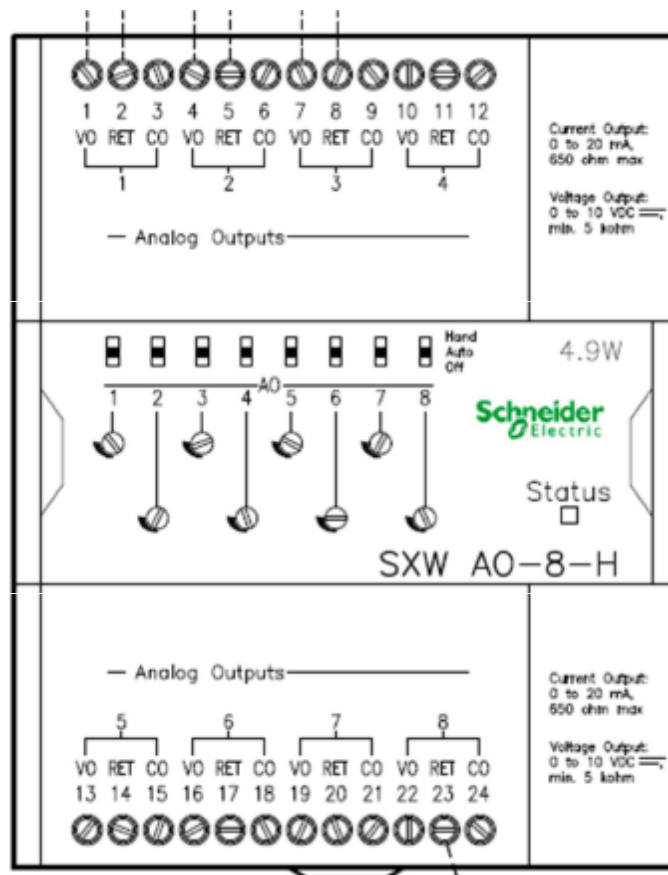
	PHASE I & II APPROVED FINAL 2/24/2021	PHASE I,II&III APPROVED FINAL 4/27/2022	Phase I,II&III APPROVED FINAL 6/28/2023	Phase I, II, III, & IV APPROVED FINAL 10/25/2023	Phases I Through V APPROVED FINAL 6/26/2024	Phases I through VI PROPOSED REVISED FINAL 4/23/2025
<u>BUDGETED EXPENDITURES</u>						
CONSTRUCTION	\$ 2,314,000	\$ 3,011,400	\$ 3,480,439	\$ 3,503,439	\$ 3,959,000	\$ 4,382,035
DESIGN SERVICES	-	-	-	-	-	-
TELECOMMUNICATIONS	-	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	-	-
OTHER AE SERVICES (including PM)	-	-	-	-	-	32,000
ART	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	-	-
INSURANCE AND LEGAL	-	-	-	-	-	-
MISCELLANEOUS	-	-	-	-	-	-
OTHER SOFT COSTS	-	-	-	-	-	-
SUBTOTAL	\$ 2,314,000	\$ 3,011,400	\$ 3,480,439	\$ 3,503,439	\$ 3,959,000	\$ 4,414,035
PROJECT CONTINGENCY	282,000	334,600	-	352,000	396,439	441,404
TOTAL BUDGETED EXPENDITURES	\$ 2,596,000	\$ 3,346,000	\$ 3,480,439	\$ 3,855,439	\$ 4,355,439	\$ 4,855,439
<u>SOURCE(S) OF FUNDING*</u>						
UNIVERSITY FUNDS	\$ 2,596,000	\$ 3,346,000	\$ 3,480,439	\$ 3,855,439	\$ 4,355,439	\$ 4,855,439
TOTAL BUDGETED FUNDING	\$ 2,596,000	\$ 3,346,000	\$ 3,480,439	\$ 3,855,439	\$ 4,355,439	\$ 4,855,439

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

ANDOVER INFRASTRUCTURE AND SOFTWARE UPGRADE

PHASES 1-6

Project Budget (REVISED FINAL)
JUNE 25, 2025



ATTACHMENT 10

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for VoIP Implementation and 5ESS Decommissioning
(Final: \$4,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$4,000,000, as detailed in the attached Project Budget, to execute the implementation of Voice Over Internet Protocol telecommunications system and the decommissioning of the 5ESS that regulated the copper telecom system. The administration recommends that the Board of Trustees adopt the resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$4,000,000 in UCONN 2000 bond funds for the VoIP Implementation project and approve the request to waive the three-stage budget approval, allowing work to proceed immediately."

BACKGROUND:

Information Technology Services (ITS) has long held an earmark of approximately \$5M to refresh the campus voice infrastructure, which consists of a 5ESS Private Branch Exchange (PBX) switch serving the Storrs campus. This switch was installed in the late 1980s and has been in continuous operation since then. The usable lifespan of a PBX switch is 30-35 years. The existing device is now beyond the end of its usable life. Support has become increasingly difficult and expensive as we now rely on professional services from multiple contractors for support of this legacy device.

Rather than deploy an updated replacement to the existing PBX infrastructure, ITS has begun transitioning the university to Voice Over Internet Protocol (VoIP). This replaces the central PBX with a much smaller central device and moves the feature set out to soft phones and traditional handsets. This contemporary voice infrastructure shares the same network and power infrastructure as our other enterprise information services and is much better aligned to contemporary networking and information technology skills. The current investment in the Wired Access Layer Refresh program (WALR) is an enabling effort for the VoIP initiative. Additionally, to date, ITS has invested approximately \$1M toward progressing the VoIP project over the last two fiscal years.

The phone services for the regional campuses are provided by Frontier Communications at a significant annual cost. Migrating the regional campuses to VoIP can provide them with a better service at a reduced expense. Networking upgrades on both the Waterbury and Stamford campuses have enabled ITS to deploy VoIP in FY24 as well as Avery Point in FY25. Expansion of VoIP to Law School and Hartford GBLC are in progress.

Full implementation of VoIP is expected to save the University an average of \$833,000 per year which will result in a full return on this \$4,000,000 capital investment within five years.

Estimated Savings / ROI (in millions)	Current	Year 1	Year 2	Year 3	Year 4	Year 5
Professional Support/Staff	\$0.7	\$0.3	\$0.5	\$0.4	\$0.4	\$0.4
Contracted Services	0.9	0.5	0.5	0.5	0.5	0.5
Enterprise Agreements/Business Systems	0.3	0.3	0.3	0.3	0.4	0.4
Break Fix/Equipment	0.3	0.1	0.1	0.1	0.1	0.1
Total Annual Expenses	\$2.2	\$1.3	\$1.4	\$1.3	\$1.3	\$1.4
Cumulative Savings		\$0.9	\$1.6	\$2.5	\$3.4	\$4.2

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: VOIP IMPLEMENTATION AND 5ESS DECOMMISSIONING

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED FINAL 6/25/2025</u>
CONSTRUCTION	\$ -
DESIGN SERVICES	-
TELECOMMUNICATIONS	3,809,524
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
OTHER SOFT COSTS	-
SUBTOTAL	\$ 3,809,524
PROJECT CONTINGENCY	190,476
TOTAL BUDGETED EXPENDITURES	<u>\$ 4,000,000</u>
<u>SOURCE(S) OF FUNDING *</u>	
UCONN 2000 BONDS	\$ 4,000,000
TOTAL BUDGETED FUNDING	<u>\$ 4,000,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 6.25.25

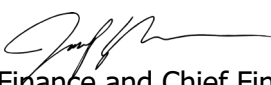
ATTACHMENT 11

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Connecticut Tower Infrastructure Upgrade
(Planning: \$34,300,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$34,300,000 for the UConn Health Connecticut Tower Infrastructure Upgrade project.

RESOLUTION:

"Be it resolved that the Board of Trustees approve of the use of \$34,300,000 from General Obligation DM Bond funds for the UConn Health Connecticut Tower Infrastructure Upgrade project."

BACKGROUND:

This project aligns with UConn's 2024-2034 Strategic Plan because it will maintain Farmington as part of our Seven World-Class Campuses, One Flagship University as well as promote and improve the Wellness of People and Planet by replacing the 55+ year old head-end HVAC units and other mechanical/plumbing infrastructure located in the penthouse of the Connecticut Tower to allow the continued use of floors 1 thru 7 as Inpatient/ Clinical units. If these HVAC units are not replaced, their eventual failure would be a patient safety issue and would cause a major loss of revenue.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget was approved by the UConn Health Board of Directors at their meeting on June 9, 2025.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

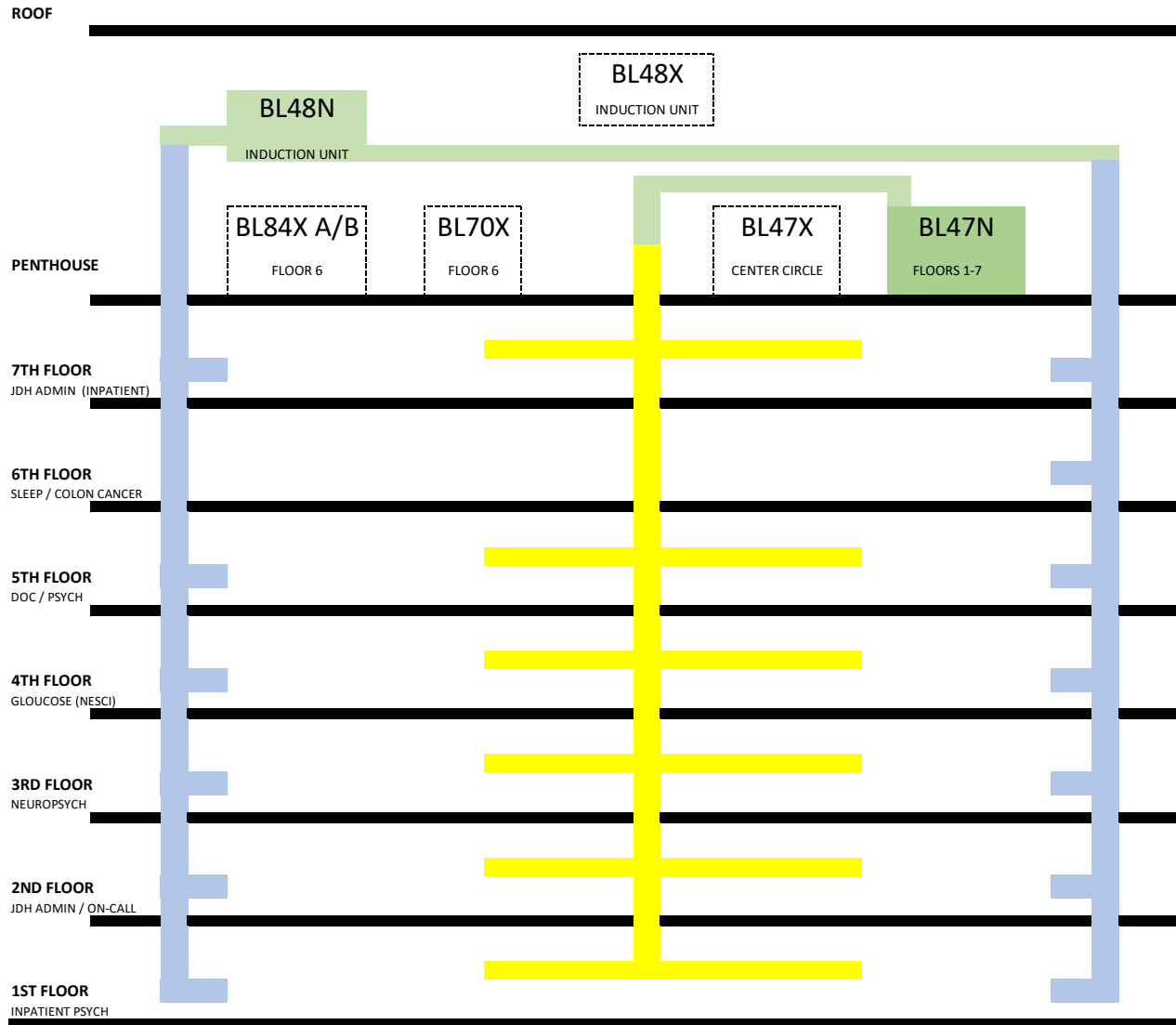
TYPE BUDGET: PLANNING

**PROJECT NAME: UCONN HEALTH - CONNECTICUT TOWER INFRASTRUCTURE
UPGRADE**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/25/2025</u>
CONSTRUCTION	\$ 28,000,000
DESIGN SERVICES	2,875,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	250,000
ART	-
RELOCATION	-
ENVIRONMENTAL	55,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
SUBTOTAL	\$ 31,180,000
PROJECT CONTINGENCY	3,120,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 34,300,000</u>
<u>SOURCE(S) OF FUNDING*</u>	
FY 23 STATE GO BOND FUNDS	\$ 20,300,000
FY 24 STATE GO BOND FUNDS	\$ 14,000,000
TOTAL BUDGETED FUNDING	<u>\$ 34,300,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UConn HEALTH/IMPROVEMENTS
UConn Health Connecticut Tower Infrastructure Upgrade
Project Budget (Planning)
June 25, 2025



Conceptual HVAC Riser Diagram

ATTACHMENT 12

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health TM416 MRI Upgrade and Mobile Unit
(Design: \$1,560,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,560,000 for the UConn Health TM416 MRI Upgrade and Mobile Unit project.

RESOLUTION:

"Be it resolved that the Board of Trustees approve of the use of \$1,560,000 from UConn Health Capital for the UConn Health TM416 MRI Upgrade and Mobile Unit project."

BACKGROUND:

The existing MRI unit that serves the John Dempsey Hospital is near end of life and recently has experienced more downtime than usual. The MRI downtime is a major patient safety issue as well as loss of potential revenue. This project aligns with UConn's 2024-2034 Strategic Plan because it will promote and improve the Wellness of People and Planet by upgrading the existing MRI unit to extend the system life and improve imaging capabilities and workflow. To eliminate the MRI downtime during the upgrade a temporary MRI trailer will be required.

The Design Budget is attached for your consideration. The Design Budget is based on consultant estimates and may change based on actual bids received. This Design Budget was approved by the UConn Health Board of Directors at their meeting on June 9, 2025.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: UCONN HEALTH - TM416 MRI UPGRADE AND MOBILE UNIT

	APPROVED PLANNING 4/23/2025	PROPOSED DESIGN 6/25/2025
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 375,000	\$ 375,000
DESIGN SERVICES	41,000	41,000
TELECOMMUNICATIONS	5,000	5,000
FURNITURE, FIXTURES AND EQUIPMENT	994,000	994,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	3,000	3,000
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
SUBTOTAL	\$ 1,418,000	\$ 1,418,000
PROJECT CONTINGENCY	142,000	142,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,560,000</u>	<u>\$ 1,560,000</u>
<u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 1,560,000	\$ 1,560,000
TOTAL BUDGETED FUNDING	<u>\$ 1,560,000</u>	<u>\$ 1,560,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health TM416 MRI Upgrade and Mobile Unit
Project Budget (Design)
June 25, 2025



MRI Trailer Diagram

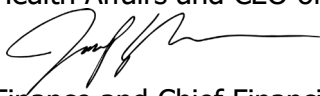
ATTACHMENT 13

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Torrington Clinical Practice Relocation
(Final: \$4,800,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$4,800,000 for the UConn Health Torrington Clinical Practice Relocation project.

RESOLUTION:

"Be it resolved that the Board of Trustees approve of the use of \$4,480,000 from UConn Health Capital and a Landlord Fit-out Allowance of approximately \$320,000 for the UConn Health Torrington Clinical Practice Relocation project; and approve the request for a waiver of the three-stage budget approval process, to allow bidding to occur as soon as project design work is completed."

BACKGROUND:

Due to space limitations, UConn Health plans to relocate and expand the Torrington clinical practice from 4 examination rooms to 16 examination rooms. The expansion will increase clinical revenue and promote the Wellness of People and Planet and a Stronger, More Inclusive University by increasing community access to the Internal Medicine and extremely busy Multispecialty practices.

The medical services provided at the new location will include Internal Medicine, on-site x-ray, and an expansion of specialty services to include Orthopedics, OB, Vascular Surgery, Pulmonary and possibly General Surgery.

The Final Budget is attached for your consideration. The Final Budget is based on actual bids received. This Final Budget was approved by the UConn Health Board of Directors at their meeting on June 9, 2025.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN HEALTH - TORRINGTON CLINICAL PRACTICE RELOCATION

	APPROVED PLANNING 12/11/2024	PROPOSED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 2,400,000	\$ 2,940,000
DESIGN SERVICES	175,000	240,000
TELECOMMUNICATIONS	525,000	480,000
FURNITURE, FIXTURES AND EQUIPMENT	1,100,000	750,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	15,000	-
ART	15,000	25,000
RELOCATION	35,000	10,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	5,000	5,000
SUBTOTAL	\$ 4,270,000	\$ 4,450,000
PROJECT CONTINGENCY	530,000	350,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 4,800,000</u>	<u>\$ 4,800,000</u>
<u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 4,500,000	\$ 4,479,870
LANDLORD FIT-OUT ALLOWANCE	\$ 300,000	\$ 320,130
TOTAL BUDGETED FUNDING	<u>\$ 4,800,000</u>	<u>\$ 4,800,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UConn HEALTH/IMPROVEMENTS
UConn Health Torrington Clinical Practice Relocation
Project Budget (Final)
June 25, 2025



Example Clinical Fit-Out Concept

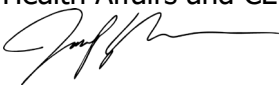
ATTACHMENT 14

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Emergency Department Low Acuity Expansion (Final: \$1,350,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,350,000 as detailed in the attached project budget for the UConn Health Emergency Department Low Acuity Expansion Project.

RESOLUTION:

"Be it resolved that the Board of Trustees approve of the use of \$1,350,000 from UConn Health Capital for the UConn Health Emergency Department Low Acuity Expansion Project."

BACKGROUND:

This project aligns with UConn's 2024-2034 Strategic Plan because it will promote and improve the Wellness of People and Planet by renovating a portion of the existing Emergency Department Waiting and Administrative area to create a low acuity patient treatment area to help address overcrowding, a major patient safety concern in emergency departments nationwide, and increase patient revenue. Adding seven (7) low acuity patient treatment bays will increase revenue, reduce costs and wait times by providing medically appropriate treatment spaces that could be used exclusively for "vertical" or chair/recliner-centric patients and reduce overcrowding within existing treatment areas designated for "horizontal" higher acuity patients.

The Final Budget is attached for your consideration. The Final Budget is based on actual bids received. This Final Budget was approved by the UConn Health Board of Directors at their meeting on June 9, 2025.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN HEALTH - EMERGENCY DEPARTMENT LOW ACUITY EXPANSION

	APPROVED PLANNING 9/25/2024	APPROVED DESIGN 4/23/2025	PROPOSED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 400,000	\$ 475,000	\$ 600,000
DESIGN SERVICES	99,000	99,000	99,000
TELECOMMUNICATIONS	30,000	30,000	30,000
FURNITURE, FIXTURES AND EQUIPMENT	520,000	520,000	450,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	1,000	1,000	1,000
SUBTOTAL	\$ 1,050,000	\$ 1,125,000	\$ 1,180,000
PROJECT CONTINGENCY	210,000	225,000	170,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,260,000</u>	<u>\$ 1,350,000</u>	<u>\$ 1,350,000</u>
<u>SOURCE(S) OF FUNDING*</u>			
UCONN HEALTH CAPITAL FUNDS	\$ 1,260,000	\$ 1,350,000	\$ 1,350,000
TOTAL BUDGETED FUNDING	<u>\$ 1,260,000</u>	<u>\$ 1,350,000</u>	<u>\$ 1,350,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health Emergency Department
Low Acuity Expansion
Project Budget (Final)
June 25, 2025



Low Acuity Treatment Area Example Concept

ATTACHMENT 15

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health BB013 Animal Research MRI Renovation
(Final: \$620,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$620,000 as detailed in the attached project budget for the UConn Health BB013 Animal Research MRI Renovation Project.

RESOLUTION:

"Be it resolved that the Board of Trustees approve of the use of \$620,000 from UConn Health Animal Research IDC Capital and School of Medicine Operating Funds for the UConn Health BB013 Animal Research MRI Renovation Project."

BACKGROUND:

This project aligns with UConn's 2024-2034 Strategic Plan because it will contribute to Excellence in Research, Innovation, and Engagement by renovating laboratory space for a new research MRI specifically designed for small animals such as mice and rats. The actual MRI equipment which was part of an offer agreement with a major doctor/researcher, has already been purchased and is not included in the project budget.

The Final Budget is attached for your consideration. The Final Budget is based on actual bids received. This Final Budget was approved by the UConn Health Board of Directors at their meeting on June 9, 2025.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN HEALTH - BB013 ANIMAL RESEARCH MRI RENOVATION

	APPROVED PLANNING 9/25/2024	APPROVED DESIGN 4/23/2025	PROPOSED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ 350,000	\$ 410,000	\$ 410,000
DESIGN SERVICES	80,000	85,000	85,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	2,033,000	40,000	40,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	7,000	7,000	7,000
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	-	-	-
SUBTOTAL	\$ 2,470,000	\$ 542,000	\$ 542,000
PROJECT CONTINGENCY	100,000	78,000	78,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 2,570,000</u>	<u>\$ 620,000</u>	<u>\$ 620,000</u>
<u>SOURCE(S) OF FUNDING*</u>			
FY 23 STATE GO BOND FUNDS (EQUIPMENT)	\$ 1,000,000	\$ -	\$ -
UCONN HEALTH RESEARCH IDC CAPITAL	1,070,000	500,000	500,000
UCONN HEALTH SCHOOL OF MEDICINE OPERATING	500,000	120,000	120,000
TOTAL BUDGETED FUNDING	<u>\$ 2,570,000</u>	<u>\$ 620,000</u>	<u>\$ 620,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UConn HEALTH/IMPROVEMENTS
UConn Health BB013 Animal Research MRI Renovation
Project Budget (Final)
June 25, 2025



Example Research MRI Unit

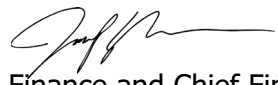
ATTACHMENT 16

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Psychiatry Seclusion Suite & Nurse Station Security Renovation (Revised Final: \$1,470,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$1,470,000 for the UConn Health Psychiatry Seclusion Suite & Nurse Station Security Renovation.

RESOLUTION:

"Be it resolved that the Board of Trustees approve of the use of \$1,470,000 from UConn Health Capital for the UConn Health Psychiatry Seclusion Suite & Nurse Station Security Renovation."

BACKGROUND:

This project aligns with UConn's 2024-2034 Strategic Plan because it will promote and improve the Wellness of People and Planet. The Inpatient Psychiatry unit located on the 1st floor of the Connecticut Tower sometimes has to deal with violent and disruptive psychiatric patients. This project will renovate portions of the unit to create a Seclusion suite to allow for the secure separation of potentially violent individuals from the patient population and install security barriers at the existing Nurses Station to protect both patients and staff.

The current estimated cost to complete the project exceeds the previously approved budget by \$160,000. The projected overage is attributed to the cost to hire 3 security guards, as required per CT Department of Health for patient protection, while a failing reheat coil is replaced that was discovered during the final testing and balancing of the HVAC system.

The Revised Final Budget is attached for your consideration. This Revised Final Budget was approved by the UConn Health Board of Directors at their meeting on June 9, 2025.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

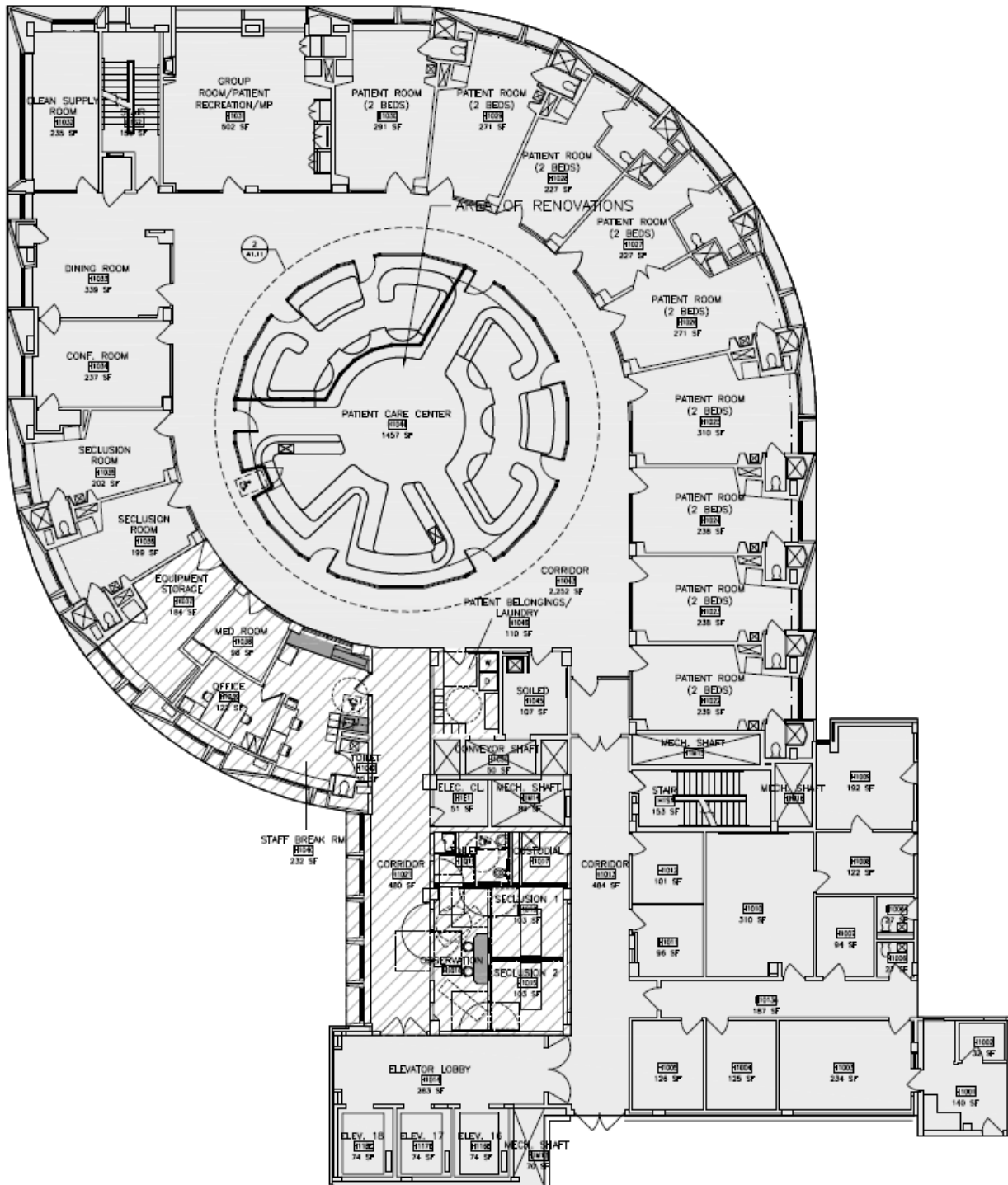
TYPE BUDGET: **REVISED FINAL**

PROJECT NAME: **UConn Health - Psychiatry Seclusion Suite & Nurse Station Security Renovation**

	APPROVED DESIGN 6/29/2022	APPROVED FINAL 9/28/2022	APPROVED REVISED FINAL 12/7/2022	APPROVED REVISED FINAL 9/25/2024	PROPOSED REVISED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>					
CONSTRUCTION	\$ 506,000	\$ 697,000	\$ 936,000	\$ 1,028,500	\$ 994,500
DESIGN SERVICES	66,000	50,000	50,000	80,000	89,000
TELECOMMUNICATIONS	25,000	49,000	55,000	64,000	64,000
FURNITURE, FIXTURES AND EQUIPMENT	21,000	22,000	22,000	22,000	47,500
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-	-	-
ART	3,000	-	-	-	-
RELOCATION	18,000	3,000	3,000	3,000	-
ENVIRONMENTAL	-	28,000	3,000	28,000	5,000
INSURANCE AND LEGAL	19,000	-	-	-	-
MISCELLANEOUS		19,000	19,000	74,000	240,000
SUBTOTAL	\$ 658,000	\$ 868,000	\$ 1,088,000	\$ 1,299,500	\$ 1,440,000
PROJECT CONTINGENCY	132,000	174,000	109,000	10,500	30,000
TOTAL BUDGETED EXPENDITURES	\$ 790,000	\$ 1,042,000	\$ 1,197,000	\$ 1,310,000	\$ 1,470,000
<u>SOURCE(S) OF FUNDING*</u>					
UConn Health Capital Funds	\$ 790,000	\$ 1,042,000	\$ 1,197,000	\$ 1,310,000	\$ 1,470,000
TOTAL BUDGETED FUNDING	\$ 790,000	\$ 1,042,000	\$ 1,197,000	\$ 1,310,000	\$ 1,470,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

June 25, 2025



Conceptual Floor Plan

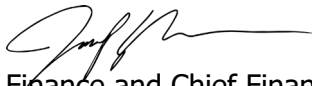
ATTACHMENT 17

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Main Liquid Oxygen Tank Replacement
(Final: \$925,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$925,000 for the UConn Health Main Liquid Oxygen Tank Replacement project.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$925,000 from General Obligation DM Bond funds for the Main Liquid Oxygen Tank Replacement project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids received have been evaluated for conformance with the project scope and budget."

BACKGROUND:

A new vendor has been contracted to provide the liquid oxygen tank system which serves UConn Health John Dempsey Hospital and associated clinics recently expired. In order to maintain Farmington as part of our Seven World-Class Campuses, One Flagship University and improve the Wellness of People and Planet; the existing liquid oxygen tank system will be replaced with a new 6,000 gallon system. Currently, oxygen is being supplied to the hospital via a temporary system which is a patient safety concern.

The Final Budget is attached for your consideration. The Final Budget is based on actual bids received. This Final Budget was approved by the UConn Health Board of Directors at their meeting on June 9, 2025.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

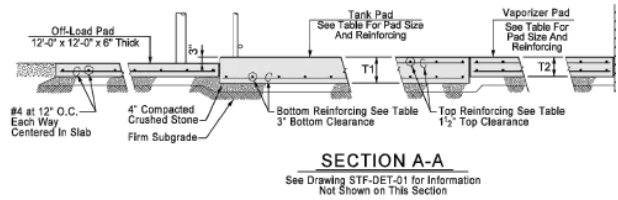
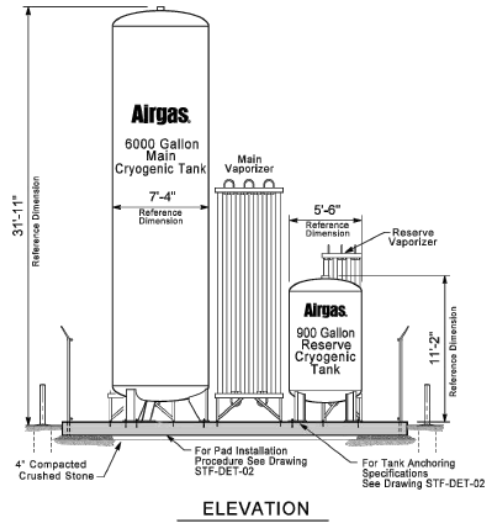
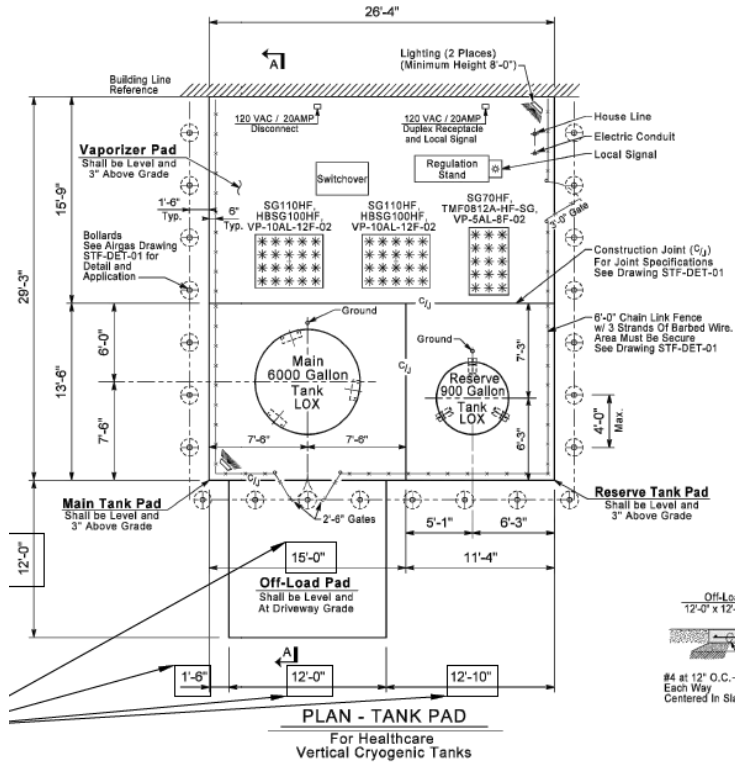
TYPE BUDGET: FINAL

**PROJECT NAME: UCONN HEALTH - MAIN LIQUID OXYGEN TANK
REPLACEMENT**

	PROPOSED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>	
CONSTRUCTION	\$ 750,000
DESIGN SERVICES	65,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	5,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	5,000
SUBTOTAL	\$ 825,000
PROJECT CONTINGENCY	100,000
TOTAL BUDGETED EXPENDITURES	<u><u>\$ 925,000</u></u>
<u>SOURCE(S) OF FUNDING*</u>	
FY 23 GO BOND FUNDS	\$ 925,000
TOTAL BUDGETED FUNDING	<u><u>\$ 925,000</u></u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
 Main Liquid Oxygen Tank Replacement
 Project Budget (Final)
 June 25, 2025



Liquid Oxygen Tank System Schematic

ATTACHMENT 18

June 25, 2025

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Recommendations for Designation as Board of Trustees Distinguished Professors,
Academic Year 2024-2025

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Dr. Peter C. Albertsen (Division of Urology), Professor Anne C. Dailey (School of Law), and Dr. Luyi Sun (Department of Chemical & Biomolecular Engineering).

BACKGROUND:

On November 10, 1998, the Board of Trustees voted to establish the title of Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the By-Laws of the University of Connecticut, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the three individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

Dr. Peter C. Albertsen

Dr. Peter C. Albertsen is a globally respected urologic oncologist whose research and leadership have transformed the understanding and management of prostate cancer. A faculty member at UConn Health since 1987, Dr. Albertsen's work has shaped national and international treatment guidelines and spared tens of thousands of men from unnecessary surgery and radiation.

He earned his undergraduate degree in biochemistry from Princeton University and his medical degree from Columbia University. He completed his surgical residency at Harvard and his urology training at the Brady Urological Institute at Johns Hopkins. He also holds a master's degree in medical administration and preventive medicine from the University of Wisconsin.

Dr. Albertsen was among the first to use population-based data to challenge prevailing assumptions about PSA screening and prostate cancer aggressiveness. His landmark publications, including a seminal article in *Journal of the American Medical Association (JAMA)*, demonstrated that many prostate cancers grow slowly and do not require immediate treatment. These findings helped launch a global shift toward active surveillance, now a widely accepted standard of care. He has played key leadership roles in major trials in both the U.S. and the U.K., including serving as Chair of the Cause of Death Committee for the PLCO and ProtecT trials.

He has authored more than 300 peer-reviewed articles and editorials, with over 17,000 citations and an h-index of 63, placing him in the top tier of urologic researchers. His research has been published in *The New England Journal of Medicine*, *JAMA*, and other leading journals, and has been supported by more than \$5 million in external funding.

As UConn's Urology Residency Program Director for over 30 years, Dr. Albertsen has trained more than 60 residents, many of whom have gone on to leadership roles in academic medicine and beyond. He is widely praised for his dynamic and discussion-based teaching style and for his long-standing mentorship of medical students and residents.

Dr. Albertsen has served in numerous leadership roles at UConn Health and nationally, including as Associate Dean for Clinical Research and Planning, Division Chief of Urology, and Trustee of the American Board of Urology. He continues to provide exceptional patient care, including to underserved and correctional populations, and is often sought out by colleagues for their own care.

His many honors include the Eugene Fuller Triennial Prostate Award and the Distinguished Contribution Award from the American Urological Association, as well as honorary membership in both the AUA and the German Urological Association. Dr. Albertsen's research, clinical care, and mentorship have had an enduring impact on the field of urology and the lives of countless patients, making him a most deserving recipient of the University of Connecticut's highest faculty honor.

Professor Anne C. Dailey

Professor Anne Dailey, Associate Dean for Faculty Development and Intellectual Life and the Ellen Ash Peters Professor of Law at the University of Connecticut School of Law, is a nationally recognized scholar whose work bridges constitutional law, family law, and psychoanalytic theory. A member of the UConn faculty since 1988, Professor Dailey has made transformative contributions to legal scholarship, education, and public service, with far-reaching influence across disciplines and institutions.

She earned a Bachelor of Arts in English from Yale University and a Juris Doctor from Harvard Law School, where she served as an Articles Editor of the Harvard Law Review. Following law school, she completed a judicial clerkship with Judge José Cabranes of the U.S. District Court for the District of Connecticut. She has since become a pioneering figure in integrating psychoanalytic theory into legal analysis, most notably through her acclaimed book *Law and the Unconscious: A Psychoanalytic Perspective*, published by Yale University Press. This work received three prestigious honors: the Book Prize from the American Psychoanalytic Association, the Book Prize from the American Board and Academy of Psychoanalysis, and the Faculty Book Award from the UConn Humanities Institute.

Professor Dailey's scholarship is widely cited and influential. Her co-authored articles *The New Law of the Child* and *The New Parental Rights*, and her sole authored *In Loco Reipublicae*, all published in top-tier law journals, have shaped the national discourse on children's constitutional rights, state responsibility for families, and evolving family structures. She is a member of the American Law Institute and the Association for the Study of Law, Culture and Humanities.

She has held visiting faculty appointments at Yale, Harvard, and Penn Law Schools and has been named an Erikson Scholar at the Austen Riggs Center and a Fellow at the Katz Center for Advanced Judaic Studies at the University of Pennsylvania.

Professor Dailey is a dedicated and inspiring teacher of family law and constitutional law. She is also a deeply valued mentor to students and junior faculty, and her efforts have helped elevate the national profile of the UConn School of Law.

Professor Dailey's scholarly distinction, interdisciplinary innovation, and enduring contributions to teaching and service make her a truly worthy recipient of the University of Connecticut's highest faculty honor.

Dr. Luyi Sun

Dr. Luyi Sun is a globally recognized materials scientist and professor in the Department of Chemical and Biomolecular Engineering at the University of Connecticut, where he also holds a joint appointment in the Institute of Materials Science. Since joining UConn in 2013, he has led an internationally renowned research program focused on nanostructured hybrid materials for functional, environmental, and energy-related applications.

Dr. Sun's prolific contributions to science are evidenced by over 310 peer-reviewed journal articles in high-impact publications such as *Nature Communications*, *Science Advances*, *Proceedings of the National Academy of Sciences*, and *Advanced Materials*. His work has earned more than 23,000 citations and an h-index of 83, and has been highlighted by *MIT Technology Review*, *Smithsonian Magazine*, and *New Scientist*, among many others. He is the inventor or co-inventor of 28 issued U.S. patents and more than 50 corresponding foreign patents, seven of which have been commercialized/licensed. The materials and devices invented in his lab have been featured in global exhibitions, including at the Material ConneXion Library in New York and the Penn Museum.

Dr. Sun is a Fellow of the National Academy of Inventors, the Royal Society of Chemistry, and the Society of Plastics Engineers. He has also been recognized with the Morand Lambla Award from the Polymer Processing Society and was elected to the Connecticut Academy of Science and Engineering.

A dedicated educator and mentor, Dr. Sun has taught rigorous and interdisciplinary courses such as Thermodynamics and Polymer Processing, and has advised dozens of Ph.D. students, M.S. students and postdoctoral researchers, and more than 160 undergraduate research assistants. His students have gone on to successful careers in academia and industry, and many have received prestigious fellowships and national honors.

Dr. Sun has also demonstrated sustained leadership in academic and professional service. As Director of the UConn Polymer Program from 2018 to 2021, he expanded faculty engagement and strengthened the program's profile. He has held leadership roles in national scientific organizations and organized more than 80 symposia around the world. His editorial work includes serving as Associate Editor of *Advanced Composites and Hybrid Materials*.

Due to his outstanding record of research innovation, teaching, mentorship, and professional service, Dr. Luyi Sun strongly merits recognition as a Board of Trustees Distinguished Professor.

ATTACHMENT 19

UNIVERSITY OF CONNECTICUT

TENURE RECOMMENDATION

PRESENTED TO THE BOARD OF TRUSTEES – June 25, 2025

NAME

DEPARTMENT

SCHOOL/COLLEGE

Effective August 23, 2025

TENURE AS ASSOCIATE PROFESSOR

Mishra, Neha

Pathobiology & Veterinary Science

Agriculture, Health & Natural Resources

ATTACHMENT 20

University of Connecticut Department of Human Resources

Emeritus Retirees

June 25, 2025 Board of Trustees Meeting

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>RETIRED</u>	<u>HIRED</u>
<i>Faculty meeting “automatic” criteria for emeritus status</i>					
Baldwin, Peter	Professor	History	College of Liberal Arts & Sciences	6/1/2025	8/23/2001
Boster, James S.	Professor	Anthropology	College of Liberal Arts & Sciences	6/1/2025	8/23/1997
Bouvier, Marlene	Associate Professor	Pharmaceutical Science	School of Pharmacy	6/1/2025	8/23/1997
Heller, Nina	Professor	Social Work	School of Social Work	6/1/2025	9/1/1994
Miceli, Thomas	Professor	Economics	College of Liberal Arts & Sciences	6/1/2025	9/1/1987
O'Neil, James	Professor	Educational Psychology	Neag School of Education	6/1/2025	9/1/1982

ATTACHMENT 21

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
June 25, 2025 Board of Trustees Meeting

SABBATICAL MODIFICATIONS/POSTPONEMENTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Bolnick, Daniel	Professor	Ecology and Evolutionary Bio	Liberal Arts and Sciences	Half Change to Full	AY 2025-2026 Spring 2026
Campbell, David	Department Head & Professor	Ed Curriculum and Instruction	Education	Full Change to Full	Spring 2025 Spring 2027
Hock, Stefan J.	Assoc Professor	Marketing	Business	Full Change to Full	Fall 2025 Fall 2026
Lerman, Alina	Assoc Professor	Accounting	Business	Full Change to Full	Fall 2025 Spring 2026
Pierce, David M	Professor	Mechanical Aerospace Mfg Engr	Engineering	Half Change to Full	CY 2025 Spring 2025
Seth, Anji	Department Head & Professor	Geography	Liberal Arts and Sciences	Half Change to Full	AY2025-2026 Fall 2025
Foote, Kenneth	Professor	Geography	Liberal Arts and Sciences	Full Change to Full	Spring 2022 Spring 2026
Healey, Mark	Department Head & Assoc Professor	History	Liberal Arts and Sciences	Full Change to Full	Fall 2025 Fall 2026
Purkayastha, Bandana	Assoc Dean & Professor	Sociology	Liberal Arts and Sciences	Full Change to Full	Fall 2025 Fall 2026
Poludnenko, Oleksiy	Assoc Professor	Mechanical Aerospace Mg Engr	Engineering	Half Change to Full	AY 2025-2026 Fall 2025
Somerset, Fiona	Professor & Director	English	Liberal Arts and Sciences	Full Change to Full	Spring 2026 Fall 2026

SABBATICAL LEAVE REQUESTS

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>	<u>PAY</u>	<u>PERIOD</u>
Angeles-Boza, Alfredo	Assoc Professor	Chemistry	Liberal Arts and Sciences	Full	Fall 2025
Chen, Xiang	Assoc Professor	Geography	Liberal Arts and Sciences	Full	Fall 2025
Costigliola, Frank	Distinguished Professor	History	Liberal Arts and Sciences	Full	Fall 2026
Elliott, John	Professor	Accounting	Business	Half	AY 2025-2026
Herrnson, Paul	Professor	Political Science	Liberal Arts and Sciences	Full	Fall 2026
Liang, Chen	Asst Professor	Operations and Info Management	Business	Half	AY 2025-2026
Mathieu, John	Distinguished Professor	Boucher Mgmt and Entrprnrshp	Business	Full	Fall 2025
Ouimet, William	Assoc Professor	Earth Sciences	Liberal Arts and Sciences	Full	Spring 2026
Page, Tyler	Asst Professor	Communication	Liberal Arts and Sciences	Full	Fall 2026
Scheinfeldt, Joseph	Professor	Digital Media & Design	Fine Arts	Full	Spring 2026
Yang, Yanhua Sunny	Assoc Professor	Accounting	Business	Full	Spring 2026
Zatsepine, Victor	Assoc Professor	History	Liberal Arts and Sciences	Full	Spring 2026

ATTACHMENT 22

June 25, 2025

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Graduate Certificate in Insurance Law

RECOMMENDATION:

That the Board of Trustees approve the Graduate Certificate in Insurance Law in The Graduate School.

BACKGROUND:

The insurance industry is a key area of the Connecticut workforce, with Hartford having the title of “Insurance Capital of the World.” The industry has around 60,200 skilled employees, with more than 2,860 insurance-related businesses in the state. Necessary skills in the industry related to legal issues are projected to rapidly grow by over 27% in the next two years. Given the importance of this sector to the state economy, the University of Connecticut School of Law currently offers the only LLM degree (Master of Laws) in Insurance Law in the United States.

The LLM program was developed in the 1990s, during a time when insurance companies tended to sell multiple “lines” of insurance (e.g., property, casualty, life, and health insurance). Since then, insurance companies—and, by extension, insurance lawyers—have increasingly specialized. Most insurance lawyers now focus on one line of insurance, or one type of legal activity, such as insurance regulation, litigation, or dealmaking. This has reduced student demand for the generalist LLM. However, market research suggests sizeable demand among domestic insurance lawyers for a version of LLM in Insurance Law that is faster and more focused. The Graduate Certificate in Insurance Law will meet this demand, allowing students to select from bundles of subject-specific courses to create more focused plans of study to support their career development needs.

The proposed Graduate Certificate in Insurance Law is intended as a stand-alone program for qualified lawyers who hold a JD degree and who are seeking a specialist post-JD education in a tailored area of insurance law. This is a graduate certificate, offered through The Graduate School, with all courses taught in the School of Law. The program will require 12 credits, consisting of a 3-credit Principles of Insurance Law course and 9 or more elective credits in specialist areas of insurance law.

Projected enrollment is seven students in the first year, increasing to 10-12 net new students per year by the third year of operation. All required courses are already offered online for the existing LLM and JD programs, with available capacity for the additional Graduate Certificate students. The program is expected to generate revenue, which will increase as enrollment increases.

Insurance Law

Graduate Certificate

New Program Proposal, June 2025

School of Law / Graduate School

CIP Code: 22.0221 (Insurance Law)

Planned effective term: Fall 2025

Introduction

The proposed Graduate Certificate in Insurance Law is intended as a stand-alone program for qualified lawyers who hold a JD degree and who are seeking a specialist post-JD education in a tailored area of insurance law. This is a graduate certificate, offered through The Graduate School, with all courses taught in the School of Law. The program will require 12 credits, consisting of a 3-credit Principles of Insurance Law course and 9 or more elective credits in specialist areas of insurance law.

The insurance industry is a key area of the Connecticut workforce, with Hartford having the title of “Insurance Capital of the World.” The industry has around 60,200 skilled employees, with more than 2,860 insurance-related businesses in the state.¹ Necessary skills in the industry related to legal issues are projected to rapidly grow by over 27% in the next two years.² As appropriate given the importance of this sector to the State economy, the University of Connecticut School of Law currently offers the only LLM degree (Master of Laws) in Insurance Law in the United States.

The LLM in Insurance Law attracts domestic students who largely enroll as part-time distance education learners, as well as international students who are required to enroll as full-time in-person learners. Full-time students complete the degree in approximately one year, whereas dedicated part-time students take about four years. The LLM program requires 24 credits to graduate and provides a broad and deep education in many different subject areas of insurance law (e.g., insurance regulation, health insurance, life insurance, employee benefits, property insurance, liability insurance). The LLM program was developed in the 1990s, during a time when insurance companies tended to sell multiple “lines” of insurance (e.g., property, casualty, life, and health insurance). Since then, insurance companies—and, by extension, insurance lawyers—have increasingly specialized. Most insurance lawyers now focus on one line of insurance, or one type of legal activity, such as insurance regulation, litigation, or dealmaking. This has reduced student demand for the generalist LLM. However, market research conducted by the School

¹ AdvanceCT: Key Industries. <https://www.advancect.org/key-industries/insurance> Accessed June 2, 2025.

² Lightcast occupation overview, insurance-related employment, June 2025.

of Law suggests that there is a sizeable demand among domestic insurance lawyers for a version of LLM in Insurance Law that is faster and more focused.

The proposed Graduate Certificate in Insurance Law will allow students to select from bundles of subject-specific courses to create more focused plans of study to support their career development needs. This program is designed to appeal to the following groups of prospective students:

- Lawyers who have little prior experience with insurance law, but who have recently been hired to do insurance work. Research indicates that insurance companies, law firms, and state insurance regulators are willing to pay for these newly hired lawyers to get basic training in a sub-specialty of insurance law.
- Attorneys at insurance companies aiming to make a lateral move or be promoted.
- Attorneys interested in “dipping their toe” into the full LLM who are not ready for the time commitment required for the LLM program.

There are no other graduate certificates that focus on insurance law. The University of Connecticut has a Certificate in Corporate and Regulatory Compliance, jointly offered by the School of Law and the Business Law and Ethics group within the Marketing Department in the School of Business. Some other US law schools offer certificates in areas such as Business Law, Privacy Law, or Cybersecurity, but no other school offers a certificate in insurance law.

The graduate certificate will require 12 credits, selected from courses currently offered for the LLM in Insurance Law. To meet specialist interests, the insurance law courses will be bundled into concentrations, including Property and Casualty Insurance, Life and Health Insurance, Insurance Regulation, and Insurance Innovation. Those bundles will be subject to revision, based on analysis of student demand over time. Students will not be required to select only courses from within one bundle. As with the LLM and the JD Certificate in Insurance Law,³ all graduate students will be required to complete the introductory 3-credit “Principles of Insurance Law” class. However, under defined set of circumstances, the Director of the Certificate would have the power to waive this requirement.

The proposed new Graduate Certificate in Insurance Law will be targeted primarily at domestic lawyers who meet the same admissions requirements as the existing Insurance Law LLM program. Most graduate certificate students are expected to be part-time distance learners.

The Bylaws of the University of Connecticut specify that The Graduate School reviews all post-baccalaureate educational programs and curricula, with a narrow range of exceptions, including those in Law. The JD, SJD, and LLM programs are under the governance of the School of Law and are awarded by the School of Law. As this credential is a graduate certificate, it has been approved through The Graduate School, the certificate will be awarded by The Graduate School, and prospective students will apply to the program through The Graduate School.

³ JD certificates are graduate certificates offered within the School of Law as a concentration within the JD degree.

Program Information

Location(s)/Modalities

School of Law (Hartford)

Online and in-person

Students may also pursue the Certificate through a combination of online and in-person classes based on course availability and student preference. The program modality for a student who begins the certificate in-person would shift to online once the student takes more than six credits (more than 50% of the required credits) online.

Professional Licensure/Certification

This program does not lead to professional licensure or certification.

Catalog Description

This certificate is designed for lawyers interested in a foundational understanding of legal and regulatory issues relevant to the insurance industry and the opportunity to specialize in a sub-field of insurance law. Students must complete 12 credits.

Plan of Study

Required Course (3 credits):

- LAW 7675 Principles of Insurance

Select 9 credits from the following:

- LAW 7324: Law, Regulation and Financing of Insurance Technology (InsurTech) Start-Ups
- LAW 7325: Mass Torts and Insurance
- LAW 7340: Cyber Insurance
- LAW 7354: Insurance, Current Issues In
- LAW 7357: Insurance and Discrimination
- LAW 7382: Captive Insurance Law
- LAW 7563: Life Insurance
- LAW 7673: Alternative Risk Management
- LAW 7686: Liability Insurance
- LAW 7691: Health Care Financing
- LAW 7711: Healthcare Liability Insurance
- LAW 7717: Insurance Regulation
- LAW 7771: Islamic Finance Investment Law
- LAW 7774: Surety Law

- LAW 7781: Insurance Litigation
- LAW 7786: Law of Marine Insurance
- LAW 7787: Principles of Reinsurance
- LAW 7790: Property Insurance
- LAW 7799: Insurance Solvency
- LAW 7928: Employee Benefits/ERISA

Program Learning Objectives

Upon completion of this program, students will be able to:

1. Explain the legal and regulatory issues relevant to the insurance industry, including the interpretation of insurance contracts, the regulation of insurance coverage, the special rules governing litigation of insurance disputes, special tax and insolvency issues relevant to insurance companies, and special legal problems posed by particular types of insurance.
2. Identify, analyze, and develop legal recommendations based on the substantive law and legal/regulatory theories central to insurance.
3. Demonstrate a significantly deepened knowledge in a few specific sub-fields, chosen by the student.

Curricular Map

Course Number	Name	Instructor(s)	Instructor Status	Credits	Frequency	Learning Objectives Furthered by this Course
7673	Alternative Risk Management	Simpson,Douglas K.	Adjunct	3	Every year	2,3
7382	Captive Insurance Law	England,Phillip C.	Adjunct	3	Every year	2,3
7340	Cyber Insurance	Foggan,Laura A.; Zeilman,Timothy	Adjunct	2	Every year	2,3
7691	Health Care Financing	Cogan,John	Full-Time	3	Every year	2,3
7711	Healthcare Liability Insurance	Stein,Joshua O.	Adjunct	3	Every year	2,3
7357	Insurance and Discrimination	Yass,Robert	Adjunct	2/3	Every year	2,3
7781	Insurance Litigation	Dwyer Jr,Gerald P.	Adjunct	2/3	Every year	2,3
7717	Insurance Regulation	Kochenburger,Peter R.; Salve,Patrick J.; Dzurec,Kathryn	Adjunct	3	Every year	2,3
7799	Insurance Solvency	Goddard,William D.	Adjunct	3	Every year	2,3

7354	Insurance, Current Issues In	Siegelman,Peter; Pantin,Travis L.	Full-Time	1	Every semester	2
7771	Islamic Finance Investment Law	Moghl,Umar F.	Adjunct	3	Every year	2,3
7786	Law of Marine Insurance	Eisele,Michael J.; Harris,Terence	Adjunct	3	Every year	2,3
7324	Law, Regulation and Financing of Insurance Technology (InsurTech) Start-Ups	Goddard,William D.	Adjunct	3	Every year	2,3
7686	Liability Insurance	Foggan,Laura A.; Masters,Lorelie Sue	Adjunct	3	Every year	2,3
7563	Life Insurance, Long-Term Care Insurance, and Annuities	Welsh,Walter C.	Adjunct	3	Every year	2,3
7325	Mass Torts and Insurance	John Rogers	Adjunct	3	Every year	2,3
7675	Principles of Insurance	Pantin,Travis L.; Anderson, Jill; Robinson, David	Full-Time; Full-Time; Adjunct	3	Every semester	1,2
7787	Principles of Reinsurance	Fischer,Scott	Adjunct	3	Every year	2,3
7790	Property Insurance	Goldman,Stephen	Adjunct	3	Every year	2,3
7774	Surety Law	Horowitz,Matthew	Adjunct	3	Every year	2,3
7928	Employee Benefits/ERISA	Haffner,Ali	Adjunct	3	Every year	2,3

Assessment Plan

The American Bar Association (ABA) does not accredit non-JD degrees, such as the LL.M. As a result, this program requires evaluation and assessment outside of the full accreditation cycle of the ABA. However, the individual courses within the certificate are also part of the JD degree and are assessed in the same way that the Law School assesses all courses: periodic class visits, annual instructor trainings, instructor self-assessment, and student experience of teaching surveys. These courses, as part of the JD degree, are assessed by the ABA as part of the Law School's accreditation cycle.

As a non-JD program in law at UConn, the program itself will be assessed jointly by the School's Learning Assessment Committee, the Graduate and International Programs Committee, and the Insurance Law Center. The Learning Assessment Committee assesses all institution- and program-level learning objectives on a ten-year cycle. This committee is planning a comprehensive assessment of all non-JD programs for the coming year, with follow-up assessments every five years. This Certificate Program will be evaluated on course enrollment, student success within the program, and student outcomes. The Graduate and International Committee coordinates all non-JD programs at UConn School of Law, and each program has a faculty director responsible for academic oversight and the review and approve

curricular requests. This Committee engages in regular evaluation of the success of students in UConn's non-JD programs. The Law School's Insurance Law Center will be responsible for the academic development of the program, subject to directions from the faculty as a whole and the Dean. The Insurance Law Center surveys students completing its insurance law programs indicating their opinion of the program, any changes in employment due to the program, and teaching and programmatic evaluations.

Graduate Outcomes

Post-graduation, students are likely to seek jobs at law firms that practice insurance law, insurance companies, insurance brokers/agents, and insurance regulatory bodies (e.g., the Connecticut Insurance Department). For students who are already employed in such areas, we expect that the Certificate will increase their success in seeking promotions or lateral moves.

SOC codes:

- 23-1011 (Lawyers)

NAICS codes:

- 541110 – Law Firm (private practice)
- 524113 – Direct Life Insurance Carriers
- 524114 – Direct Health & Medical Insurance Carriers
- 524126 – Direct Property & Casualty Insurance Carriers
- 524130 – Reinsurance Carriers
- 926150 – Insurance Regulatory Agency
- 524210 – Insurance Agencies and Brokerages

Projected Enrollment, Resources, and Evaluation

Staffing

The Director of the Insurance Law Center, Professor Travis Pantin, will direct the program and serve as the advisor for students enrolled in the program. Classes will be taught by full-time faculty (Professors Travis Pantin, Jill Anderson, John Cogan, Peter Siegelman) as well as high-quality nationally recognized experts in insurance law teaching as adjunct instructors.

The Graduate School will receive applications and will submit applications meeting UConn School of Law minimum requirements to the Director of Graduate Programs and Admissions, Yan Hong. Ms. Hong and the Director of the Insurance Law Center, Professor Travis Pantin, will be responsible for admissions decisions. Professor Pantin will be responsible for advising students and providing oversight and direction for the program. Professor Pantin would initiate programmatic changes together with the Associate Dean for Academic Affairs. Such changes would be reviewed and approved by the Graduate and International Programs Committee and the Law Faculty before being submitted to the Graduate School.

Students

Projected enrollment is seven students in the first year, increasing that admission number each year by two students. Students are expected to take four semesters to complete the certificate, with the first students graduating in Spring 2027. Initial graduation numbers may be in the 3-5 student range but will increase to 10-12 students per year.

	AY2025/26	AY2026/27	AY2027/28	AY2028/29	AY2029/30	AY2030/31
New students enrolled this year	7	9	11	12	12	12
Total students enrolled	7	16	24	28	30	32
Anticipated completions	0	0	3	8	10	10

Applicants must possess either: (1) a law degree, whether a JD from an accredited U.S. law school or a law degree from a school outside the United States, or (2) an advanced degree in a related field with demonstrated significant relevant professional achievement. International applicants must also meet the English proficiency requirements. Students who have already received the JD Certificate in Insurance Law; the Insurance Law LLM degree; or the Insurance Law LLM Concentration in Insurance are not eligible to apply for the Graduate Certificate. Students with JD degrees from UConn Law who did not receive the JD Certificate in Insurance Law, and students with a non-insurance LLM from UConn Law who did not get the LLM Concentration in Insurance Law, will be eligible for advanced standing in the program.

Students will be admitted on a rolling basis.

Budget Projections

The courses that will be offered through this proposed Graduate Certificate are already offered to support the LLM and JD programs. All of these courses are simultaneously offered in-person and in a fully online format (i.e., all of the courses are open to distance learners). There are seats available in all of these courses, so the certificate will therefore not place any additional burden on the teaching resources of the law school. There is also relatively little risk of overcrowding in the courses that would qualify for the Graduate Certificate.

The general administrative infrastructure is already in place to support the Graduate Certificate program. Initial additional costs for the program (marketing, administrative support, etc.) will be funded from the Insurance Law LLM and the graduate and international programs L4 revenues. In year three, after the program begins generating revenue sufficient to support additional adjunct fees, we anticipate adding two classes taught by adjunct instructors. These courses, like all UConn insurance law courses, will be taught both online and in-person simultaneously; no additional fees for course development would be required.

The budget projection for the program is based on the number of additional new students the graduate certificate will recruit over and above those who would be recruited for the LLM. Budgeting for the program

to cover a portion of the program director salary, part-time administrative support, advertising, and other administrative costs, the program is projected to generate revenue for the School of Law within the first year. After central cost recovery, the program would generate modest revenue within the first year of operation. By year four, if the program meets recruitment targets of 12 net new students each year, two additional adjuncts will be hired to expand course offerings, and the program will generate significant revenue, which would be used to support other costs within the School of Law.

International Students

The program will not be able to support international students wishing to study in the US on a student visa. Online participation by international students is dependent on regulatory requirements within the country in which the student resides.

Library resources

This program will use resources already provided in support of the LLM in Insurance Law. UConn Law School has arguably the best insurance law library materials in the U.S., and among the best in the world. The library will continue to be a resource for incoming students in the program and faculty researching in this area. Currently, Law School L4 income supports the library's continuing commitment to its insurance law collection.

Program Evaluation

The Law School's Insurance Law Center will be responsible for the academic development of the program, subject to directions from the faculty as a whole and the Dean. The Graduate and International Programs Committee will play an important advising and coordinating role, as it does for all non-JD programs. This Certificate Program will be evaluated on course enrollment, student success in the program, and student outcomes.

Students completing the program will be asked to complete a survey indicating their opinion of the program, any changes in employment due to the program, and teaching and programmatic evaluations. Like all students at UConn School of Law, enrolled students will have the ability to complete course surveys at the end of each course.

This certificate program will also be evaluated by the Graduate and International Programs Committee, which coordinates all non-JD programs at UConn School of Law. Each program has a faculty director responsible for academic oversight and the review and approve curricular requests. Members of the International and Graduate Programs Office manage the program on a day-to-day level, including admissions, student services, and employment.

Should total enrollments fall below 3 students two years in a row, the school will conduct a low-completion program evaluation.

ATTACHMENT 23

June 25, 2025

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: JD Certificate in Health Law

RECOMMENDATION:

That the Board of Trustees approve the JD Certificate in Health Law in the School of Law.

BACKGROUND:

Health law is a rapidly growing field that involves a variety of diverse practice areas including insurance law, corporate healthcare, public health, administrative law, medical malpractice, and personal injury. Given this growth, highly trained healthcare lawyers are increasingly in demand. The Covid-19 pandemic illustrated the importance of health law, along with the need for skilled health lawyers within each of these practice areas. The complex and ever-changing nature of health law requires aspiring health lawyers to develop the tools necessary to take on the health law issues currently facing our world. Toward this goal, the School of Law proposes creating a JD graduate certificate in health law. Enabling student success in the field of health law is essential to powering a thriving Connecticut and promoting holistic student success, two central University priorities.

Within the School of Law, graduate certificates are used to structure formal specializations within the JD program. JD certificates are reported as graduate certificate completions, but as they are offered only to JD students, they are approved and awarded through the School of Law. The proposed certificate will be completed together with the JD and will not generate any additional revenue, nor incur any additional costs. The program will be offered in-person at the School of Law campus in Hartford.

The health law certificate program will provide JD students with the educational opportunities necessary to develop substantive knowledge in health law, as well as the tools necessary to thrive in diverse health law environments and practice areas. Creating a certificate at the School of Law would ensure UConn graduates are competitive when seeking employment in related fields. Outcomes and enrollment in the health law certificate will be evaluated to build out a more comprehensive program in this specialism, in the longer term leading to an online LLM in Health Law.

JD Certificate in Health Law

Graduate Certificate

New Program Proposal, May 2025

School of Law

CIP Code: 22.0208 (Health Law)

Planned effective term: Fall 2025

Introduction

Health law is a rapidly growing field that involves a variety of diverse practice areas including insurance law, corporate healthcare, public health, administrative law, and medical malpractice/personal injury. Given this growth, highly trained healthcare lawyers are increasingly in demand. The Covid-19 pandemic illustrated the importance of health law, along with the need for skilled health lawyers within each of these practice areas. The complex and ever-changing nature of health law requires aspiring health lawyers to develop the tools necessary to take on the health law issues currently facing our world. Toward this goal, the School of Law proposes creating a JD graduate certificate in health law. Enabling student success in the field of health law is essential to powering a thriving Connecticut and promoting holistic student success, two central University priorities.

Within the School of Law graduate certificates are used to structure formal specializations within the JD program. JD certificates are reported as graduate certificate completions, but as they are offered only to JD students, they are approved and awarded through the School of Law. This contrasts with graduate certificates that offered as standalone programs in the School of Law, which are approved and awarded by The Graduate School. The Certificate in Health Law will add to the School of Law's robust JD certificate offerings that include eight areas of specialism, including Corporate & Regulatory Compliance, Human Rights, and Energy and Environmental Law.

The health law certificate program will provide JD students with the educational opportunities necessary to develop substantive knowledge in health law, as well as the tools necessary to thrive in diverse health law environments and practice areas. The certificate will allow our students to more effectively market themselves as holding specialized knowledge and training in the field and will equip them with the skills necessary to serve employers and clients. The courses required for this certificate are already available in the JD curriculum. Completing the certificate will enable students to more effectively specialize in this area and communicate that expertise to external constituencies.

Several law schools in the northeast region offer JD students the ability to pursue a health law certificate or concentration: Northeastern University School of Law; Pace University Elisabeth Haub School of Law; Seton Hall Law School; University of Pittsburgh School of Law; Albany Law; Temple University Beasley School of Law; Cornell Law; BU Law School; Drexel Law School; Penn State Law; Suffolk University Law School. Creating a certificate at the Law School would ensure UConn graduates are competitive when seeking employment in related fields. Outcomes and enrollment in the health law certificate will be evaluated to build out a more comprehensive program in this specialization, in the longer term leading to an online LL.M. in Health Law.

Program Information

Location(s)/Modalities

School of Law (Hartford), in-person

Catalog Description

The Certificate in Health Law offers JD students an opportunity to develop specialized expertise in the rapidly evolving field of health law. Through a combination of foundational coursework and advanced electives, students gain a deep understanding of the legal frameworks governing health care delivery, public health, bioethics, and health policy. The program prepares students for careers in government, health care institutions, private practice, advocacy, and regulatory compliance.

The Certificate in Health Law requires successful completion of at least twelve credits of approved health law courses. It is recommended that students take the core course before or concurrently with the electives. Students will create an individualized curriculum of course work related to health law composed of one required course, 6-7 credits of health law electives, and 2-3 credits from health law adjacent fields.

Plan of Study

Required Course (3 Credits)

- LAW7865: Health Law

Health Law Courses (6 Credits)

- LAW7307: Clinic: Health Equity
- LAW7314: Healthcare Legal Operations
- LAW7315: Disparities to Access in Health Care
- LAW7344: Bioethics and the Law
- LAW7587: Ethics of Public Health
- LAW7592: Health and Human Rights

- LAW7600: Administrative Law
- LAW7691: Health Care Financing
- LAW7711: Healthcare Liability Insurance
- LAW7763: Mental Health Law
- LAW7804: Reproductive Technologies and the Law

Courses in Adjacent Fields (2-3 Credits)

- LAW7338: Role of In-House Counsel
- LAW7366: White Collar Crime
- LAW7406: Clinic: Disability Rights
- LAW7554: Compliance: The Legal Perspective
- LAW7556: Clinic: Elder Law
- LAW7605: Business Organizations
- LAW7675: Principles of Insurance
- LAW7739: Antitrust and Trade Regulation
- LAW7773: Employment Law
- LAW7901: Elder Law
- LAW7909: Legislative Process

Health law courses may be added to or removed from this list with the approval of the School of Law Associate Dean for Academic Affairs or her/his designee.

Program Learning Objectives

Upon successful completion of the JD Certificate in Health Law, students will be able to:

1. Demonstrate foundational knowledge of the core legal principles, ethical issues, and policy considerations governing the practice of health law and the regulatory environment in which healthcare providers and institutions operate.
2. Evaluate how health law shapes and responds to social, economic, and ethical challenges within the healthcare system, with an emphasis on disparities in access, human rights, mental health, reproductive technologies, and public health.
3. Analyze and apply legal principles governing healthcare financing, liability, and operations in healthcare business and insurance systems.
4. Communicate effectively about complex legal problems involving emerging issues in health law and policy.
5. Integrate concepts from adjacent legal fields to inform their understanding of health law and apply interdisciplinary approaches to analyze and resolve complex health law problems.

Curricular Map

Course	Instructor	Instructor Status	Credits	Frequency	Learning Objectives
LAW7865: Health Law	Cogan	FT	3	Every year	1, 2, 3, 4
LAW7307: Clinic: Health Equity	Sicklick	FT	4	Every year	1, 2, 3, 4, 5
LAW7314: Healthcare Legal Operations	Vargas	Adjunct	3	Every year	1, 2, 3, 4, 5
LAW7315: Disparities to Access in Health Care	Cogan	FT	3	Every 2 years	1, 2, 3, 4
LAW7344: Bioethics and the Law	Zubrzycki	FT	3	Every year	1, 2, 4
LAW7587: Ethics of Public Health	Chapman	FT	3	Every 2 years	1, 2, 4
LAW7592: Health and Human Rights	Chapman	FT	3	Every 2 years	1, 2, 4
LAW7600: Administrative Law	Zubrzycki, Lindseth	FT	3	Every year	3, 5
LAW7711: Healthcare Liability Insurance	Stein	Adjunct	3	Every year	1, 2, 3, 4
LAW7763: Mental Health Law	Schmeiser	FT	3	Every 2 years	1, 2, 3, 4
LAW7804: Reproductive Technologies and the Law	Schmeiser	FT	02/03	Every 2 years	1, 2, 4, 5
LAW7338: Role of In-House Counsel	Hsieh/Stamm	Adjunct	2	Every year	3, 5
LAW7366: White Collar Crime	Melocowsky	Adjunct	3	Every year	3, 5
LAW7406: Clinic: Disability Rights	Considine	Adjunct	4	Every year	1, 2, 3, 4, 5
LAW7554: Compliance: The Legal Perspective	Lindseth	FT	3	Every year	2, 3, 4, 5
LAW7556: Clinic: Elder Law	Keogh	Adjunct	3	Every year	1, 2, 3, 4, 5
LAW7605: Business Organizations	Myers, Oquendo, Dhir, Hsieh	FT & Adjunct	3	Every year	3, 5
LAW7675: Principles of Insurance	Pantin, Kochenburger	FT & Adjunct	3	Every year	3, 5
LAW7739: Antitrust and Trade Regulation	Langer	Adjunct	3	Every year	3, 5
LAW7773: Employment Law	Siegelman	FT	3	Every year	3, 5
LAW7901: Elder Law	Sherlock-White	Adjunct	3	Every year	1, 2, 3, 4, 5
LAW7909: Legislative Process	O'Leary/Schiesl	Adjunct	2	Every year	4, 5

Programmatic Accreditation & Assessment

The American Bar Association is the accrediting body for the School of Law, and the certificate will be assessed through that accreditation process. We assess all programmatic learning objectives in a ten-year year cycle. The program will draw on courses that are already assessed as part of our curriculum and will not have any impact on our accreditation. The program will be immediately offered as part of our JD program, and there are no other steps we need to take for the program to be covered by our accreditor.

The School of Law will assess the proposed certificate within the framework used to assess programs for its accreditation with the American Bar Association. The School of Law's Learning Assessment Committee regularly assess the impact of JD programs with respect to student engagement and participation, career placement in health-related legal fields, and feedback from alumni and employers.

Graduate Outcomes

JD students who complete the Health Law Certificate are well prepared for roles such as compliance officers, healthcare regulatory attorneys, in-house counsel for hospitals and healthcare systems, policy advisors, and legal advocates in government agencies or nonprofit health organizations.

Projected Enrollment, Resources, and Evaluation

The proposed certificate will have no impact on staffing or resources, since the courses needed for the certificate are already taught regularly at the School of Law. Students will be advised by the Certificate Director, John Cogan.

Students

We anticipate enrollment in the certificate will follow trends of similar certificates, such as our Human Rights Certificate. Between 10 and 15 students graduate with the Human Rights Certificate each year. Only matriculated JD students will be eligible for the certificate. International students will not enroll.

Budget Projections

The proposed certificate will be completed together with the JD and will not generate any additional revenue, nor incur any additional costs.

Evaluation

Metrics for program evaluation will include number of graduating students, course enrollment, student success, and student outcomes. Students enrolled in courses comprising the certificate will also complete Student Experience of Teaching surveys at the completion of each course.

ATTACHMENT 24

June 25, 2025

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Program Closure: Graduate Certificate in School Law

RECOMMENDATION:

That the Board of Trustees approve the closure of the Graduate Certificate in School Law.

BACKGROUND:

The Graduate Certificate in School Law was approved by the Board of Trustees in April 2014. The fully-online certificate was designed to provide administrators, educators, and parents with a user-friendly means to understand the legal dimensions of K-12 education. School administrators were anticipated as a key population for recruitment, due to their daily focus on legal issues, such as disciplining students, hiring and terminating teachers, engaging in collective bargaining, and maintaining school safety. Teachers receive very little exposure in school law through pre-service programs professional development. By educating teachers about how legal concepts apply to the school setting, the certificate was designed to help teachers make legal *and* educationally sound decisions in the school setting. Parents and educational advocates were expected to be a final population interested in the certificate, as the curriculum supported strengthening effective advocacy skills for children.

Total enrollment in the program has averaged nine students for the past six years, with a slight decline in numbers. Completions have averaged five per year over the last five years. The program is offered by the Department of Educational Leadership, which also offers the Doctor of Education (Ed.D.) degree. In contrast to the Graduate Certificate in School Law, the Ed.D. has seen strong and consistent enrollment, with an average of 40 students each admission cycle since 2020. As three of four courses in the Graduate Certificate in School Law are taught by the same faculty member, closing the certificate will allow the faculty member to better support the needs of the larger Ed.D. program.

The Department of Educational Leadership developed a teach-out plan for the program, as there were current students enrolled when the decision to close the program was taken. The Department committed to offering all required courses across the 25-26 and 26-27 academic years. Admission to the program was suspended in early January 2025. Students already admitted in Spring 2025 were notified of the plans to close the program, and were offered reimbursement for their application fee if they chose not to enroll in any courses. The program will be sunset, with full termination once all enrolled students have completed, likely in Fall 2027.

ATTACHMENT 25

June 25, 2025

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Program Closure: Graduate Certificate in Addiction Sciences

RECOMMENDATION:

That the Board of Trustees approve the closure of the Graduate Certificate in Addiction Sciences.

BACKGROUND:

The Graduate Certificate in Addiction Science was approved by the Board of Trustees in April 2017. It was developed from funding awarded in August 2016 by the National Institute on Alcohol Abuse and Alcoholism as an R25 grant. The courses were designed to promote basic, clinical, epidemiological and policy research on alcohol and other substances by a wide array of health professionals, including current practitioners or researchers and those in training for health professions. The certificate curriculum centred on the theme of alcohol and addiction studies, designed to educate scientists, educators, service providers, and others about how to conduct scientific research on alcohol and other drug use disorders.

The program was led by Professor Thomas Babor in the School of Medicine. The Department of Public Health Sciences recognized that with the retirement of Dr. Thomas Babor there would no longer be appropriate faculty with the expertise required to administer and deliver content. Admission to the program was suspended in 2022. There are currently no students in the program, and there have been no completions since 2022. The program will be fully terminated with immediate effect.

ATTACHMENT 26

June 25, 2025

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Naming Recommendation for the Rob & P.J. Brewer & Family
Women's Ice Hockey Assistant Coach's Office

RECOMMENDATION:

That the Board of Trustees authorize the naming of the Women's Ice Hockey Assistant Coach's Office in the Toscano Family Ice Forum located on the Storrs campus the "Rob & P.J. Brewer & Family Women's Ice Hockey Assistant Coach's Office."

BACKGROUND:

The Toscano Family Ice Forum, a state-of-the-art 2,600-seat ice hockey arena is the home of UConn men's and women's ice hockey teams. The arena opened in January 2023. The facility includes full Division I training and support for the women's and men's ice hockey teams; team lounges, dry locker area and locker rooms with video displays; locker rooms for visiting teams; training space with a hydrotherapy area; strength and conditioning room; and other areas such as coaches' offices, a press box, and a large center-hung display scoreboard with perimeter ribbon boards designed to create an outstanding experience for fans.

Robert Brewer, now retired, had been the Vice President of Middle Market Industry Solutions since 2013. He received his bachelor's degree in economics from the College of Liberal Arts and Sciences in 1983 and a master's degree in economics from Trinity College in 1992. Mr. Brewer made his first gift to UConn in 2011. His wife, Patricia, was an Account Executive Officer at Travelers since 1991.

Mr. Brewer's gift is consistent with the University's *Named Gift Guidelines*.

On June 11, 2025, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 27

June 25, 2025

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Naming Recommendation for the Urman Peace Garden

RECOMMENDATION:

That the Board of Trustees authorize the naming of the planned improved green space adjacent to the Homer Babbidge Library the "Urman Peace Garden."

BACKGROUND:

The approximate 12,000 square foot space proposed for the Peace Garden is located along Academic Way between the east side of the Homer Babbidge Library and the Weston A. Bousfield Psychology Building. The Garden was jointly conceived by Dr. Jeffrey D. Urman '67 and his friend and former UConn classmate, Dr. Lewis M. Cohen, '66.

The design is expected to incorporate a sculpture that features three stainless steel columns at the center of a spiral-shaped lawn to create a reflective space to promote tolerance and open-mindedness.

Dr. Jeffrey D. Urman earned his Bachelor of Science degree from the University's School of Pharmacy in 1967. After earning his medical degree from Cornell University, Dr. Urman returned to UConn for a residency, which he completed in 1977. A Founders Society member, Dr. Urman's UConn philanthropy has established endowments and provided operating funds for the design and construction of the garden space.

Dr. Urman's gift is consistent with the University's *Named Gift Guidelines*.

On June 11, 2025, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 28

June 25, 2025

TO: Members of the Board of Trustees

FROM: Daniel D. Toscano, Chairman

RE: Appointment to Canvassing Board – Election of Alumni Trustee

RECOMMENDATION:

That Trustee Andrea Dennis-LaVigne, Vice-Chair of the Board of Trustees, be designated the Board of Trustees representative to the Canvassing Board for the Election of the Alumni Trustee.

BACKGROUND:

Under the provisions of the State Statutes (Sec. 10a-103), the Board of Trustees appoints one member of a three-person board which supervises the election of alumni Trustees.

The following is an excerpt from the State Statute:

Sec. 10a-103 (Formerly Sec. 10-118) Appointment of Trustees.

“Such election shall be conducted by mail prior to September first under the supervision of a canvassing board consisting of three members, one appointed by the board of trustees, one by the board of directors of the alumni association of the university and one by the president of the university.”

ATTACHMENT 29



June 25, 2025

TO: Members of the Board of Trustees

FROM: Laura Burton, Chair, Senate Executive Committee 

RE: Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article IX – The University Senate.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board provided that notice of any proposed amendment, including a draft thereof, shall have been given at a previous regular meeting. This document represents such notice and the resolution calling for the described amendments should be acted upon at one of the next three meetings of the Board.

On April 7, 2025, the University Senate passed a recommendation to amend several sections of Article IX in the *By-Laws*.

The proposed changes include:

- Revisions to Senate membership to ensure greater flexibility and enhanced representation.
- Inclusion of the Dean of Students as an ex-officio member.
- Incorporation of Campus Deans in the Deans constituency.
- Modification of Professional Staff constituencies to align the constituencies with the university structure, including the addition of a "University Support Services" constituency.
- Clarification and simplification of election processes and alternate appointments.
- Reconfiguration of Senate Executive Committee to achieve balanced faculty representation, continuity of the Chair role, and support for the Moderator.

For the information of the Board, the entirety of Article IX with indications of the proposed amendments follows.

ARTICLE IX – The University Senate

There shall be a University Senate that shall be a legislative body for the purpose of establishing minimum rules and general regulations the educational program and mission of the institution, excluding the University of Connecticut Health Center, that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties. The University Senate shall be regularly consulted by the Administration on matters pertaining to the Senate's purview.

A. Membership

The University Senate shall consist of *ex officio* and elected members. The *ex officio* members shall be the President, the Provost, all Vice Provosts, the Dean of Students, and all Vice Presidents, except the Executive Vice President for Health Affairs, ~~and all Vice Provosts~~. These *ex officio* members shall not vote.

The Senate shall contain ~~one hundred four elected~~, voting members elected, as follows described in Section B.:

- ~~1. Three deans of the schools and colleges, which are Senate electoral constituencies (see Section B below).~~
- ~~2. Seventy-two members of the faculty elected according to one or the other of the two faculty electoral processes described in Section B.2. below.~~
- ~~3. Seventeen professional staff members elected by and from the constituencies described in Section B.3. below.~~
- ~~4. Nine undergraduate students (see Section B.4 below).~~
- ~~5. Three graduate students (see Section B.5. below).~~

Elected senators are expected to attend all regular meetings of the University Senate and the Committee to which they have been appointed. Senators shall inform the University Senate Administrator or the Chair of the Committee to which they are assigned, respectively, in case they are unable to fulfill this requirement.

B. Elections

The election of faculty and other staff members to the Senate shall normally be held between October and March and shall be conducted by secret ballot. A minimum of one week, excluding time when the University is in recess, shall be allowed between the distribution and collection of ballots for Senate elections. Priority in case of tie votes shall be determined by lot for elections and alternate appointments. Those elected shall take office on July 1 and serve a three-year term. Senators shall be eligible for re-election, except that a member who has completed two consecutive three-year terms shall be ineligible for re-election to serve during the year which immediately follows the period of consecutive service (which may have been extended by virtue of election to the Senate Executive Committee).

All elections shall be in the charge of the Senate Executive Committee (SEC) and the returns shall be ~~certified by two inspectors of election selected by the Senate~~available for inspection upon request. After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be kept on file in the Senate Office.

In any Senate election, persons eligible for election who feel unable to serve shall be given an opportunity to withdraw their names from the list of candidates for nomination. ~~The names of those persons who have withdrawn, as well as the names of persons who are completing two consecutive terms, shall be indicated on the nominating ballot.~~

~~After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be kept on file in the Senate Office.~~

The Senate Executive Committee shall retain on file the number of votes cast for each candidate in each constituency for each annual class whose term has not expired, and shall designate the available candidate with the highest vote at the last previous election in the proper constituency as the alternate to replace any senator whose place will be vacant in any semester ~~because of resignation, retirement, or leave of absence from the University~~for the duration of the vacancy. If there is no available candidate that meets these requirements, and the vacancy is a semester or less, the seat may remain vacant until the next regular election cycle. If this is no available candidate that meets these requirements, and the remainder of the vacancy is more than one semester, the Senate Executive Committee will hold a special election to fill the seat for the duration of the vacancy. Priority in case of tie votes shall be determined by lot. Such alternates shall be designated for up to one year at a time and at the beginning of the semester unless the vacancy occurs later.

~~When it is known that there will be a vacancy throughout the last year or last two years of a three year term in a constituency not otherwise scheduled to participate in a regular annual election, then that constituency will participate in order to elect a replacement to serve for the one or two year period remaining in the unexpired term.~~

Student senators shall be appointed on an annual basis for a one-year term by their respective student governing bodies. Student senate member terms begin as soon as appointed, and end on June 30.

The determination of the proper number of senators to be allocated to each constituency will be reviewed annually by the Senate and can be higher or lower depending on the constituency representation calculation for each constituency. If any constituency should constrict over time, all filled seats shall remain until the end of the sitting senator's term and then removed.

Senators chosen from a constituency will be elected by and from among the members of that constituency. Faculty or professional staff members shall not be eligible to stand for election or to vote in the first regular election cycle following their initial appointment to the faculty or staff. The annual schedule for electing members from each constituency shall be determined by the Senate Office in such a way as to provide for the optimum staggering of three-year terms within each constituency and for a reasonable degree of uniformity in the total number of members to be elected each year from all constituencies. To achieve

these objectives, when a constituency becomes eligible to elect an additional senator, the initial term may be limited to one to two years in order that succeeding three-year terms may begin in the most appropriate years.

~~The term of office of a senator shall begin on July 1 and shall extend for one year in the case of student members and for three years in the case of other elected members. Senators shall be eligible for re-election, except that a member who has completed two consecutive three-year terms shall be ineligible for re-election to serve during the year which immediately follows the period of consecutive service (which may have been extended by virtue of election to the Senate Executive Committee).~~

1. The Deans shall constitute a constituency from which they will elect ~~one~~ four members each year for ~~a~~ three-year terms. Of the four seats designated to this constituency, one must be filled by a Campus Dean of one of the Regional Campuses (Avery Point, Hartford, Stamford, Waterbury).
2. Two electoral processes for faculty membership in the Senate shall be followed, (a) constituency and (b) at-large. ~~Faculty members shall not be eligible to stand for election or to vote in the first regular election following their initial appointment to the faculty.~~

a. *Faculty constituency elections*

The voting members of the faculty of each of the following units shall, for these purposes, be deemed an electoral constituency: College of Agriculture, Health and Natural Resources, School of Business, Neag School of Education, ~~School~~ College of Engineering, School of Fine Arts, School of Law, College of Liberal Arts and Sciences, School of Nursing, School of Pharmacy, School of Social Work, and the Avery Point, Hartford, Stamford, and Waterbury Regional Campuses. A person who is a voting member of more than one faculty will belong to only one constituency, that of the faculty in which his or her principal appointment is held. The voting members of the faculty of each constituency shall consist of all full-time faculty holding the following titles: Professor, Associate Professor, Assistant Professor, Instructor, and Lecturer, ~~Professor in Residence, Associate Professor in Residence, Assistant Professor in Residence, and Instructor in Residence, including those designated as Clinical, In-Residence, or Extension.~~

The number of senators to be elected from each constituency will be one for each ~~thirty~~ twenty-five faculty members or major fraction thereof within that constituency, with the proviso that each school, college, or regional campus will have at least one senator. The faculty of a school, college, or regional campus that is thus allocated more than one senator will have the option of establishing non-overlapping sub-constituencies. ~~Senators chosen from a constituency will be elected by and from among the members of that constituency. The determination of the proper number of senators to be allocated to each constituency will be reviewed annually by the Senate. The annual schedule for electing members from each constituency shall be determined by the Senate in such a way as to provide for the optimum staggering of three-year terms within each constituency and for a reasonable degree of uniformity in the total number of members to be elected each year from all constituencies. To achieve these objectives, when a constituency becomes eligible to elect an additional senator,~~

~~the initial term may be limited to one or two years in order that succeeding three-year terms may begin in the most appropriate years.~~

b. *At-large elections*

~~The number of faculty elected at large will be the number remaining after the number of senators to be elected from constituencies is subtracted from seventy-two. Senators chosen at large will be elected by and from the faculty electoral constituencies.~~The faculty electoral constituencies will elect six at-large members.

No school/college shall have more than ~~five~~one faculty representatives elected at-large ~~except the College of Liberal Arts and Sciences, which may have no more than fifteen.~~

3. The professional staff¹ members shall be elected according to procedures approved by the Provost and Executive Vice President for Academic Affairs ~~from seven constituencies~~ as described below. There shall be one senator for every 125 eligible staff members or major fraction thereof ~~Staff members are ineligible to stand for election or to vote in the first regular election following their initial appointment to the staff.~~
- a. Academic Development (excluding regional campuses), who shall elect ~~two~~three senators.
 - b. ~~Enrollment Planning and Management~~Student Life & Enrollment (excluding regional campuses), who shall elect ~~two~~three senators.
 - c. University libraries (excluding regional campuses), who shall elect one senator.
 - d. Regional campuses, who shall elect four senators; one per campus for Avery Point, Hartford (to include Hartford, Social Work and School of Law), Stamford, Waterbury.
 - e. Schools/Colleges (excluding regional campuses), who shall elect one senator.
 - f. ~~Division of Student Affairs~~University Support Services, consisting of eligible professional staff not otherwise represented in the above constituency groups (excluding regional campuses), who shall elect ~~two~~one senators.
 - g. All professional staff shall elect ~~five at-large senators~~the remaining professional staff seats to an at-large constituency.

4. Student Constituencies

¹ For University Senate constituency purposes, professional staff shall be defined as:

- I. Full-time (nine month or more) management exempt employees not included in the faculty or administrative constituencies.
- II. Full-time (nine month or more, non-student) professional staff represented by the professional employees collective bargaining unit and not included in the faculty constituency.
- III. Full-time (nine month or more, non-student) professional staff represented by the faculty collective bargaining unit but not included in the faculty constituency.

There shall be one senator for every 2500 active undergraduate students or major fraction thereof and one senator for every 2500 active graduate students or major fraction thereof. If a student constituency should constrict over time, all filled seats shall remain until the end of the sitting senator's term and then removed.

- a. ~~Five undergraduate student members representing the Storrs campus shall be appointed by the President of the Undergraduate Student Government of Storrs according to procedures established by the Undergraduate Student Government of Storrs.~~ The Avery Point, Hartford, Stamford, and Waterbury campuses shall each be represented by one student senator for every 2500 active undergraduate students or major fraction thereof, with each campus having at least one student senator, appointed according to procedures established by the Associated Student Government of Avery Point, the Undergraduate Student Government of Hartford, the Student Government Association of Stamford, and the Associated Student Government of Waterbury. The remaining undergraduate student members shall represent the Storrs campus and shall be appointed by the President of the Undergraduate Student Government of Storrs according to procedures established by the Undergraduate Student Government of Storrs. Undergraduate representation shall never go below nine representatives.

b.5.—Graduate student members shall be appointed by the President of the Graduate Student Senate according to procedures established by the Graduate Student Senate. Regional Campuses shall be represented by one student senator appointed according to procedures established by the Graduate Student Senate. Graduate representation shall never go below three representatives.

C. Functions

The University Senate is a legislative body for the purpose of establishing minimum rules and general regulations pertaining to the educational program and mission of the institution that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties.

The University Senate shall appoint ex-officio representatives to the Board of Trustees committees, with full participation in discussion but without vote. These representatives will have the same status at Board of Trustees meetings, with voice but without vote. When executive sessions are called only those persons whose presence is necessary to provide their opinion on the issue at hand will be invited into Executive Session. The University Senate will make other appointments to University committees and boards as it deems appropriate.

D. Officers

The President of the University shall be Chair of the University Senate. If the President chooses not to preside, the presiding officer shall be the Provost. ~~A moderator shall be elected from the membership for an annual term beginning in September.~~ At a special meeting called at the request of at least twenty-five percent of the members, the presiding officer shall be the Chair of the Senate Executive Committee.

The Senate shall elect a Moderator to serve an annual term starting in September. The Moderator must be a faculty or staff senator and does not participate in Senate votes, unless as a tie-breaker vote. The Senate Executive Committee Vice Chair or Past Chair, as outlined in G.1 shall serve as the moderator pro-tem in the case that the elected Moderator is unable to perform their duties for any individual meeting(s) during their term.

A recording secretary of the Senate, elected by the Senate, and who is also not a senator, is responsible for recording and distributing minutes of Senate meetings.

E. Meetings

Meetings of the University Senate shall be held regularly at times to be fixed by the Senate. The dates, ~~and~~ times and locations of meetings shall be published ~~at~~ by the start of the academic year.

Special meetings of the University Senate may be called by the President or by the ~~Secretary~~ Senate Administrator at the request of at least twenty-five percent of the members. Such request, with signatures, shall be presented in writing to the ~~Secretary~~ Senate Administrator. The call for a special meeting shall be issued at least a week in advance of the meeting and shall state the purpose of the meeting.

On the request of any member of the Senate, if supported by four colleagues, a record vote shall be taken. These record votes shall be made a part of the minutes.

F. Minutes and Reports

Minutes of the meetings of the University Senate shall be posted on the University Senate website and sent to the University Archives. The University Archives shall be the official place for filing minutes of the University Senate.

G. Committees

1. The Senate Executive Committee

The Senate Executive Committee (SEC) shall consist of eight faculty members, two professional staff members, and two undergraduate and two graduate student members. The faculty and professional staff members shall be elected by the Senate from among the elected members of the Senate who are not primarily administrators. Department heads and directors are not regarded as primarily administrators. No school/college shall have more than one faculty representative elected in any class, except the College of Liberal Arts and Sciences, which may have as many as two representatives in any class; of these, the CLAS elected faculty must be representative of a unique sub constituency. The College of Liberal Arts and Sciences may have no more than a total of three members on the SEC at any time and no other school/college may have more than two members at any time. The SEC will include at least one but not more than two faculty whose appointment is primarily based on one of the regional campuses. No faculty or professional staff senator shall be eligible for immediate re-election to the SEC.

Both the undergraduate and graduate members shall be elected from among and by all student members of their respective Senate delegation in a blanket election,

wherein the top vote-getters will serve as the Senate Executive Committee representatives. At least one of the undergraduate student members of the SEC shall be a representative from Storrs and at least one shall be a representative from Avery Point, Hartford, Stamford, or Waterbury. The Undergraduate Student Government of Storrs and Graduate Student Senate Presidents or their student Senate member designee will serve as the interim student representatives to the Senate Executive Committee during the summer months until the permanent members are selected.

Elections shall follow the annual election of such members and shall normally take place in April. For faculty and professional staff members, a term of service on the Executive Committee shall begin on July 1 and shall be for three years. The term of membership in the Senate of a faculty or professional staff representative elected to the Executive Committee shall be automatically extended, if necessary, to be co-terminal. For the student members, the term of service shall be one year, renewable to a maximum of three consecutive years. All terms end on June 30. ~~After the annual election, the Senate shall elect one of the ten faculty and professional staff members to serve as Chair of the SEC for a one-year term, which may be renewed.~~ A vacancy on the SEC shall be filled by the available candidate with the highest vote at the last previous election in the proper constituency ~~election~~ for the duration of the vacancy. If there is no such candidate, an election will be held to fill the vacancy.

~~No school/college shall have more than one faculty representative elected in any class, except the College of Liberal Arts and Sciences, which may have as many as two representatives in any class. The College of Liberal Arts and Sciences may have no more than a total of four members on the SEC at any time and no other school/college may have more than two members at any time. No faculty or professional staff senator shall be eligible for immediate re-election to the SEC.~~ Every other year, after the annual SEC election, the Senate shall elect one of the faculty or professional staff members of the SEC to serve a four-year term, consisting of a year as Vice Chair, two years as Chair, and one year as Past Chair of the SEC. The Past Chair shall be a non-voting member of the SEC and does not count against representative limits on the SEC. In the case that the Chair is temporarily unable to serve, the Vice Chair or Past Chair will serve in their stead. In the case that the Chair position becomes vacant, the Vice Chair or Past Chair assumes the role of Chair for the remainder of the academic year. If there is no incumbent Chair or Vice Chair, the Senate shall elect an eligible member of the SEC to serve a two-year term, consisting of one year as Chair and one year as Past Chair.

The SEC is responsible for organizing and coordinating the business of the Senate and of Senate committees. To this end, it shall maintain a clerical staff and an office. It shall electronically distribute an agenda for each Senate meeting to the faculty and professional staff in a timely manner in advance of the meeting. Items of an extraordinary nature may be considered at a Senate meeting which have not been included in the agenda for that meeting. It shall receive the reports of Senate committees before they are forwarded to the Senate. It also shall be available to be consulted as the voice of the Senate, especially in reference to resolutions of the Senate, which are designed to be transmitted ultimately to the Board of Trustees.

SEC members shall also constitute the faculty membership of the Trustee-Administration-Faculty-Student Committee (see Section VIII.C.).

2. Committee of Three

The Committee of Three, which functions in faculty dismissal and grievance procedures, is to be constituted as follows: Each fall, after the Senate election for the Faculty Review Board is completed, the Nominating Committee shall submit to the Senate for the election of one person to the Committee of Three for a three-year term by written ballot, a slate of at least three faculty members who are tenured professors (excluding those who have the administrative titles of department head or higher). The slate of nominees must not represent the same department as any member whose term continues into the following year. Members of the Faculty Review Board shall not be eligible for nomination.

~~When a vacancy occurs in the membership of~~ In case of vacancy on the Committee of Three, the Senate shall elect in the same manner from the faculty group in which the vacancy exists, except if the vacancy is for less than one year or is a recusal from a specific case, then it shall be filled by the available candidate with the highest vote in the last previous election in the appropriate class and constituency. Should any member of the Committee of Three have a conflict through a departmental affiliation, personal connection, or other conflict of interest, they must recuse themselves from that specific case.

3. Standing/Special Committees of the Senate

The Senate may appoint and define the duties of standing or special committees. The President and the Provost ~~shall~~ may, with the permission of the Senate, appoint ~~be or assign a delegate to be an~~ non-voting *ex officio* members ~~of all~~ to standing committees.

Each standing committee shall keep accurate records of its proceedings and shall provide these to the SEC. Reports shall be presented to the University Senate as often as the amount and nature of business warrants, and at least annually.

Special committees shall be considered as discharged, without formal vote, when their final reports have been received by the Senate.

ATTACHMENT 30

June 25, 2025

TO: Members of the Board of Trustees

FROM: Rachel Rubin, Executive Secretary to the Board of Trustees

RE: Notification of Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut*.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board provided that notice of any proposed amendment, including a draft thereof, shall have been given at a previous regular meeting. This document represents such notice and the resolution calling for the described amendments may be acted upon at one of the next three meetings of the Board.

While the following summary by Article includes all substantive and significant proposed changes, it does not include less-substantive grammatical, editorial, or formatting changes. Such changes are marked within the text but are not intended to impact the reading or meaning of the *By-Laws*.

Article I – Board of Trustees

- Editorial changes.

Article II – Organization of the Board of Trustees

- Under Section E, clarified the noticing of Board meetings with the Secretary of State and the pre-meeting distribution of the Board agenda.
- Editorial changes.

Article III – Rules of Procedure and Order of Business of the Board of Trustees

- Under Section A, added “video conference” as an allowable medium for members to attend Board meetings.
- Under Section A.1, relocated language about participants of Executive Session from Article IX.C.

- Under Section D, added a notification deadline for public participants, an option to limit individual speakers' time, and allowing the Chair to recognize public speakers in a coherent order.

Article IV – Officers of the Board of Trustees

- Under Section C, clarified the timing of the Board's annual organizational meeting (i.e., first meeting of the fiscal year).
- Under Section D, clarified duties and responsibilities of the Board Secretary.

Article V – Committees of the Board of Trustees

- Under Section A, clarified Board committee administration and operational procedures.
- Under Sections B. 1. and B.2., clarified members of the Board's Executive Committee and actions of the Executive Committee.
- Under newly-lettered Sections D, E and F, edited descriptions of the Board's standing committees, including the UConn Health Board of Directors.

Article VI – President of the University

- Under Section C, clarified, moved, or removed presidential duties to better align with current practice.
- Moved Section D that referred to the Division of Athletics to new Section D under Article VII.

Article VII – The Provost and Executive Vice President for Academic Affairs, the Executive Vice Presidents and the Vice Presidents

- Retitled Article to "The Provost and Executive Vice President for Academic Affairs, the Executive Vice President for Health Affairs, and the Divisions and Executive Officers."
- Under Section B, clarified, moved, or removed duties of the Provost to better align with current practice.
- Under Section C, clarified, moved, or removed EVP for Health Affairs duties to better align with current practice.
- Removed Sections D, E, and F. The list of an executive vice president for administration and CFO and several vice presidents was not exhaustive nor are the *By-Laws* the appropriate location for the duties of executives.
- Renamed Section G, "Other Vice Presidents," to Section D, "The Divisions and Executive Officers." This revised section incorporates existing language from Article XI, "The Divisions," former language from Article VI about the Division of Athletics, and new language about the establishment and reporting of executive officers by the President to the Board of Trustees.

Article VIII – The University Administration

- Updated and revised introductory paragraph.
- Under Section A, clarified Officers of Administration and the leadership of academic departments (i.e., department heads or chairs).
- Under Section B, added language previously in Article VII about the provost's role in presiding at Council of Deans meetings.
- Under Section C, updated the appointment process for the TAFS Chairperson and removed the requirement for an annual meeting. Instead, the Chair may convene meetings at their discretion or by a request of two-thirds of the constituent members. Added the Executive Vice Presidents to TAFS membership.

Article IX – The University Senate

- Added missing language to the introductory paragraph.
- Under Section A, added language from Articles VI and VII related to the roles of president and provost as it pertains to the University Senate.
- Incorporated footnote 1 under Section B.3. into the main body of the *By-Laws*.
- Renamed Section C, "Functions," to "Representation" and removed the first paragraph (language that appears in the introductory paragraph to the Article). Clarified who appoints members of the University Senate to University committees and boards and added a requirement that Senate Representatives to the Board and its committees be active members of the University Senate.
- Under Section G.2., added parentheticals to identify sources/more information on the Faculty Review Board and the Nominating Committee.

Of note: A separate notification from the Senate Executive Committee has been presented to the Board related to Article IX changes. Deliberate effort was made to ensure that the changes proposed herein do not conflict with the recommendations from the Senate Executive Committee.

Article X – The Schools and Colleges

- Under Section A, added the Vice President for Research to the non-voting faculty of the Schools of Medicine and Dental Medicine.
- Removed portions of Section F, which required the Provost to communicate with particular individuals within the Schools and Colleges.

Article XI – The Divisions

- Article removed and incorporated into Article VII.

Article XII – The Institutes and Centers in Academic Affairs

- Renumbered to Article XI.

Article XIII – The Departments

- Renumbered to Article XII.
- Under Section C, removed reference to department heads and chairs as “executive officers,” to avoid confusion with the Executive Officers in Article VII.

Article XIV – The University Staff

- Renumbered to Article XIII.
- Under Section A, added “Executive Officers,” in accordance with changes made to Article VII. Removed a paragraph requiring the Board of Trustees or UConn Health Board of Directors to vote to terminate a tenured faculty member for cause, based on University practice.
- Under Section B, removed paragraph 3, because speaking as an individual and not a representative of the University is protected speech under the First Amendment. Moved language from paragraph 5 to paragraph 4.
- Under Section C, removed footnote about titles eligible and ineligible for tenure. To resolve this removed footnote, added language to require the Provost to recommend to the Board the categories of the professional staff who are eligible for tenure every year; these titles/categories will be included with the promotion and tenure procedures. Moved last sentence from footnote regarding tenure and administrative titles to the beginning of Section C.
- Added Section D.3.f, which creates “Special Title: University Professors.”
- Under Section H, removed the footnote and added the language into the body of the *By-Laws*.
- Under Section L.1.a., moved language from L.1.b. related to the definition of full-time service as it pertains to sabbatical eligibility.
- Added Section L.1.j, permitting the Provost to make appropriate exceptions to the sabbatical leave provisions.
- Under Section L.2., removed the requirement to submit a report on unpaid leaves to the Board.
- Under Section L.5.c., clarified that a faculty member’s leave to take a tenure track position at another university will not exceed one academic semester, and any such leave will be unpaid.
- Removed Section N, which referred to employee use of student health services.
- As a result of removing Section N, the remaining sections were re-lettered accordingly.
- Under re-lettered Section R, removed the footnote and incorporated the language at the top of the Section. Clarified throughout that faculty representation is at personal expense during appeal and grievance procedures.
- Under Section R.1.a., added language defining the Faculty Review Board, in accordance with existing University Senate and Office of the Provost practice and materials.

- Under Section S, removed the footnote and incorporated the language at the top of the Section. Clarified throughout that faculty representation is at personal expense during appeal and grievance procedures.
- Removed Section U, "Grievance Procedure for Management Confidential Professional Staff."

Article XV – General Policies and Practices

- Renumbered to Article XIV.
- Replaced Section A with language requiring the University to maintain enumerated policies and affording the President the authority to approve and implement policies in accordance with subsequent Board notification.
- Created new Section B, which formally establishes the Board's authority to award professional staff with a University Medal for extraordinary service.
- Removed previously-lettered Sections B – M. The policies named in these deleted Sections are now referenced in Section A, and the University either has existing policies or will incorporate/develop previous *By-Law* language into new or existing policies, as appropriate.

Article XVI – Advisory Search Committee for President

- Renumbered to Article XV.
- Under rewritten Section A, clarified the Board's statutory responsibility to select a University President. Inserted language from Section B about the Board Chair's role (or their designee's role) as ex officio Chair of the Search Committee.
- Under Section B, enumerated the membership of the Advisory Committee. The size of the proposed Advisory Committee better aligns with our peers and the national AAUP.
- Under original Section C, removed the steering committee, as the Advisory Committee is a more manageable size in Section B. Gave the Advisory Committee more general guidance on how to operate the search and removed existing prescriptive language. Incorporated into Section B.
- Removed original Section D, as the sentiment to develop a qualified candidate pool was incorporated into revised Section C.
- Added new Section D, which authorizes the Board Chair to establish the President's employment terms.

Article XVII – Honorary Degrees

- Renumbered to Article XVI.

Article XVIII – Repeal and Amendment

- Renumbered to Article XVII.

Article XIX – Contravention with Law

- Renumbered to Article XVIII.

For the information of the Board, attached is the original language of the *By-Laws*, with indications of the proposed amendments.

**BY-LAWS
OF THE
UNIVERSITY OF CONNECTICUT**

ARTICLE I – Board of Trustees

The functions of the Board of Trustees are legislative and not executive. The Board appoints the President; determines the general policy of the University, including the establishment of new schools and colleges; makes ~~laws~~rules for its ~~government~~governance; manages its investments; and directs the expenditure of funds. It shall be the duty of each Trustee to participate in all meetings.

ARTICLE II – Organization of the Board of Trustees

- A. The corporate authority of the University of Connecticut is vested in a Board of Trustees.
- B. Election of the Secretary to the Board and the Chairs of the standing and special committees shall be conducted at the first meeting of the Board on or after July 1 in each year. If between annual elections there is established a new special or standing committee, or a vacancy is created, the Board Chair shall appoint a Chair/Secretary on an acting basis, such appointment to be effective immediately. The appointment is subject to Board confirmation at its next regularly scheduled meeting.
- C. The yearly schedule of regular meetings of the Board of Trustees shall be filed ~~in advance~~ in the Office of the Secretary of the State, as required by ~~Statute~~statute. ~~Prior written notice of any~~Any change in the scheduled time or location of a regular meeting shall be published and filed, as required by ~~Statute~~statute.
- D. Special meetings of the Board of Trustees shall be called by the Chair upon the request of at least five members.
- E. A written notice of regular meetings shall be sent to each member ~~at least one week~~ before the date set for the meeting.

Special meetings may be held no less than twenty-four hours after officially requested. Notice of special meetings shall specify the time and place of the meeting and the business to be transacted. Written notice of special meetings must be delivered to each Board member prior to the special meeting.

Emergency meetings may be convened at any time without formal notice requirements.

- F. A majority of the current membership of the Board shall constitute a quorum for the transaction of all business.
- G. Ordinarily, the last Board meeting of the spring semester shall be the regular meeting for the recommendations by the Provost and Executive Vice President for Academic Affairs for promotion of faculty members and for the award of academic tenure. Appointments to

positions not leading to tenure and reappointments of those in probationary positions do not require prior action by the Board, but will be reported to them.

ARTICLE III – Rules of Procedure and Order of Business of the Board of Trustees

- A. General parliamentary rules, except as modified by the rules and regulations of the Board, shall be observed in conducting the business of the Board in session. Members may participate in Board and Committee meetings by speakerphone or video conference.

1. When the Board calls Executive Session, only those persons whose presence is necessary for a full and productive discussion on the issue at hand, as determined by the Board Chair, will be invited into Executive Session.

- B. So far as practicable, the order of business for regular meetings of the Board shall be set by the Secretary to the Board and shall follow a standard format.

- C. Recommended adjustments to tuition and fees shall be developed and presented to the Board for action during the academic year. Prior to making such recommendations, the process shall provide an opportunity for campus community comment upon the recommended actions.

D. Public Participation

The Board shall hear brief oral presentations from members of the public who wish to express views on issues pending before the Board or on other issues of concern to the University. The agenda for each regular public meeting of the Board shall allot up to a total of thirty minutes for this purpose; the Board Chair may institute individual time limits for each speaker:

1. Requests to address the Board shall be made to the Chair or the Executive Secretary of the Board at least 24 hours prior to ~~the~~ a regular public meeting. In signing up to address the Board, each speaker shall specify the topic on which ~~he/she wishes~~ they wish to remark.
2. The Chair of the Board shall generally recognize each speaker in the order of signing up, shall request proper identification, and shall use discretion in requiring adherence to such individual and total time limits as will permit the orderly progress of the Board through its agenda. If multiple people sign up to address the same topic, the Chair may elect to recognize speakers out of order to facilitate the discussion of other topics.
3. At a special meeting of the Board, comment by members of the public shall be limited specifically to the subject described in the call of the special meeting.

ARTICLE IV – Officers of the Board of Trustees

- A. The officers of the Board of Trustees, apart from the Governor, who is President *ex officio*, shall be a Chair, Vice-Chairs, and a Secretary.

B. The duties of the Chair shall be as follows:

1. To call special and emergency meetings of the Board when necessary.
2. To preside, in the absence of the Governor, at all meetings.
3. To nominate the chairs of all special and standing committees. Members of all such committees shall be selected by the Board Chair in consultation with the chair of the special or standing committee.

The Chair is privileged to make or discuss motions and to vote on all questions.

C. The chairs of all standing committees shall be designated vice-chairs of the Board of Trustees. At the Board's first meeting on or after July 1, which shall be its annual organization meeting, the Chair of the Board of Trustees shall designate a vice-chair who shall act for the Chair of the Board of Trustees in case of his/her absence or incapacity.

D. The duties of the Secretary shall be as follows:

1. To ~~notify~~ensure all members of the Board of Trustees have notice of all regular meetings ~~at least one week in advance~~.
2. To call meetings of the Board in the absence of the Chair and vice-chair designated by the Chair to act in his/her absence.
3. To call meetings of the Board in case the Chair fails to do so, upon receipt of a request of at least five members of the Board.
4. To ensure the transmission of a copy of the draft minutes of each meeting to every member of the Board prior to the next meeting.
5. To ensure sufficient staff to support Board operations, including, upon approval of the full Board, the appointment of an Executive Secretary to the Board of Trustees.
46. To ensure appropriate record-keeping ~~keep a record~~ of all ~~meetings~~meeting materials, including minutes, reports, and correspondence, through the Executive Secretary to the Board of Trustees ~~and transmit a copy of the minutes of each meeting to every member of the Board at least one week prior to the next meeting.~~

ARTICLE V – Committees of the Board of Trustees

A. The Board shall act as a committee of the whole on all matters requiring action with the exception of those listed ~~in items B, C.1., D, and E~~ below.

All Committees shall follow the same administrative and operational rules and procedures as set forth in Article III (all references to Chair in Article III shall refer to Committee Chair in Article V).

B. Executive Committee

1. *Membership*

The Executive Committee shall consist of the Board Chair, the designated Vice-Chair, and three members of the Board selected by the Board Chair. ~~and the chairs of all the Board's standing committees. The President shall serve as an ex officio nonvoting member.~~ The Chair of the Board shall chair this committee. A simple majority shall constitute a quorum. Approval by members comprising a majority of a quorum shall comprise committee action. It shall meet at such times as deemed necessary by the Chair.

2. The Executive Committee shall exercise in emergencies all the authority of the Board of Trustees, ~~consistent with the policies of the Board or with any action taken earlier by the Board.~~ For purposes of executive committee action, a matter shall be deemed an emergency circumstance when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations.

3. The ~~Executive committee~~ Committee shall not preempt the Board except in those emergency circumstances described above ~~that do not permit the handling of a matter in the normally prescribed manner by the Board,~~ and shall report to the full Board any action taken at the next regular meeting of the Board.

C. The Board may establish standing committees and special or ad hoc committees with such mandates as the Board shall determine. A simple majority of the members of each committee shall constitute a quorum. Approval by members comprising a majority of a quorum shall comprise committee action. Special or ad hoc committees shall be discharged when and as determined by the Board.

D. The standing committee charged with review of matters related to buildings, grounds, and environment shall be known as the Buildings, Grounds and Environment (BG&E) Committee. ~~may take action on behalf of the Board under emergency circumstances when delaying action until the full Board's next meeting could result in significant risk, expense, or disruption to the University or its operations. Such actions shall be reported to the full Board at its next regular meeting.~~

~~D.E.~~ The committee of the Board of Trustees having cognizance over financial matters shall be known as the Financial Affairs Committee ~~and~~ is authorized to buy and sell securities. ~~and the~~ The Chair of this committee is authorized to instruct the ~~Executive Vice President for Administration and~~ Chief Financial Officer or the University Controller to execute the necessary assignment.

~~E.F.~~ A standing committee shall be established with jurisdiction over the University of Connecticut's academic medical center, UConn Health (formerly known as the University of Connecticut Health Center), which includes the Schools of Medicine and Dental Medicine, the clinical faculty enterprise, the John Dempsey Hospital, and UConn Health's core administrative units. ~~the University of Connecticut Health Center, the Schools of Medicine and Dental Medicine and the John Dempsey Hospital, and its core administrative units.~~

1. The name of the committee will be "Board of Directors of UConn Health." ~~the University of Connecticut Health Center."~~

2. *Authority*

a. The Board of Directors is authorized to approve the following:

- i. Grants, contracts, and where legally permitted, indemnifications.
- ii. Compensation plans and labor contracts.
- iii. Faculty promotion, tenure, reappointments, emeritus status and sabbatical leaves.
- iv. Medical staff appointments.
- v. Adjudication of all issues including, but not limited to, faculty grievances related to award of promotion, tenure, reappointments, compensation, and merit or incentive pay.
- vi. Staffing levels.
- ~~vii. Business contracts and arrangements.~~
- viii. General operating policies and by-laws related to operations, administration, and clinical affairs.
- ~~ix~~viii. Space allocations.
- ix. Strategic planning.
- ~~xi. New academic programs or the elimination of academic programs.~~
- xi. New clinical programs (including community outreach initiatives) or the elimination of clinical programs.
- xii. Changes ~~in~~ to the by-laws of the Schools of Medicine and Dental Medicine.

b. The Board of Directors will act in an advisory capacity to the Board of Trustees in the following areas:

- i. Annual operating budget.
- ii. Annual capital budget.
- iii. Changes in the fundamental mission of ~~the University of Connecticut Health Center~~ UConn Health.
- ~~iv. Development and fundraising program.~~
- iv. Declaration of fiscal exigency, closure of academic departments, and/or changes in policies related to tenure.

- vi. Any areas set forth in state statute that specifically require the Board of Trustees to act as a committee of the whole.

3. *Membership*

The Board of Directors of ~~the University of Connecticut Health Center~~ [UConn Health](#) shall have 18 members.

a. *Terms*

With the exception of the President of the University, the Secretary of the Office of Policy and Management, and the Commissioner of the Department of Public Health, members will serve for three-year staggered terms. Members may serve a maximum of three full terms as a regular member plus a maximum of two additional terms when serving as an officer. Initial appointment to fill the balance of a vacant term shall not count toward the maximum of three full terms. Officers will include the Chair, Vice-Chair, Secretary, and Treasurer. Upon the expiration of the term of a member, the member shall continue to serve until a successor is appointed and accepts.

b. *Appointment process*

- i. One member appointed by the Chair of the Board of the Trustees. This member will chair the Board of Directors.
- ii. Two members from the Board of Trustees appointed by the Chair of the Board of Trustees.
- iii. The President of the University. This appointment runs co-terminus with the position of the President.
- iv. Three members appointed by the Governor.
- v. The Secretary of the Office of Policy and Management or a deputy [or](#) undersecretary designated by the Secretary. This appointment runs co-terminus with holding the position of Secretary.
- vi. The Commissioner of the Department of Public Health. This appointment runs co-terminus with holding the position of Commissioner.
- vii. Nine additional members to be selected by a nominating committee. The members of the nominating committee will be chosen by the Board of Directors and shall include the Chair of the Board of Trustees and the Executive Vice President for Health Affairs as voting members.

4. *Subcommittees*

The Board of Directors may establish standing subcommittees or ad hoc committees as needed.

a. *Membership*

- i. The Board of Directors shall appoint the members of its subcommittees.

- ii. With the exception of a Medical Review Committee conducting peer review pursuant to Connecticut General Statutes section §19a-17b, ~~the Peer Review Committee~~, a minimum of one-half of the voting membership shall be comprised of Board of Directors members.
 - iii. The Board of Directors may appoint non-voting members to its subcommittees.
- b. ~~Peer~~ Medical Review Committee
 - i. The ~~Peer~~ Medical Review Committee may sometimes be referred to as a Peer Review Committee. ~~will also be known as the “Joint Conference Committee.”~~
 - ii. The sole topics of discussion shall be those that require confidentiality protection.
 - iii. Peer Review Activities

The Board of Directors functions in some of its activities as a Medical Review Committee conducting peer review as defined in §19a-17b ~~Chapter 368a~~ of the Connecticut General Statutes, as amended from time to time. When acting as a Medical Review Committee, the Board reviews and acts on reports or recommendations from peer review committees of the hospital or medical staff and participates in the evaluation of the quality and efficiency of health care services ordered and performed, including, but not limited to, review of the credentials, qualifications and activities of medical staff members, or applicants; evaluating and improving the quality of health care services rendered; analyzing clinical practices within the hospital; reviewing studies of utilization and medical audits; reviewing studies of morbidity and mortality; and reviewing analysis of sentinel events or potential claims. When the Board, or its officers, committees or subcommittees on its behalf, participate in these or similar studies, reviews, discussions, and actions, the Board is a Medical Review Committee conducting peer review. Proceedings of such peer review activities conducted by the Board, including data and information gathering, analyses, and reporting by authorized individuals for the primary purpose of these peer review activities, as well as minutes and other documents from meetings or portions of meetings addressing peer review, shall be kept strictly confidential.
 - iv. When a hospital, medical staff, or other UConn Health peer review committee presents peer-reviewed privileged information to the Board of Directors, the Board of Directors is acting in its capacity as a Medical Review Board. The peer-reviewed privileged portion of any such meeting is not a public meeting. The Board of Directors will convene any such meeting or portion thereof as a Medical Review Committee meeting, with the above peer-review confidentiality provisions strictly observed. Reports of the Peer Review Committee shall be presented to the Board of Directors in executive session only.
- c. Authority of subcommittees

The subcommittees will report to the Board of Directors. The Board of Directors may delegate selected duties and authority as it deems necessary and appropriate to

a subcommittee if such authority is approved at any regular meeting of the Board of Directors by a recorded majority vote.

FG. Membership on Committees other than the UConn Health Board of Directors~~of the University of Connecticut Health Center~~

1. The Board of Trustees may appoint individuals who are not Trustees to be voting or non-voting members of its committees provided that a majority of the voting membership of all committees shall be comprised of Board of Trustees members. The Board may specify a term for any such appointments.
2. As to any Board action which is permitted to be taken by a committee of the Board on behalf of the Board, the presence of a quorum for such matter and the vote on any such matter will be determined on the basis of only the Trustee members of the committee.
3. The trustee elected by the undergraduate students will be appointed as a voting member of the standing committee having cognizance over financial matters. Upon the recommendation of the Undergraduate Student Government of Storrs, the Associated Student Government of Avery Point, the Undergraduate Student Government of Hartford, the Student Government Association of Stamford, and the Associated Student Government of Waterbury, the board shall appoint two undergraduate students as voting members to the standing committee having cognizance over student life matters. This paragraph ~~three~~ (3) shall be null and void if the number of student trustees on the ~~board~~ Board is greater than two.

ARTICLE VI – President of the University

- A. The President is the chief executive of the University. In this capacity, the President is responsible for carrying out and enforcing all policies and regulations adopted by the Board for the operation of the University and is given authority requisite to that end.
- B. The President may participate in all discussions in Board meetings, but shall not vote. The President is an *ex officio* member of all committees of the Board, but shall not vote.
- C. **The duties of the President shall be as follows:**
 1. To attend all meetings of the Board except when the Board requests otherwise.
 2. To submit to the Board from time to time such recommendations and information concerning any phase of University policy or administration as may seem necessary to the best interests of the University.
 3. To appoint, direct, and guide those members of the University staff who report to ~~him/her~~ them and to fix the compensation of such personnel, establish terms and conditions of employment, and prescribe their duties and qualifications.
 4. ~~To call and preside over meetings of the University Senate.~~

~~54.~~ To oversee the development, implementation, and regular updating of the University's ~~Strategic Plan~~[strategic plan](#).

~~6.~~ To appoint such committees as he/she deems necessary and define their duties.

~~7.~~ To act as the official medium of communication between the Board of Trustees, University officers, and staff members.

~~8.~~ To sign diplomas of students certified for graduation.

~~9.~~ To summarize and coordinate the budget estimates submitted by schools, colleges, divisions, and departments, and in consultation with the Provost and Executive Vice President for Academic Affairs and the Vice Presidents, prepare a budget adjusted to the income and the needs of the University.

~~10.~~ To preserve at the University all papers and records ordered on file by the Board.

~~11~~5. To present to the Board for prior approval the annual budget for each fiscal year.

~~12~~6. To be the official representative of the Board of Trustees in all matters affecting the University which come before the General Assembly.

~~13~~7. To be the official representative of the Board of Trustees in all matters affecting the University, which involve other departments of ~~State Government~~[state government](#) or relations with the ~~Federal Government~~[federal government or the University Foundation](#).

~~14.~~ To provide leadership in external relations, development, and fundraising.

~~15~~8. To prepare such reports as are required by the Board, the ~~State~~[state](#), and the ~~Federal Government~~[federal government](#).

~~16~~9. To make such organizational changes in University structure as does not affect degree programs or majors and report these matters to the Board at the next Board meeting. All actions involving the degree programs and the structure of schools and colleges will be brought to the Board for approval.

~~D. The Division of Athletics is directly responsible to the President~~

~~It is the function of the Division of Athletics:~~

~~1. To organize and carry out programs of athletic sports activities intended to meet the health and recreational needs of students and staff members.~~

~~2. To cooperate with the Department of Student Health Services in determining the students whose athletic and sports activities should be especially restricted.~~

~~3. To foster athletic and sports activities in line with good health and citizenship between this institution and competing institutions and in the whole area of public relations.~~

- ED.** The President shall consult with the senior officers of the University and such other members of the administration as ~~he/she~~they may convene, on matters of University policy and procedure as ~~he/she feels~~they deem appropriate.

ARTICLE VII – The Provost and Executive Vice President for Academic Affairs, the Executive Vice ~~Presidents~~President for Health Affairs, and the Divisions and Executive Officers~~Vice Presidents~~

- A.** The Provost and Executive Vice President for Academic Affairs, the Executive Vice Presidents, and the Vice Presidents report to the President. They shall give direction and assistance to the deans and directors with respect to their administrative duties and shall accept such other responsibilities as may be assigned to them by the President.

B. Provost and Executive Vice President for Academic Affairs

1. The Provost and Executive Vice President for Academic Affairs (hereafter referred to as ‘the Provost’) is the chief academic officer and in this capacity, is responsible for managing the academic operations of the University. ~~He/she~~They also coordinates the formulation of policies and administration of all schools, colleges, ~~divisions~~, institutes, and regional campuses of the University.

~~1.2.~~ The University President may assign additional duties to the Provost.

~~2. The Provost shall:~~

- ~~a. Serve as Acting President in the absence of the President and be a member of the President’s cabinet.~~
- ~~b. Attend all meetings of the Board of Trustees, except when the Board requests otherwise. He/she may participate in discussions, but shall not vote.~~
- ~~c. Appoint those members of the University faculty and staff who report to him/her.~~
- ~~d. Preside at meetings of the Council of Deans.~~
- ~~e. Be a member of the University Senate; preside in the absence of the President at its meetings; interpret in collaboration with the President its By-Laws, rules, and regulations; administer its rules and regulations in consultation with the Senate; and the Provost, or his/her designee, be a member of all its standing committees.~~
- ~~f. Be a member of the faculties of all Schools and Colleges.~~
- ~~g. Be responsible for University catalogs.~~
- ~~h. Conduct such studies and promote such activities in the University in those components of the University under his/her supervision, as will result in effective educational and research programs.~~

- i. ~~Disseminate among members of the University faculty and staff such information as will promote the understanding, integration, and coordination of the education program of the University.~~
- j. ~~Approve the selection and adjustment in service of all personnel under his/her jurisdiction and make recommendations for promotion and/or tenure to the Board of Trustees or the Board of Directors, as appropriate.~~
- k. ~~Eliminate so far as possible unnecessary overlapping and duplication in the offerings, functions, and services of the academic parts of the University.~~
- l. ~~Evaluate the effectiveness of personnel in the teaching, research, and administrative programs.~~
- m. ~~Assist deans and directors of divisions in preparing budgets and recommend budgets and budget adjustments for the several schools, colleges, and divisions to the President.~~
- n. ~~Serve as the appellate tribunal for students or staff members in appeals from decisions of deans or directors. The Provost, or his/her designee, shall serve as the appellate tribunal for decisions made within the parameters of the *Student Code of Conduct*, which would result in the suspension or expulsion of a student.~~
- o. ~~Arrange the schedule for regular meetings of the faculties of schools and colleges and preside at such meetings.~~
- p. ~~Interpret the by-laws, rules, and regulations of the schools and colleges.~~
- q. ~~Direct the assignment of all plant facilities, including buildings, offices, classrooms, laboratories, equipment, and land, and establish uniform rules pertaining to their proper use for all areas under his/her jurisdiction.~~
- r. ~~Direct the negotiation and administration of faculty collective bargaining agreements in collaboration with the Executive Vice President for Administration and Chief Financial Officer.~~
- s. ~~Provide executive leadership for the University's information technology initiatives and organization.~~

C. Executive Vice President for Health Affairs

The Executive Vice President for Health Affairs is the chief executive officer of the University's academic medical center, UConn Health, ~~may also be the Dean of the School of Medicine. He/she is the executive director of the University's Health Center and chief operating officer,~~ except for those operations that report to the Provost, subject to policies established by the Board of Trustees and Board of Directors. At all times, ~~he/she is~~ they are responsible and accountable to the President for the implementation and execution of administration at ~~the University Health Center~~ UConn Health.

1. The Executive Vice President for Health Affairs, also referred to as UConn Health's Chief Executive Office, is the coordinator of UConn Health's clinical and healthcare-related ~~the University's~~ programs, ~~of health care performed by the University Health Center~~, including the Schools of Medicine and Dental Medicine, and the John Dempsey Hospital. ~~He/she is~~ They are responsible to the President for the coordination and formulation of UConn Health policies, ~~the overall~~ and administration of UConn Health's clinical operations and the various administrative, business, budget, finance, and other institutional support departments ~~of~~ within the University's academic medical center ~~Health Center~~.
2. The University President may assign additional duties to the Executive Vice President for Health Affairs.
~~2. The Executive Vice President for Health Affairs shall:~~
 - ~~a. Be a member of the President's cabinet.~~
 - ~~b. Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.~~
 - ~~c. Appoint those members of the University staff who report to him/her.~~
 - ~~d. Be a faculty member in the Schools of Medicine and Dental Medicine.~~
 - ~~e. Approve the selection and adjustment in service of all personnel under his/her jurisdiction.~~
 - ~~f. Assist in preparing budgets and recommend budgets and budget adjustments for those units that report to him/her.~~
 - ~~g. Be responsible for all monies and funds of the University Health Center regardless of their source. He/she shall make provision for the safekeeping of such monies and funds and an accurate accounting of their receipt and expenditure.~~
 - ~~h. Submit regular reports to the President and to the Board of Trustees showing the financial condition of the University Health Center and all of its constituent parts and submit an annual statement showing the assets and liabilities of the various funds of the University Health Center.~~
 - ~~i. Make such reports to the State Comptroller or to other State or Federal officials as may be required on the inventories, property, and financial operations of the University Health Center.~~
 - ~~j. Serve as the appellate tribunal for staff members in appeals from decisions of staff supervised by him/her.~~
 - ~~k. In collaboration with the Executive Vice President for Administration and Chief Financial Officer, supervise the negotiation of collective bargaining agreements for the faculty and the professional staff at the University Health Center and coordinate the carrying out of the Board of Trustees' responsibilities under those contracts.~~

- ~~1.—Direct the assignment of all plant facilities, including buildings, offices, classrooms, laboratories, equipment, and land, and establish uniform rules pertaining to their proper use for all areas under his/her jurisdiction.~~

~~D.—Executive Vice President for Administration and Chief Financial Officer~~

- ~~1.—The Executive Vice President for Administration and Chief Financial Officer is the chief administrative and financial officer of the University. This position reports to the President.~~
 - ~~a.—He/she is responsible for overseeing long-range financial planning and management of the operating and capital budgets for all units of the University. Working closely with the Provost, the Executive Vice President for Health Affairs, and the other Vice Presidents, the Executive Vice President for Administration and Chief Financial Officer oversees capital and operating budget development, monitoring, and reporting; coordinates operating and capital budgets in conformity with policies set forth by the Board of Trustees; develops financial policy and plans; serves as point of contact for external agencies and partners on financial matters; acts as the President's representative on financial matters to University units and constituencies; and generally promotes the financial health and stability of the University.~~
 - ~~b.—He/she is responsible for overseeing construction, renovation, operation, logistics, and security of the University's physical plant; human resources, labor relations, and payroll; purchasing; business services; and public and environmental safety. He/she is responsible for the formulation of strategy and policies to ensure effective administration, sound business practices, safety and regulatory compliance within these areas in conformity with policies established by the Board of Trustees; serves as the University's point of contact for external agencies and partners on business and operational matters; acts as the President's representative on business and operational matters to University units and constituencies; and generally promotes the operational excellence of the University.~~
- ~~2.—The Executive Vice President for Administration and Chief Financial Officer shall:~~
 - ~~a.—Be a member of the President's cabinet and the University Senate.~~
 - ~~b.—Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.~~
 - ~~c.—Approve the selection and adjustment in service of all personnel under his/her jurisdiction.~~
 - ~~d.—Assist the President in the preparation of the University budget and coordinate the activities of the Provost, the Executive Vice President for Health Affairs, the Vice Presidents, and other University officers in preparation of those components of the budget that are under their jurisdiction.~~

- ~~e. Be responsible for all monies and funds of the University, regardless of their source. He/she shall provide for the safekeeping of such monies and funds and keep an accurate accounting of their receipt and expenditure.~~
- ~~f. Ensure the timely completion of combined University of Connecticut financial statements. Submit regular reports to the President and, through the President, to the Board of Trustees showing the financial condition of the University and all of its constituent parts and submit an annual statement showing the assets and liabilities of the various funds of the University.~~
- ~~g. Make such reports to the State Comptroller or to other State or Federal officials as may be required on the inventories, property, and financial operations of the University.~~
- ~~h. Manage and coordinate the University's responsibilities pertaining to bond financing of capital projects.~~
- ~~i. Provide support to the President, the Provost, the other Vice Presidents, and those reporting to the Office of the President by providing fiscal planning, coordination, and financial analysis.~~
- ~~j. Oversee or monitor the execution of major financing agreements.~~
- ~~k. Administer such support units of the University as the President may designate.~~
- ~~l. Assist the President in preparation of strategic and tactical operations, plans and initiatives, and coordinate the activities of the Provost, the Executive Vice President for Health Affairs, and the other Vice Presidents in the preparation of similar plans for operational units that are under their jurisdiction.~~
- ~~m. Supervise the negotiation of collective bargaining agreements with all professional staff of the University and coordinate the carrying out of the Board of Trustees' responsibilities under those contracts.~~
- ~~n. Be responsible for the development, renovation, maintenance, and safekeeping of the physical assets of the University, regardless of their source.~~
- ~~o. Manage and coordinate the University's responsibilities pertaining to construction and renovation of facilities.~~
- ~~p. Direct the University's purchasing and contracting programs.~~
- ~~q. Provide support to the President, the Provost, the Executive Vice President for Health Affairs, and the other Vice Presidents in development of operational strategy, planning, coordination, and analysis.~~

~~E. Vice President for Student Affairs~~

~~1. The Vice President for Student Affairs is the chief student personnel officer of the University, and supervises the work of the Division of Student Affairs and the Division of Health Services, and reports to both the President and the Provost.~~

~~2. The Vice President for Student Affairs shall:~~

- ~~a. Be a member of the President's cabinet and the University Senate.~~
- ~~b. Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.~~
- ~~c. Approve the selection and adjustment in service of all personnel under his/her jurisdiction.~~
- ~~d. Assist deans, directors, and department heads in preparing budgets and recommend budgets and budget adjustments in his/her area to the Provost.~~
- ~~e. Serve as an appellate agency for students or staff members in appeals from decisions of deans or department heads supervised by him/her.~~

~~F. Vice President for Research~~

~~1. The Vice President for Research is the University's chief research officer, reporting jointly to the President and Provost. Working closely with the President and the Provost, as well as other Vice Presidents and deans, the Vice President for Research is responsible for the research enterprise and the coordination of research-related operations across the University of Connecticut (i.e., its regional campuses, School of Law, School of Social Work, Graduate Business Learning Center, and University of Connecticut Health Center, including the School of Medicine and the School of Dental Medicine). The Vice President for Research oversees the Research Foundation, consistent with State Statute. He/she oversees the disbursement of funds acquired by the foundation from any source, for purposes of instruction, research, invention, discovery, development, or engineering for the dissemination of information related to such activities and for other purposes approved by the Board. The responsibilities of the Vice President for Research include compliance functions, sponsored programs, internal funding programs, animal research services, as well as overseeing several university research centers.~~

~~2. The Vice President for Research shall:~~

- ~~a. Be a member of the President's cabinet and the University Senate.~~
- ~~b. Attend all meetings of the Board of Trustees, except when the President requests otherwise. He/she may participate in discussions, but shall not vote.~~
- ~~c. In consultation with the President, Provost, and the Executive Vice President for Health Affairs, approve the selection and adjustment of service of all personnel under his/her jurisdiction.~~

- ~~d. Provide executive leadership for the University's research initiatives, including working with the President, Provost, the Executive Vice President for Health Affairs, and other Vice Presidents to create, implement, and regularly assess progress on a University-wide research agenda.~~
- ~~e. Administer such centers and institutes related to research as the President, Provost, and the Executive Vice President for Health Affairs may designate.~~
- ~~f. Act as an advocate for the University's research enterprise and economic development initiatives to constituencies inside and outside the University.~~
- ~~g. Manage the University's responsibilities pertaining to regulatory compliance in research activities.~~
- ~~h. Oversee the execution of research grants and contracts.~~
- ~~i. Oversee policies and administration of the University of Connecticut Research Foundation.~~

GD. ~~Other Vice Presidents~~ The Divisions and Executive Officers

The Divisions are administrative units with programs that serve the entire university. Divisions must integrate their programs with the general mission of the University and foster effective coordination of effort. Unless expressly approved by the Provost and in coordination with an academic school or college, divisions do not administer academic programs.

Each division shall have an executive officer, often with a Vice Presidential title, who reports to the President, Provost, or an Executive Vice President. The Division of Athletics shall report to the University President. The executive officer is responsible for the administration of the division. Each executive officer shall keep adequate records of all personnel, programs, and budgets of the division and shall submit such reports as are required.

The President may establish Divisions and define the duties of associated executive officers in the best interest of the University. The President shall report the establishment of such Divisions and positions to the Board at the first regular meeting following any such establishment.

At the Board's annual organizational meeting, the President shall provide a report on the Divisions and the executive officers, including an accurate description of duties and reporting line(s) for each executive officer.

Standing or ad hoc committees may be established by the President, the Provost, the Executive Vice Presidents, or the executive officers, as appropriate.

~~The President may establish other Vice President positions and define the duties of such positions in the best interest of the University. The President shall report the establishment of such positions to the Board.~~

ARTICLE VIII – The University Administration

The University's administration is composed of two components: (1) central and (2) schools, colleges, campuses, institutes and centers, and departments.~~of the University is determined in part by legislative enactment, in part by the Laws and By-Laws of the Board of Trustees, and in part by regulations made by the President, the University Senate, and the several faculties.~~

A. Officers of Administration

1. *Central*
 - a. President
 - b. Provost
 - c. Executive Divisions with Executive Vice Presidents
 - d. Divisions with Vice Presidents and other executive officers
 - e. Others as may be assigned.
2. *Schools, Colleges, ~~Divisions~~Campuses, Institutes and Centers, and Departments*

Each school, college, ~~division~~campus, institute, and center shall have a dean or director. Each department shall have ~~an executive officer (the head or chair)~~a department head or chair.
3. Each administrative officer shall submit to the President such reports as are requested by the President.

B. The Council of Deans

1. The Council of Deans shall consist of the deans of the schools, ~~and~~ colleges, and campuses, and such others as the Provost deems appropriate. The Provost shall convene the Council and preside at its meetings.
2. The Council shall provide a forum in which the members may discuss matters of common interest.

C. The Trustee-Administration-Faculty-Student Committee

1. *Membership*

The Trustee-Administration-Faculty-Student (TAFS) Committee shall consist of the members of the committee of the Board of Trustees having cognizance over academic matters; the President; the Provost; the Executive Vice Presidents; the Vice Presidents; the members of the Senate Executive Committee; the President, Undergraduate Student Government of Storrs; the President, Associated Student Government of Avery Point; the President, Undergraduate Student Government of Hartford; the President, Student Government Association of Stamford; the President, Associated Student Government of Waterbury; the President, Student Union Board of Governors; the Chair, Residence Hall Advisory Board; the President, Graduate Student Senate; and such other individuals serving in a non-voting capacity as may be designated.

The Chairperson of the TAFS Committee shall be ~~chosen by the Committee at its first meeting each academic year~~appointed by the University President.

2. *Functions*

The TAFS Committee shall serve as a conference committee to facilitate communication among the groups represented thereof, and shall serve as an advisory body to the Board of Trustees on matters where Board action is requested by faculty or student groups and on any others matters that the Board deems appropriate.

3. The TAFS Committee shall ~~meet at least once a year with the first meeting called by the President. Subsequent meetings shall~~ be convened by the chairperson of the TAFS Committee when ~~he/she deems~~they deem it necessary or at the request of two-thirds constituent unit members.

ARTICLE IX – The University Senate

There shall be a University Senate that shall be a legislative body for the purpose of establishing minimum rules and general regulations pertaining to the educational program and mission of the institution, ~~excluding the University of Connecticut Health Center~~UConn Health, that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties. The University Senate shall be regularly consulted by the Administration on matters pertaining to the Senate's purview.

A. Membership

The University Senate shall consist of *ex officio* and elected members. The *ex officio* members shall be the President, the Provost, all Vice Presidents, except the Executive Vice President for Health Affairs, and all Vice Provosts. These *ex officio* members shall not vote. The University President calls and presides at all meetings of the University Senate. The Provost presides in the absence of the President. Collaboratively, the President Provost shall interpret the University Senate's By-Laws, rules, and regulations. The Provost shall administer the University Senate's rules and regulations in consultation with the Senate; and the Provost, or their designee, shall be an *ex-officio* no-voting member of all the University Senate's standing committees.

The Senate shall contain one-hundred four elected, voting members, as follows:

1. Three deans of the schools and colleges, which are Senate electoral constituencies (see Section B below).
2. Seventy-two members of the faculty elected according to one or the other of the two faculty electoral processes described in Section B.2. below.
3. Seventeen professional staff members elected by and from the constituencies described in Section B.3. below.
4. Nine undergraduate students (see Section B.4 below).

5. Three graduate students (see Section B.5. below).

B. Elections

The election of faculty and other staff members to the Senate shall normally be held between October and March and shall be conducted by secret ballot. A minimum of one week, excluding time when the University is in recess, shall be allowed between the distribution and collection of ballots for Senate elections. Those elected shall take office on July 1. All elections shall be in the charge of the Senate Executive Committee and the returns shall be certified by two inspectors of election selected by the Senate.

In any Senate election, persons eligible for election who feel unable to serve shall be given an opportunity to withdraw their names from the list of candidates for nomination. The names of those persons who have withdrawn, as well as the names of persons who are completing two consecutive terms, shall be indicated on the nominating ballot.

After the election, the number of votes received by those persons who were elected and the number of votes received by nominees who were not elected must be kept on file in the Senate Office.

The Senate Executive Committee shall retain on file the number of votes cast for each candidate in each constituency for each annual class whose term has not expired, and shall designate the available candidate with the highest vote at the last previous election in the proper constituency as the alternate to replace any senator whose place will be vacant in any semester because of resignation, retirement, or leave of absence from the University. Priority in case of tie votes shall be determined by lot. Such alternates shall be designated for up to one year at a time and at the beginning of the semester unless the vacancy occurs later.

When it is known that there will be a vacancy throughout the last year or last two years of a three-year term in a constituency not otherwise scheduled to participate in a regular annual election, then that constituency will participate in order to elect a replacement to serve for the one- or two-year period remaining in the unexpired term.

The term of office of a senator shall begin on July 1 and shall extend for one year in the case of student members and for three years in the case of other elected members. Senators shall be eligible for re-election, except that a member who has completed two consecutive three-year terms shall be ineligible for re-election to serve during the year which immediately follows the period of consecutive service (which may have been extended by virtue of election to the Senate Executive Committee).

1. The Deans shall constitute a constituency from which they will elect one member each year for a three-year term.
2. Two electoral processes for faculty membership in the Senate shall be followed, (a) constituency and (b) at-large. Faculty members shall not be eligible to stand for election or to vote in the first regular election following their initial appointment to the faculty.
 - a. *Faculty constituency elections*

The voting members of the faculty of each of the following units shall, for these purposes, be deemed an electoral constituency: College of Agriculture, Health and Natural Resources, School of Business, Neag School of Education, School of Engineering, School of Fine Arts, School of Law, College of Liberal Arts and Sciences, School of Nursing, School of Pharmacy, School of Social Work, and the Avery Point, Hartford, Stamford, and Waterbury Regional Campuses. A person who is a voting member of more than one faculty will belong to only one constituency, that of the faculty in which his or her principal appointment is held. The voting members of the faculty of each constituency shall consist of all full-time faculty holding the following titles: Professor, Associate Professor, Assistant Professor, Instructor, Lecturer, Professor-in-Residence, Associate Professor-in-Residence, Assistant Professor-in-Residence, and Instructor-in-Residence.

The number of senators to be elected from each constituency will be one for each thirty faculty members or major fraction thereof within that constituency, with the proviso that each school, college, or regional campus will have at least one senator. The faculty of a school, college, or regional campus that is thus allocated more than one senator will have the option of establishing non-overlapping sub-constituencies. Senators chosen from a constituency will be elected by and from among the members of that constituency. The determination of the proper number of senators to be allocated to each constituency will be reviewed annually by the Senate. The annual schedule for electing members from each constituency shall be determined by the Senate in such a way as to provide for the optimum staggering of three-year terms within each constituency and for a reasonable degree of uniformity in the total number of members to be elected each year from all constituencies. To achieve these objectives, when a constituency becomes eligible to elect an additional senator, the initial term may be limited to one or two years in order that succeeding three-year terms may begin in the most appropriate years.

b. *At-large elections*

The number of faculty elected at-large will be the number remaining after the number of senators to be elected from constituencies is subtracted from seventy-two. Senators chosen at-large will be elected by and from the faculty electoral constituencies.

No school/college shall have more than five faculty representatives elected at-large except the College of Liberal Arts and Sciences, which may have no more than fifteen.

3. The professional staff⁺ members shall be elected according to procedures approved by the Provost and Executive Vice President for Academic Affairs from seven constituencies as described below. Staff members are ineligible to stand for

⁺For University Senate constituency purposes, professional staff shall be defined as:

- I. ~~Full time (nine month or more) management exempt employees not included in the faculty or administrative constituencies.~~
- II. ~~Full time (nine month or more, non-student) professional staff represented by the professional employees collective bargaining unit and not included in the faculty constituency.~~
- III. ~~Full time (nine month or more, non-student) professional staff represented by the faculty collective bargaining unit but not included in the faculty constituency.~~

election or to vote in the first regular election following their initial appointment to the staff.

- a. Academic Development (excluding regional campuses), who shall elect two senators.
- b. Enrollment Planning and Management (excluding regional campuses), who shall elect two senators.
- c. University libraries (excluding regional campuses), who shall elect one senator.
- d. Regional campuses, who shall elect four senators; one per campus for Avery Point, Hartford (to include Hartford, Social Work and School of Law), Stamford, Waterbury.
- e. Schools/Colleges (excluding regional campuses), who shall elect one senator.
- f. Division of Student Affairs (excluding regional campuses), who shall elect two senators.
- g. All professional staff shall elect five at-large senators.

For University Senate constituency purposes, professional staff shall be defined as:

I. Full-time (nine month or more) management exempt employees not included in the faculty or administrative constituencies.

II. Full-time (nine month or more, non-student) professional staff represented by the professional employees collective bargaining unit and not included in the faculty constituency.

III. Full-time (nine month or more, non-student) professional staff represented by the faculty collective bargaining unit but not included in the faculty constituency.

4. Five undergraduate student members representing the Storrs campus shall be appointed by the President of the Undergraduate Student Government of Storrs according to procedures established by the Undergraduate Student Government of Storrs. The Avery Point, Hartford, Stamford, and Waterbury campuses shall each be represented by one student senator appointed according to procedures established by the Associated Student Government of Avery Point, the Undergraduate Student Government of Hartford, the Student Government Association of Stamford, and the Associated Student Government of Waterbury.
5. Graduate student members shall be appointed by the President of the Graduate Student Senate according to procedures established by the Graduate Student Senate. Regional Campuses shall be represented by one student senator appointed according to procedures established by the Graduate Student Senate.

C. **FunctionsRepresentation**

~~The University Senate is a legislative body for the purpose of establishing minimum rules and general regulations pertaining to the educational program and mission of the institution~~

~~that are not reserved to the Board of Trustees, to the administration, to the Graduate Faculty Council, or to the several faculties.~~

The University Senate shall appoint ex-officio representatives to the Board of Trustees' committees, with full participation in discussion but without vote at both committee and Board meetings. Such representatives must be active members of the University Senate for the duration of their representation to a Board Committee. ~~These representatives will have the same status at Board of Trustees meetings, with voice but without vote. When executive sessions are called only those persons whose presence is necessary to provide their opinion on the issue at hand will be invited into Executive Session.~~

The University Senate will make other appointments to University committees and boards as ~~it deems appropriate~~ requested by the Chair of the Board of Trustees or the University Administration.

D. Officers

The President of the University shall be Chair of the University Senate. If the President chooses not to preside, the presiding officer shall be the Provost. A moderator shall be elected from the membership for an annual term beginning in September. At a meeting called at the request of at least twenty-five percent of the members, the presiding officer shall be the Chair of the Senate Executive Committee.

A recording secretary of the Senate, elected by the Senate, is responsible for recording and distributing minutes of Senate meetings.

E. Meetings

Meetings of the University Senate shall be held regularly at times to be fixed by the Senate. The dates and times of meetings shall be published at the start of the academic year. Special meetings of the University Senate may be called by the President or by the Secretary at the request of at least twenty-five percent of the members. Such request, with signatures, shall be presented in writing to the Secretary. The call for a special meeting shall be issued at least a week in advance of the meeting and shall state the purpose of the meeting.

On the request of any member of the Senate, if supported by four colleagues, a record vote shall be taken. These record votes shall be made a part of the minutes.

F. Minutes and Reports

Minutes of the meetings of the University Senate shall be posted on the University Senate website and sent to the University Archives. The University Archives shall be the official place for filing minutes of the University Senate.

G. Committees

1. The Senate Executive Committee

The Senate Executive Committee (SEC) shall consist of eight faculty members, two professional staff members, ~~and~~ two undergraduate and two graduate student members. The faculty and professional staff members shall be elected by the Senate from among the elected members of the Senate who are not primarily

administrators. Department heads and directors are not regarded as primarily administrators. Both the undergraduate and graduate members shall be elected from among and by all student members of their respective Senate delegation in a blanket election, wherein the top vote-getters will serve as the Senate Executive Committee representatives. At least one of the undergraduate student members of the SEC shall be a representative from Storrs and at least one shall be a representative from Avery Point, Hartford, Stamford, or Waterbury. The Undergraduate Student Government of Storrs and Graduate Student Senate Presidents or their student Senate member designee will serve as the interim student representatives to the Senate Executive Committee during the summer months until the permanent members are selected.

Elections shall follow the annual election of such members and shall normally take place in April. For faculty and professional staff members, a term of service on the Executive Committee shall begin on July 1 and shall be for three years. The term of membership in the Senate of a faculty or professional staff representative elected to the Executive Committee shall be automatically extended, if necessary, to be co-terminal. For the student members, the term of service shall be one year, renewable to a maximum of three consecutive years. After the annual election, the Senate shall elect one of the ten faculty and professional staff members to serve as Chair of the SEC for a one-year term, which may be renewed. A vacancy on the SEC shall be filled by election for the duration of the vacancy.

No school/college shall have more than one faculty representative elected in any class, except the College of Liberal Arts and Sciences, which may have as many as two representatives in any class. The College of Liberal Arts and Sciences may have no more than a total of four members on the SEC at any time and no other school/college may have more than two members at any time. No faculty or professional staff senator shall be eligible for immediate re-election to the SEC.

The SEC is responsible for organizing and coordinating the business of the Senate and of Senate committees. To this end, it shall maintain a clerical staff and an office. It shall electronically distribute an agenda for each Senate meeting to the faculty and professional staff in a timely manner in advance of the meeting. Items of an extraordinary nature may be considered at a Senate meeting which have not been included in the agenda for that meeting. It shall receive the reports of Senate committees before they are forwarded to the Senate. It also shall be available to be consulted as the voice of the Senate, especially in reference to resolutions of the Senate, which are designed to be transmitted ultimately to the Board of Trustees. SEC members shall also constitute the faculty membership of the Trustee-Administration-Faculty-Student Committee (see Section VIII.C.).

2. Committee of Three

The Committee of Three, which functions in faculty dismissal and grievance procedures, is to be constituted as follows: Each fall, after the Senate election for the Faculty Review Board ([see Article XIII.R](#)) is completed, the Nominating Committee ([see Senate By-Laws](#)) shall submit to the Senate for the election of one person to the Committee of Three for a three-year term by written ballot, a slate of at least three faculty members who are tenured professors (excluding those who have the administrative titles of department head or higher). The slate of nominees

must not represent the same department as any member whose term continues into the following year. Members of the Faculty Review Board shall not be eligible for nomination.

When a vacancy occurs in the membership of the Committee of Three, the Senate shall elect in the same manner from the faculty group in which the vacancy exists, except if the vacancy is for less than one year, then it shall be filled by the available candidate with the highest vote in the last previous election in the appropriate class and constituency.

3. Standing/Special Committees of the Senate

The Senate may appoint and define the duties of standing or special committees. The Provost shall be or assign a delegate to be an *ex officio* member of all standing committees.

Each standing committee shall keep accurate records of its proceedings and shall provide these to the SEC. Reports shall be presented to the University Senate as often as the amount and nature of business warrants, and at least annually.

Special committees shall be considered as discharged, without formal vote, when their final reports have been received by the Senate.

ARTICLE X – The Schools and Colleges

A. Membership

The faculty of each school/college except The Graduate School, the School of Medicine, and the School of Dental Medicine shall consist of the President, the Provost, the dean of the school/college, and all full-time professors, associate professors, assistant professors, and instructors belonging to departments administratively organized, regardless of campus affiliation, in the school/college and others who are appointed by the Provost either as voting members of the faculty or as associates and consultants without voting rights.

The faculty of The Graduate School shall include the President, the Provost, the Executive Vice President for Health Affairs, the Vice President for Research, and the Vice Provost for Graduate Education and Dean of The Graduate School, and all members of the University staff appointed as graduate advisors by the Provost.

The voting and non-voting faculty of the Schools of Medicine and Dental Medicine shall be defined in the by-laws of ~~the~~ those schools and shall include the President, the Provost, the Executive Vice President for Health Affairs, the Vice President for Research, and the Dean of the school.

B. Functions

The functions of each school/college are to:

1. Establish and maintain published policies and procedures, approved by the Provost in consultation with the President, describing the standards by which the school/college conducts its business.
2. Enforce the rules and regulations of the University Senate as they apply.
3. Establish degree programs in particular majors with the approval of the appropriate faculty, the dean of the school/college, the Provost, and the Board of Trustees.
4. ~~Set up~~[Develop](#) and administer curriculum and degree requirements appropriate to its mission and responsibility.
 - a. Standards more rigid or more specific than those outlined by the University Senate may be imposed by the school/college voting faculty. Standards less rigid or less specific than those approved by the University Senate may not be established.
 - b. Administer courses established by the Senate that serve to meet the curriculum requirements of the University. Establish and administer other courses in fields appropriate to the school/college provided that all courses open to freshmen and sophomores are approved by the Senate.
 - c. To suggest basic and prerequisite courses for the freshman and sophomore years and graduate programs, as appropriate.
 - d. Establish and administer other courses in fields appropriate to the school/college at the junior and senior years and graduate levels.
5. Set up admission requirements in line with the purpose and responsibility of the school/college and the University.
6. Plan and execute programs of research and service in line with the general policy of the University.
7. Monitor the academic progress of its students and assist them in educational planning and placement.
8. Maintain records and reports on students, [residents, fellows](#), staff, programs and services, and budget.
9. Integrate its program with the general program of the University and foster effective coordination of effort.
10. Secure the safety and proper inventory of all University property assigned to it for instructional, research, or service purposes.

In addition to the relevant functions above, The Graduate School facilitates graduate research and education at the University. It administers, promotes, and reviews all University post-baccalaureate educational programs and curricula, with the exception of programs in Law, Medicine, Dental Medicine, and the Sixth-year Professional Diploma in Education. It serves as an advocate for the University's research and scholarly mission.

C. Officers

The Provost ~~shall~~ may preside at meetings of the faculties of the several schools and colleges at their discretion. In the absence of the Provost, the dean of the school/college concerned shall preside and call meetings to order, or if the dean prefers, the faculty may elect a presiding officer.

The dean of each school/college shall be its executive officer. The dean shall be responsible to the Provost for the work and proper administration of the school/college.

~~Each school/college shall elect annually a secretary who will call faculty meetings to order in the absence of the Provost and the dean.~~

D. Meetings

Meetings of the schools and colleges shall be held regularly. Special meetings of the schools/colleges may be called by the Provost, ~~or by the secretary~~, at the request of at least twenty-five percent of the voting members. Such request, with signatures, shall be presented in writing to the ~~secretary~~ Provost. The call for a special meeting shall be issued at least a week in advance of the meeting and shall state the purpose of the meeting.

E. Minutes and Reports

Minutes of the meetings of the schools/colleges shall be made available to the Provost and the faculty and sent to the University Archives. The University Archives shall be the official place for filing minutes of the school/colleges.

F. The Provost shall communicate ~~in writing to the secretaries of~~ to the various schools/colleges and ~~of~~ the University Senate, insofar as they are mutually concerned, such actions by these bodies that will, impact the understanding, integration, and coordination of the academic program of the University.

G. Committees

1. *Standing Committees*

The dean of each school/college shall appoint and define the duties of standing committees.

The Provost and the dean of the school/college concerned, or their designees, shall be *ex officio* members of all standing committees.

Each standing committee shall keep a record of actions taken and records shall be made available to ~~file copies with~~ the President, and the Provost, and shall file copies with the University Archives, ~~and~~ the dean of the appropriate school/college. Reports shall be presented to the appropriate school/college by the committee chair, or designee, at least annually.

2. *Ad Hoc Committees*

Ad Hoc committees may be set up by the President, the Provost, the deans of schools/colleges, or the faculties of schools/colleges at any time. The members of ad

hoc committees shall be selected by the appropriate dean unless the faculty specifies some other means of selection.

All ad hoc committees shall report to the authority that initiated their appointment and shall be considered as discharged, without formal vote, when their final reports have been made.

~~ARTICLE XI – The Divisions~~

~~The Divisions are administrative units with programs that serve the entire university. Divisions must integrate their programs with the general mission of the University and foster effective coordination of effort. Divisions do not administer academic programs.~~

~~Each division shall have an executive officer who reports to the President, Provost, or Vice President. The executive officer is responsible for the administration of the division, including the hiring of personnel. Each executive officer shall keep adequate records of all personnel, programs, and budgets of the division and shall submit such reports as are required including an annual report to the Senate.~~

~~Each executive officer may have an advisory committee appointed by the President, the Provost, or appropriate Vice President. These committees are advisory to the executive officers of the divisions, and not to the Senate, nor are they responsible to the Senate.~~

~~Standing or ad hoc committees may be established by the President, the Provost, the Vice President or the executive officers as appropriate.~~

ARTICLE XII – The Institutes and Centers in Academic Affairs

A. Functions

The ability to make significant contributions to the University's teaching, research and outreach mission, and to solve increasingly complex problems, may be facilitated by interdisciplinary approaches that enable and encourage collaborative contributions from different disciplines. A center or institute provides a venue for activities that cannot flourish within existing academic structures. The goals of the center or institute should be congruent with those of the institution and capable of enhancing the achievement of these goals more efficiently than existing departments.

A *Center* is a unit that ordinarily has a focused mission and a clearly defined objective. It may involve a group of faculty from one or more departments from a single school/college or from different schools/colleges.

An *Institute* is a unit that ordinarily is larger, has a broader mission, and a more complex interdisciplinary focus than a center. It is expected that most institutes would involve faculty from multiple departments and schools/colleges.

B. Structure

Each institute or center shall consist of a director and participating faculty. The director will be appointed by the dean or other officer to whom the unit reports, in consultation with the Provost. The director shall be responsible for appointing any staff associated with the

center or institute. Faculty appointments and consideration for promotion and/or tenure continue to be granted through academic departments of the University.

Each institute or center must have clear lines of responsibility, usually through a dean to the Provost or to the Vice President for Research. Centers may be primarily responsible to a department head, if department heads of affiliated faculty and the relevant dean approve. Externally sponsored activities (e.g., research/training grants, donations/gifts, fees for services and contracts and letters of agreement) of institutes and centers must have prior approval from the responsible administrative officers. Each center and institute will report annually on its activities for the year to the dean or other officer to whom the unit reports.

C. Establishment and Review

The establishment of a new center or institute requires formal application to and approval from the Provost.

All centers and institutes will undergo formal review on a five-year cycle to determine their continued contribution to the University's mission, except that centers and institutes in the Schools of Medicine and Dental Medicine will be reviewed at least every seven years. Centers and institutes with academic programs shall have written procedures to conduct reviews of those academic programs on a regular cycle. The Provost will routinely inform the Board of Trustees, and if appropriate, the ~~Health Center~~[UConn Health](#) Board of Directors, of the establishment and discontinuation of all centers and institutes.

ARTICLE XIII – The Departments

A. Membership

Department members shall consist of all faculty as defined in Article X at all campuses. Each faculty member is assigned to a primary campus and when granted tenure, is tenured throughout the University. Should the primary campus cease to exist or a tenured faculty member's program be terminated at the primary campus, the faculty member would be assigned to another campus with that program.

B. Functions

Departments are organized in terms of subject matter areas. They bear definite cross-relationships, but their organization into distinct administrative units is intended to assure that the attention of small groups of faculty members will be centered on teaching, research, clinical activities, and/or service in these areas.

The functions of each department are to:

1. Develop an educational program designed to meet the needs of the students in the various schools/colleges and of other individuals and groups served by the teaching, research, clinical, and/or service programs.
2. Evaluate and improve its educational programs.

3. Recommend to the faculty of its school/college changes intended to improve the work of the department.
4. Secure the safety and proper inventory of all University property assigned to it.
5. Integrate its program with the general program of the University and to foster effective coordination of effort.

C. Officers

Each department shall have a department head ~~an executive officer (head/chair)~~ who shall represent ~~his/her~~their department and who shall report to the dean of the school/college within which the department is organized; UConn shall have department chairs. ~~Except at the Health Center, the executive officer~~Department heads shall not serve more than two consecutive terms unless the majority of ~~his/her~~their department recommends otherwise; UConn Health department chairs. ~~Health Center executive officers~~ do not have term limits.

D. Meetings

Meetings of the department may be called by the ~~executive officer~~head or chair of the department, the dean of the school/college within which the department is organized, or the Provost. Each department shall hold meetings as needed.

ARTICLE ~~XIV~~XIII – The University Staff

- A. The President shall appoint individuals ~~and members of units~~ reporting to ~~him/her~~them. All other members of the faculty and professional staff shall be appointed by the Provost, the Vice Presidents and other, Executive Officers, or their designees. Tenure shall only be granted by the Board of Trustees or as delegated to the Board of Directors.

~~Termination for cause of a tenured faculty member or the dismissal for cause of a faculty member previous to the expiration of a term appointment shall be made only by the affirmative vote of a majority of members of the Board of Trustees or for faculty in the Schools of Medicine and Dental Medicine of the Board of Directors.~~

- B. The Provost shall recommend to the Board of Trustees the categories of the professional staff to which the regulations concerning academic tenure apply. The Provost shall consult with the University Senate before making such recommendation to the Board of Trustees.

Academic freedom applies to all members of the faculty and professional staff of the university.

1. All members of the faculty, whether tenured or not, are entitled to academic freedom set forth in the 1940 Statement of Principles on Academic Freedom and Tenure formulated by the Association of American Colleges and the American Association of University Professors. The faculty member is entitled to full freedom in research and in the publication of the results, subject to the adequate performance of his/her other academic duties, but research for monetary return should adhere to University policies.

2. The faculty member is entitled to freedom in the classroom in treating his/her subject and in conducting a class. The faculty members should not contravene the free speech and academic freedom of other members of the professional staff, nor impede faculty, other members of the professional staff, or students, in their central tasks of teaching, research, and learning.

~~3. The faculty member is a citizen, a member of a learned profession, and an officer of an educational institution. When the faculty member speaks or writes as a citizen, he/she should be free from institutional censorship or discipline, but the faculty member's special position in the community imposes special obligations. As a person of learning and an educational officer, he/she should remember that the public may judge the faculty member's profession and the University by his/her utterances. Hence, he/she should at all times be accurate, should exercise appropriate restraint, should show respect for the opinions of others, and should make every effort to indicate that he/she does not speak for the institution.~~

43. Membership in the academic community imposes on students, faculty members, professional staff, and trustees an obligation to respect the dignity of others, to acknowledge their right to express differing opinions, and to foster and defend intellectual honesty, freedom of inquiry and instruction, and free expression on and off the campus. The expression of dissent and the attempt to produce change, therefore, may not be carried out in ways which injure individuals, damage institutional facilities, or disrupt classes. Speakers on campus must not only be protected from violence, but given an opportunity to be heard. Those who seek to call attention to grievances must not do so in ways that significantly impede the functions of the institution.

Students are entitled to an atmosphere conducive to learning and to even-handed treatment in all aspects of the teacher-student relationship. Faculty members may not refuse to enroll or teach students on the grounds of their beliefs or the possible uses to which they may put the knowledge to be gained in a course. The student should not be forced by the authority inherent in the instructional role to make particular personal choices as to political action or the student's own part in society. Evaluation of students and the award of credit must be based on academic performance professionally judged and not on matters irrelevant to that performance, whether personality, race, religion, degree of political activism, or personal beliefs.

It is a teacher's mastery of ~~his/her~~their subject and scholarship which entitle ~~him/her~~them to the classroom and to freedom in the presentation of ~~his/her~~their own subject. Thus, it is improper for an instructor persistently to introduce material that has no relation to ~~his/her~~their subject or to fail to present the subject matter of the course as announced to the students and as approved by the faculty in their collective responsibility for the curriculum.

Because academic freedom has traditionally included the teacher's full freedom as a citizen, most faculty members face no insoluble conflicts between the claims of politics, social action, and conscience, on the one hand, and the claims and expectations of their students, colleagues, and institutions, on the other. If such conflicts become acute and the teacher's attention to ~~his/her~~their obligations as a citizen and moral agent precludes the fulfillment of substantial academic obligations, the teacher cannot escape the responsibility of that choice, but should either request a leave of absence or resign ~~his/her~~their academic position.

54. The University, in fulfilling an essential function as a forum for the free expression of ideas, shall endeavor to preserve and facilitate the full enjoyment of constitutionally protected civil liberties.

The highest standards shall be sought in the protection of all forms of freedom of thought, expression, association, and peaceful assembly. In the exercise of these civil liberties the members of the professional staff are obliged to protect the same rights of others and to bear in mind their respective obligations to their profession and to the University.

5. Members of the professional staff shall have equal opportunity in their employment regardless of gender, race, religion, national origin, ~~or~~ disability, or other legally-recognized protected class.

~~The highest standards shall be sought in the protection of all forms of freedom of thought, expression, association, and peaceful assembly. In the exercise of these civil liberties the members of the professional staff are obliged to protect the same rights of others and to bear in mind their respective obligations to their profession and to the University.~~

C. Academic Appointment and Tenure²

Academic tenure does not confer upon any staff member the right to continued assignment to administrative responsibilities.

At the regular meeting of the Board of Trustees when the Provost makes recommendations for the promotion of faculty members and for the award of academic tenure, The the Provost shall may also recommend to the Board of Trustees any changes to the categories of the professional staff to which the regulations concerning academic tenure apply. The Provost shall consult with the University Senate before making such

² ~~The regulations concerning academic tenure in this and the following sections apply only to members of the professional staff who hold full-time appointments in certain ranks recognized by the Board of Trustees. These recognized ranks include Instructor, Assistant Professor, Associate Professor and Professor, but do not include the following: Lecturer; Professor in Residence; Associate Professor in Residence; Assistant Professor in Residence; Instructor in Residence; Clinical Professor; Associate Clinical Professor; Assistant Clinical Professor; Clinical Instructor; Research Professor; Associate Research Professor; Assistant Research Professor; Research Instructor; Research Associate I, II, III; Research Assistant I, II, III; Research Specialist; Special Research Technician; Graduate Assistant; Extension Professor; Associate Extension Professor; Assistant Extension Professor; Extension Instructor; Specialist I, II, III, IV; Assistant Instructor; University Educational Director; Department Head, Student Affairs; University Educational Assistant I, II, III; University Associate Librarian; University Assistant Librarian; University Librarian I, II, III; University Library Assistant I, II, III; University Library Specialist; University Staff Professional I, II, III, IV, V, VI; University of Connecticut Professional I, II, III, IV, V, VI, VII, VIII, IX, X, XI, XII; University Technician I, II; University Physician; Resident Educational Counselor; and titles that contain the words "University Hospital" (e.g., University Hospital Nurse I). The one exception to the standard of "full-time appointment" shall be if University of Connecticut Health Center (UCHC) faculty work full-time in total, but are employed in part by UCHC and in part by the Veterans' Administration Medical Center (VAMC), then they may be granted a tenure-track appointment. Both the tenure-track appointment and tenure, if awarded, would be co-terminus with the VAMC employment. If the VAMC employment ends, the UConn tenure also ends. If the Health Center School of Medicine or School of Dental Medicine wishes to hire the faculty member full-time, then tenure may be continued. If not, the faculty member will enter the in-residence track.~~
~~Academic tenure does not confer upon any staff member the right to continued assignment to administrative responsibilities.~~

recommendation to the Board of Trustees. No professional staff member who has not attained tenure by vote of the Board of Trustees or the Board of Directors, as set forth herein, shall have a claim that ~~he/she has~~they have tenure.

1. The terms and conditions of every appointment to the faculty will be confirmed in writing and an appointment letter will be provided to the faculty member. Any subsequent extensions or modifications of an appointment and any special understandings or any notices incumbent upon either party to provide, will be confirmed in writing and a copy will be given to the faculty member.
2. With the exception of special appointments clearly limited to a temporary association with the institution, all full-time appointments to the rank of instructor or higher are of three kinds: (1) probationary appointments in a tenure track position; (2) tenured appointments; and (3) at ~~the Health Center~~UConn Health, in-residence appointments.
3. Except for faculty members who have tenure status, every person with a teaching or research appointment of any kind will be informed each year in writing of the terms of ~~his/her~~their appointment.
4. Beginning with appointment to a full-time, tenure track position, the probationary period shall not exceed seven years, except when the original appointment is in January or as provided elsewhere in the University By-Laws. When the original appointment is in January, the probationary period shall not exceed seven and one-half years.

For faculty with primary appointments in the Schools of Medicine or Dental Medicine, the probationary period shall not exceed nine years, including within this period full-time service in all institutions of higher education, except when the original appointment is in January. When the original appointment is in January, ~~Then~~ the probationary period shall not exceed nine and one-half years.

New appointees to tenure track positions with prior service in a tenure track position at another university may, in consultation with their deans and department heads, be granted up to a full probationary period at the time of hire. The probationary period agreed upon in the candidate's offer letter will be viewed as the tenure track period.

Ordinarily, a leave with or without pay awarded for the purpose of pursuing scholarly research activities shall be included in the probationary period toward tenure.

A leave of at least one semester for personal or other reasons ordinarily will not be included in the probationary period toward tenure. Such leaves must be requested in writing by the faculty member and granted in writing by the department head, dean, and the Provost.

Faculty members who provide timely notice to the University that they have experienced a qualifying major life event, as defined under the Federal Family and Medical Leave Act, prior to their mandatory tenure evaluation year will receive an automatic one-year extension of the tenure clock.

For faculty with primary appointments in the School of Medicine, significant life events may be allowed to increase the probationary period leading to promotion or

tenure for a maximum of twenty-four months. An increase in the probationary period leading to promotion and/or tenure is automatically awarded when the faculty member takes leave documented and approved under the Federal Family Medical Leave Act, the State of Connecticut Family Medical Leave Act, or the State of Connecticut Workers' Compensation Act. Alternatively, the faculty member may request an increase in the probationary period to promotion and/or tenure even when the above criteria are not met, but when the faculty member's productivity is affected by a significant life event. Such requests must be approved by the Department Chair and the Dean or ~~his/her~~their designee per the School of Medicine By-Laws.

Given the disruptions presented by the COVID-19 pandemic and how they impact scholarly productivity, faculty holding tenure-track appointments are offered a one-year extension to the tenure clock. This extension shall apply to tenure-track faculty members who were employed by the University on March 1, 2020 and whose tenure case is scheduled to be reviewed in the 2020-2021 academic year or later. This extension shall also apply to tenure-track faculty members who began their employment between March 2, 2020 and March 1, 2021. The Provost's Office shall implement a procedure for eligible faculty to request the extension.

Faculty who wish to be considered for promotion and tenure before the end of the probationary period will be evaluated by the same standards applied to faculty who have taken the full probationary period.

The University ordinarily requires of all new appointees a probationary period of at least one year in this institution, but tenure may be granted at any time thereafter and before the expiration of the maximum probationary period by vote of the Board of Trustees or by for the faculty of the Schools of Medicine and Dental Medicine, by vote of the Board of Directors. In appropriate circumstances, tenure may be granted by the Board of Trustees or by for the faculty of the Schools of Medicine and Dental Medicine, by vote of the Board of Directors upon arrival at the University at the rank of Professor, and, in special circumstances, at the rank of Associate Professor.

Following award of tenure, a faculty appointment shall be terminated only for adequate cause or under extraordinary circumstances of financial exigencies.

5. Regardless of the stated term or other provisions of any appointments, written notice that a probationary appointment is not to be renewed will be given to the faculty member in advance of the expiration of ~~his/her~~their appointment as follows: (1) Not later than March 15 of the first academic year of service, if the appointment expires at the end of that year or if a one-year appointment does not coincide with an academic year, at least three months in advance of its termination; (2) not later than December 15 of the second academic year of service, if the appointment expires at the end of that year or if an initial two-year appointment terminates during an academic year, at least six months in advance of its termination; (3) at least twelve months before the expiration of an appointment after two or more years of service at the institution. The institution will normally notify faculty members of their renewals by March 31.

D. Policies and Procedures Relating to Rank, Salary, and Advancement

1. Tenure and promotion in the professorial ranks will be granted only to persons of outstanding achievement consistent with standards expected of a top public research university. Specific evidence of superior performance in scholarship and in teaching is of primary importance. As a minimum standard for tenure and/or promotion, there must be evidence of strong performance in both scholarship and teaching and superior achievement in at least one of these areas. In addition, other contributions to the University will be considered. In individual cases where it is demonstrated that there has been meritorious professional service through which the faculty member has achieved distinction in the profession, such service may also receive significant weight. Ordinarily, those in the rank of assistant professor are not eligible for tenure. Continued reappointment of a person in a tenure track position, after it is clear that he/she will not become eligible for permanent tenure, cannot be justified on grounds of immediate convenience. In ~~his/her~~^{their} own interest and that of the University, ~~he/she~~^{they} should be released after the customary notice.

Policies for promotion should operate to advance the most promising. These policies should give careful consideration both to current performance and to future promise and should convey an assurance of impartiality by recognizing and rewarding accomplishment and by maintaining opportunities for advancement. To do this, the policies must be based on merit, determined on the broadest possible basis.

The value of a faculty member to the University results from the possession of a number of different qualities. In enumerating certain of these, it is not intended to formulate a rigid set of standards, nor to require that all faculty attain a stated minimum in each of the items. The value of each person is rather to be judged by both strengths and weaknesses so as to arrive at an estimate of ~~his/her~~^{their} total contribution. No fixed numerical weighting can be prescribed, though greater emphasis should be placed on scholarship, teaching ability, and activity in research than on other characteristics.

A faculty member's salary is in large part a payment for services rendered; however, it includes an additional element that is an investment by the University in the potential of the individual. Adequate salaries permit the assumption of the expenses of travel and study and other professional and cultural activities and provide an appropriate standard of living. Inadequate salaries are contrary to the interest of the University because they impede faculty development or force faculty to supplement earnings at the expense of the time needed for study, thought, and research – and may, of course, even involve the loss of valuable faculty.

2. *Qualities desired in faculty of all ranks*

All candidates for appointment to the faculty and for promotion are expected to show satisfactory attainment and continuous growth in the following qualities, though in varying degrees and in different proportions. The individual's accomplishment in each should be evaluated on every occasion when advancement in salary or rank is being considered and particular care must be exercised when it is proposed to grant tenure.

- a. Professional competence in the field of ~~his/her~~ specialization, as evidenced initially by the record of ~~his/her~~ training and scholastic achievement, and later by the opinion of professional colleagues here and elsewhere; and in certain fields by the execution of professional commissions, the giving of expert testimony, and the like;

or by the holding of a license for professional practice, where licensing has been established.

- b. Teaching ability and performance, beginning with the capacity to excite interest and evoke response in students, to broaden their outlook, to impart knowledge, to see and convey relationships, to encourage the faculty of criticism, and to stimulate a sense of inquiry. The educational role of the faculty member is not confined to the classroom. It should include willing, skillful attention to the individual student who can profit from additional help in the mastery of a course to which the faculty member is assigned. Also important is the role of academic advisor, who will encourage students to gain as much as possible from the educational resources of the University and will guide the student to the proper selection of courses consistent with ~~his/her~~ their academic and life goals.
- c. Research and such other scholarly activity as gives evidence of the effective utilization and continuing development of ~~his/her~~ their natural endowment. This will ordinarily result in publications, lectures, or papers at professional meetings and should in any case be reflected in the vitality of the person's teaching. Such activity will take many forms, some of which are:
 - i. Study, including reading, laboratory work, and museum work;
 - ii. Membership and appropriate activity in one or more professional societies;
 - iii. Writing—creative, critical, analytical, summarizing, or editorial;
 - iv. Creative work in other fields, such as the arts and engineering;
 - v. Research, including both the routine application of known techniques to the accumulation and analysis of new data, and the invaluable gift of making fundamental contributions to knowledge;
 - vi. Creative activity in the arts and the ability to make research contributions of the second or fundamental kind will be especially noted and rewarded.
- d. Although the qualities listed above should receive the greatest consideration, the following items bearing on professional fitness also should be taken into account, particularly when new appointments are being made and at the end of the probationary period.
 - i. Personal attributes; integrity, industry, open-mindedness, objectivity, ~~friendliness~~ collegiality, effectiveness in speaking, capacity for leadership and cooperation, breadth of intellectual interests.
 - ii. Concern for the educational, social, and personal welfare of students, such as is demonstrated, for example, by success in mentoring.
 - iii. Willingness and ability to assist in the various types of service which a state University renders, in the answering of inquiries, the giving of advice, the conduct of surveys, and the like.

- iv. Competence in fulfilling responsibilities toward democratic University government, as in department and faculty meetings, committee work, and administrative duties.
 - v. A ~~sympathetic but discriminating interest in~~ commitment to the development of the University, and the assumption of a share of responsibility for the efficient execution of its functions; or in the case of recent appointees, indication that this interest will develop in due time.
2. In addition to these general desiderata, the following qualifications will be borne in mind in making appointments or promotions to the several ranks.

a. *Qualifications for instructors*

- i. Training or experience appropriate to the performance of ~~his/her~~ their assigned responsibilities. In many cases, this will mean the possession of, or evidence of, substantial progress toward the appropriate terminal degree.
- ii. A consensus on the part of those qualified to judge that the faculty member's training, experience, and interest in ~~his/her~~ their subject are appropriate for the performance of the duties to be assigned.
- iii. For certain types of teaching, it may be more desirable to appoint persons whose qualifications will not ordinarily entitle them to advance into the upper levels of rank and salary.

Note: Two years is regarded as the maximum length of service in the rank of instructor for those in a tenure track position.

b. *Qualifications for assistant professors*

- i. The possession of the appropriate terminal degree.
- ii. A record of success in ~~his/her~~ their work. Information considered may include the judgment of colleagues, information from students, and occasionally the progress and achievement of ~~his/her~~ their students.

c. *Qualifications for associate professors*

Continued growth in the qualities desired in all faculty members, especially evidence that ~~he/she is~~ they are keeping ~~abreast of the times~~ current in method and subject matter, and a consensus among colleagues that the faculty member is making a substantial contribution to the advancement of knowledge in ~~his/her~~ their field.

d. *Qualifications for professors*

- i. Service here or elsewhere as an associate professor of at least five years except when there is evidence that ~~he/she is~~ they are of superior ability as compared with other associate professors.
 - ii. Evidence that ~~he/she is~~ they are regarded by colleagues within and outside the University as a capable, mature teacher, and a recognized scholar.
- e. *Special Title: Board of Trustees Distinguished Professor*
- i. The title “Board of Trustees Distinguished Professor” should be reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut.
 - ii. The Board of Trustees Distinguished Professor title will be conferred as a result of a peer review process. The Review Committee will solicit and evaluate all nominations. The Review Committee will obtain detailed information including materials from external sources and recommend candidates to the Board of Trustees via the Provost.
 - iii. The title will normally be awarded to three persons per year. Up to five persons per year may be recognized when the total number of Board of Trustees Distinguished Professors drops below 5% of the full professors on the active faculty.

f. *Special Title: University Professor*

i. From time to time, upon the recommendation of the Chair, the Board of Trustees may confer the title of “University Professor” upon a full professor who has demonstrated the highest level of distinction and service to the University.

4. Procedure for Reviews of Faculty

- a. The status of every faculty member with regard to salary and/or rank shall be considered at least once each year. The head of the department shall ordinarily be responsible for seeing that this is done.
- b. It is the duty of each department head to conduct a continuing appraisal of the work and potentialities of the people in the department and by informal consultation, to ascertain the views of the other members of the department. It is ~~his/her~~ their responsibility not only to give ~~his/her~~ their own appraisal, but also to transmit that of ~~his/her~~ their colleagues within the department. In this connection, it should be emphasized that all such evaluations are to be based on the criteria listed above. Recommendations resulting from these reviews, with supporting data, shall be sent to the dean of the school or college, and by the dean, with ~~his/her~~ their own recommendations, to the Provost.

5. Promotion and tenure procedures

In considering nominations for promotion and tenure research activities of candidates shall be judged by those who are competent in the general field, who have read the publications of the candidate, and who are familiar with the progress of ~~his/her~~[their](#) unpublished work. Care must be exercised to determine the actual amount and true nature of the work. Undue emphasis should not be placed upon mere volume of publication.

- a. In view of the paramount importance of good teaching and the difficulty of judging the quality of a teacher's performance, student evaluations shall be taken into consideration during promotion and tenure decisions. Student evaluations shall be conducted according to procedures approved by the University Senate. Caution must be observed to discount mass prejudices and to avoid overestimating the impressions of the moment, which may well be different from the considered judgment of later years. Student evaluations shall also be available to deans and heads of departments.
- b. The Provost will accept and may request new information about a candidate's qualifications at any step in the promotion/tenure process.
- c. Final action on promotions and tenure will be based on all available evidence, and will be taken by the Board of Trustees or the Board of Directors for ~~Health Center~~[UConn Health](#) faculty, upon the recommendation of the Provost, following receipt of recommendations from the dean of the college, the department head, and such other officers as may from time to time be charged with this responsibility.

~~For procedures~~[Procedures](#) on promotion and tenure, ~~go to the~~[are disseminated via the](#) Provost's website.

~~For procedures~~[Procedures](#) on promotion and tenure for the Schools of Medicine and Dental Medicine, ~~go to the~~[are disseminated via the UConn Health](#) ~~Health Center~~ Faculty Handbook.

E. Professional Staff Loads

1. While faculty members are employed for a variety of duties, as a general rule the University will expect to assign to each duties that are reasonable and consistent with good and effective practices in teaching, research, clinical care, and outreach. In addition, faculty will be expected to carry a reasonable amount of ordinary departmental duties and routine committee responsibilities and to undertake those activities of self-improvement and professional development which are part of every faculty member's investment in ~~his/her~~[their](#) own future.

In determining teaching contact hours and clinical care assignments, consideration will be given to unusually heavy responsibilities for student advising, chairing committees, research projects, unusually large courses, and assigned administrative duties.

Assignment of duties will be made by the appropriate deans and department heads consistent with University policy. Insofar as it is possible, these assignments should take into account the aptitudes and wishes of individual staff members and their opportunities for long-run professional development.

2. Except in the Schools of Medicine and Dental Medicine, the teaching duties of each department shall generally be regarded as a joint responsibility of all its members to be divided as far as possible by mutual agreement, with proper attention to the interests and activities of all. It shall be the responsibility of the department head to make decisions when agreement is lacking and to submit the complete schedule of teaching engagements to the appropriate dean.

The preceding paragraph does not imply that teaching loads and assignments of individual faculty members are to be determined by departmental vote. Departmental action is to be limited to consideration of general policy.

In settling details of teaching schedules within the department or in meeting situations that arise on short notice, the department head has three responsibilities:

- a. The department head must understand and put into effect the general policies and programs of the University.
- b. The department head must act on behalf of and as a representative of the department. All faculty of the department should participate in the formulation of general departmental policy and assess the department's professional program, both for long-run development and current decisions.
- c. The department head has a responsibility to discuss with individual faculty ~~his/her~~ their ambitions and aspirations within the University and the profession. While no one is completely a free agent to lay out a program exactly as one pleases, scholarly activity flourishes best in an environment of self-direction and self-propulsion.

F. Resignation of a Faculty Member

A faculty member planning to resign is expected to give notice in writing at the earliest opportunity.

G. Termination of Appointments by the Institution

1. Dismissal, defined as termination of a tenured appointment, or a special or probationary appointment, or for faculty in the Schools of Medicine or Dental Medicine, an in-residence appointment before the end of the specified term, may be effected by the institution only for adequate cause. Dismissals will be pursuant to the procedure specified in Section H, below.
2. Non-reappointment of a special, probationary, or in-residence appointment at the end of the specified term shall not be ~~defined as~~ deemed a dismissal.
3. Where termination of appointment is based upon financial exigency, or bona fide discontinuance of a program or department of instruction, Section H will not apply, but faculty members shall be able to have the issues reviewed under the grievance procedure as provided in Sections ~~S~~ R and ~~T~~ S.

In every case of financial exigency or discontinuance of a program or department of instruction, an affected tenured faculty member will be given notice as soon as possible and never less than 12 months' notice, or in lieu thereof, ~~he/she~~they will be given severance base salary for 12 months. Before terminating a tenured or probationary appointment because of the abandonment of a program or department of instruction, the University will make every effort to place affected faculty members in other suitable positions. If a tenured or probationary appointment is terminated because of financial exigency or because of the discontinuance of a program of instruction, the released faculty member's place will not be filled by a replacement within a period of two years from the date of termination unless the released faculty member has been offered reappointment and a reasonable time within which to accept or decline it.

H. Dismissal Procedures

1. a. Adequate cause for dismissal will be related directly and substantially to the fitness of the faculty member in ~~his/her~~their professional capacity as described in Section D. Dismissal procedures will not be used to restrain faculty members in the exercise of their academic freedom ~~or their rights as citizens~~.
- b. For faculty with primary appointments in the School of Medicine or the School of Dental Medicine, adequate cause for dismissal may also include unsatisfactory job performance, as determined in part by the post-tenure review process described in the faculty member's school by-laws.
2. a. If circumstances arise that, on their face, cause the Provost to anticipate the reasonable possibility of dismissal being recommended for a faculty member with tenure, or with a special or probationary appointment or for faculty in the Schools of Medicine or Dental Medicine, in-residence appointment before the end of the specified term, the Provost or ~~his/her~~their representative will initiate discussion of the matter with the faculty member looking towards a mutually acceptable ~~settlement~~resolution.
- b. If such ~~settlement~~resolution is not reached, the question will be referred to the Committee of Three within 14 days³ (within this Section H, all references to days are calendar days) of the invitation of the administration to the faculty member to discuss the matter. The Committee of Three will proceed to an informal inquiry, including further attempts at conciliation. The Committee shall report to the Provost within 14 days from the date it enters the case its recommendations based on the results of its inquiry and efforts toward conciliation.
- c. If continued action seems to the Provost to be warranted, then ~~he/she~~they or ~~his/her~~their representative, taking into account the report of the Committee of Three, shall frame with reasonable particularity a statement of charges. The statement will then be provided to the concerned faculty member within 14 days after the Provost receives the recommendations of the Committee of Three.
3. A dismissal, as defined in Section G.1. must be preceded by the sequence of steps outlined in Section H.2., culminating in the statement of charges provided for in Section H.2.c. Both the individual concerned and the Provost will have the right to

³ ~~All references to days are to calendar days.~~

have the matter heard by a [hearing](#) committee of five. In order to exercise this right, the faculty member, or the Provost, within 7 days of the faculty member's receipt of the statement of charges must request the Committee of Three to form a hearing committee [of five](#).

The essential functions of the hearing committee are to assemble and review pertinent information and to make appropriate recommendations. In constituting a hearing committee, the Committee of Three shall choose a panel of nine members of the faculty from which either party, within 5 days of notification of the panel, may strike not more than two names. In the event that more than five names remain after the completion of this process, the Committee of Three shall select five to serve as the hearing committee.

The hearing committee shall, within 7 days after its appointment, select its own presiding officer and promptly notify the Provost and the Committee of Three of the name of the presiding officer and the date of selection. ~~If the hearing committee wishes to retain independent counsel, prior approval of the Attorney General of the State of Connecticut is necessary.~~ The University will bear any costs of the hearing procedure, except that the individual [faculty](#) concerned will be responsible for any fees ~~he/she~~[they](#) ~~incur~~ may incur for counsel, expert witnesses, and other defense expenses, and for the expense of any witness provided for the individual by the University.

Within 7 days of the selection of a presiding officer for the hearing committee, written notice of specific charges will be served. The faculty member may respond to the charges in writing within 7 days.

The date for the hearing shall not be set until the faculty member has responded or the time limit for such response has expired. If the faculty member denies the charge against ~~him/her~~[them](#), asserts the charges do not support a finding of adequate cause, waives ~~his/her~~[their](#) right to appear, or refuses to participate in the hearing in person or in writing, the hearing committee will evaluate all available evidence and rest its recommendation upon the evidence in the record.

- a. Since the hearing deals with personnel matters, it shall be closed unless the faculty member requires in writing that it be open.
- b. During the hearing the faculty member will be permitted to be represented by or to have with ~~him/her~~[them](#) an academic adviser and/or legal counsel of ~~his/her~~[their](#) own choosing. The Provost may be accompanied by or represented at the hearing by a delegate and/or legal counsel of ~~his/her~~[their](#) own choosing.
- c. At the request of either party or the hearing committee, representatives of professional organizations shall be permitted to attend the hearing as observers.
- d. A verbatim record of the hearing or hearings will be taken and a typewritten copy or legible facsimile thereof will be made available without cost to the faculty member and to the hearing committee. The requirement of a verbatim record may be waived by mutual consent of the hearing committee and both parties.
- e. The burden of proof that adequate cause exists rests with the institution and shall be satisfied only by clear and convincing evidence in the record considered as a whole.

- f. The faculty member will be afforded ~~an~~ a reasonable opportunity to obtain necessary witnesses and documentary or other evidence, and the administration of the institution will, insofar as it is possible for it to do so, secure the cooperation of such witnesses and make available necessary documents and other evidence within its control.
 - g. The hearing committee may grant adjournment to enable either party to investigate evidence as to which a valid claim of surprise is made.
 - h. The faculty member and the administration will have the right to confront and cross-examine all witnesses. Where the witness cannot or will not appear, but the committee determines that the interest of justice require admission of his/her/their statement, the committee will identify the witness, disclose his/her/their statement, and if possible, provide for written interrogation.
 - i. In the hearing of charges of incompetence, the testimony shall include that of qualified faculty members from this or other institutions of higher education.
 - j. The hearing committee will not be bound by strict rules of legal evidence and may admit any evidence which is of probative value in determining the issues involved. Every reasonable effort will be made to obtain the most reliable evidence available.
 - k. The findings of fact and the decision will be based solely on the hearing record.
 - l. Except for such simple announcements as may be required covering the time of hearing and similar matters, public statements and publicity about the case by the hearing committee, the faculty member, and the administrative officers will be avoided until the proceedings have been completed, including consideration by the Board of Trustees. The Provost and the faculty member will be notified of the recommendation in writing and will be given a copy of the record of the hearing.
4. The hearing committee shall report to the President within 30 days after the selection of its presiding officer. The committee may conclude, and shall report to the President, that the conduct with which the faculty member is charged (a) merits dismissal or (b) does not merit dismissal. If the committee concludes that the conduct does merit dismissal, but that there are circumstances that warrant clemency, it will so recommend, with supporting reasons. If the committee concludes that the conduct does not merit dismissal, (a) it may recommend that the conduct does not merit any disciplinary action or (b) it may recommend a penalty short of dismissal.
5. If the President does not accept the recommendation of the hearing committee, he/she/they will state the reasons therefore to the hearing committee and to the faculty member within 14 days after receiving the report of the hearing committee. The hearing committee shall, within 14 days, prepare a rejoinder or notify the President that it intends no rejoinder. The President shall within 10 days notify the faculty member, the hearing committee, and the Committee of Three of his/her/their decision in the case, together with reasons therefore if ~~he/she does~~ they do impose a penalty.

The President shall report to the next meeting of the Board of Trustees any action taken by him/her/them in response to the report of a hearing committee. If dismissal or other

penalty is invoked, the faculty member may within 30 days request the President to notify the Board of Trustees that the faculty member wishes to appeal the decision. The President shall then within 10 days transmit to the Board the record of the case. In such an event, the Board's review will be based on the record of the committee hearing and the correspondence between the hearing committee and the President after the hearing committee makes its recommendation. This review will provide opportunity for argument, oral, written, or both, by the principals at the hearing or by their representatives. Such a review must be scheduled within 21 days of the date on which the Board members are supplied with the record. Since the review deals with personnel matters, it will be closed unless the faculty member requires in writing that it be open. If the Board disagrees with the recommendations of the hearing committee, it will so notify the committee with specific objections. Taking into account the stated objections, and receiving new evidence if necessary, the committee will then reply to the Board within 10 days. The Board will make a final decision only after study of the committee's reply.

6. Expeditious completion of these procedures is in the best interests of all parties concerned. The time limits specified are maxima. The Committee of Three may, upon request, extend, for cause, any of the foregoing time limits.

I. Suspensions

Until the final decision on dismissal has been reached, the faculty member may be suspended, or assigned to other duties in lieu of suspension, only if immediate harm to ~~him/herself~~themselves or others is threatened by ~~his/her~~their continuance. Before suspending a faculty member, pending an ultimate determination of his status, the administration will consult the Committee of Three. Suspension is appropriate only pending a hearing; a suspension which is intended to be final is a dismissal, and will be dealt with as such. Salary will continue during the period of suspension.

J. Terminal Salary

Tenured faculty who are dismissed for reasons other than moral turpitude will receive their salaries for at least a year from the date of notification of dismissal, whether or not they are continued in their duties at the University. Faculty members on probationary appointment will receive their salaries to the end of the current year.

K. Retirement

1. A faculty member's decision to retire is understood to be an individual one, but in the interests of continuity and proper academic planning, it is expected that the faculty member will give notice of their plans at the earliest opportunity.
2. Any member of the faculty, who at the time of retirement is at the University of Connecticut and either retires under the provisions of the State Retirement Act or the Alternate Retirement Plan, may be awarded emeritus status. Also eligible is any member of the faculty who retires from an affiliated institution and whose promotion to rank of Professor in the School of Medicine or School of Dental Medicine was based on scholarship after review by the Senior Appointments and Promotions Committee, Dean, and Provost.

Appointment to emeritus status requires a positive vote of the Board of Trustees or, for faculty members with primary appointments in the School of Medicine or School of Dental Medicine, the UConn Health Center Board of Directors (~~for faculty members with primary appointments in the School of Medicine or School of Dental Medicine~~).

Candidates from Storrs or regional campuses may be presented to the Board of Trustees when both of the following conditions are met:

- a. The faculty member holds the rank of Associate Professor or full Professor or equivalent titles for clinical, in-residence and extension [CIRE] faculty at the University of Connecticut.
- b. The faculty member has served at the University of Connecticut for at least five years.

Candidates from the School of Medicine or School of Dental Medicine may be presented to the ~~Health Center~~ UConn Health Board of Directors when both of the following conditions are met:

- c. The faculty member holds the rank of Professor.
- d. The faculty member has served at the University of Connecticut for at least five years at this rank.

There shall be a standing University of Connecticut Emeritus Committee and a standing ~~University of Connecticut Health Center~~ UConn Health Emeritus Committee. Faculty members who do not meet both conditions described in Section 2a and Section 2b, or Section 2c and 2d, above may become emeriti by vote of the Board of Trustees or the ~~Health Center~~ UConn Health Board of Directors following recommendation of the President and the appropriate Emeritus Committee. Other professional staff are also eligible for this designation following recommendation of the President and the appropriate Emeritus Committee.

3. It is in the interest of the University that faculty emeriti continue their scholarly, teaching, and/or service activities. The University encourages the maintenance of informal and scholarly contacts between retired faculty members and their active colleagues and may provide working space, equipment, library facilities, and the like to all who can demonstrate reasonable need. Such support will be contingent upon availability of resources. Deans and department heads, where appropriate, may invite emeriti for professional activities such as lecturing, serving on academic committees, and advising students.
4. Emeritus status is a privilege, not a right, and can be revoked at any time at the request of the President and/or appropriate Emeritus Committee with approval of the Board of Trustees or the ~~Health Center~~ UConn Health Board of Directors for emeritus School of Medicine and School of Dental Medicine faculty.

L. Leaves of Absence

1. *Sabbatical Leaves*

- a. Sabbatical leave is a privilege to be applied for in each case and is in no instance to be considered an earned perquisite. Such leaves may be granted on application for the purpose of the advancement of knowledge or professional improvement of mutual benefit to the University and the individual. Following such leave, individuals are obligated to return to active service at the University for a minimum of one year. The applicant should file a specific written application accompanied by a statement as to how the leave is to be used. This application should be approved by the department head, the dean or director, and the Provost or the appropriate Vice President, who will in turn submit it to the Board of Trustees with ~~his/her~~their recommendation.

The privilege is open to all full-time teachers who have at least the rank of assistant professor or a corresponding rank and who have been in continuous full-time service at the institution for at least six years; continuous full-time service is defined as full-time service for at least twelve of the fourteen semesters preceding the leave. Following a grant of sabbatical leave, the privilege may be renewed after an additional period of continuous full-time service of at least six years. Exceptions in regard to continuous service may be made upon recommendation of the Provost or the appropriate Vice President and the approval of the Board of Trustees or the Board of Directors. The receipt of grant or fellowship funds to cover travel and other expenses incidental to the leave will not ordinarily impact the granting of a request for such leave.

- b. The duration of the leave granted shall be determined by the Provost or the appropriate Vice President. ~~Six years of continuous full-time service is defined as full-time service for at least twelve of the fourteen semesters preceding the leave.~~ Each sabbatical leave eligibility is for a period of one year (two semesters). Leave may be taken for the full period at half pay or for up to half the period at full pay. After each period of eligibility in which a sabbatical leave is taken, whether for all or a portion of the period, there must be at least six years of continuous full-time service before the next eligibility period. Thus, the timing of eligibility periods is not affected by whether leave is taken for a full period at half pay or for up to half the period at full pay. Faculty members in tenure track positions are not eligible for sabbatical leave before the last year of their probationary periods.

Leave for faculty members employed on an eleven months' basis or for faculty in the Schools of Medicine and Dental Medicine may be for a period of up to six months with full pay or for a period up to twelve months with half pay.

- c. All sabbatical leaves, regardless of whether they are at full or reduced pay, are considered regular full-time service to the University. Any compensation the faculty member will receive during a sabbatical must be addressed through applicable University policies.
- d. Members of the professional staff are in a separate classification. They shall make their application directly to the President if the unit reports to the President or to the Provost or appropriate Vice President, if any.

- e. The recommendation of the head of the department and of the dean in charge of the school/college shall accompany applications for leave. All applications should be made at least one year prior to the date when the leave is to begin. Ordinarily the grantee will receive notification that the leave is granted at least two months before the end of the semester previous to the one in which the leave is to begin.
- f. Arrangements for carrying on the duties of a person on leave shall be made by the appropriate department head and dean in consultation with the faculty member as appropriate. The department head is expected to certify whether or not the work of the department can be carried on if the leave is granted substantially as it would have been otherwise. If courses would have been withdrawn or other changes made in the department's program even without the granting of the leave, the changes may be made during the leave, but it is not expected that sabbatical leaves will be granted if they must be taken at the expense of the students or of the regular departmental program.
- g. If an approved leave is postponed for a period by the administration, the applicant's next leave may be granted for the year in which it would have fallen if there had been no such postponement.
- h. Sabbatical leaves are granted in the expectation that the recipient will resume ~~his/her~~their previous duties at the end of the leave. Ordinarily the staff member will return at the same rank and salary which ~~he/she~~they had when the leave began. This understanding, however, is subject to the qualification (which applies in the same degree to those in residence) that the Board may sometimes find it necessary to change the compensation or duties of some or all staff members because of changes in enrollment, financial exigencies, or other circumstances beyond its control. The grant of leave does not change the tenure-status of the recipient.
- i. After leave has been taken, a written report of the work done shall be made to the officer who approved the leave through the department head and the dean or director.
- j. The Provost may approve exceptions to these sabbatical provisions, as deemed appropriate.

2. *Leave Without Pay*

Leave of absence without pay may be granted by the President for those units which report to the President or by the Provost or the Vice Presidents for reasons considered to be in the best interest of the University and in the interests of professional growth and improvement of the staff member concerned. All such cases are treated as special cases and are in the first instance, ordinarily granted for up to a year. Professional staff members desiring such leaves should apply through their department heads and deans and through the appropriate Vice President, if any. ~~A report of all such leaves as are granted shall be made to the Board of Trustees for their information.~~

3. *Military Leave*

Members of the professional staff are eligible for military leave consistent with applicable state and federal law. Part-time employees will be granted such leave if they have worked the equivalent of six months or more full time. Thus, an employee would become eligible after twelve months of half-time employment, etc.

4. *Sick Leave for Faculty With or Without Pay*

Each case is considered separately and involves careful consideration of length of service, nature of the illness, and anticipated length of disability. Application for sick leave should be made not later than ten days after the staff member's return to work.

5. *Other Regulations Regarding Leave of Absence*

- a. No employee of the University of Connecticut shall receive leave with pay for service in any elective political office.
- b. No member of the professional staff shall be absent from ~~his/her~~their duties at the University except by permission of the department head. Short leaves to cover emergency situations may be granted by the department head, who will make such arrangements as are feasible to re-assign the absent staff member's work. In each such case, the department head will file a record of the matter with the dean. No such emergency leave shall be granted for a longer period than ten days without previous permission of the President for those units which report to the President or by the Provost or the appropriate Vice President.
- c. Except in very unusual circumstances, no tenured or tenure track faculty member may receive a leave of absence to accept a tenured or tenure track position with another University. Such exceptions will require advance approval from the Provost and President, and any such leave, which shall be unpaid, shall not exceed one academic semester.

M. No employee in the service of the University shall devote to private purposes any portion of the time due the University without consent as may be provided in established University policy. Faculty members may take on outside consulting and research activities after the specific project has been approved by the Provost. Nothing herein contained shall be construed as authorizing any private practice by any full-time member of the faculty of either the School of Medicine or the School of Dental Medicine.

~~**N.** To the extent that there are facilities available, University employees are entitled to receive from the University unit responsible for student health services immediate care for injuries incurred in the line of duty. This unit is to offer limited primary medical care services to University employees within available resources on a fee for service basis.~~

~~**O.**~~ **N.** The University Libraries shall be used by faculty, staff, and students and as appropriate, by the citizens of the State.

~~**P.**~~ **Q.** Members of the faculty are permitted to audit courses without payment of fees, subject to consent of instructor, and availability of library, laboratory, and classroom facilities.

QP. No full-time member of the professional staff may take for credit any academic work at this institution or elsewhere during that employee's regular working hours, without prior written approval of the President for those units that report to ~~him/her~~them, the Provost, or appropriate Vice President.

RQ. The existence of a family relationship to a staff member does not affect the eligibility of any person for employment by the University. To avoid potential conflict between personal and institutional interests, no staff member may be assigned responsibility for supervising the work of a relative consistent with the University's policy on nepotism.

SR. University Faculty Grievance Procedure⁴

This procedure applies to individual grievances not covered under Article XIII.G, H, and I.

If a member of the faculty under the jurisdiction of the Provost, excluding the faculty in the Schools of Medicine and Dental Medicine, believes there is a cause for grievance which cannot be resolved by ordinary means, the complainant may request the Committee of Three (Article IX.G.2.) to appoint a hearing committee to evaluate the grievance and to make appropriate recommendations concerning its resolution.

1. Complaints involving promotion, tenure, and reappointment decisions may be brought to the Committee of Three only at the end of a sequence of peer review procedures, including those of the Faculty Review Board.

a. The Faculty Review Board, in its advisory role to the Provost, shall be the primary body to hear grievances related to promotion, reappointment, and tenure. The Faculty Review Board shall consist of six non-administrative, tenured professors elected by the University Senate. Members serve staggered three-year terms, and members of the Committee of Three are not eligible for nomination to the Faculty Review Board.

b. The Provost shall refer to the Faculty Review Board for its consideration:

i. those cases whose referral was requested by a faculty member or department head;

ii. those cases, where following a discussion, the Provost's recommendations still differs from that of a dean;

iii. other cases that the Provost wishes to refer.

c. The Faculty Review Board shall provide the faculty member with an opportunity to discuss the case. The Faculty Review Board shall discuss each case with the Provost.

d. For each case, the Faculty Review Board shall submit a written recommendation to the Provost and shall inform the faculty member in writing of its recommendation, together with reasons for it.

⁴~~This procedure applies to individual grievances not covered by Article XIV.F, H, and I.~~

2. Other complaints may be brought to the Committee of Three only after appropriate administrative remedies have been exhausted.
3. The Committee of Three is empowered to mediate the complaint informally in consultation with the complainant, the person or persons against whom the grievance is lodged, and such other persons as the committee deems appropriate.
4. If the Committee of Three has been unable to mediate the complaint informally or determines mediation is not appropriate under the circumstances and believes that there may be such substance to the grievance as to justify further investigation, it shall appoint an ad hoc hearing committee of three or five faculty members and convey the grievant's written statement of the grievance to the hearing committee with a copy (or copies) to be sent to the person(s) against whom the grievance is lodged.
5. The hearing committee shall be empowered to have access to relevant information-~~it deems pertinent~~, to hold hearings and interviews, and to seek informal resolution of the issues raised. The hearing committee, after consulting all parties to the dispute, may decide to conduct its proceedings in confidence or with the concurrence of the grievant, to hold public hearings. Parties to the proceedings may, if they choose, be represented at their own expense. It is incumbent upon all parties to refrain from aggravation of the issues during the course of grievance proceedings.
6. The hearing committee appointed by the Committee of Three shall be instructed by the Committee of Three to determine:
 - a. Whether the relevant procedures established by the University have been followed in the case before them.
 - b. Whether in substance the grievant has just cause for complaint.
 - c. In cases involving promotion, tenure, and reappointment:
 - i. the sufficiency and relevance of the evidence employed in making the decision.
 - ii. whether the decision arrived at was in reasonable conformity with the evidence considered.
 - d. Appropriate remedy, if any.
7. The hearing committee will make a report of its findings and recommendations to the Provost, with copies to the grievant, the person(s) against whom the grievance is lodged, and the Committee of Three. In those cases involving a grievance against the Provost, the report shall be sent to the President.
8. The collective bargaining agent shall be informed by the hearing committee of the substance of its report to the President or Provost.
9. Within thirty days of receipt of the hearing committee's report, the President or Provost shall inform the hearing committee, in writing, of ~~his/her~~their response to its

recommendations, with copies to the grievant, the person(s) against whom the grievance is lodged, and the Committee of Three.

10. The collective bargaining agent shall be informed by the President or Provost of ~~his/her~~their response. The Committee of Three may make the hearing committee's findings and the response of the President or Provost known to persons or groups it deems appropriate.
11. If not satisfied with the outcome of the hearing committee's investigation and the action of the President or Provost in response thereto, the grievant may within thirty days of receiving the response, address a written appeal to the Board of Trustees through the President or Provost. The Board of Trustees will respond to the appeal in writing within a reasonable time.

TS. ~~Health-Center~~UConn Health Faculty Grievance Procedure⁵

This procedure applies to individual grievances not covered by Article XIII.G, H, and I.

Under most circumstances, a faculty member in the School of Medicine or the School of Dental Medicine who has a grievance will seek resolution through the usual channels of administrative authority (e.g., initial referral of the grievance to the ~~Department Chair~~department chair, to the dean of the appropriate school, and then to the Provost) or through the appropriate standing faculty appeals committees (e.g. space or compensation appeals committees), if any. If resolution is not possible or if the aggrieved party is dissatisfied with the proposed resolution(s), faculty peer review committees are empowered to hear grievances. Grievances related to promotion, reappointment, and tenure issues shall first be reviewed by the ~~Health-Center~~UConn Health Faculty Review Board (HCFRB). In the case of a possible dismissal of a tenured faculty, the grievance will be referred to the Committee of Three at Storrs ~~[see By-Laws-Article XIVIX.G-I.]~~. All other appeals and grievances will be referred directly to the ~~Health-Center~~UConn Health Appeals Committee (HCAC).

1. ~~Health-Center~~UConn Health Faculty Review Board (HCFRB)
 - a. The ~~Health-Center~~UConn Health Faculty Review Board (HCFRB), in its advisory role to the Provost, shall be the primary body to hear grievances related to promotion, reappointment, and tenure. It shall consist of a standing committee of seven (7) members, with two (2) representatives from basic science departments, two (2) from clinical dental departments, and three (3) from clinical medical departments. Each member must be a School of Medicine or School of Dental Medicine faculty member of senior rank on the UCHC payroll and may not be a ~~Department Chair~~department chair or Type II Center Director or a dean of any rank, or be a current member of the Committee on Appointments and Promotions of either the School of Medicine or the School of Dental Medicine. The term of service is three (3) years and terms shall be staggered. The chair will be selected from the membership by the HCFRB. Each year, a nominating committee consisting of the chairpersons of the School of Medicine Oversight Committee and the School of Dental Medicine Council shall select at least two (2) candidates from the appropriate constituency to fill vacancies. The members of the HCFRB

⁵~~This procedure applies to individual grievances not covered by Article XIV.F, H, and I.~~

shall be elected by the faculty of the Schools of Medicine and Dental Medicine who are paid by the UCHC. The HCFRB will develop, revise as necessary, and post rules governing its processing of grievances. Such rules must be in compliance with the University's Laws and By-Laws.

- b. Grievances may be brought to the HCFRB by the aggrieved party or referral by the Provost and must be submitted to the Chair of the HCFRB in the form of a cover letter and accompanied by supporting documents. Copies of the cover letter and materials will be sent to the Provost and to the person or persons against whom the grievance is lodged. The process of referral or evaluation of a grievance shall commence within 15 working days after formal filing of the grievance.
- c. The HCFRB may conduct its proceedings in closed session or, with the concurrence of the grievant, hold public hearings. Parties to the proceedings may choose to be represented. The HCFRB shall evaluate the grievance in a prompt and timely manner.
- d. The HCFRB will submit its report and recommendations to the Provost. Copies of its report shall be sent to the grievant and to the person(s) against whom the grievance is lodged. In cases of grievances against the Provost, a copy of the report shall be sent to the President. Final disposition of grievances shall be effected without unnecessary delay.
- e. If not satisfied with the outcome of the HCFRB investigation and the action of the Provost in response thereto, the grievant or the person or persons against whom the grievance is lodged may, within thirty days of receiving the response, address a written appeal to the ~~HCAC~~[UConn Health Appeals Committee \(HCAC\)](#).

2. ~~Health Center~~[UConn Health](#) Appeals Committee (HCAC)

- a. This committee shall be a standing committee consisting of three (3) permanent members. The permanent members will include one (1) representative each from a basic science department, a clinical dental department, and a clinical medical department. Permanent members shall be School of Medicine or School of Dental Medicine faculty members of professorial rank on the UCHC payroll. They shall be elected by the faculty of the Schools of Medicine and Dental Medicine who are paid by the UCHC from a slate of candidates nominated by the chairs of the School of Dental Medicine Council and the School of Medicine Oversight Committee to serve staggered terms of six (6) years. A permanent member may not be a current member of the HCFRB or the Committee on Appointments and Promotions of either school and may not be a ~~Department Chair~~[department chair](#), Type II Center Director, or dean of any rank. The HCAC will develop, revise as necessary, and publish rules governing its processing of grievances. Such rules must be in compliance with the University's Laws and By-Laws.
- b. Grievances may be brought to the HCAC directly by the aggrieved party or referral by the Provost and must be submitted to the Chair of the HCAC in the form of a cover letter and accompanied by supporting documents. Copies of the

cover letter and supporting documents will be sent to the Provost ~~and Executive Vice President for Academic Affairs~~ and to the person or persons against whom the grievance is lodged. The process of referral or evaluation of a grievance shall commence within 15 working days after formal filing of the grievance.

- c. If, after consultation with the complainant, the person or persons against whom the grievance is lodged and such other persons as the committee deems appropriate, the HCAC has been unable to mediate the complaint informally, it then must determine if the complaint has enough substance to warrant a full hearing.
- d. The HCAC may not come to a decision that there is insufficient substance to justify further investigation without first providing the grievant an opportunity to address the HCAC in person. In such a case, the grievant may be represented [at their own expense](#).
- e. If the HCAC believes that there may be such substance to the grievances as to justify further investigation, it shall appoint a five member ad hoc committee called the Hearing Committee. Members of the HCAC may serve on the Hearing Committee. The ad hoc members must be of senior rank, but not necessarily full professors, and must otherwise meet the same restrictions on eligibility as described for members of the HCFRB and HCAC.
- f. The Hearing Committee shall be empowered to have access to information it deems pertinent, to hold hearings and interviews, and to seek informal resolution of the issues raised. The grievant and the person or person(s) to whom the grievance is lodged will be afforded the opportunity to directly address the Hearing Committee in person. The Hearing Committee, after consulting all parties to the dispute, may decide to conduct its proceedings in private or, with the concurrence of the grievant, to hold public hearings. Parties to the proceedings may, if they choose, be represented [at their own expense](#). It is incumbent upon all parties to refrain from aggravation of the issues during the course of grievance proceedings.

The Hearing Committees shall determine:

1. Whether the relevant procedures established by the University have been followed in the case before them.
2. Whether the grievant has just cause for complaint.
3. In cases involving promotion, tenure, and reappointment:
 - a. Whether the evidence employed in making the decision was sufficient and relevant.
 - b. Whether the decision was reasonable considering the evidence presented.
4. An appropriate remedy, if any.

~~f.g.~~ The Hearing Committee will issue its report and recommendations to the Provost. In those cases involving a grievance against the Provost, the report shall be sent to the President. Within thirty days of receipt of the Hearing Committee's report, the President or the Provost shall inform the Hearing Committee in writing, of ~~his/her~~ their response to its recommendations, with copies to the grievant, the person(s) against whom the grievance is lodged, and the HCAC. Final disposition of grievances by the ~~Health Center~~ UConn Health Appeals Committee shall be effected without unnecessary delay.

- h. If not satisfied with the outcome of the ~~Health Center~~ UConn Health Appeals Committees' investigation and the action of the President or Provost in response thereto, the grievant, the person against whom the grievance is lodged, the University President or Provost may, within thirty days of receiving the response, address a written appeal to the ~~University of Connecticut Health Center~~ UConn Health Board of Directors through the President or Provost.

3. Board of Directors

The Board of Directors or its designee will examine the grievance for the process and will respond to the appeal in writing within a reasonable time. At its discretion, the Board of Directors or its designee may elect to mediate the grievance, conduct further investigation, and/or act on the grievance. If the Board of Directors or its designee elects to hold interviews or hearings, these may be held in public only with the concurrence of the grievant. All parties to interviews and hearings may be represented at their own expense.

~~U. — Grievance Procedure for Management Confidential Professional Staff~~

~~A member of the management confidential professional staff who believes he/she has cause for a grievance that cannot be adjusted by informal means may present a formal grievance under the following procedure:~~

- ~~1. The employee, with or without a representative, shall first present the grievance to the immediate supervisor. The immediate supervisor shall answer in writing to the employee within seven calendar days from the date the grievance is submitted.~~
- ~~2. When the answer is not satisfactory to the employee, the employee, with or without a representative, shall present the grievance to the appropriate Vice President. The Vice President shall answer in writing to the employee within seven calendar days thereafter.~~
- ~~3. An employee who is still aggrieved may appeal the grievance to an ad hoc Appeal Board appointed by the President. The Appeal Board shall consist of seven members at least four of which shall be members of the management confidential professional staff. The grievant may be represented in matters before the Appeal Board.~~
- ~~4. The decision of the Appeal Board shall be in writing and shall be in the form of a recommendation to the President. Copies of the Appeal Board's recommendation shall be forwarded to the employee.~~

ARTICLE XIV – General Policies and Practices

- A. In accordance with Board direction, approved policy and/or state statute, the University shall maintain policies and/or rules on non-discrimination, purchasing, the care of University property, the use of the University's name or insignia, the naming of University facilities, the ownership of inventions and the management of intellectual property, student organizations, regulations regarding student residency, the expression(s) of dissent, commencement, and other policies as deemed necessary by the Board of Trustees or the University President. Any policy approved or implemented by the President shall be reported to the Board at its next regular meeting as an informational item.
- B. On rare occasions, upon the recommendation of the Chair, the Board may award a University Medal to a member of the professional staff in recognition of exemplary service to the University.

~~No organization or group shall discriminate against or exclude a person because of race, religion, national origin or other protected class recognized by state or federal anti-discrimination laws, on that land owned or operated by the University of Connecticut, and organizations or groups that are found to have violated state or federal anti-discrimination laws shall not have the use of University-owned or operated buildings or property.~~

- ~~B. All purchasing and contracting for supplies, equipment, and/or services and the management, accounting, and deposit of University funds must conform to applicable state statutes and University policies and procedures.~~
- ~~C. Any business or communication from members or groups of the University staff that concerns the University or its departments and which requires action by the Board of Trustees shall be presented to the Board by the President of the University. If the President refuses or neglects to place such business or communication or any part thereof before the Trustees within a reasonable time, those concerned may present their petition directly to the Board.~~
- ~~D. All apparatus, museum, and scientific collections, and other University property shall be in the immediate charge of the department head to which such material has been assigned, subject, however, to the control of the appropriate Vice President. Every person having charge of any apparatus, specimens, books, collections, or other University property shall be held responsible for their safety and shall ensure a record or inventory of all property for which he/she is responsible is maintained in compliance with University policy.~~
- ~~E. The name or insignia of the University shall not be used by any individual or by any group not duly organized as a part of the University without the approval of the President.~~

F. Naming of Facilities

~~The act of naming or renaming a University facility for a person, family or organization confers one of the University's highest and most conspicuous honors.~~

~~The President is authorized to establish guidelines and procedures in accordance with this policy for naming University facilities. The naming of any facility must be approved by the Board of Trustees upon recommendation of the President.~~

G. Intellectual Property

~~1. — *Ownership of inventions*⁶~~

- ~~a. — The University shall own, or participate in the ownership of, the entire right, title, and interest in and to any invention:~~
- ~~i. — conceived by one employee solely or by employees jointly in any instance in which such invention is conceived in the course of performance of customary or assigned duties of the employee inventor or inventors, or~~
 - ~~ii. — in which the invention emerges from any research, development, or other program of the University, or~~
 - ~~iii. — is conceived or developed wholly or partly at the expense of the University, or~~
 - ~~iv. — with the aid of its equipment, facilities, or personnel.~~
- ~~b. — In each such instance, the employee inventor shall be deemed to be obligated, by reason of employment by the University, to (i) disclose the invention fully and promptly to an authorized executive of the University; (ii) to assign to the University the entire right, title, and interest in and to each such invention; (iii) to execute such instruments of assignment to that effect; (iv) to execute such proper patent applications on such invention as may be requested by an authorized executive of the University, and (v) to give all reasonable aid in the prosecution of such patent applications and the procurement of patents.~~
- ~~c. — The University shall have the rights defined in Section G.1.a. with respect to inventions conceived jointly between employees and non-employees to the extent to which an employee has or employees have disposable interests therein and to the same extent the employee or employees shall be obligated as defined in said Section G.1.b.~~
- ~~d. — The University shall have no right to inventions conceived by non-employees, except as may be otherwise provided in contracts, express or implied, between the University and those entitled to the control of inventions.~~

~~2. — *Management of Intellectual Property*~~

~~The University shall:~~

- ~~a. — File and prosecute patent applications and obtain patents, relating to inventions or discoveries which the University may be justly entitled to own or control, wholly or partly, and receive and hold in separate custody, assignments, grants, licenses, and other rights in respect to such inventions, discoveries, patent applications, and patents.~~
- ~~b. — Make assignments, grants, licenses, or other disposal, equitably in the public interest, of any rights owned, acquired or controlled by the University, in or to inventions, discoveries, patent applications, and patents, and to charge therefore and collect, and to incorporate such funds in the custody of the University, reasonable compensation in such form and measure as the Board of Trustees authorizes or ratifies.~~

⁶For the purpose of this section, ‘invention’ shall mean that which is produced for the first time through the use of imagination or ingenious thinking and experiment.

- ~~c. — Execute contracts with employees and others for the purpose of carrying out the appropriate management of the University's intellectual property. The entire beneficial ownership of intellectual property shall vest in the University and the Board shall exercise complete control thereof.~~

~~3. *Employees to share in proceeds*~~

- ~~a. — Each employee who conceives any invention and discharges his/her obligations to the University as herein before provided shall be entitled to share in any net proceeds that may be derived from the assignment, grant, license or other disposal of such invention.~~
- ~~b. — Proceeds shall be computed with reasonable promptness after collection and after deducting from gross proceeds the costs and expenses allocated to that invention or discovery.~~
- ~~c. — A minimum of twenty percent of the net proceeds shall be paid to an employee who solely conceived or made the invention, and shall be paid in shares to two or more employees who jointly made the invention. The Board of Trustees may increase the amount by which any employee or employees may participate in such net proceeds.~~

~~4. *Dispute Resolution*~~

- ~~— Disagreements as to the allocation of any invention to a category, or with respect to the obligations of any employee, or due performance thereof, or with respect to participation of any employee in net proceeds, or with respect to the rights or obligations with reference to inventions in any category, shall be resolved as follows:~~
- ~~a. — By voluntary arbitration of all relevant issues if the disagreeing parties approve and agree to be bound by the decision upon such arbitration.~~
- ~~b. — By compulsory arbitration if that is provided for in any applicable contract between the disagreeing parties.~~
- ~~c. — By recourse to courts of appropriate jurisdiction within the State if arbitration cannot be resorted to under either subsection (a) or (b) of this section.~~

~~5. *Regulations for arbitration*~~

- ~~— The Board is authorized to establish and regulate equitably in the public interest, such measures as the Board deems necessary for the purposes of such arbitration, and to make contracts for compulsory arbitration, in the name of the University.~~

~~6. *Rights as to products of authorship*~~

- ~~— The University shall not claim any literary, artistic, musical, or other product of authorship covered by actual or potential copyright laws of the United States, but the University shall be authorized to make and enforce any contract, express or implied, which it may make with respect to any such subject matter.~~

~~H. — Establishment of University Regional Campuses~~

~~Before a new campus of the University of Connecticut is opened in any locality, the University's Board of Trustees must be convinced that a significant number of prospective students in that locality are unable to secure education at the college level from resources already available in the community and that there is urgent local demand for the University to establish a campus there.~~

~~I. — Student Organizations~~

~~Responsibility for the oversight and, where appropriate, support of student organizations is vested with the Vice President for Student Affairs or his/her designee. Such oversight shall include, but not be limited to, the development of policies and procedures related to student organization registration, recognition, and activities.~~

~~1. — The University recognizes the right of any group of students to form a voluntary organization for any purpose not prohibited by law. Student organizations wishing to hold meetings and events in University facilities and be eligible for advice, training, and support from the University must have an active membership comprised chiefly of registered students and have met and maintained registration requirements as determined by the Vice President of Student Affairs or his/her designee. Such organizations shall be deemed 'registered student organizations.'~~

~~2. — The University assumes no responsibility for the actions and activities of registered student organizations or their membership.~~

~~Registered student organizations having a formal advisee/advisor relationship with a University department may, in some cases, receive special help and supervision from those departments.~~

~~University faculty, staff and graduate assistants serving in an advisory capacity for registered student organizations will not be held responsible for the actions of student organization members provided any advice offered is consistent with applicable University, State, and Federal laws and is in the best interest of the safety of students and all individuals involved.~~

~~3. — So far as its facilities permit, the University will provide registered student organizations with suitable meeting places without charge and will encourage and protect lawful freedom of expression during meetings of such organizations. The responsibility for any views expressed in such meetings vests with the individuals concerned. The University's role is not to approve or disapprove such views, whatever their nature, but to be concerned exclusively with the discharge of its educational obligation to facilitate free discussion of all points of view to the extent guaranteed by the Constitution of the United States and of the State of Connecticut. The University does not approve the qualifications of speakers whom registered student organizations invite to address them, nor, except as to availability of space and related issues of public safety, on the number or size of meetings which may be held.~~

~~4. — The name of the University shall not be used by any group not duly authorized as a part of the University, nor by any individual, without the approval of the President or his/her designee. Registered student organizations are considered not to be "duly organized as a part of the University." In authorizing or denying the use of the name of the University, the President will, in general, be guided by the need of making clear to the public the nature of the relation of the organization in question to the University.~~

~~5. The University places no restrictions as to purpose on the solicitation of funds by registered student organizations, within or without the University community unless such activities violate state or federal laws. The time, place, and method of solicitation within the University by registered student organizations shall be governed by regulations established by the Vice President for Student Affairs or designee in the interest of public safety, avoiding over-crowding and unreasonable interference with those using an area for other purposes.~~

~~J. Use, possession, sale, distribution, or manufacture of alcohol, controlled substances and/or drugs or drug paraphernalia are prohibited except as expressly permitted by law and University regulations.~~

~~K. Regulations Regarding Residence~~

~~1. All students, before registering for classes for the first time at the University of Connecticut, must file an affidavit of residence, on forms prescribed by the University. On the basis of this information, each entering student will be initially classified as a Connecticut or an Out-of-State student.~~

~~2. The status of each student will be determined by the definitions established in the Connecticut General Statutes.~~

~~3. The failure of a student to disclose fully and accurately all facts relating to his/her residence status shall be grounds for suspension or expulsion.~~

~~L. Policy Regarding the Expression of Dissent~~

~~1. Orderly picketing and other forms of peaceful demonstration are permitted outside of buildings and in other public gathering areas. Significant interference with entry into or exit from such buildings or areas or with the free movement of any person on the University campus is not permissible.~~

~~2. Entry into University buildings, meeting halls, classrooms, and other designated areas may properly be restricted to those engaged in the normal or scheduled activities being conducted. The presence of unauthorized persons in such areas leading to substantial interference with other University activities, after due notice has been given, orally or in writing, will be construed as interfering with or obstructing a University activity and is not permissible.~~

~~3. The creation of loud or excessive noise that substantially disrupts or interferes with classes or other University activities is not permissible.~~

~~4. Direct personal abuse, whether physical or verbal, that significantly infringes upon the rights of others to participate in the academic community or leads to substantial disruption of a University related activity is not permissible.~~

~~M. Commencement~~

~~Commencement Exercises are authorized at the conclusion of both the Fall and Spring semesters.~~

ARTICLE XVI – Advisory Search Committee for President

- A. The Board of Trustees is statutorily responsible for the selection of the President of the University of Connecticut. The advisory committee assists the Board of Trustees in fulfilling this responsibility. The Chair of the Board of Trustees or their designee shall serve as *ex officio* as the Chair of the Search Committee.

~~The purpose of the committee is to assist the Board of Trustees in its statutory responsibility of selecting the President of the University of Connecticut. To this end, the committee will need to conduct a broad search, developing a diverse candidate pool, screen qualified candidates, and make appropriate recommendations to the Board.~~

- B. The advisory committee will be appointed by the Chair of the Board of Trustees from recommendations solicited from and submitted by various constituencies and stakeholders, including trustees, professional staff, faculty, students, and alumni. In addition to the Chair, the advisory committee will be comprised of no more than 15 members. Upon appointment by the Chair, the advisory committee shall consist of the following membership:

- i. Three members of the Board of Trustees;
- ii. Six members of the faculty, with no fewer than two appointees representing UConn Health;
- iii. One undergraduate student;
- iv. One graduate or professional student; and
- v. Four at-large members, which may constitute members of various University constituencies and stakeholders.

The advisory committee shall establish the appropriate steps and timelines associated with the search; seek input and nominations of potential candidates from various constituencies and stakeholders; and transmit the name(s) of any finalist(s) to the Board of Trustees, with a description of the strengths and weaknesses of each finalist and whatever other qualifications the committee wishes to include.~~The committee will be appointed by the Board of Trustees from recommendations submitted from various constituencies, including trustees, professional staff, faculty, students, and alumni. The committee should be large enough to represent each of the constituencies adequately. The Chair of the Board of Trustees shall serve *ex officio* as the Chair of the Search Committee. The faculty members of the search committee will include the faculty members of the Senate Executive Committee.~~

- ~~C. The Board of Trustees should designate a small steering committee of the Advisory Search committee composed of committee members who can devote long hours to the work. The steering committee will be used to expedite the search procedures in ways agreed upon by the full committee. It will keep the full committee informed of the progress of the search by means of periodic meetings and, when appropriate, by written report.~~

- ~~C. In order to insure equity and an objective evaluation of all candidates, it is essential that information on all candidates be available to each member of the committee and that no candidate be allowed to by-pass consideration by the committee.~~

- ~~D. The search will normally proceed along the following lines:~~

- ~~1. Clearly defining the needs of the University and the characteristics desired in the person to be chosen.~~
- ~~2. Developing a list of possible candidates and eliminating those unsuitable or not interested.~~
- ~~3. Developing a profile of the University for distribution to candidates being seriously considered.~~
- ~~4. Selecting and interviewing the most highly qualified candidates.~~
- ~~5. Ranking the leading candidates by vote and transmitting name(s) of finalist(s) to the Board of Trustees, with whatever qualifications the committee wishes to include.~~

~~During all of these stages, the steering committee will recommend steps to be taken to the Advisory Search committee, which will be responsible for all decisions.~~

~~E. Every possible method should be used in developing the initial list of candidates, including advertising and the solicitation of names from the various University constituencies, as well as from other sources, such as college presidents, foundation officials, officers of learned societies, business and labor leaders, and other prominent citizens.~~

C. Complete confidentiality of all candidates and proceedings must be maintained throughout the search; it becomes especially crucial during the later stages. Grave injustice to the candidates and serious harm to the University's reputation result from any breach of confidentiality. The damage to the University may not be immediately discernible, but will become evident in the willingness of outstanding individuals to be considered as candidates for high positions at the University of Connecticut.

~~F.D.~~ The Board Chair, in consultation with the full Board, shall establish the terms and conditions of employment for the University President.

ARTICLE XVII – Honorary Degrees

On recommendation of the Honors and Awards Committee and the President, the Board of Trustees may vote to confer honorary degrees on distinguished individuals. The Board should award an honorary degree only in recognition of extraordinary and lasting distinction. The award should represent the highest intellectual and moral values; it should reflect the very character and quality of the University itself.

A. There shall be an Honors and Awards Committee, consisting of the President, the Provost, the Executive Vice President for Health Affairs (all *ex-officio*), three faculty members nominated by the University Senate Executive Committee and appointed by the President, four members of the Board of Trustees appointed by the Chair of the Board, and two students appointed by the President. The President will chair the Committee. The faculty appointed by the President will serve for staggered terms of five years each and ordinarily may not succeed themselves. Students will serve one-year terms. The Board-appointed members will serve at the pleasure of the Chair.

- B.** The Honors and Awards Committee will prepare for approval of the Board of Trustees written criteria and procedures for the selection of candidates for honorary degrees.
- C.** Honorary degrees may be conferred at Commencement or at special convocations. Only under extraordinary circumstances will honorary degrees be conferred in absentia.

ARTICLE ~~XVIII~~ – Repeal and Amendment

- A.** All rules, orders, and resolutions of the Board heretofore enacted and in conflict with these By-Laws are hereby repealed.
- B.** These By-Laws may be amended at any meeting of the Board by a majority vote of all members of the Board, provided notice of any proposed amendment, including a draft thereof, shall have been given at a previous regular meeting for the purpose of comment and discussion prior to a vote on any proposed amendment. Board action on any proposed amendment shall be treated as a regular agenda item. If no vote is taken on the proposed amendment(s) at any of the next three meetings of the Board following the meeting at which notice of the proposed amendment(s) is first given, the proposed amendment(s) must be re-noticed in accordance with this section.

ARTICLE ~~XIX~~ XVIII – Contravention with Law

Should it be determined that any provision of these by-laws contravene any applicable federal or state law or regulation, such provision shall be invalid only to the extent of such actual contravention.

ATTACHMENT 31

Board of Trustees Financial Affairs



UConn and UConn Health Budget Presentation

June 25, 2025

FY26 Budget Overview – UConn and UConn Health

We remain grateful to the Governor, the Office of Policy and Management, and the General Assembly for continuous investment in UConn and UConn Health.



There is no UConn without Connecticut, and there is no Connecticut without UConn.

AGENDA:

Consolidated Budget

Storrs & Regionals

UConn Health

President's Operational Priorities (3-5 years)

Continuous
improvement and
enterprise
effectiveness

Improve enrollment
outlook

Increase academic
and research profile

Support
championship culture
and competitiveness
in Athletics

Advance fundraising
efforts and
engagement at the
Foundation

FY26 Budget Overview – UConn and UConn Health

➤ **Major budget assumptions:**

- No wage increases
- No tuition rate increase
- Reduced state support
- Reduced federal grant revenue

➤ **Risks:**

- State funding cuts
- Federal changes to Medicaid/Medicare & Student Financial Aid
- Additional tariffs
- Financial market impact on fundraising

➤ **Revenue drivers**

- Tuition and fee revenue due to growing enrollment & residency mix
- Improved clinical revenues

➤ **Expense drivers**

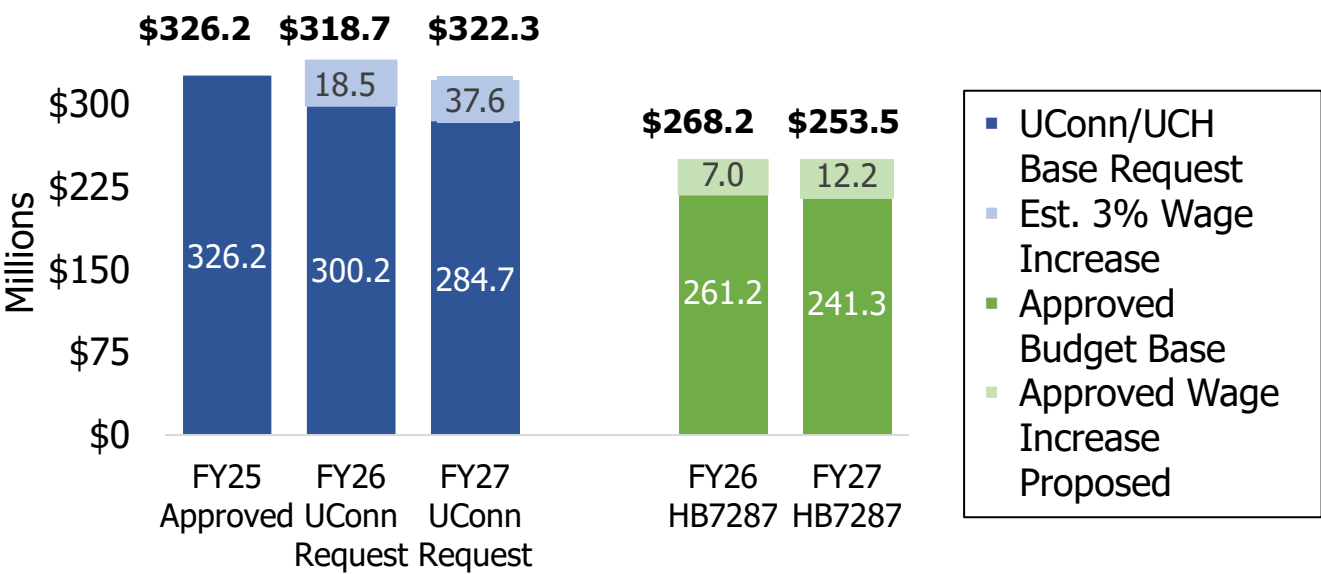
- Salaries and wages/faculty hiring
- Student financial aid support
- Service and supply inflation/tariffs

State Budget Operating Support

The approved biennium budget is lower than requested by \$109.8M in FY26 and \$144.0M in FY27.

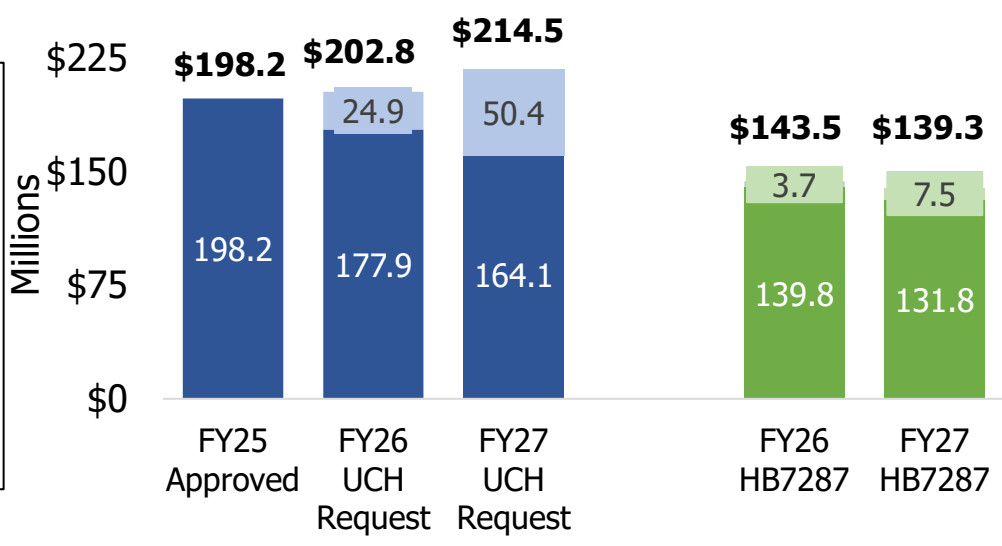
UConn:

The shortfall is \$50.5M in FY26 and \$68.8M in FY27



UConn Health:

The shortfall is \$59.3M in FY26 and \$75.2M in FY27



The State shortfall could worsen based on provisions included in adopted budget that allow OPM to reduce state agency allotments by up to \$89.2M in FY26 and \$88.7M in FY27 to achieve savings.

Mitigation

With the approved state budget, the University must implement additional mitigating actions to close the shortfall

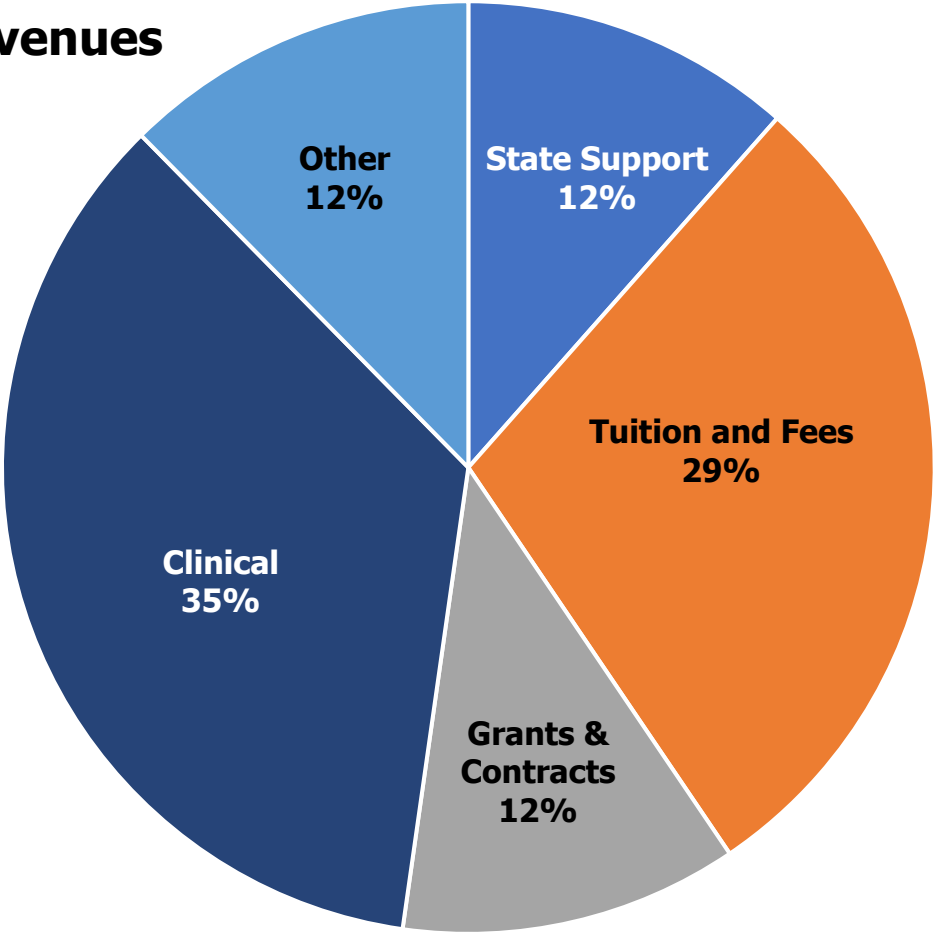
UConn		
Mitigation Items (\$M)	FY26	Notes
Initial Shortfall	(\$72.0)	
Tuition (net of aid)	16.1	Add'l enrollment/mix
Auxiliary Revenues	13.0	Housing/Dining gain
Use of State Bond Funds	5.0	Shift capital spend
Gain/(Loss)	(\$37.9)	
Rescissions/Fund Balance	10.0	Cuts to departments
Budget Stabilization/Fund Balance	11.0	FY24 & FY25 positive balances
Net Gain/(Loss)	(\$16.9)	

UConn Health		
Mitigation Items (\$M)	FY26	Notes
Initial Shortfall	(\$61.8)	
Budget Stabilization Fund	15.1	FY24 & FY25 positive balances
Net Gain/(Loss)	(\$46.7)	

Potential Options to Balance:	
	Personnel optimization/reduction plan
	Pause non-essential travel, events, and other activities
	Review of purchased service contracts
	Increase utilization of Foundation funds
	Use of fund balance
	Clinical financial improvements at UCH

FY26 Proposed Operating Budget Consolidated Total

UConn and UConn Health Revenues
\$3.6 Billion



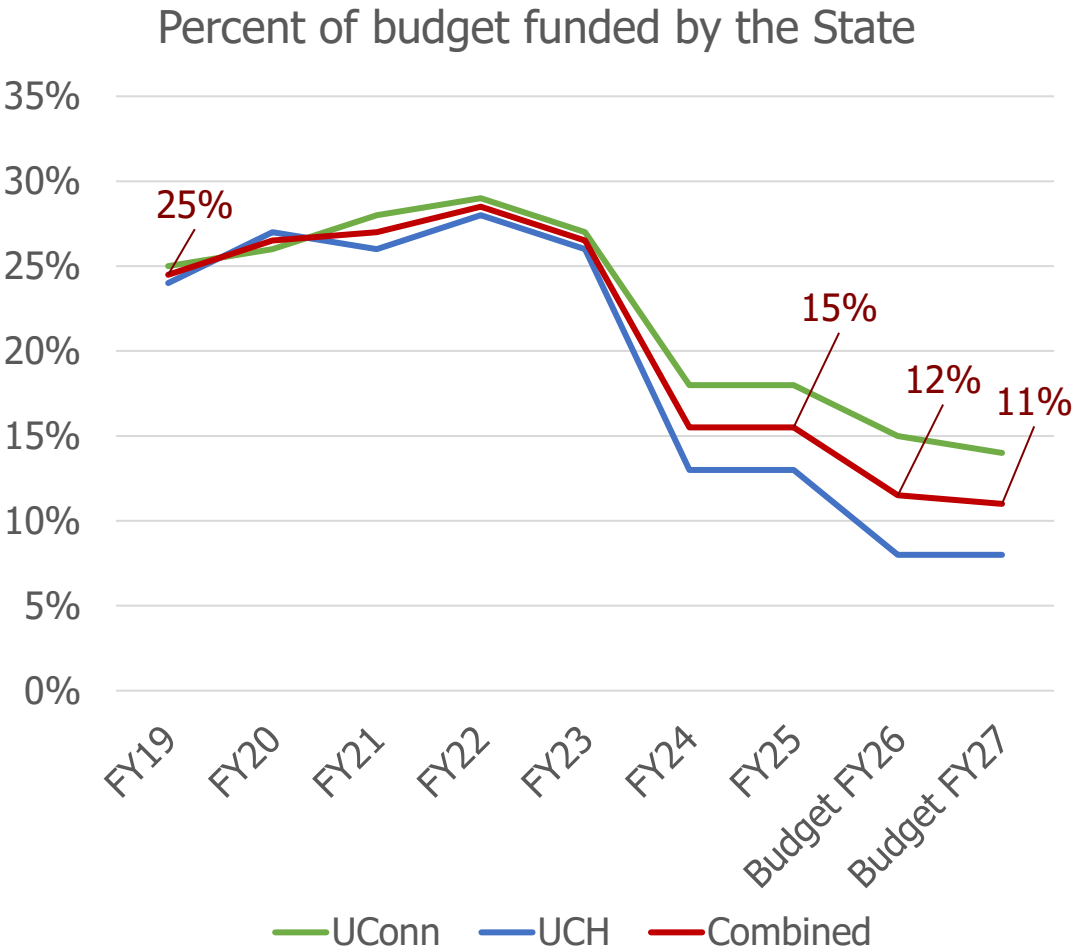
State Support accounts for 12% of total revenues at UConn and UConn Health combined.

How Much of UConn's Budget does the State Support?

12%

In FY26, the State is supporting 12% of UConn's total combined budget

15% of UConn budget
8% of UConn Health budget

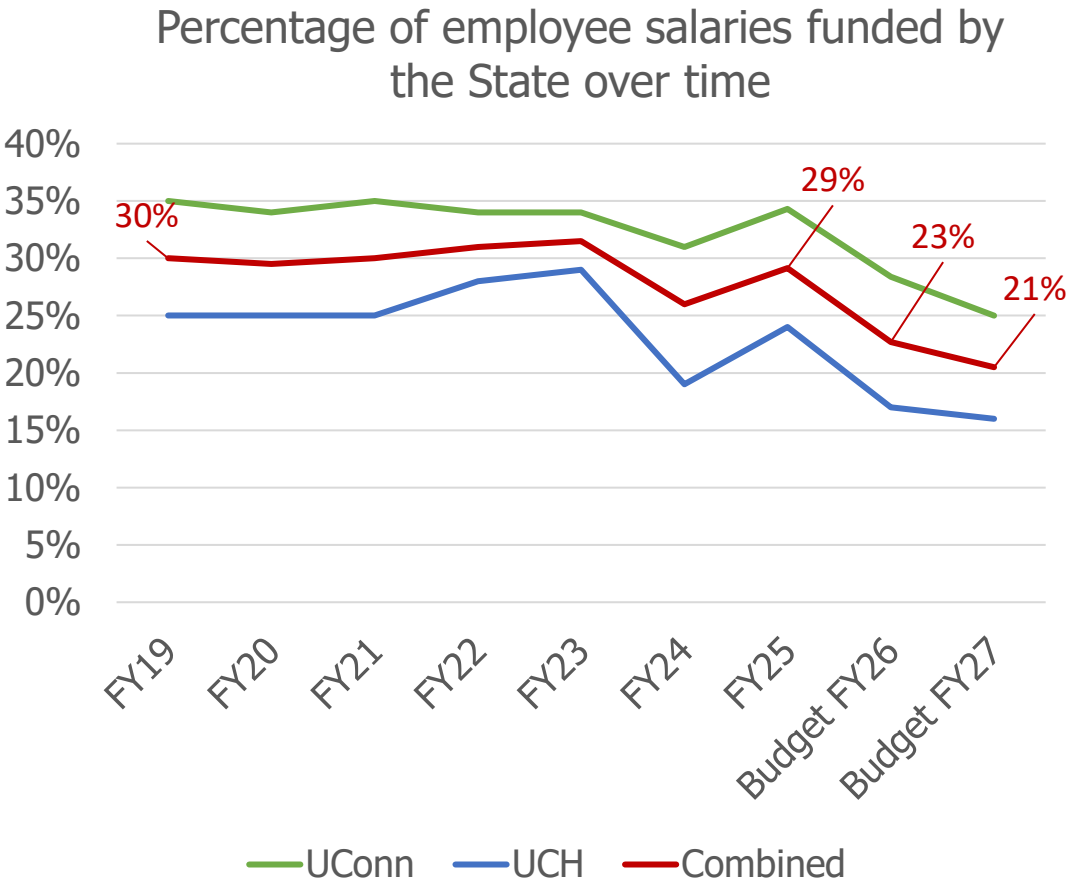


State Funds are Used to Support Salaries

State support is only used for salaries and covers:

23% of total employee salaries

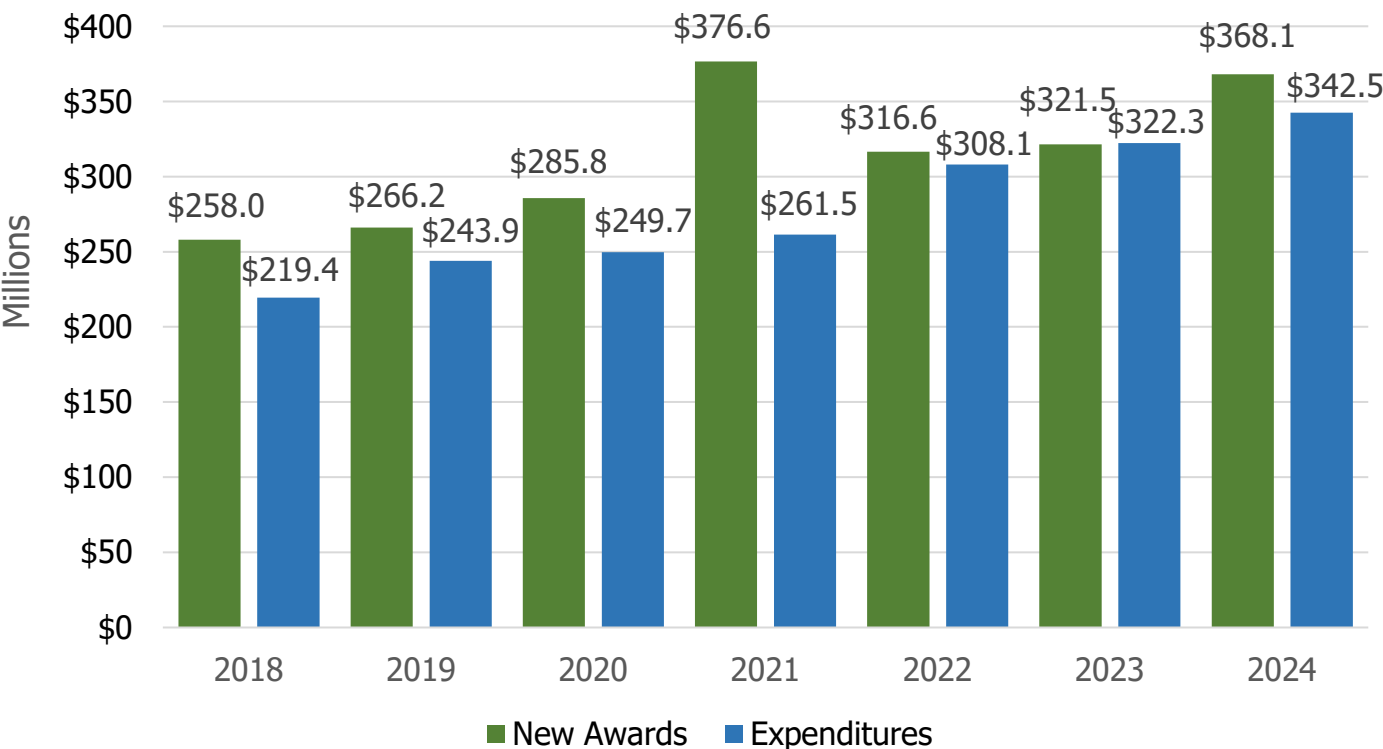
28% of salaries at UConn
17% of salaries at UCH



Research Impact

UConn and UCH

New Awards and Expenditures: FY18-24



2,650 faculty, staff and students are paid in whole or in part on grants

2025-2026 Projection

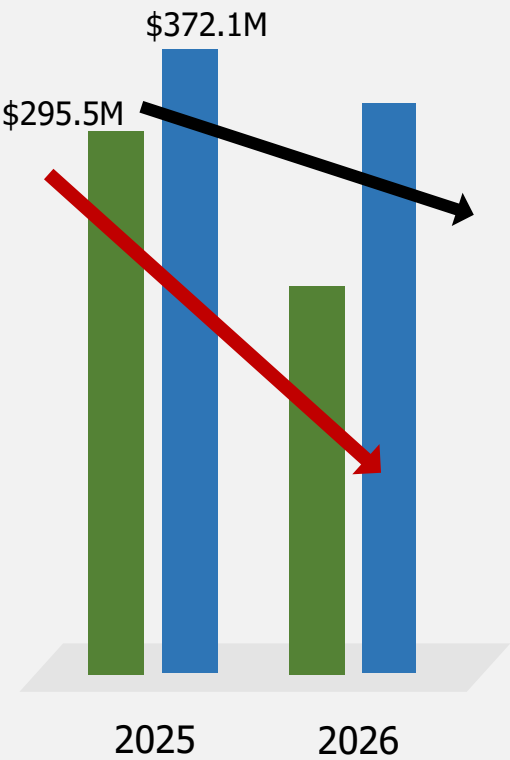
The growth in Research is at risk.



FY25 - \$73M drop over FY24
FY26 - Could drop as much as \$200M over FY24



Expenditures will also decrease but will lag decreases in new awards



*** The expected drop in FY26 new awards could take UConn back to pre-FY18 levels.**

Proposed Changes at the Federal Level

H.R. 1 - One Big Beautiful Bill (OB BB)

Passed by the House May 22nd and is with the Senate

Proposed Medicaid Impacts

- New/additional work requirements expected to reduce numbers of people in program increasing self pay, charity, and bad debts particularly in the Hospital.
- Decreases in Medicaid population will put additional strain on 340B certification thresholds.
- Requires redetermination/verification for benefits every 6 months.
- Proposes changes to FMAP and State directed payments potentially decreasing both for CT.

ACA Changes

- Potential cuts to subsidies could push people to lower tier plans thereby increasing co-pays which will increase self pay, charity care, and bad debts.

Medicare Changes

- Proposes to double Medicare Sequestration to 4%.
- Enhanced use of AI in auditing programs.
- Increases Physician Payments.

Student Financial Aid Impacts

- Terminates undergrad subsidized loans & grad PLUS Loans, limits total unsubsidized loans to \$50k undergrad, \$100k grad, \$150k professional.
- Limits federal aid at median cost of attendance.
- Changes Pell eligibility.
- Imposes accountability measures on institutions for non-payment of loans.

A photograph of a modern university building with a large tree in the foreground and a pond in the background. The building is a multi-story structure with a mix of light and dark grey panels and vertical windows. A large, leafy tree stands prominently in the center-left foreground. In the background, a white pickup truck is parked on a paved area. The foreground is dominated by a body of water reflecting the scene, with some purple flowers in the immediate foreground.

Storrs & Regional Campuses

STUDENTS FIRST, EXCELLENCE ALWAYS, HUSKIES FOREVER

FY26 BOT Budget Presentation

FY25 Forecast

Note: Use of decimals may result in rounding differences.

UConn Storrs & Regional Campuses	FY25 Year-End Forecast	FY25 Budget Revised Aug 2024 *	Variance	Variance %
State Block Grant	\$ 223.8	\$ 227.4	(3.6)	-1.6%
Additional State Support	95.7	88.8	6.9	7.8%
Adjustments	1.9	1.9	-	-
Total State Support	\$ 321.4	\$ 318.1	\$ 3.3	1.0%
Tuition	578.4	573.3	5.1	0.9%
Course/Mandatory Fees	173.8	171.6	2.2	1.3%
Grants & Contracts - Financial Aid	86.9	85.6	1.2	1.4%
Grants & Contracts - Other	250.0	233.7	16.3	7.0%
Auxiliary Revenue	263.6	247.7	15.9	6.4%
Other Revenues	105.2	102.7	2.5	2.4%
Total Revenues	\$ 1,779.2	\$ 1,732.7	\$ 46.4	
Salary/Benefits	\$ 936.1	\$ 911.8	\$ 24.3	2.7%
Financial Aid - Tuition Funded	198.3	198.5	(0.2)	-0.1%
Financial Aid - Other	122.1	116.9	5.2	4.4%
Energy	22.9	26.2	(3.3)	-12.5%
Equipment	35.2	37.8	(2.7)	-7.0%
Capital Projects/Debt Payments	128.5	106.5	22.0	20.6%
Other Expenses	330.3	334.3	(4.0)	-1.2%
Total Expense	\$ 1,773.3	\$ 1,732.0	\$ 41.3	
Net Income/(Loss)	\$ 5.8	\$ 0.7	\$ -	

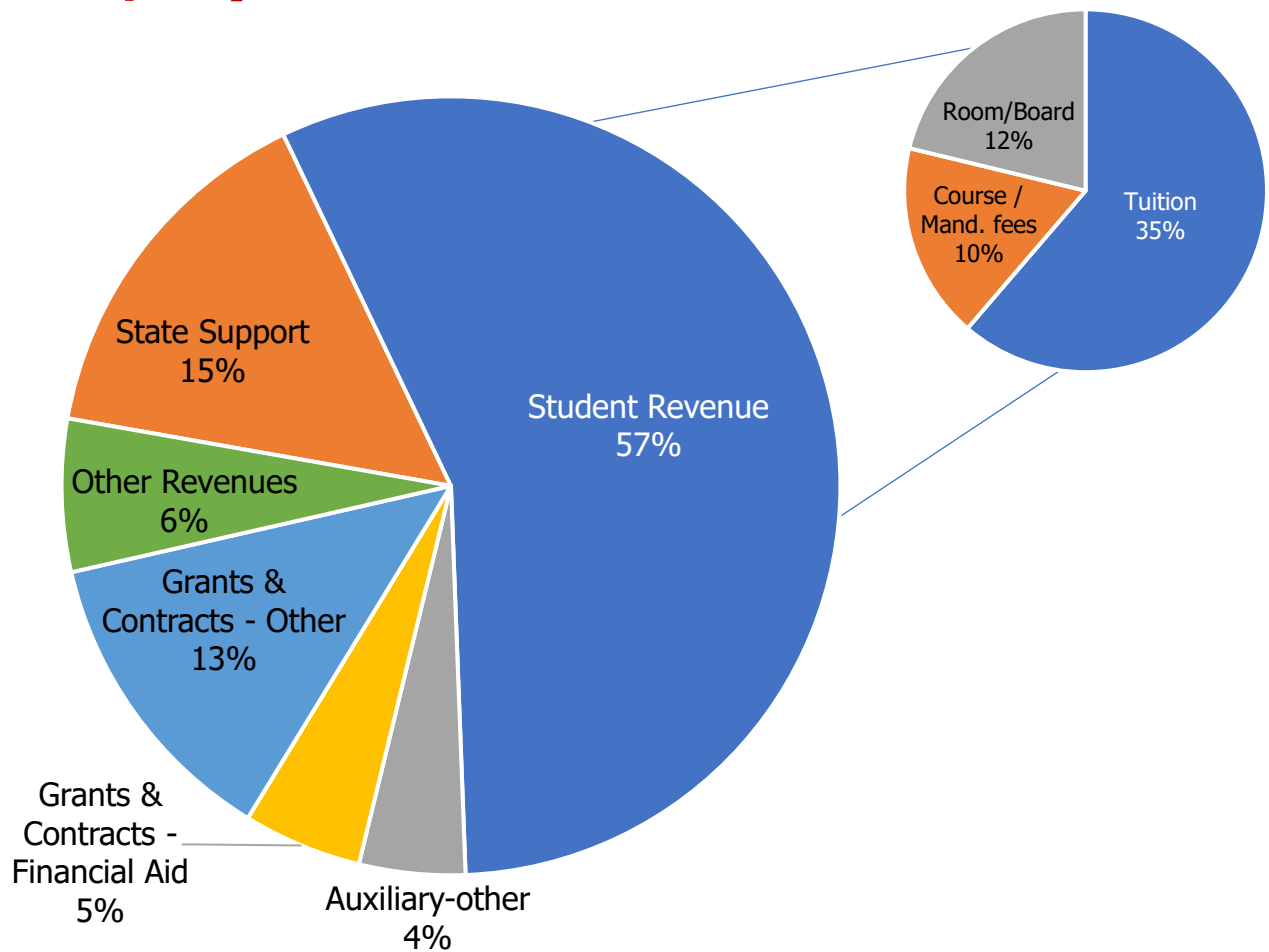
- Through one-time salary savings and state support, UConn is forecasting a small gain for FY25.
- During FY25, the University continued to recognize favorable tuition/fee revenues, grant revenues and significant energy savings.
- These gains offset additional spending in salaries/benefits and purchased services.

*FY25 Budget was revised August 2024 based on actual FY24 year end results as well as additional tuition due to housing availability in Stamford.

FY26 Revenues

Revenue Budget

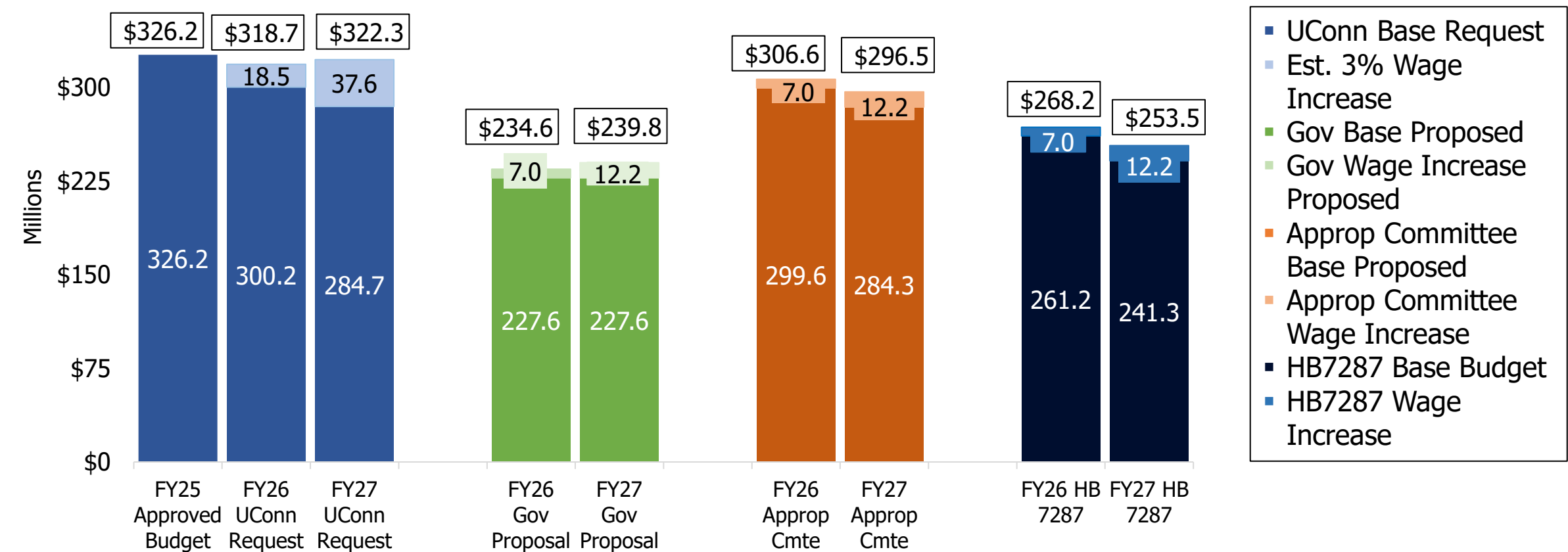
\$1,778.3M



- Revenues will decrease 0.1% over FY25, mainly driven by reduced state support and expected grant reduction offset by higher tuition revenue.
- 57% of total revenue is funded by students and their families through tuition and fees.
- Revenues fueled by SEM plan enrollment growth, partially offset by financial aid needs.

State Budget Operating Support

The shortfall from our request is \$50.5M in FY26 and \$68.8M in FY27.

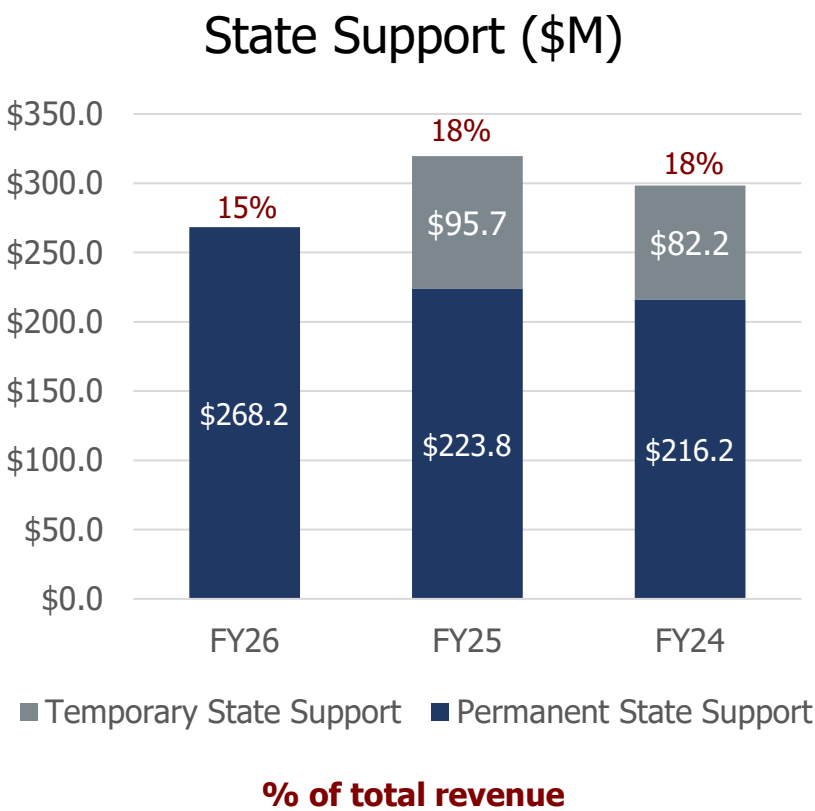


State Support

The University is grateful for the support provided by the State in FY26; however, this level of funding will require UConn to continue to implement strategic financial improvement plans over the next five years.

State Support (\$M)			
State Support	FY26 Budget	FY25 Actuals	FY24 Actuals
Operating Expenses	\$265.2	\$221.2	\$213.5
Institute for Municipal and Regional Policy	0.6	0.6	0.6
Veterinary Diagnostic Laboratory	0.3	0.3	0.3
UConn Veterans Program	0.3	0.3	0.3
Puerto Rican Studies Initiative	0.5	0.2	0.2
Health Services-Regional Campuses	1.4	1.4	1.4
Total State Support	\$268.2	\$223.8	\$216.2
Temporary Operating Support – ARPA	-	68.8	42.2
Temporary Operating Support - Carry Forward	-	20.0	40.0
Temporary Operating Support – Mid year ARPA	-	6.8	-
Total Additional State Support	\$0.0	\$95.7	\$82.2
Fringe Adjustments (WC,FB reimb.)	1.0	1.9	1.5
Total Appropriation	\$269.2	\$321.4	\$299.9

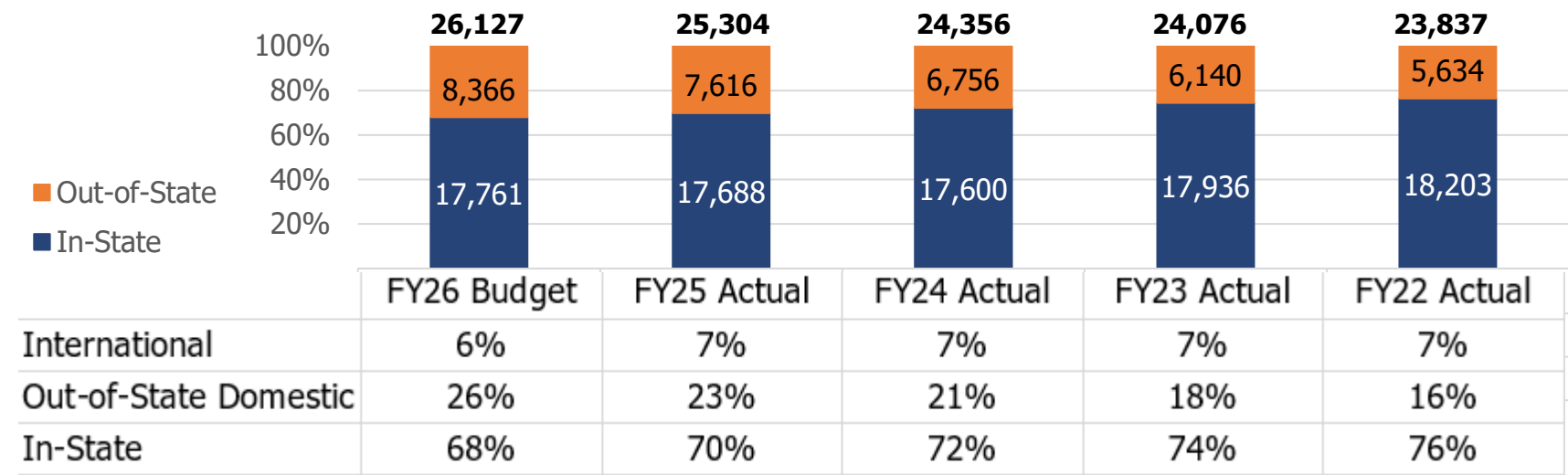
Note: The State is covering all pension/retirement fringe costs, so those costs have been removed from the budget on both the revenue and expense sides.



Tuition Revenue

Tuition revenue will grow from increases in enrollment and change in residency mix and will generate \$615.6M in FY26.

Undergraduate Residency Mix



Tuition (\$M) *	FY26 Budget	FY25 Forecast	FY24 Actual	FY23 Actual	FY22 Actual
Revenue	\$615.6	\$578.4	\$533.9	\$492.1	\$468.3
Financial Aid - Tuition	(219.4)	(198.3)	(179.8)	(162.5)	(153.9)
Net Tuition	\$396.2	\$380.1	\$354.1	\$329.6	\$314.4

*Reflects undergraduate and graduate revenue. No tuition rate increase in FY26.

- Strong application trends are propelling us towards growth.
- Enrollment targets for FY26 are inclusive of the SEM plan.
- Increasing out-of-state and international enrollment fuels new revenue growth.
- Growth is focused on maximizing net tuition revenue (which is revenue after financial aid).

Financial Aid Expense

UConn is committed to providing financial aid to ensure student quality and diversity, reflecting a 10.6% increase in University Supported funds in the FY26 budget.

Financial Aid			
\$ M	FY26 Budget	FY25 Forecast	FY24 Actual
Total Tuition Funded*	\$219.4	\$198.3	\$179.8
Department Revenue	19.3	15.4	21.7
State (Inc. Roberta Willis Scholarship)	8.2	11.4	5.0
Federal (Pell, SEOG, ARPA)	57.9	67.4	59.5
Research	5.9	7.1	6.2
Foundation/Private	29.1	20.8	15.9
Total	\$339.9	\$320.4	\$288.1

Note: Use of decimals may result in rounding differences.

*Tuition Funded includes undergraduate and graduate aid funded by tuition.

FY26 UConn funded set-aside for need-based aid as a % of tuition revenues is 16.5% (15% requirement). Merit aid is discretionary to the University.

85% of students receive some form of financial aid.

Fee Revenue

Fee revenue growth is related to SEM plan enrollment growth and modest rate increases.

Fee Revenue			
\$ M	FY26 Budget	FY25 Forecast	FY24 Actuals
Course Fees	\$45.9	\$45.4	\$44.1
Intersession	28.9	28.6	30.5
Mandatory Fees	85.3	84.5	81.9
Other Fees	15.6	15.2	13.5
Total	\$175.7	\$173.8	\$170.0

Note: Use of decimals may result in rounding differences.

Course Fees \$45.9M
Includes programs in the Schools of Business, Education, Nursing, Social Work, Engineering, and Law.

Intersession \$28.9M
Includes courses offered during the summer, winter and continuing education revenue.

Mandatory Fees \$85.3M
Includes fees for General University, Infrastructure Maintenance, Tech, and Student Health.

Other Fees \$15.6M
This includes fees such as Application, Visa compliance, late, online course, and enrollment fees.

Auxiliary Enterprise Revenue

Auxiliary revenues will increase by about \$27.9 million in FY26, driven primarily by increases in housing/dining rates, additional leased beds in Storrs/Hartford/Stamford, and increased Athletic revenues.

Auxiliary Revenue			
\$ M	FY26 Budget	FY25 Forecast	FY24 Actuals
Housing	\$138.8	\$121.2	\$107.5
Dining	90.7	90.3	79.6
Athletics	48.7	38.3	46.8
Parking/Other	13.3	13.8	10.5
Total	\$291.5	\$263.6	\$244.4

Student Housing \$138.8M

Housing rates will increase by at least 2.75% in FY26 along with increased housing stock. Anticipated occupancy rate in Storrs is 100% of ~13,886 beds.

Athletics \$48.7M

Athletics includes Conference, Ticket Sales, and Sponsorship revenues. This is a portion of their overall revenue budget and doesn't include student fees, Foundation, etc.

Meal Plans/Dining Services and Retail \$90.7M

Dining rates will increase by 2.75% in FY26 and expected increases meal plan purchases due to increased enrollment, driving revenue favorably.

Parking and Transit/Other \$13.3M

Includes health services fee for service, self-supporting auxiliary enterprises, student, employee and visitor parking.

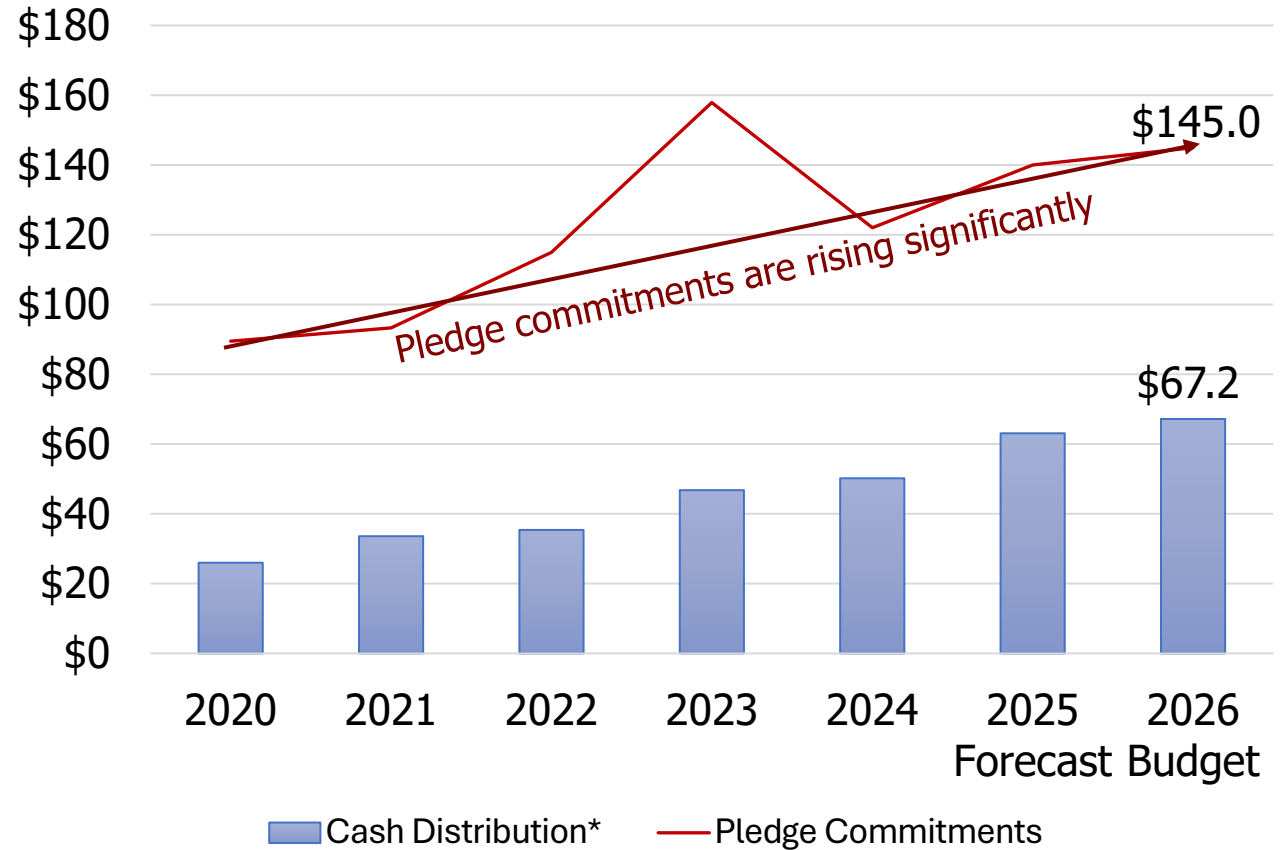
Fundraising and Investment/Interest Income

Foundation & Investment/Interest Income			
\$ M	FY26 Budget	FY25 Forecast	FY24 Actuals
Foundation (Operating)	\$46.3	\$36.7	\$34.8
STIF Interest	18.9	21.9	17.2
Investment Income*	1.6	1.6	0.5
Total	\$66.8	\$60.2	\$53.3

*Includes endowment funds held by UConn

- The University will pay \$15M to the Foundation in FY26 to cover the statement of work including the gift goal of \$145M.
- Endowment market value stood at \$634M as of June 30, 2024. A \$1.5B campaign was launched in April to grow the endowment to \$1B by 2030.

UConn Foundation
Historical Pledges and Distributions (in millions)



*Cash distribution includes capital and operating funds.



Other Revenues

Other revenues are expected to see a slight increase in FY26.

Other Revenues			
\$ M	FY26 Budget	FY25 Forecast	FY24 Actuals
One UConn Revenues	\$18.2	\$17.8	\$20.3
Sales/Services Revenue	18.0	17.6	16.9
Renewable Energy Credits	2.1	2.1	2.2
Building/Room Rental Income	1.2	1.2	1.3
Other Miscellaneous Revenue	6.5	6.3	9.3
Total	\$46.0	\$45.0	\$50.0

Note: Use of decimals may result in rounding differences.

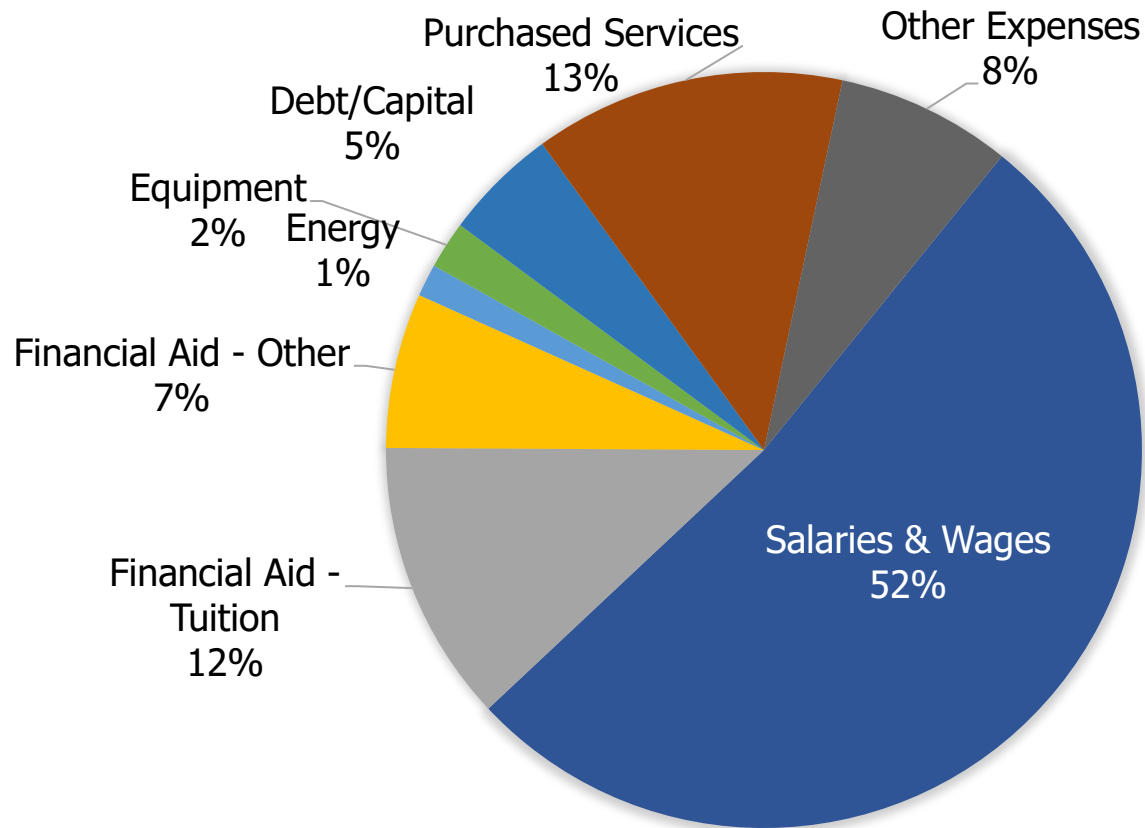
One UConn has been effective in reducing redundancies and achieving cost savings at UConn and UConn Health.

Sales and Services Revenue primarily includes revenues from UConn Speech & Hearing Clinic, Center for Genome Innovation, Child Labs, RV CT, study abroad, and internship programs.

FY26 Expenses

Expense Budget

\$1,816.1M



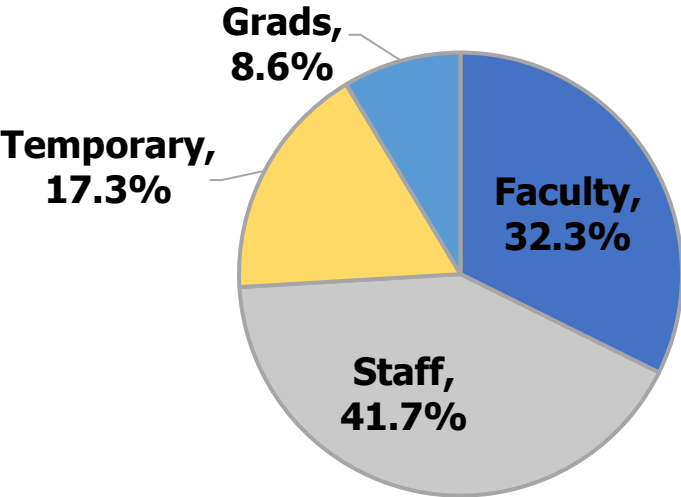
- Expenses will increase 2.4% over FY25 primarily driven by financial aid growth and increases in housing and athletics which are offset in revenues.
- 52% of total expenses are allocated to personnel costs (salaries & wages + fringe benefits).
- Student financial aid commitment is significant with a 10.6% increase in tuition funded aid.

Salaries & Wages

Growth in FY26 is driven by faculty hiring to support SEM plan enrollment growth and graduate assistant salary increases.

Salary Expense Budget

\$767.6M



Temporary: includes undergraduate students, adjuncts, overtime, and other professional temporary support

92% of faculty & staff are covered by collective bargaining agreements

Permanent FTE	FY25	FY24	FY23	FY22	4 Year Growth
Faculty	1,778	1,693	1,664	1,706	4.20%
Staff	3,416	3,410	3,192	3,222	6.00%
Total	5,194	5,103	4,856	4,928	5.40%

- Grant Funded staff positions have grown significantly over this period (41% growth) while the non-grant staff positions only grew by 3.5%.
- New positions and refills are reviewed and approved strategically.

Equipment/Energy

Equipment/Energy			
\$ M	FY26 Budget	FY25 Forecast	FY24 Actuals
Computers/equipment under \$5K	\$14.2	\$14.2	\$10.2
General equipment over \$5K	9.4	9.2	7
Research equipment	3.9	3.8	4.4
Motor vehicles over \$5k	2.5	2.4	0.2
Long term software	1.8	1.8	1.8
Other (library, artwork, design)	5.1	3.7	2.4
Total Equipment	\$36.9	\$35.2	\$26.1
Total Energy	\$25.2	\$22.9	\$22.6

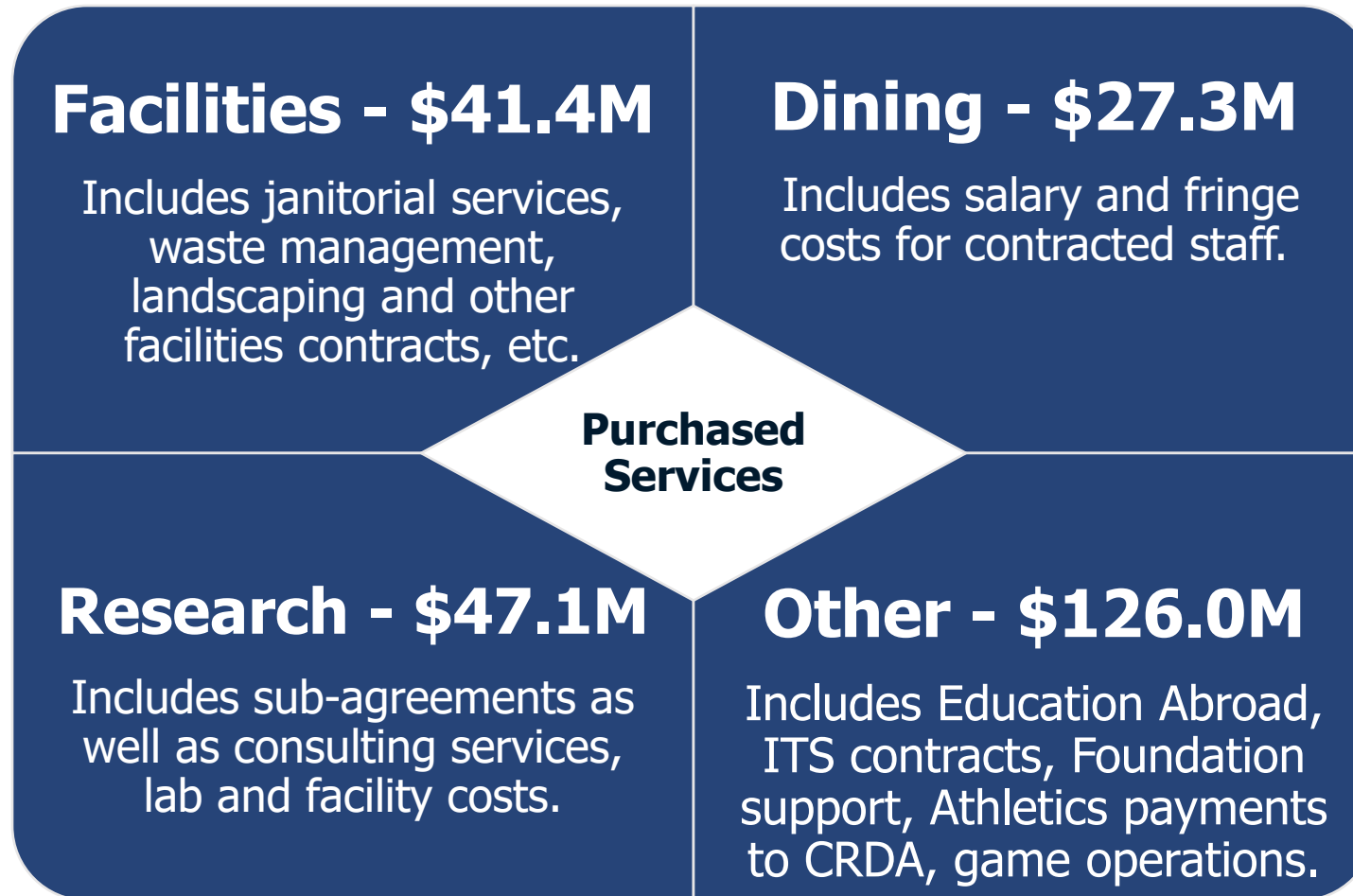
The FY26 equipment budget of \$36.9M assumes slight inflation in cost and spend of new gift funds. Projected costs of new tariffs are also included.

The FY26 energy budget of \$25.2M assumes an increase in electric price and increased consumption.



Purchased Services

The FY26 budget of \$241.8M assumes inflationary growth on contracts, plus increased expenses for revenue sharing agreements (offset in revenues).

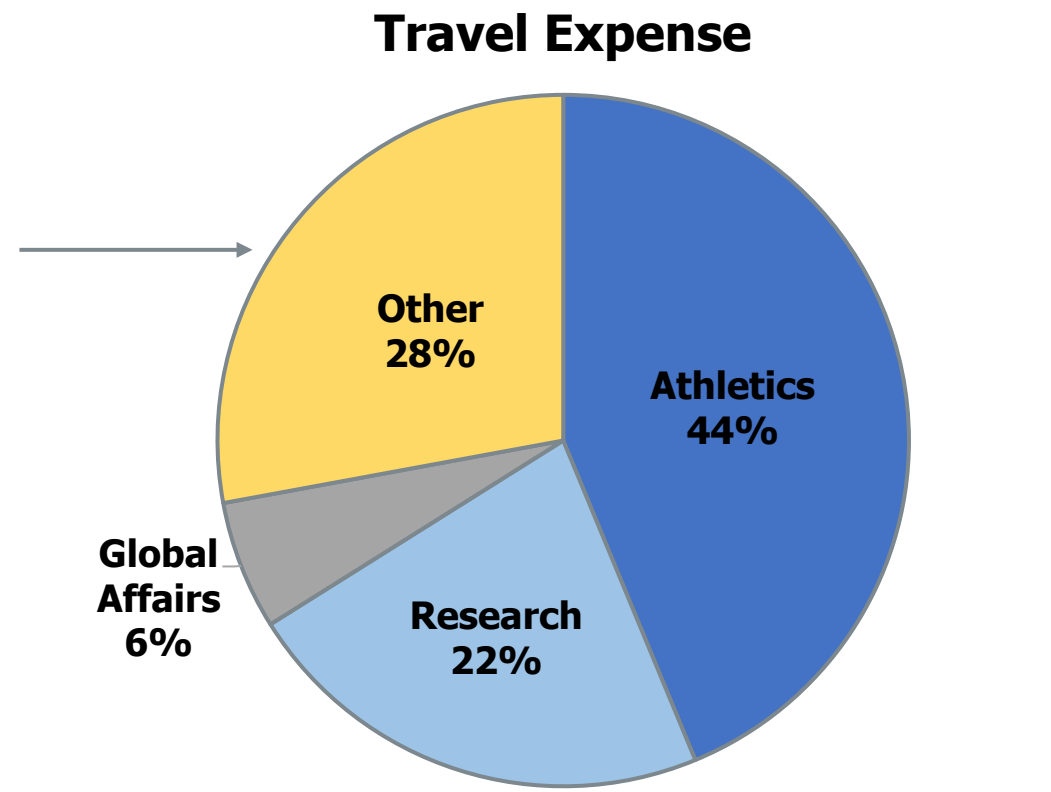


Other Expenses

The remaining operating expenses of \$136.2M include various activities among the academic and administrative units. Supplies and travel are expected to decline modestly due to a reduction of grant & contract revenue and related spend.

Other Expenses			
\$ M	FY26 Budget	FY25 Forecast	FY24 Actuals
Travel	\$31.9	\$32.7	\$30.5
Fees/Dues/Subscriptions	30.4	29.8	30.3
Dining Food Purchase	20.5	20.1	18.5
Supplies	18.0	19.8	19.8
Rentals and Leases	11.7	0.0	-0.3
Telecommunications	7.2	7.1	7.1
Transportation/Motor Vehicles	6.6	6.5	8.1
Other (Insurance, Animal Care, etc)	10.0	8.4	4.7
Total Other Expenses	\$136.2	\$124.3	\$118.7

*Short-term housing agreements



Debt / Capital

The Debt / Capital category (\$88.6M) primarily includes debt service payments for revenue bonds and funds transferred to capital accounts for projects.

\$ M	FY26 Budget	FY25 Forecast	FY24 Actuals	Purpose
Debt Payments	\$24.5	\$27.2	\$23.6	Recreation center, stadia, cogen (paid off in FY25) & housing debt payments.
Lease Entries (Accounting standard GASB 87, etc)	18.5	18.5	18.1	Entries classified as long-term finance leases.
Repair & Renovation Projects	25.7	49.8	67.4	High priority infrastructure repairs & improvements to student learning spaces.
Housing Plan Re-Investment	20.0	33.0	20.0	Reinvestment of housing/dining gain.
Total	\$88.6	\$128.5	\$129.1	

Note: Use of decimals may result in rounding differences.

FY26 Proposed Spending Plan

UConn Storrs & Regional Campuses	FY26 Budget	FY25 Forecast	Variance	% Variance
State Block Grant	\$ 268.2	\$ 223.8	\$ 44.3	19.8%
Additional State Support	-	95.7	(95.7)	-100.0%
Adjustments (WC/FB reimb)	1.0	1.9	(0.9)	-45.9%
Total State Support	\$ 269.2	\$ 321.4	\$ (52.2)	-16.2%
Tuition	615.6	578.4	37.2	6.4%
Course/Mandatory Fees	175.7	173.8	1.9	1.1%
Grants & Contracts - Financial Aid	87.7	86.9	0.9	1.0%
Grants & Contracts - Other	225.9	250.0	(24.1)	-9.6%
Auxiliary Revenue	291.5	263.6	27.9	10.6%
Other Revenues	112.7	105.2	7.5	7.1%
Total Revenues	\$ 1,778.2	\$ 1,779.2	\$ (0.9)	-0.1%
Salary/Benefits	\$ 947.5	\$ 936.1	\$ 11.4	1.2%
Financial Aid - Tuition Funded	219.4	198.3	21.1	10.6%
Financial Aid - Other	120.5	122.1	(1.6)	-1.3%
Energy	25.2	22.9	2.3	10.3%
Equipment	36.9	35.2	1.7	4.8%
Capital Projects/Debt Payments	88.6	128.5	(39.9)	-31.0%
Purchased Services	241.8	205.9	35.9	17.4%
Other Expenses	136.2	124.3	11.8	9.5%
Total Expense	\$ 1,816.1	\$ 1,773.3	\$ 42.8	2.4%
Rescission (cuts to depts)	\$ 10.0	\$ -	\$ 10.0	
Budget Stabilization Fund	11.0	-	11.0	
Mitigation Plan	16.9	-	16.9	
Net Gain/(Loss)	\$ 0.0	\$ 5.8	\$ (5.8)	

Note: Use of decimals may result in rounding differences.

UConn will be increasing enrollment, reducing expenses, and reallocating resources to deliver a balanced budget.

Mitigation Plan Options *

Pause non-essential travel, events, and other activities

Personnel optimization/reduction plan

Review of purchased service contracts

Increase utilization of Foundation funds

*Specific actions will be determined by the end of the first quarter

Vote - FY26 Spending Plan Resolution (Operating)

RECOMMENDATION:

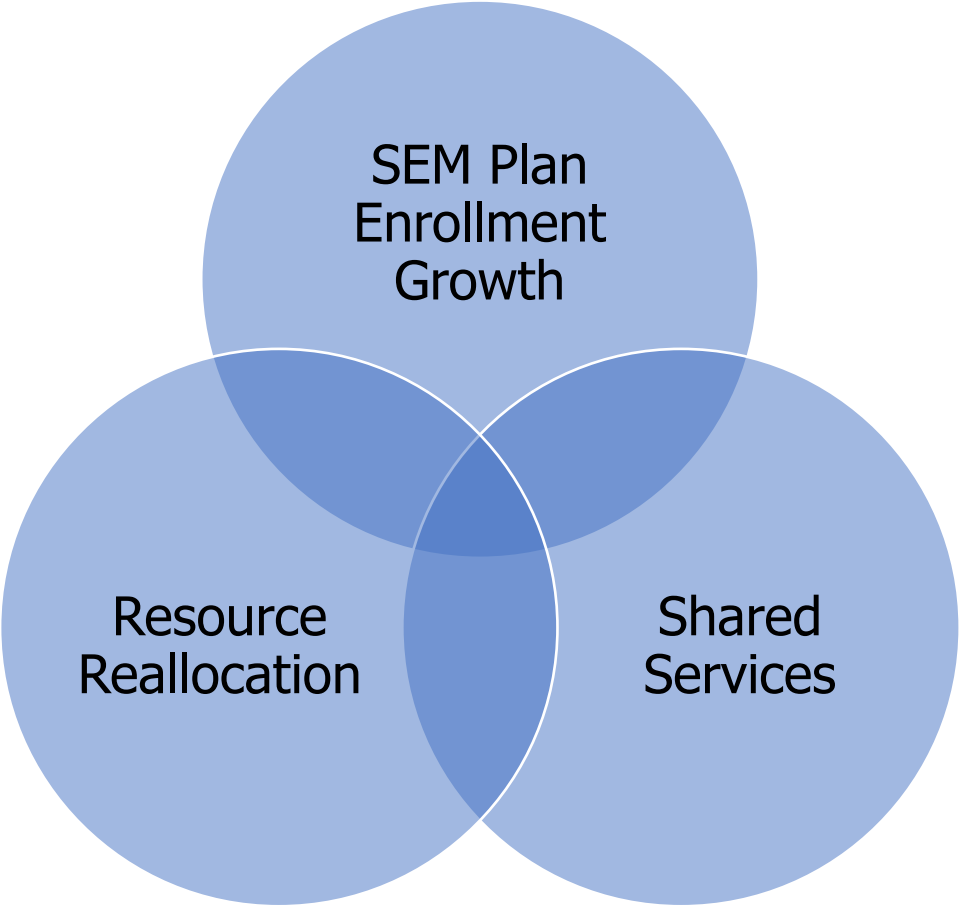
- That the Board of Trustees approve the Spending Plan for Fiscal Year 2026 of \$1,816.1 million for the University of Connecticut, Storrs and Regional Campuses.

RESOLUTION:

- "Be it resolved that the Board of Trustees approve the Fiscal Year 2026 Spending Plan of \$1,816.1 million for the University of Connecticut, Storrs and Regional Campuses."

Future Year Operating Strategy

Three key elements are driving the 5-year financial sustainability plan:



- Future SEM plan enrollment growth and net tuition revenue targets are planned to resolve the structural deficit, but strategic priorities and new investments require additional actions to mitigate deficits.

Additional undergrad enrollment included:

FY26	FY27	FY28	FY29	FY30
1,148	939	1,106	674	675

*Total ~4,500 (SEM Plan to guide growth)

- Discussions are underway to:
 - Determine areas of contraction and growth
 - Increase teaching capacity
 - Reallocate funds – planned rescissions will help with the shift of funds

15% Permanent rescissions (amount not reallocated):

FY26	FY27	FY28	FY29	FY30
\$10.0	\$18.8	\$28.8	\$38.8	\$38.8
(cumulative)				

State Bonding Support

State GO Bond Funds (\$M)		Request		Approved	
UConn		FY26		FY27	
	Academic & Research Equipment	\$10.0	\$5.0	\$10.0	
	Digital Learning Infrastructure-Regional Campuses		3.0		
UConn Total		\$10.0	\$8.0	\$10.0	\$0.0
UConn Health					
	Deferred Maintenance			30.0	30.0
	Clinical Equipment to Enhance Revenue Growth	10.0	25.0	10.0	10.0
	Information Technology Security, Infrastructure & Equipment	3.0	3.0	3.0	3.0
UConn Health Total		\$13.0	\$28.0	\$43.0	\$43.0
Grand Total		\$23.0	\$36.0	\$53.0	\$43.0

Retains \$46.1M prior bond authorization for Innovation (Research) Faculty Recruitment initiative and amends use of funds solely for the laboratory renovations, construction and equipment costs necessary to support Eminent Faculty hires

Note: State GO Bonding requires Bond Commission approval

UConn 2000 Update

- Total UCONN 2000 Program increased by \$4M in FY26 for Gampel Pavilion Renovations
 - \$574M remains in the NextGenCT initiative over the next 6 years (FY26-FY31)
- The new bond authorizations approved in 2024 require a cumulative milestone fundraising target of \$100 million over 8 years
 - Cumulative fundraising through FY26 is \$36.7M

Milestone Fundraising (cumulative) Targets (\$M)	FY25	FY26	FY27	FY28	FY29	FY30	FY31	FY32
	\$20.0	\$31.5	\$43.0	\$54.5	\$66.0	\$77.5	\$89.0	\$100.0
Total Raised	\$20.0	\$36.7						


Complete

University of Connecticut
FY26 Capital Budget Spending Plan
Proposed Projects by Statutory Named Lines & by Program*

UCONN 2000 Bond Funded Projects by Statutory Named Lines	Total	By Program		
		Academic Priorities	Deferred Maint.	Other Improvements
Academic and Research Facilities	\$54.0			
Gant-Code Repairs & Renovations 29.0		29.0		
Nursing 25.0		25.0		
Deferred Maintenance	69.5			
Gampel Renovations 50.0				50.0
Motor Pool Tank Removal & Relocation 2.7			2.7	
NW Quad: Electrical Infrastructure Upgrades 7.0			7.0	
Contingency 9.9			9.9	
Equipment	4.5			
Information Technology 2.8				2.8
Faculty Start-up 1.8		1.8		
Total UCONN 2000 Bond Funded Projects	\$128.0	\$55.8	\$19.5	\$52.8
Other Funded Projects (University, State Bonds)				
Equipment (State GO Bonds) 5.0		2.5	2.5	
Facilities Repairs & Improvements (University) 15.0			15.0	
Digital Learning Infrastructure - Regionals (State GO Bonds) 3.0			3.0	
Residential Refresh Program (University) 20.0			10.0	10.0
Programmatic Renovations (University) 4.0		2.0	2.0	
Total Other Funded Projects	\$47.0	\$4.5	\$32.5	\$10.0
Grand Total FY26 Capital Budget	\$175.0	\$60.3	\$52.0	\$62.8

*Projects less than \$500,000 are approved by UConn administrative committee. Projects costing \$500,000 or more are submitted for Board action on a project by project basis.

FY26 UConn Capital Budget

- FY26 is year 12 of the 17-year NextGenCT initiative.
- 50% of the capital budget will provide funding for active construction projects.

Vote - FY26 Capital Spending Plan Resolution

RECOMMENDATION:

- That the Board of Trustees approve a capital budget of \$175,000,000, as detailed in Attachment A, for Fiscal Year 2026 which is comprised of \$128,000,000 of UCONN 2000 State GO bond funds, \$8,000,000 of State GO bond funds, and \$39,000,000 of University funds. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

- "Be it resolved that the Board of Trustees approve the Fiscal Year 2026 capital budget of \$175,000,000 for the University of Connecticut Storrs and Regional Campuses."



UConn
HEALTH

Fiscal Year 2026 Budget

FY25 Year End Forecast

UConn Health	FY25 Forecast	FY25 Budget	Variance	% Variance
State Support	\$ 193.7	\$ 198.7	\$ (5.0)	(2.6%)
Tuition and Fees	35.6	34.2	1.4	4.0%
Grants & Contracts	118.5	119.2	(0.7)	(0.5%)
Interns/Residents	91.7	92.2	(0.6)	(0.6%)
Net Patient Revenue	1070.1	1013.1	57.1	5.6%
Other Revenue	252.8	242.6	10.2	4.2%
Total Revenues	\$ 1,762.4	\$ 1,700.0	\$ 62.4	3.7%
Salaries & Wages	\$ 655.0	\$ 643.8	\$ 11.2	1.7%
Fringe Benefits	167.4	165.1	2.3	1.4%
Temporary/Contractual Support	206.4	199.4	7.0	3.5%
Drugs/Medical Supplies	348.0	331.7	16.4	4.9%
Resident and Fellow house staff	76.4	76.4	(0.0)	(0.0%)
Utilities	17.3	15.0	2.3	15.3%
Interest Expense on Debt Service	7.8	7.8	(0.0)	(0.5%)
Purchased Services	151.8	151.5	0.4	0.2%
Other Expenses	85.1	79.1	5.9	7.5%
Capital Projects/Debt Payments	32.0	31.7	0.3	1.0%
Total Expenses	\$ 1,747.3	\$ 1,701.6	\$ 45.7	2.7%
Budget Stabilization Fund	(15.1)	1.6		
Net Income/(Loss)	\$ -	\$ -		

Note: Use of decimals may result in rounding differences.

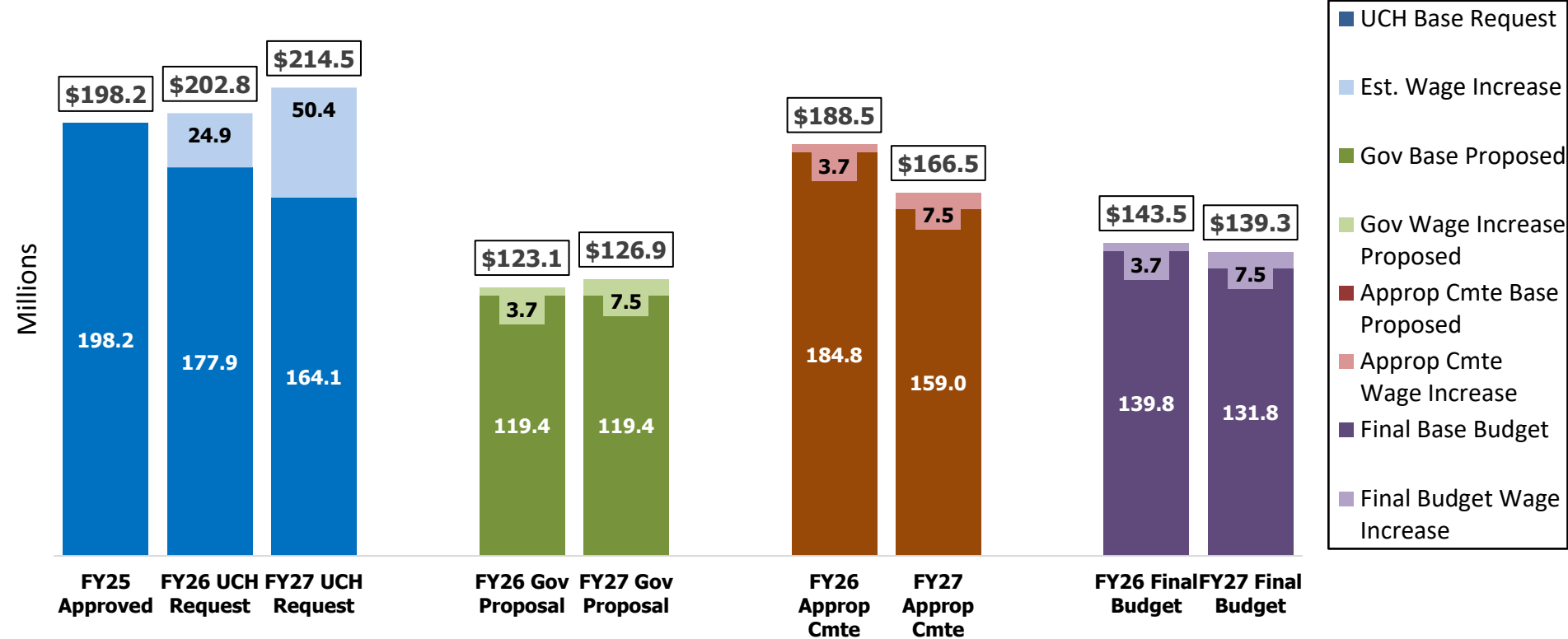
- During FY25, UConn Health recognized favorable net patient care revenue and revenue related to pharmacy services.
- These gains are partially offset by additional spending in salaries/benefits, per diem staff, drugs and medical supplies.

State Budget Operating Support

UConn Health:

The shortfall from our request is \$59.3M in FY26 and \$75.2M in FY27

- UConn Health base funding request is lower than the FY25 approved state support by \$20.3M in FY26 and \$34.1M in FY27
- The final biennium budget (including an additional inflationary increase) is lower than requested creating a shortfall of \$59.3M in FY26 and \$75.2M in FY27



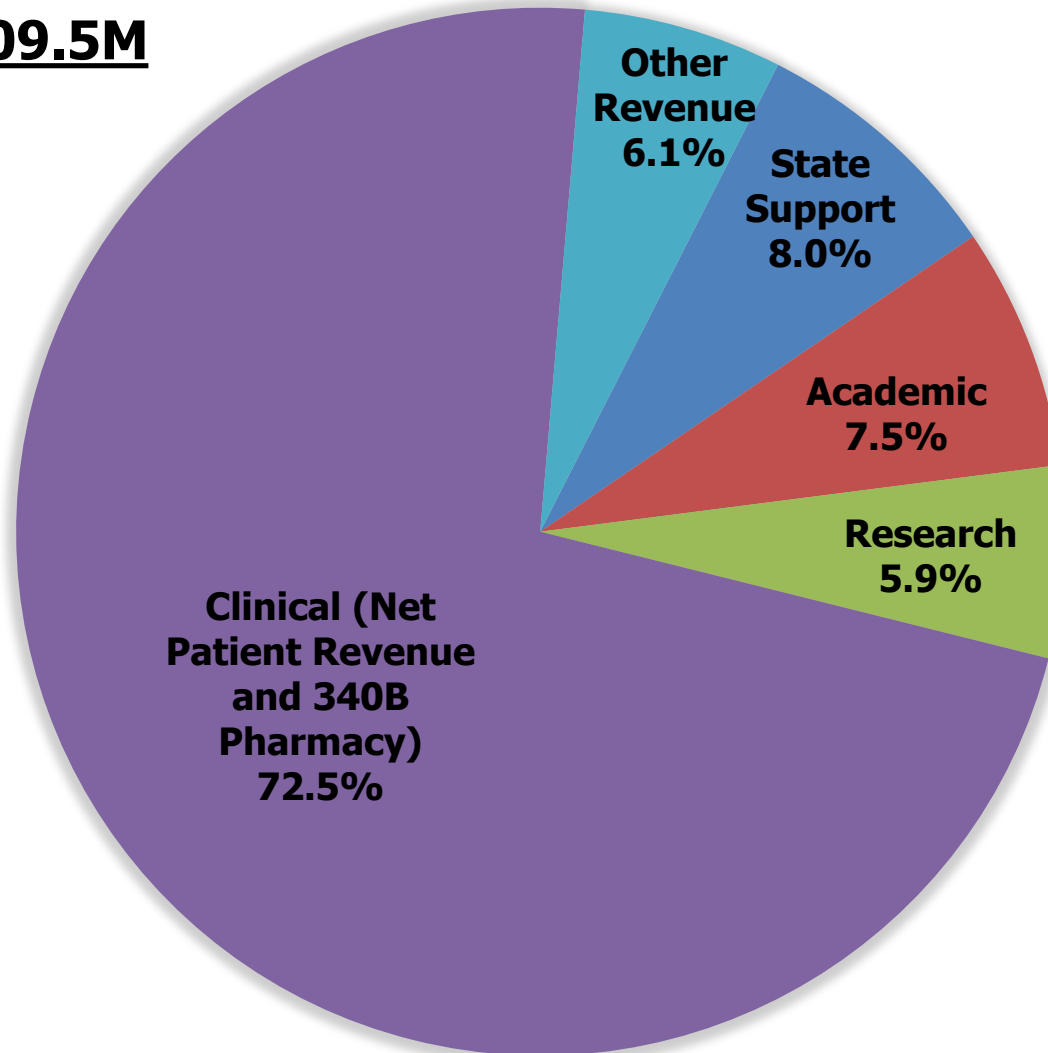
FY26 Mitigation Plan

Permanent/Ongoing Savings	
Category	Initiative
Workforce	Review and pause non-critical, non-revenue generating hires. Review of overtime and temporary staff for non-revenue generating areas.
Operating Expenses	Pause non-essential travel, functions, events and catering.
Clinical Financial Improvements	Productivity and Revenue cycle review. (Huron)
Contracts and Other Expenses	Review of purchase service contracts and pause non-essential minor equipment purchases. (Huron)
Ancillary Businesses	Review the possibility in increasing rates in the ancillary services.
One-Time Savings	
Category	Initiative
Capital/Fund Balances	Decrease in capital projects and fund balance use.

FY26 Proposed Spending Plan

REVENUE BY SOURCE OF FUNDS

\$1,809.5M



Other Revenue includes auxiliary services, gifts/endowments and internal income (offset by internal expense)

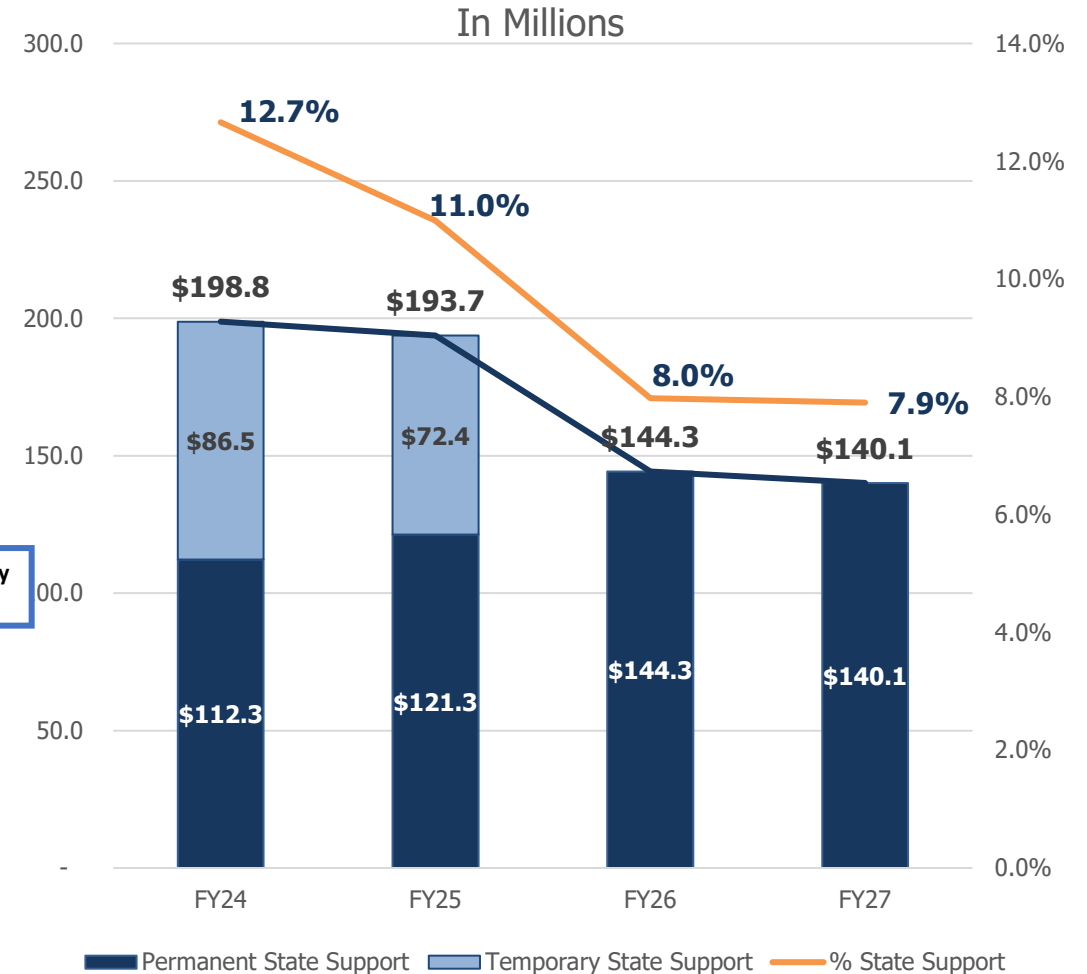
- Over 72% of total revenue is funded by patients
- 8% of total revenue is funded by the State
- Revenues will increase 2.7% over FY25, mainly driven by patient care revenue.

State Support

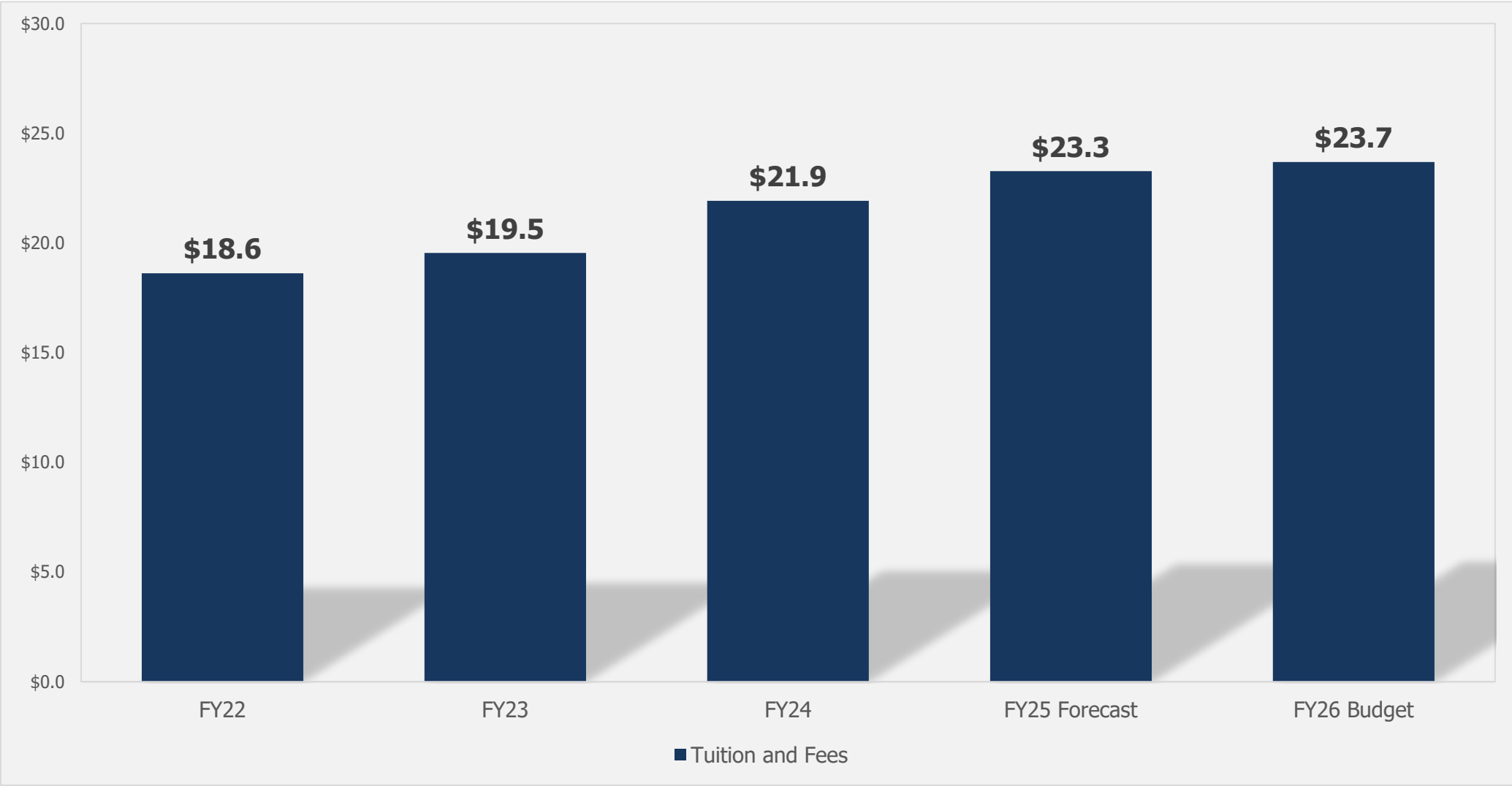
UConn Health is grateful for the generous support provided by the State in FY26.

State Support (\$M)				
State Support Salary	FY24	FY25	FY26	FY27
Operating Expenses	\$ 111.2	\$ 115.7	\$ 143.1	\$ 138.9
AHEC	0.4	\$ 0.4	\$ 0.4	\$ 0.4
Total State Support - Salary	\$ 111.6	\$ 116.1	\$ 143.5	\$ 139.3
Additional State Support				
Temporary Operating Support - ARPA	\$ 51.5	\$ 48.0	\$ -	\$ -
Temporary Operating Support - Carry Forward	35.0	17.5	-	-
Temporary Operating Support - Mid year ARPA	-	6.9	-	-
Total Additional State Support	\$ 86.5	\$ 72.4	\$ -	\$ -
Total Appropriation	\$ 198.1	\$ 188.5	\$ 143.5	\$ 139.3
Fringe Benefits	0.7	5.2	0.8	0.8
Total State Support	\$ 198.8	\$ 193.7	\$ 144.3	\$ 140.1

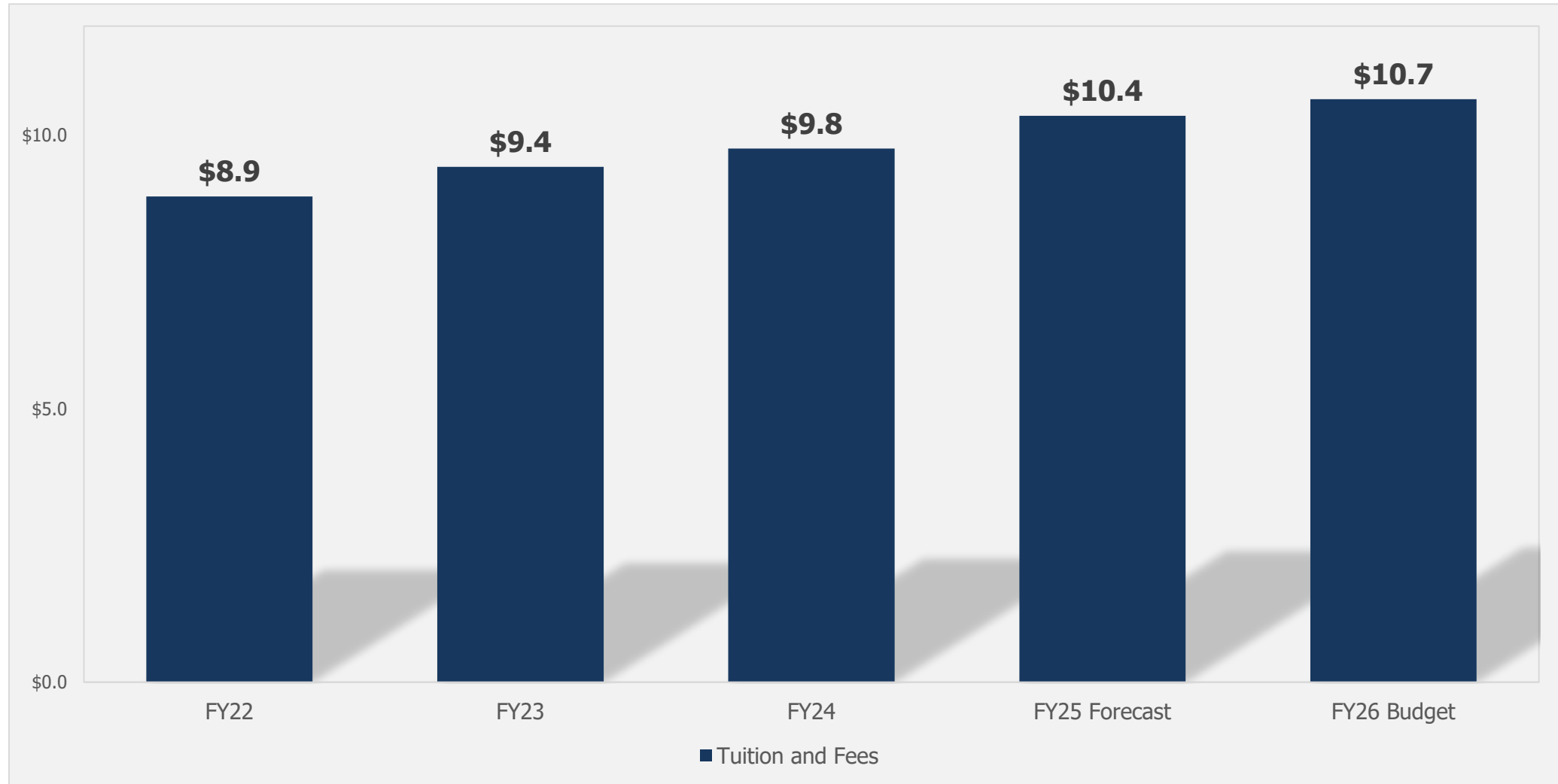
Temporary Funds



Tuition and Fees (\$M) - School of Medicine



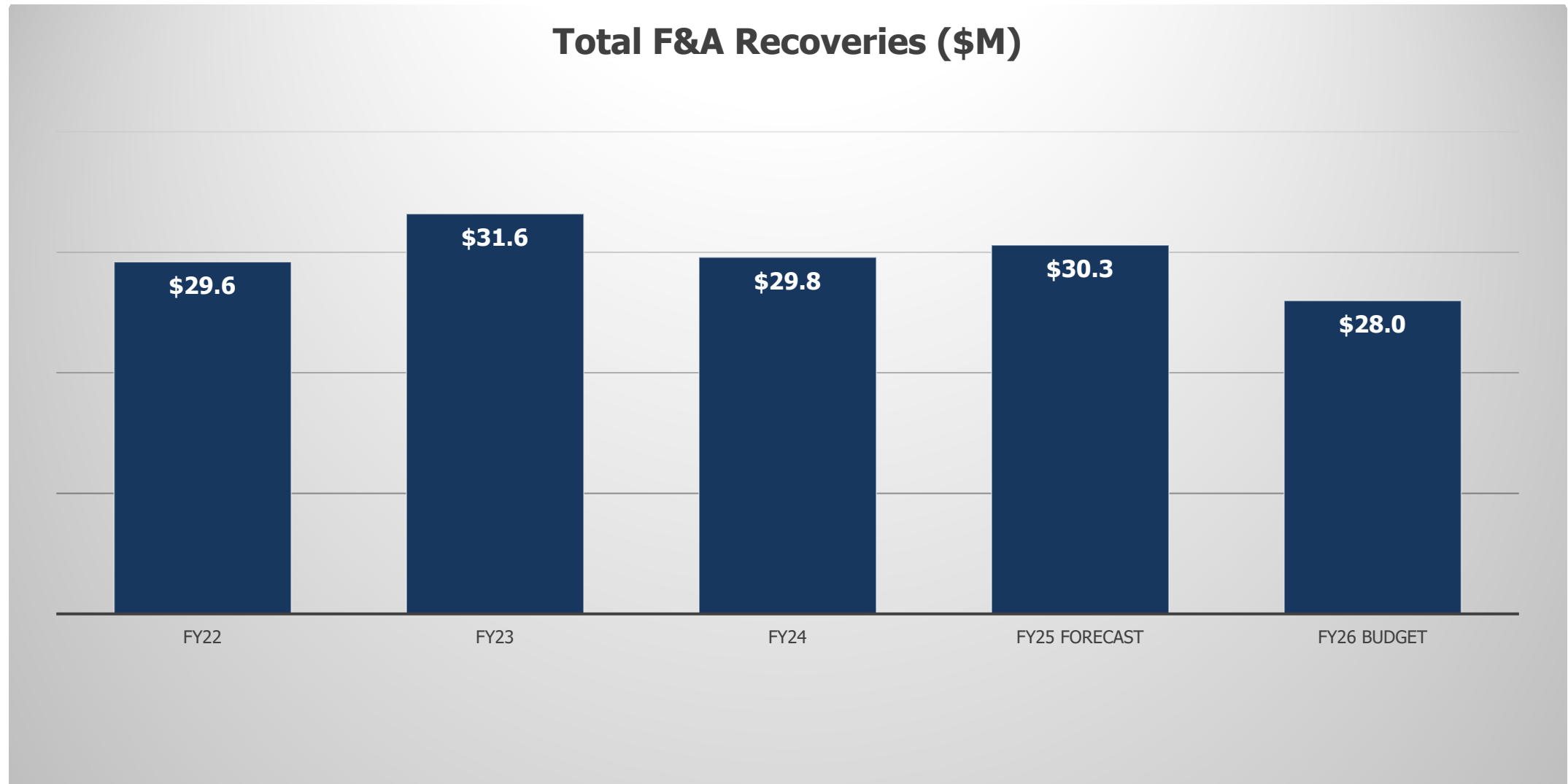
Tuition and Fees (\$M) - School of Dental Medicine



Research Revenue

Research Revenue (\$M)			
	FY26 Budget	FY25 Forecast	FY24 Actual
Federal Grants	\$ 78.3	\$ 87.6	\$ 90.1
Non Federal Grants	28.8	30.9	28.6
Total	\$ 107.1	\$ 118.5	\$ 118.6
F&A	\$ 28.0	\$ 30.3	\$ 29.8

Grants and Contracts - Research



Net Patient Revenue

Net Patient Revenue (\$M)			
	FY26 Budget	FY2025 Forecast	FY2024 Actual
John Dempsey Hospital	\$ 785.7	\$ 715.0	\$ 653.1
UConn Medical Group	171.4	159.3	144.1
Dental Clinics	11.3	11.2	10.7
Pharmacy	200.9	184.6	149.5
Total	\$ 1,169.3	\$ 1,070.1	\$ 957.5

**John Dempsey
Hospital (JDH)**
\$785.7M

Acute care, University
teaching hospital licensed
for 257 beds.
Inpatient/Outpatient
Services and Urgent Care

**UConn Medical
Group (UMG)**
\$171.4M

Among the largest multi-
specialty faculty clinical
group practices in the
region

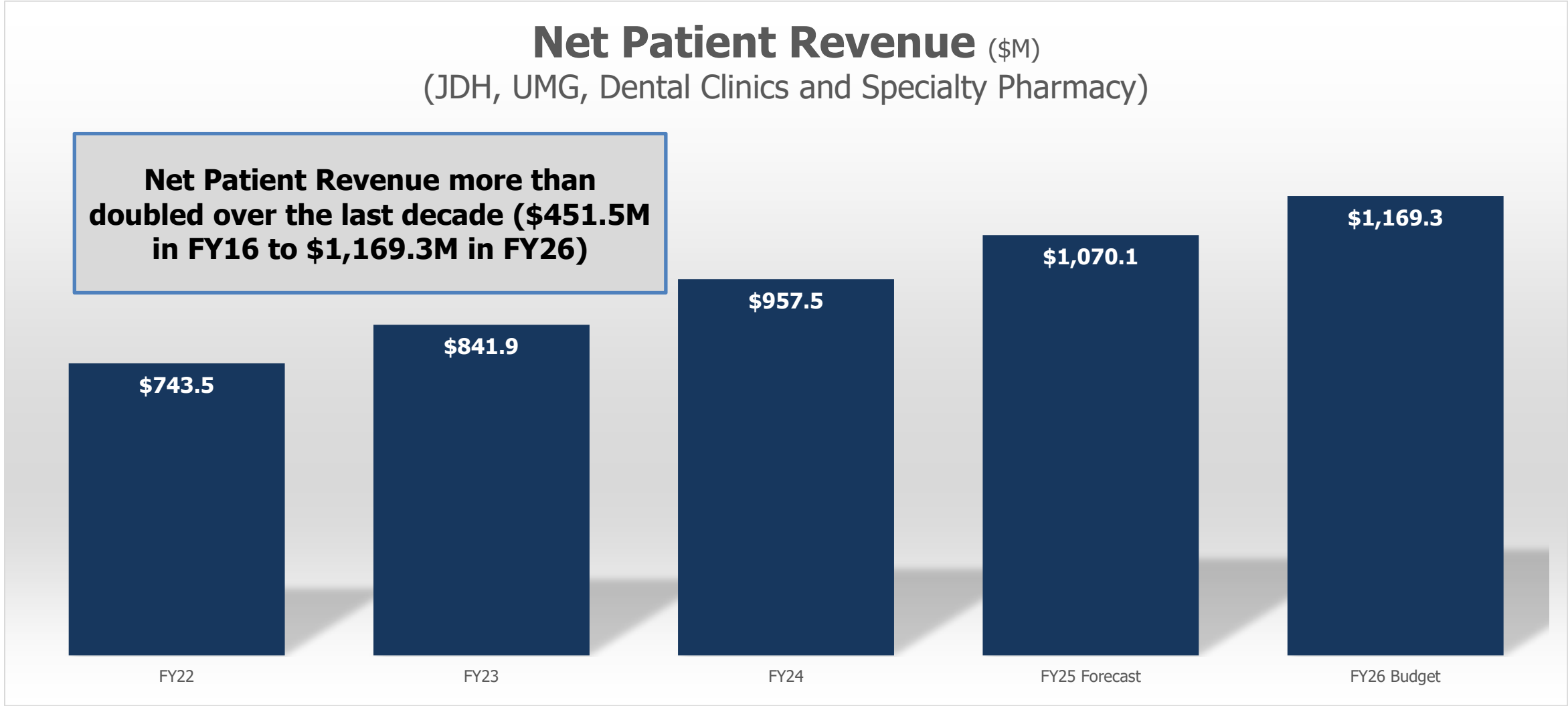
**Dental Clinics
(University
Dentist and
UConn Dental
Clinics)**
\$11.3M

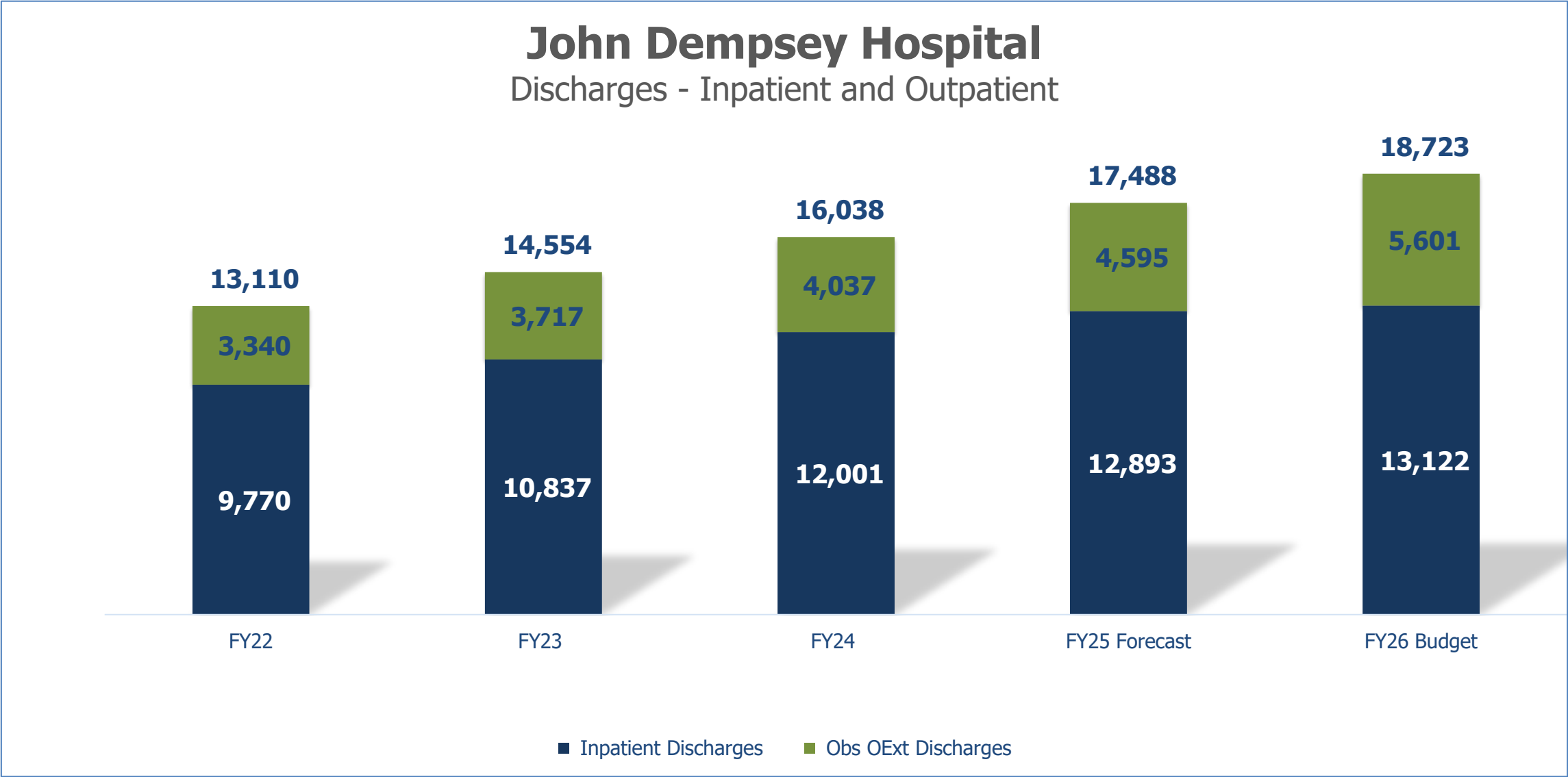
Faculty and resident dental
care practices

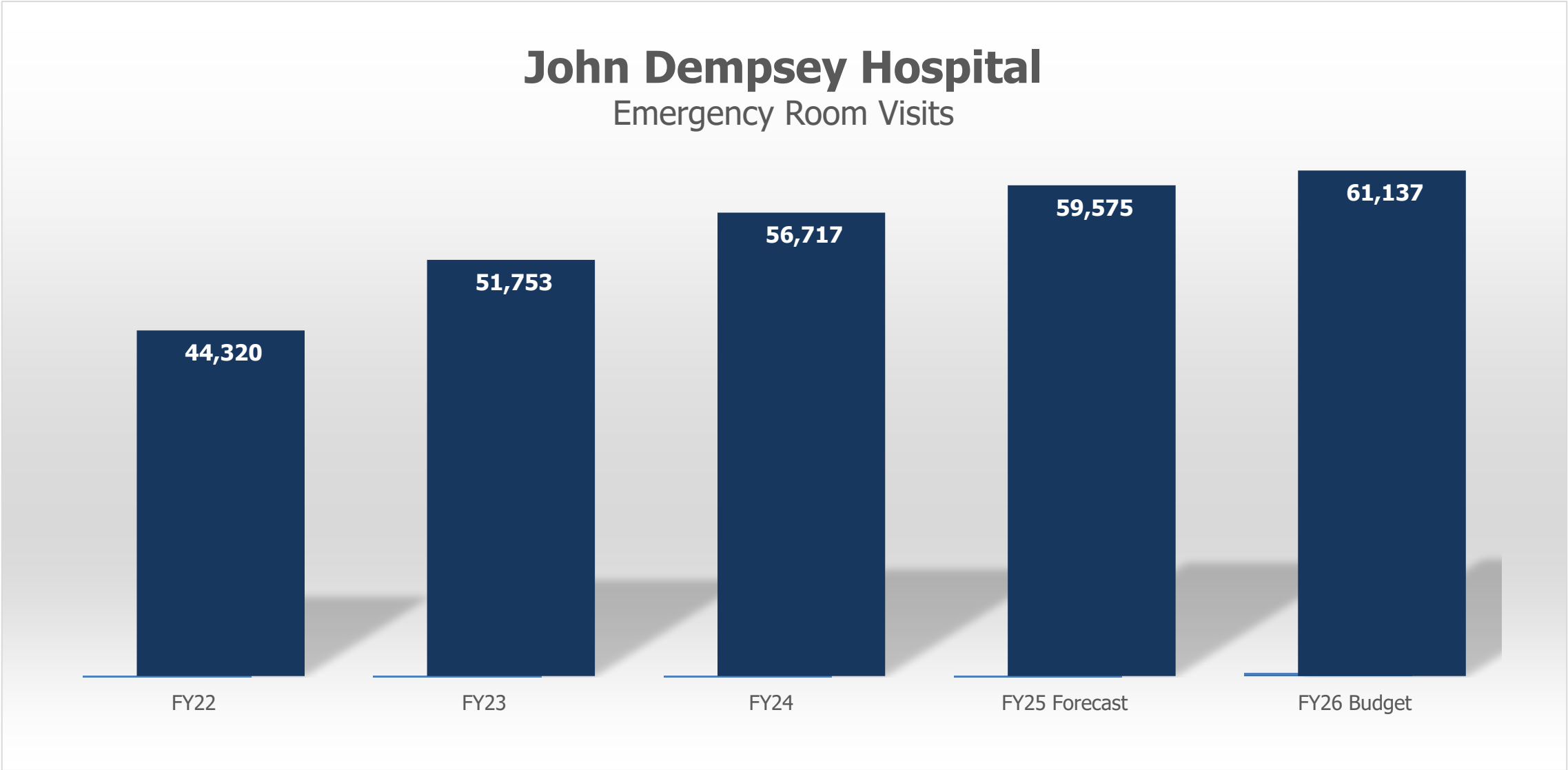
**Specialty
Pharmacy**
\$200.9M

Specialty medication
therapy and home infusion
program

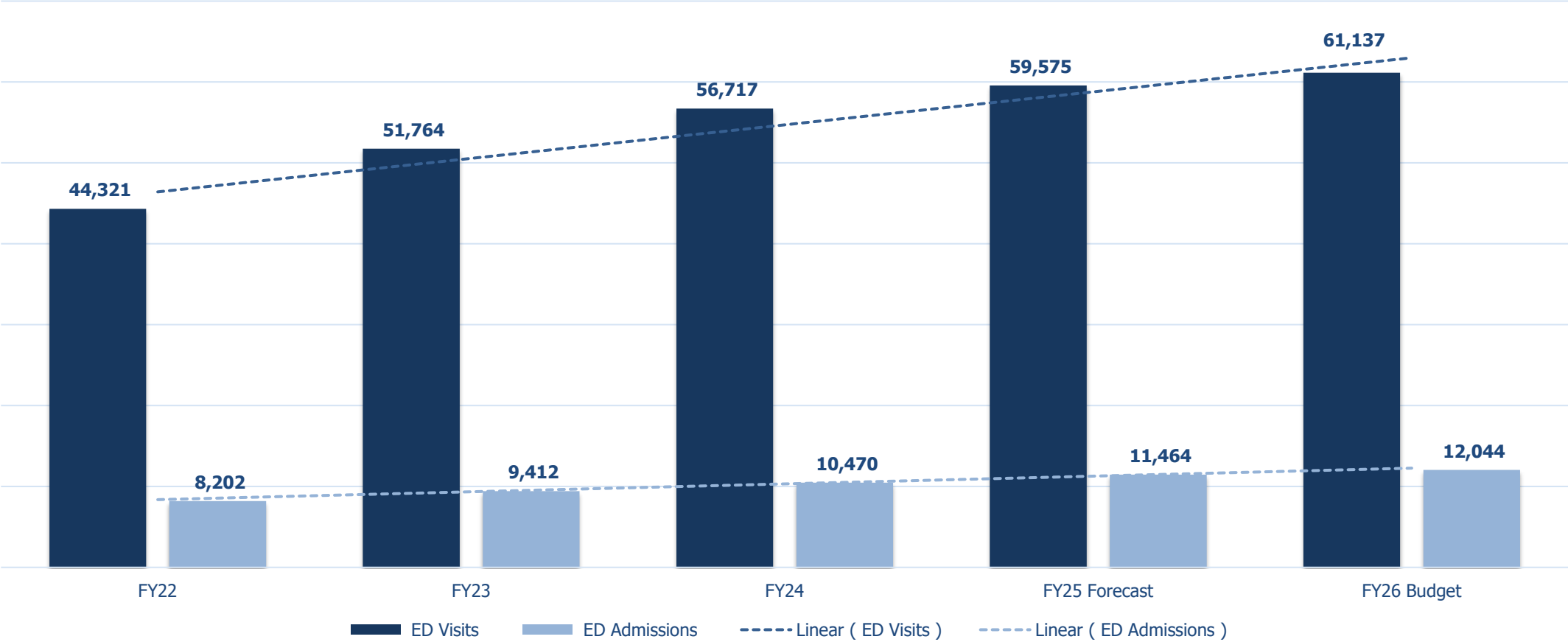
Clinical

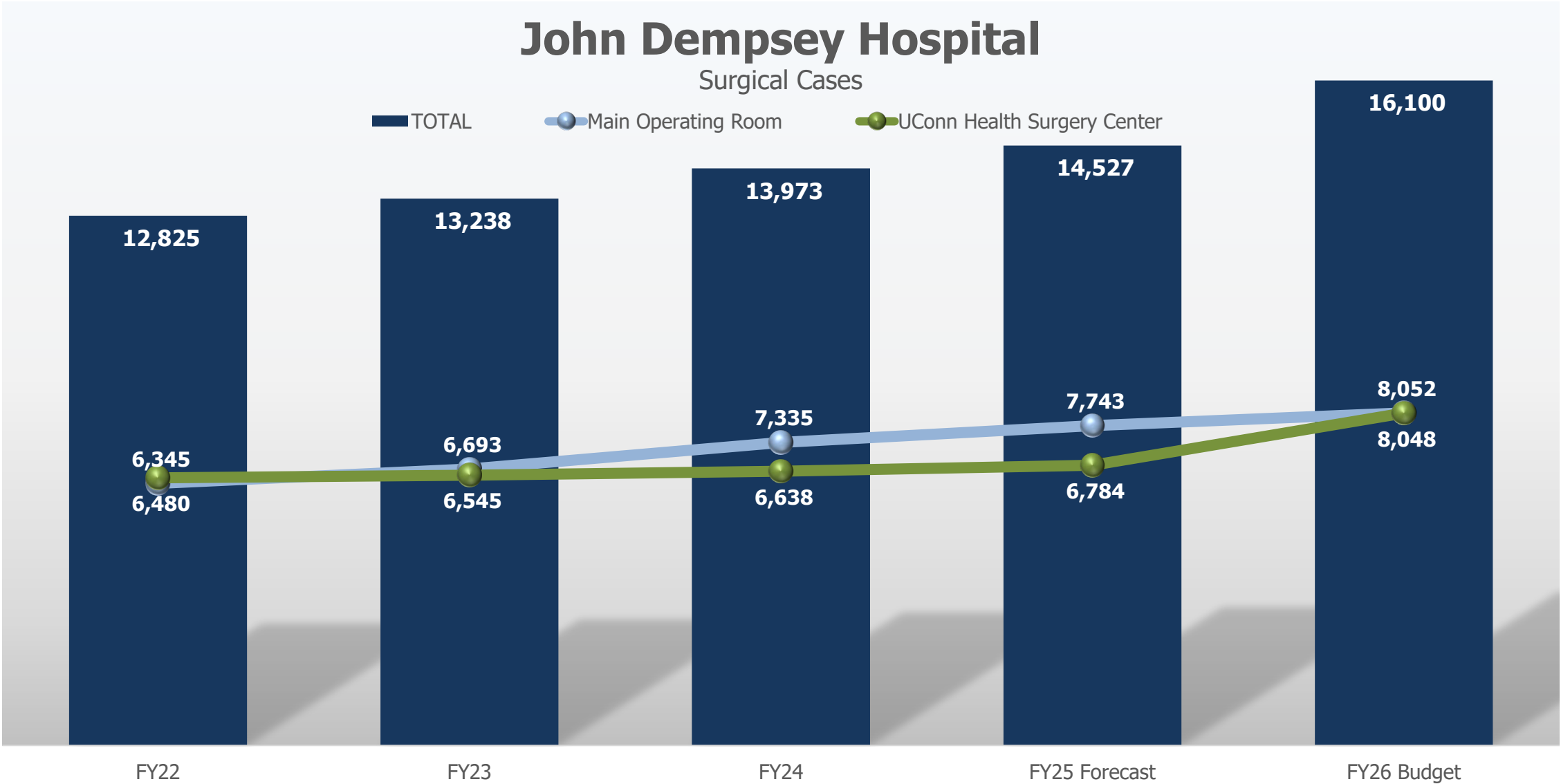


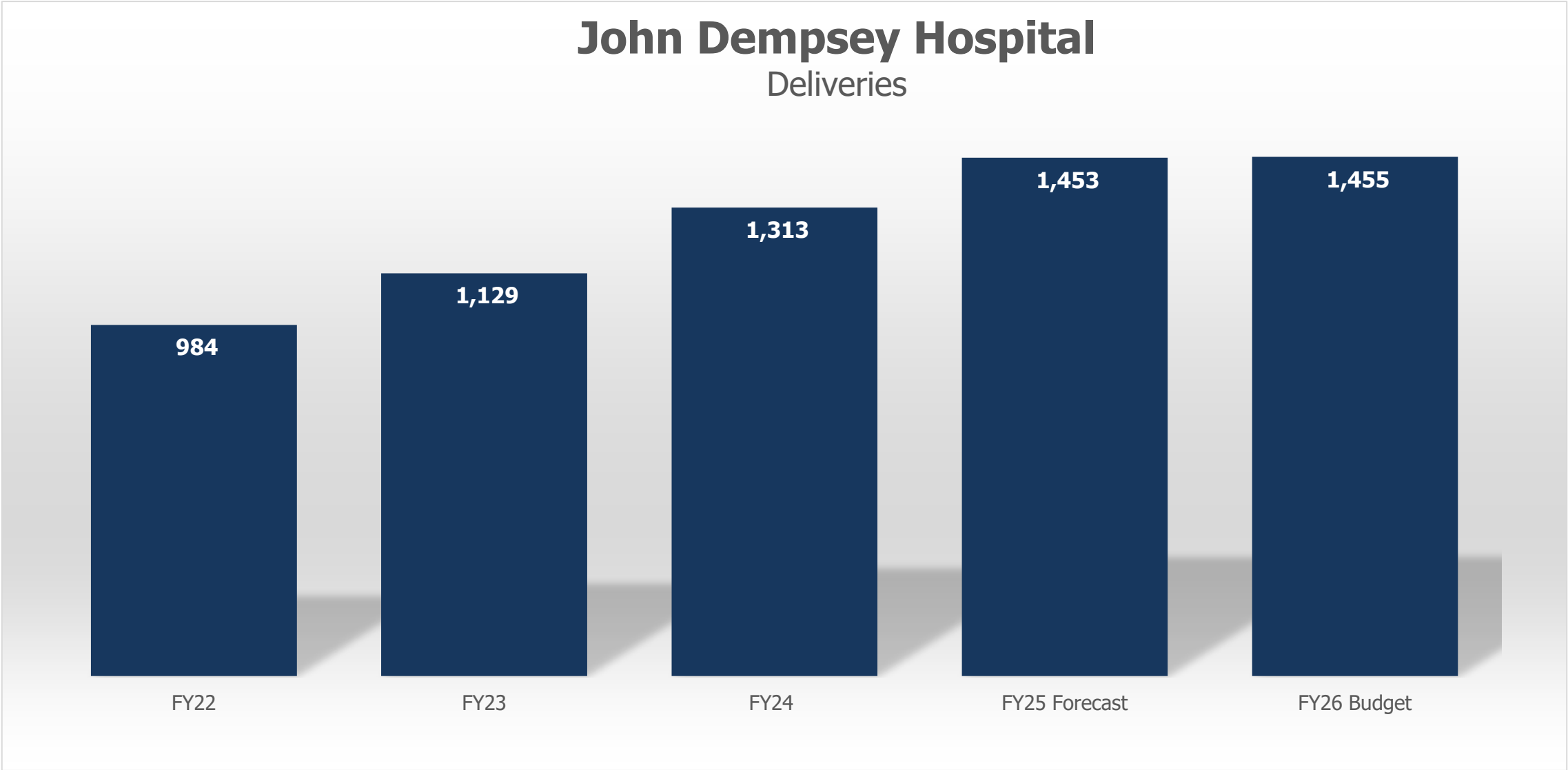




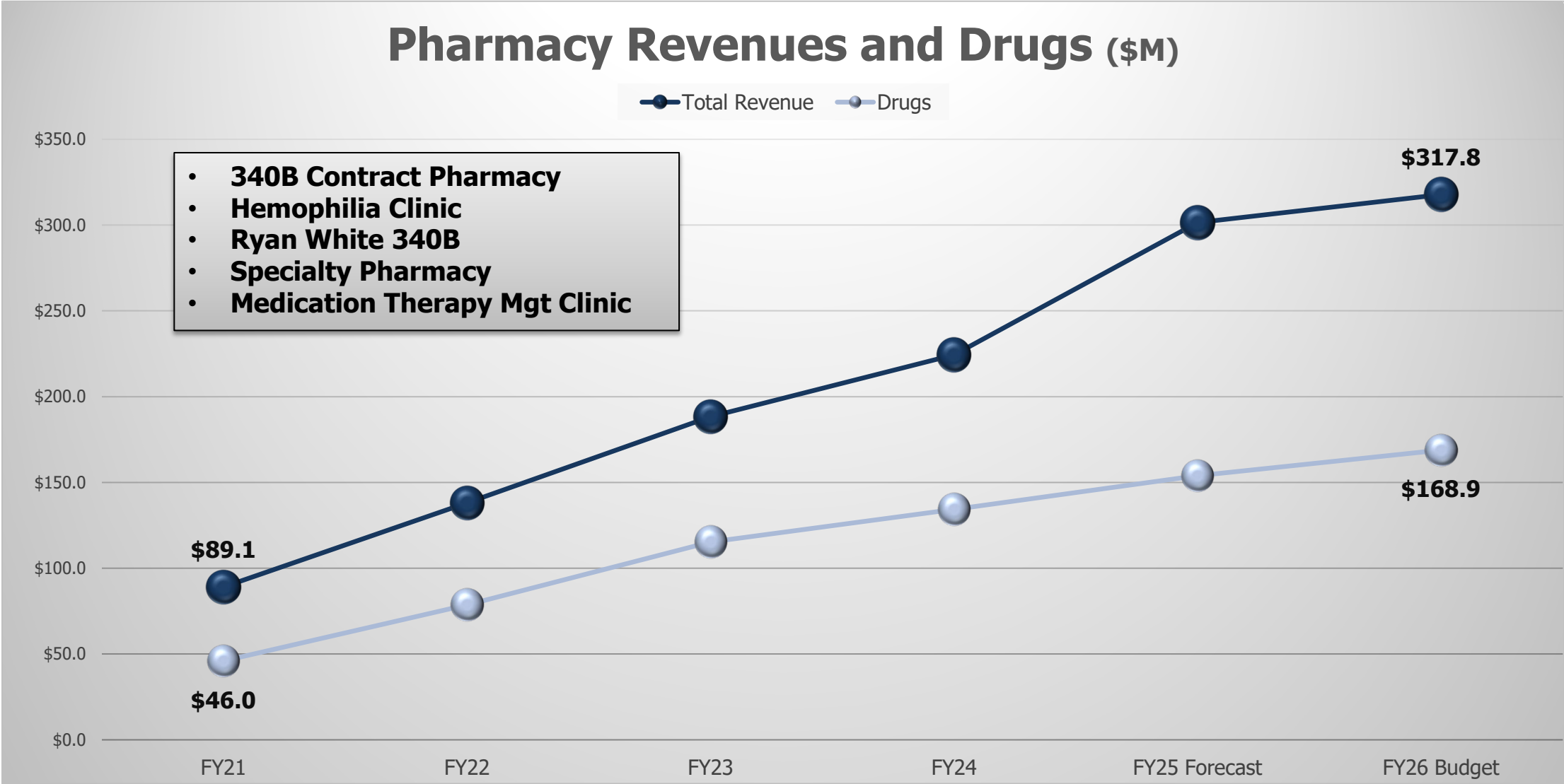
Emergency Department
Visits and Admits
(Inpatient and Observations/Outpatient Extended Stay)

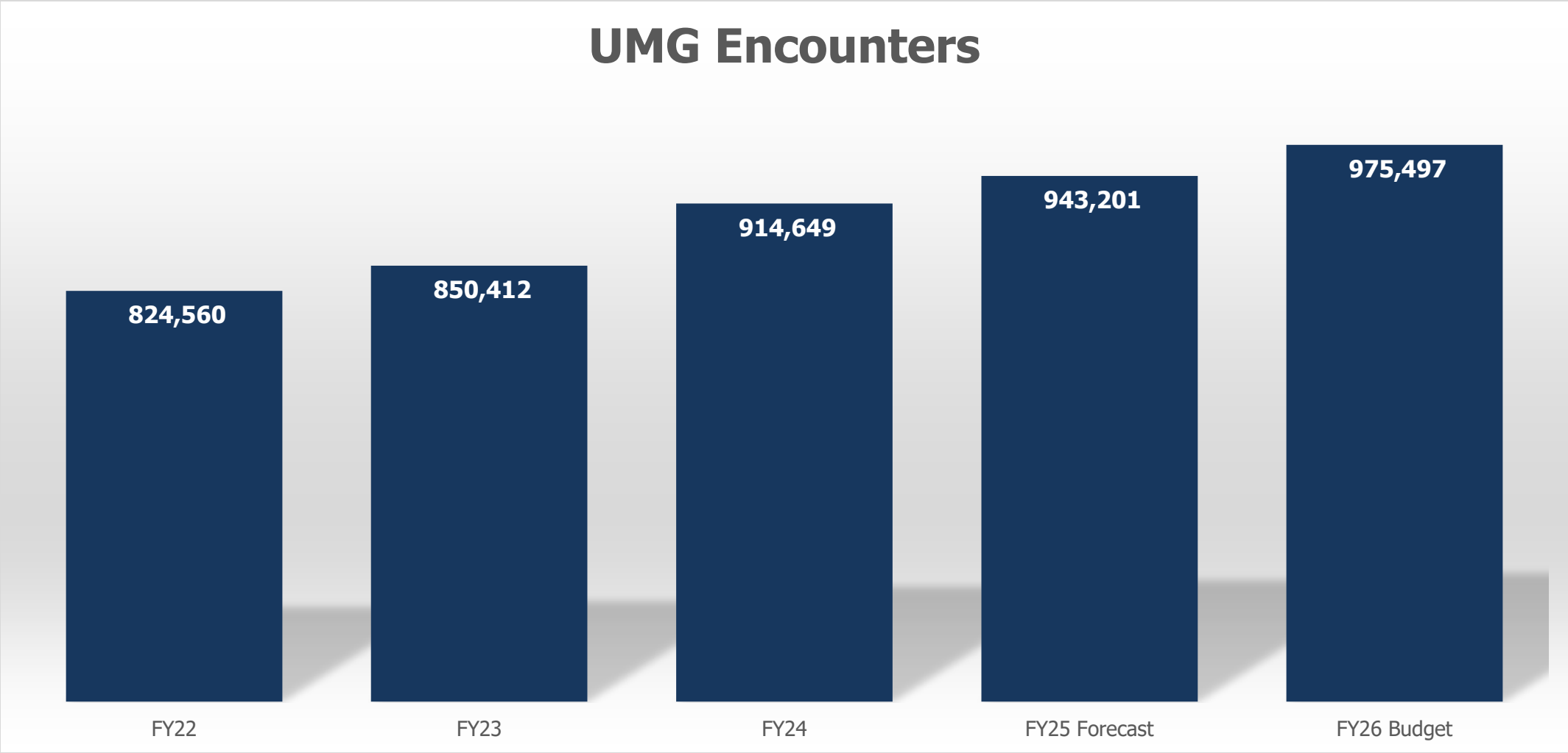






Clinical





Other Revenue

Other Revenue (\$M)			
	FY26 Budget	FY2025 Forecast	FY2024 Actual
Auxiliary Services	\$ 17.0	\$ 18.0	\$ 17.3
Gift and Endowment Revenue	5.4	5.7	6.4
Contractual and Other Income	58.3	59.3	52.9
Investment Income	7.9	11.2	13.7
Internal Income	164.5	158.6	118.2
Total	\$ 253.1	\$ 252.8	\$ 208.5

Auxiliary Services \$17.0M

Creative Child Care,
Parking, Family
Medicine contracts,
Perfusion Services
etc.

Gift and Endowment \$5.4M

Contractual and Other Income \$58.3M

JDH 340B external
contract pharmacy,
NNICU agreement and
other
contracts/agreements

Investment Income \$7.9M

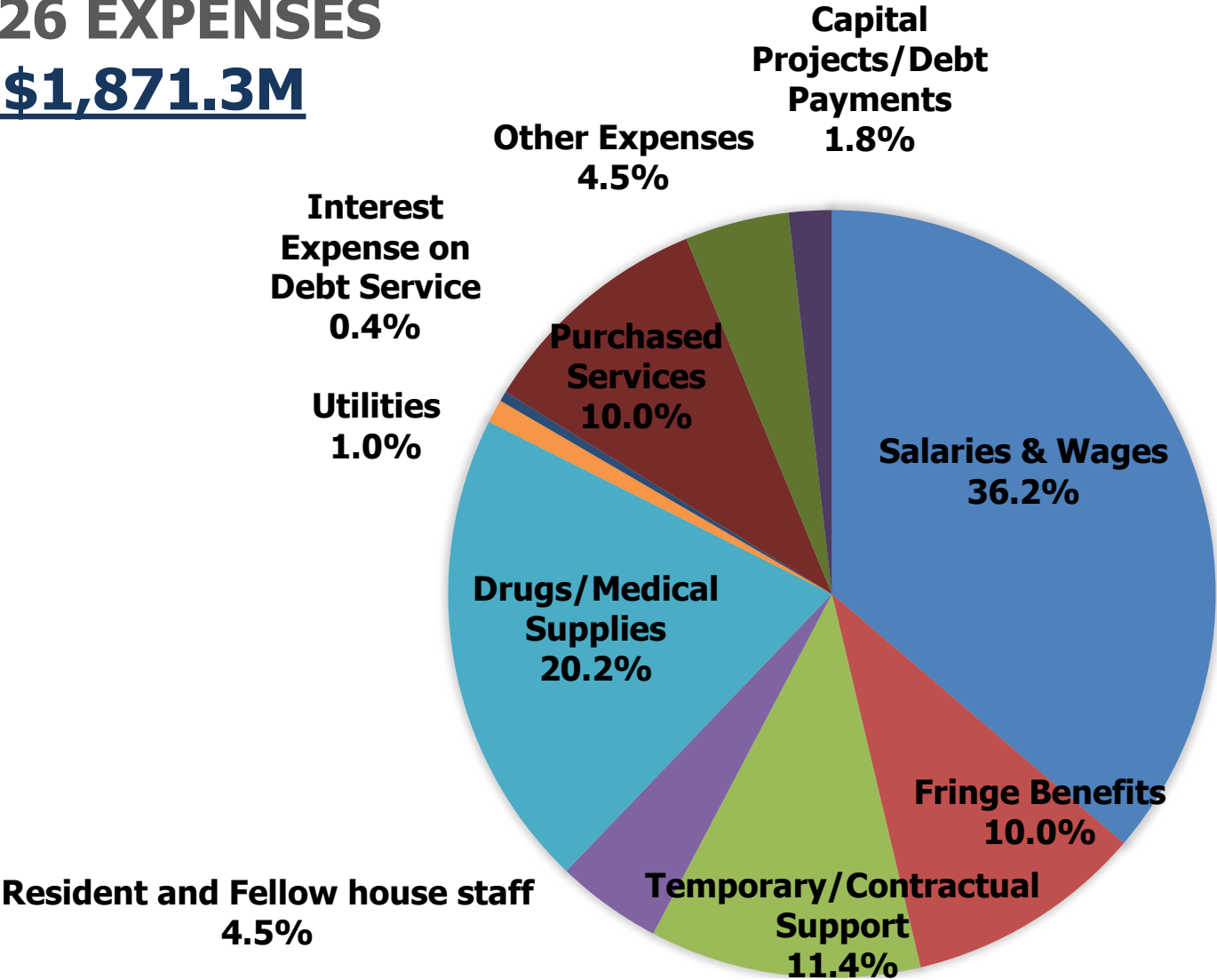
Short term
investment fund
(STIF) rates expected
to drop to ~4%

Internal Income \$164.5M

JDH 340B internal
contract pharmacy,
JDH/SOM interns and
residents, dental
house staff and rental
fees

FY26 Proposed Spending Plan

FY26 EXPENSES
\$1,871.3M



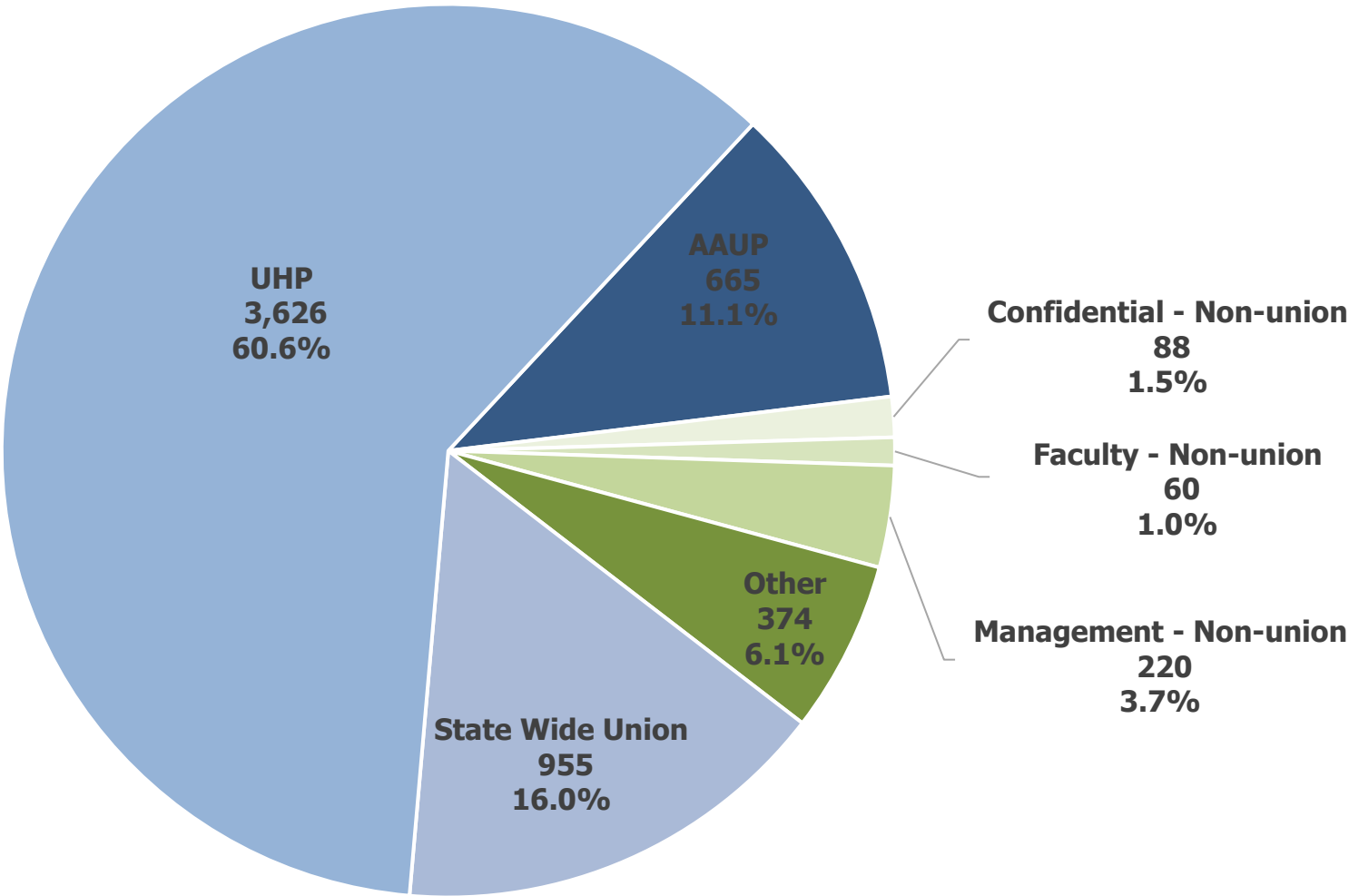
62% of total expense is allocated to personnel cost

Other Expenses includes items such as Grants Sub contracts, Library E-Resources, Insurance and Repairs/Maintenance

FY26 Salaries and Wages - \$678.3M – 5,988 FTEs

Growth in FY26 salaries is driven by faculty and staff hiring due to clinical volume growth.

87.6% of employees are covered by collective bargaining agreements



*Other includes Students, Grad Assistants, Nurse Pros, Residents and Special Payroll

FY26 Drugs and Medical Supplies

Drugs and Medical Supplies (\$M)			
	FY26 Budget	FY2025 Forecast	FY2024 Actual
Drugs	\$ 265.4	\$ 241.5	\$ 216.4
Medical Supplies	113.3	106.5	92.7
Total	\$ 378.7	\$ 348.0	\$ 309.1

Drugs

Rate increases estimated at 5.0% along with volume increase in JDH, UMG and Pharmacy

Medical Supplies

Rate increases estimated at 3.0% along with volume increase in JDH, UMG and Pharmacy

FY26 Purchased Services

Purchased Services (\$M)			
	FY26 Budget	FY2025 Forecast	FY2024 Actual
Purchased Services	\$ 186.9	\$ 151.8	\$ 136.6
Total	\$ 186.9	\$ 151.8	\$ 136.6

Facilities

Includes custodial services, laundry and linen, waste management, landscaping and other facilities contracts.

Clinical Services

Contract Pharmacy services, food and nutrition, lab and medical services, revenue cycle contracts, offsite rental agreements, medical equipment leasing

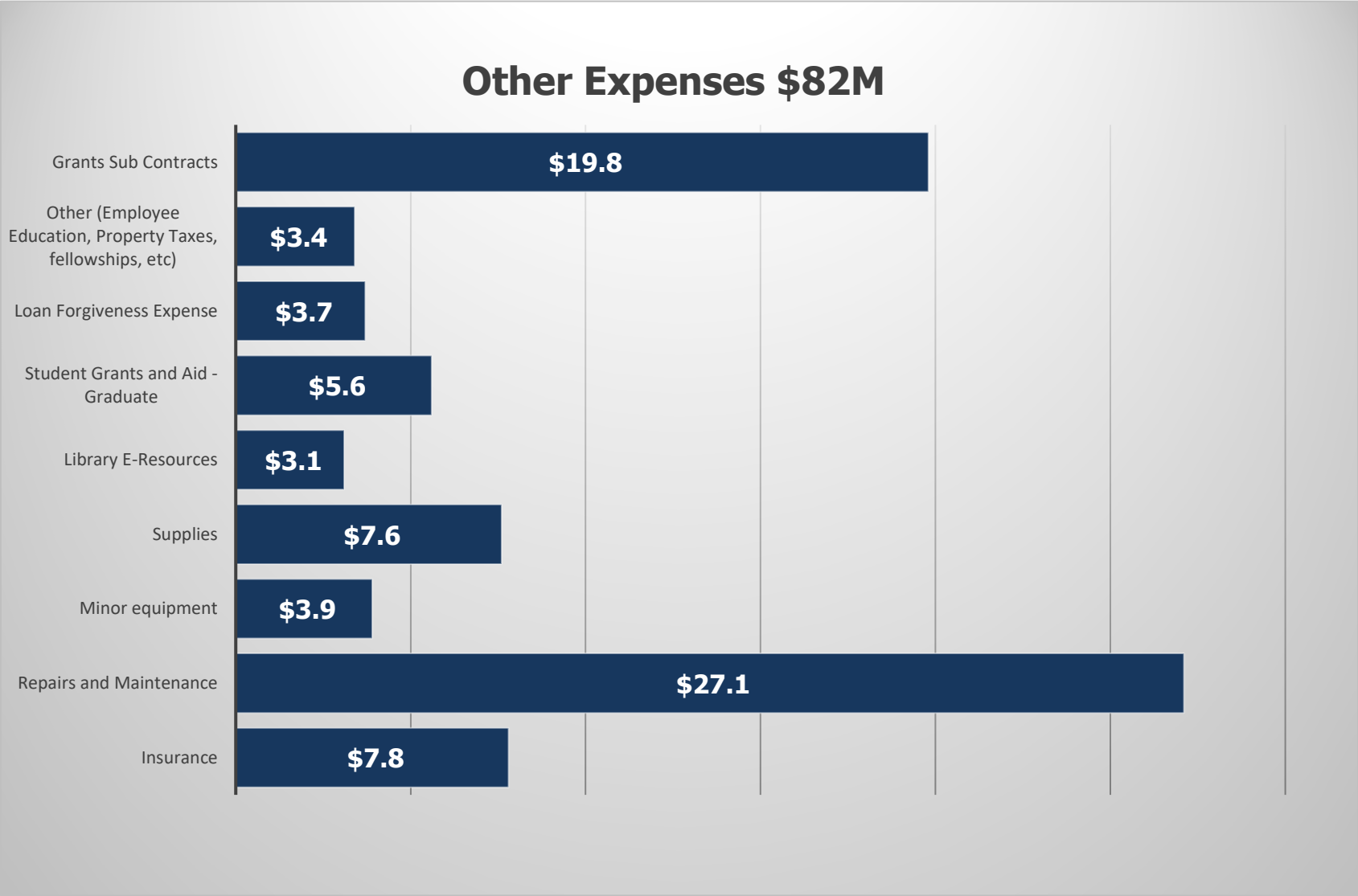
Research

Includes consulting services, lab and facility costs.

Other Services

Includes Information Technology software/hardware maintenance, IT security contracts, telecom, Public safety, communications, development, Audit services

FY26 Other Expenses



FY26 Items to Monitor

State Support

Mid-year Appropriation reductions

Patient Revenue

DSS Supplement/Enhanced payments Federal match

Medicare/Medicaid reimbursement impact due to changes at the Federal level

340B eligibility and the restriction of 340B pricing by manufacturers in the Contract Pharmacy program

Payer mix and volume fluctuations

Staffing

Employee turnover is greater than historical trends; Overtime, Travel/Temporary Staff, Stipends

Other Expenses

Increased inflationary/tariffs pressures and supply chain shortages, backorders and outages

Research Grant Impact

Federal level impact to Grant revenues

DCAA and Residual fund increase in spending due to less grant funding

FY27 Forward

Our fiscal year 2027 forecast leaves UConn Health with a shortfall and will require financial improvement plans to generate new revenues and reduce expenses.

FY26 Proposed Spending Plan

Note: Use of decimals may result in rounding differences.

UConn Health	FY26 Budget	FY2025 Forecast	Variance	% Variance
State Support	\$ 144.3	\$ 193.7	\$ (49.4)	(25.5%)
Tuition and Fees	36.1	35.6	0.5	1.3%
Grants & Contracts	107.1	118.5	(11.4)	(9.6%)
Interns/Residents	99.5	91.7	7.9	8.6%
Net Patient Revenue	1169.3	1070.1	99.2	9.3%
Other Revenue	253.1	252.8	0.3	0.1%
Total Revenues	\$ 1,809.5	\$ 1,762.4	\$ 47.0	2.7%
Salaries & Wages	\$ 678.3	\$ 655.0	\$ 23.3	3.6%
Fringe Benefits	187.8	167.4	20.3	12.1%
Temporary/Contractual Support	213.9	206.4	7.5	3.6%
Drugs/Medical Supplies	378.7	348.0	30.7	8.8%
Resident and Fellow house staff	83.3	76.4	6.9	9.0%
Utilities	18.6	17.3	1.3	7.2%
Interest Expense on Debt Service	8.0	7.8	0.2	2.6%
Purchased Services	186.9	151.8	35.0	23.1%
Other Expenses	82.0	85.1	(3.1)	(3.6%)
Capital Projects/Debt Payments	33.8	32.0	1.9	5.8%
Total Expenses	\$ 1,871.3	\$ 1,747.3	\$ 124.0	7.1%
Budget Stabilization Fund	\$ 15.1	(\$ 15.1)		
Mitigation Plan	\$ 46.7	\$ -		
Net Income/(Loss)	\$ -	\$ -		

Vote - FY26 Spending Plan Resolution (Operating)

RECOMMENDATION:

- That the Board of Trustees approve the Spending Plan for Fiscal Year 2026 of \$1,871.3 million for UConn Health.

RESOLUTION:

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2026 Spending Plan of \$1,871.3 million for UConn Health.”

FY26 Capital Budget Spending Plan

State GO Bonds	
System telecommunications infrastructure upgrades, improvements and expansions	3.0
Equipment, library collections and telecommunications	25.0
Total State GO Bonds	\$28.0
UConn Health Operating Funds*	
Campus Renovations	5.0
Clinical Equipment	3.0
Deferred Maintenance	8.0
Information Technology Security and equipment	3.0
Revenue Growth Investment	2.0
Research Capital Use Allowance	8.0
Other/Contingency	1.4
Total UConn Health Operating Funds	\$30.4
Grand Total FY26 Capital Budget	\$58.4

*Categories/Projects may be redistributed based on UConn Health Capital Prioritization

Vote - FY26 Capital Spending Plan Resolution

RECOMMENDATION:

- That the Board of Trustees approve the Capital Spending Plan for Fiscal Year 2026 of \$58.4 million for UConn Health.

RESOLUTION:

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2026 Capital Spending Plan of \$58.4 million for UConn Health.”

ATTACHMENT 32

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2026 Spending Plan for the University of Connecticut, Storrs
and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the attached Spending Plan for Fiscal Year 2026 of \$1,816.1 million for the University of Connecticut, Storrs and Regional Campuses.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the Fiscal Year 2026 Spending Plan of \$1,816.1 million for the University of Connecticut, Storrs and Regional Campuses."

BACKGROUND:

The FY26 Spending Plan includes \$1,778.2 million in revenue, covering \$1,816.1 million in expenses and transfers and incorporates a \$37.9 million mitigation plan. That plan contains multiple strategies including departmental spending reductions and utilizing unrestricted reserves to balance the budget.

The General Assembly has approved a state budget for FY26 that includes a block grant of \$268.2 million. We are grateful to the numerous champions for UConn working tirelessly to advocate for funding for the University.

UConn will manage its budget closely throughout the fiscal year and continue to focus on protecting academic excellence and promoting holistic student success.

University of Connecticut, Storrs & Regional Campuses

Fiscal Year 2026 Spending Plan

Operating Budget

UConn Storrs & Regional Campuses	FY26 Budget
State Block Grant	268.2
Adjustments (WC/FB reimb)	1.0
Total State Support	\$ 269.2
Tuition	615.6
Course/Mandatory Fees	175.7
Grants & Contracts - Financial Aid	87.7
Grants & Contracts - Other	225.9
Auxiliary Revenue	291.5
Other Revenues	112.7
Total Revenues	\$ 1,778.2
Salary/Benefits	\$ 947.5
Financial Aid - Tuition Funded	219.4
Financial Aid - Other	120.5
Energy	25.2
Equipment	36.9
Capital Projects/Debt Payments	88.6
Purchased Services	236.2
Other Expenses	141.8
Total Expense	\$ 1,816.1
Budget Stabilization Fund	11.0
Mitigation Plan	26.9
Net Gain/(Loss)	\$ 0.0

ATTACHMENT 33

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2026 Capital Budget for the University of Connecticut, Storrs
and Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a capital budget of \$175,000,000, as detailed in Attachment A, for Fiscal Year 2026 which is comprised of \$128,000,000 of UCONN 2000 Bond Funds, \$8,000,000 of State GO Bond Funds, and \$39,000,000 of University funds. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the Fiscal Year 2026 capital budget of \$175,000,000 for the University of Connecticut Storrs and Regional Campuses."

BACKGROUND:

To manage all capital fund sources in a strategic and transparent manner, UConn is proposing an all-funds capital budget for approval.

The proposed capital budget for FY26 of \$175,000,000 includes \$128,000,000 of UCONN 2000 Bond Funds, \$8,000,000 of State GO bond funds, and \$39,000,000 of University Funds. The two attached documents reflect the capital budget spending plan detail:

- Attachment A - the proposed FY26 capital budget spending plan
- Attachment B - the UCONN 2000 Phase III State Bond Phasing Plan by Statutory Named Line

The FY26 capital budget does not provide approval for specific projects, per our capital policies and procedures; all capital projects, no matter the fund source, costing

\$500,000 or more are submitted for Board action on a project-by-project basis. Projects costing less than \$500,000 are approved by the internal capital governance committee.

Program & Planning Adjustments:

The University has benefitted tremendously from the UCONN 2000 Infrastructure Improvement Program established by the General Assembly in 1995. We are now in the third phase of this 36-year program, which is designed to modernize, rehabilitate, and dramatically expand the physical plant of the University. This phase includes the NextGenCT and the Bioscience Connecticut initiatives. The Bioscience initiative at UConn Health, completed in 2018 and the NextGenCT program at Storrs and the Regional Campuses is moving along aggressively.

During the 2025 Legislative session, the UCONN 2000 program was increased by \$4,000,000 to support the Gampel Pavilion Renovations project. The table below reflects the current UCONN 2000 State GO bond authorizations by fiscal year (in millions):

UConn 2000 Bonding Schedule (\$M)		Statute
Phase I	FY96-FY99	\$382.0
Phase II	FY00-FY05	\$580.0
Phase III	FY05-FY25	\$3,388.9
	FY26	\$128.0
	FY27	\$116.0
	FY28	\$103.5
	FY29	\$101.5
	FY30	\$100.0
	FY31	\$25.0
	Total	\$4,924.9

FY26 is year 12 of the 17-year NextGenCT program. Numerous projects are currently in construction with \$574,000,000 of required funding to be allotted in FY26 through FY31. In FY26, NextGenCT bond funds will be set-aside for Gant code repairs and renovations, renovations to Gampel Pavilion, electrical infrastructure upgrades, the new School of Nursing Building, and equipment repairs and upgrades.

We are appreciative of the State's support of the NextGenCT capital initiative and the recognition that planned levels of capital funding remain intact to support these projects to avoid additional costs associated with delaying or shutting down projects in construction. Also, while it is critical to have a long-term capital plan with a stable funding

source, it is important to recognize that the plan is a live document which is continuously under review by senior leadership. This approach allows the University the flexibility to be responsive to changing project needs as well as external market factors/drivers.

Indenture Amendments:

The law specifically gives the Board of Trustees the authority to revise project budgets and related indentures. It would be impossible to manage a thirty-six-year capital program without the authority to make such adjustments. These revisions are complex because 1) there are many projects, 2) UConn must operate within statutory annual bond caps, 3) tax-related expenditure requirements must be observed, and 4) the adjustments to numerous lines involve projects that span a number of years. While revisions may affect current projects, given the annual bond caps, they also have a rollout effect over the next decade. The Board of Trustees has the authority to amend past indentures to reflect changes as project budgets are finalized or other events affect the capital budget for a given prior fiscal year. Currently, the University is not proposing revisions to any Supplemental Indenture.

State General Obligation Bonds

From time to time, in addition to the UCONN 2000 program, the State will authorize General Obligation bonds for special initiatives. For FY26 the Governor and General Assembly authorized the following:

- Equipment, library collections and telecommunications, not exceeding \$5,000,000
- Digital Learning Infrastructure-Regional Campuses, not exceeding \$3,000,000

The total FY26 State General Obligation bond amount is \$8,000,000. This authorization will require approval by the State Bond Commission.

University/Gift Funds:

UConn utilizes other fund sources for high priority capital and maintenance initiatives. These requests are reviewed and approved in the same way as bond funded projects. In addition, the University may choose to utilize cash balances to temporarily fund capital projects in advance of the issuance of new UConn Special Obligation bonds or to bridge cash flow for Gift funded projects. The FY26 capital budget includes \$39,000,000 of University funds for facilities repairs & improvements and academic program priorities.

University of Connecticut

FY26 Capital Budget Spending Plan

Proposed Projects by Statutory Named Lines & by Program*

UCONN 2000 Bond Funded Projects by Statutory Named Lines	Total	By Program		
		Academic Priorities	Deferred Maint.	Other Improvements
Academic and Research Facilities	\$54.0			
Gant-Code Repairs & Renovations 29.0		29.0		
Nursing 25.0		25.0		
Deferred Maintenance	69.5			
Gampel Renovations 50.0				50.0
Motor Pool Tank Removal & Relocation 2.7			2.7	
NW Quad: Electrical Infrastructure Upgrades 7.0			7.0	
Contingency 9.9			9.9	
Equipment	4.5			
Information Technology 2.8				2.8
Faculty Start-up 1.8		1.8		
Total UCONN 2000 Bond Funded Projects	\$128.0	\$55.8	\$19.5	\$52.8
Other Funded Projects (University, State Bonds)				
Equipment (State GO Bonds) 5.0		2.5	2.5	
Facilities Repairs & Improvements (University) 15.0			15.0	
Digital Learning Infrastructure - Regionals (State GO Bonds) 3.0			3.0	
Residential Refresh Program (University) 20.0			10.0	10.0
Programmatic Renovations (University) 4.0		2.0	2.0	
Total Other Funded Projects	\$47.0	\$4.5	\$32.5	\$10.0
Grand Total FY26 Capital Budget	\$175.0	\$60.3	\$52.0	\$62.8

*Projects less than \$500,000 are approved by UConn administrative committee. Projects costing \$500,000 or more are submitted for Board action on a project by project basis.

UConn 2000 Bonds State Bond Phasing Plan by Statutory Named Line for Informational Purposes Only - Revised 6/25/25				
Project	FY05-FY25	FY26	FY27-FY31	Total Phase III
Academic and Research Facilities	\$435,704,438	\$54,000,000	\$284,500,000	\$774,204,438
Arjona and Monteith (new classroom buildings)	128,219,871			128,219,871
Avery Point Campus Undergraduate & Library Building	10,461,246			10,461,246
Avery Point Renovation	8,327,448			8,327,448
Beach Hall Renovations	5,146,688			5,146,688
Benton State Art Museum Addition	2,903,509			2,903,509
Biobehavioral Complex Replacement	3,495,807			3,495,807
Bishop Renovation	2,480,141			2,480,141
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities	995,993,263	69,500,000	126,450,000	1,191,943,263
Engineering Building	92,579,390			92,579,390
Equipment, Library Collections & Telecommunications	214,941,656	4,500,000	35,050,000	254,491,656
Family Studies (DRM) Renovation	2,868,306			2,868,306
Farm Buildings Repairs/Replacement	6,408,304			6,408,304
Fine Arts Phase II	38,792,721			38,792,721
Floriculture Greenhouse	6,691,799			6,691,799
Gant Building Renovations	12,455,770			12,455,770
Gentry Renovation & Completion	9,628,209			9,628,209
Hartford Relocation Acquisition/Renovation	139,027,625			139,027,625
Heating Plant Upgrade	11,877,724			11,877,724
Intramural, Recreational & Intercollegiate Facilities	31,009,921			31,009,921
Jorgensen Renovation	3,899,129			3,899,129
Koons Hall Renovation/Addition	1,461,146			1,461,146
Lakeside Renovation	3,800,000			3,800,000
Law School Renovations/Improvements	16,660,677			16,660,677
Manchester Hall Renovation	772,577			772,577
Mansfield Training School Improvements	3,000,000			3,000,000
Natural History Museum Completion	500,000			500,000
North Hillside Road Completion	6,700,000			6,700,000
Old Central Warehouse Renovation	126,000			126,000
Parking Garage #3	75,214			75,214
Psychology Building Renovation/Addition	24,337,399			24,337,399
Residential Life Facilities	248,538,476			248,538,476
School of Pharmacy/Biology	6,000,000			6,000,000
Stamford Campus Improvements/Housing	1,500,870			1,500,870
Storrs Hall Addition	14,664,091			14,664,091
Student Union Addition	13,000,000			13,000,000
Support Facility (Architectural & Engineering Services)	16,583			16,583
Torrey Life Science Renovation & Completion	1,530,373			1,530,373
Torrington Campus Improvements	369,156			369,156
Waterbury Downtown Campus	1,608,764			1,608,764
West Hartford Campus Renovations/Improvements	6,774,305			6,774,305
Young Building Renovation/Addition	23,651,403			23,651,403
SUBTOTAL FOR STORRS & REGIONAL CAMPUS	\$2,538,000,000	\$128,000,000	\$446,000,000	\$3,112,000,000
CLAC Renovation Biosafety Level 3 Lab	15,901,466			15,901,466
Deferred Maintenance-UCH	76,959,697			76,959,697
Dental School Renovation	3,525,000			3,525,000
Equipment, Library Collections & Telecom-UCH	116,429,390			116,429,390
Library/Student Computer Center Renovation	1,266,460			1,266,460
Main Building Renovation	117,484,316			117,484,316
Medical School Academic Building Renovation	39,578,232			39,578,232
Planning & Design Costs	25,000,000			25,000,000
Research Tower	67,992,229			67,992,229
Support Building Addition/Renovation	100,000			100,000
UCH New Construction and Renovation	386,663,210			386,663,210
SUBTOTAL FOR HEALTH CENTER	\$850,900,000	-	-	\$850,900,000
GRAND TOTAL	\$3,388,900,000	\$128,000,000	\$446,000,000	\$3,962,900,000

ATTACHMENT 34

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Thirty-third Supplemental Indenture Authorizing University of
Connecticut General Obligation Bonds

RECOMMENDATION:

That the Board of Trustees approves the Thirty-third Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment in an amount not to exceed \$128,000,000 plus costs of issuance, plus amounts carried forward from the Thirty-first Supplemental Indenture.

BACKGROUND:

The University of Connecticut's (the "University") General Obligation Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank Trust Company, National Association, dated as of November 1, 1995, as amended (the "Master Indenture") which provides that each new issue of bonds be issued pursuant to a supplemental indenture. For bonds secured by the State Debt Service Commitment, the law sets maximum annual amounts that the University, through its Board of Trustees, may issue.

The Thirty-third Supplemental Indenture authorizes the appropriations for and issuance of bonds in the maximum amount of \$128,000,000 plus costs of issuance to finance fiscal year 2026 capital projects pursuant to Section 10a-109e(a) of the UCONN 2000 Act, as amended effective July, 1, 2025, plus amounts carried forward from the Thirty-first Supplemental Indenture. The Supplemental Indenture specifies however if the cumulative amount of UCONN 2000 Philanthropic Commitments and Gifts received is less than the target milestone applicable to such Fiscal Year set forth in Section 10a-109f(c)(4) of the UCONN 2000 Act, the total amount of securities authorized herein shall not exceed an amount which shall be calculated in accordance with Section 10a-109f(c)(3) and shall be as set forth in a Certificate of Determination in advance of issuing bonds. The list includes projects which the Board has approved undertaking during Phase III of UCONN 2000 at Storrs and the regional campuses and the UConn Health Center.

The Thirty-third Supplemental Indenture also authorizes that the exact amount of the bonds be determined at the time of issuance depending on cash expenditure requirements for twelve months or less following issuance. Appendix A of the Thirty-third Supplemental Indenture lists the UCONN 2000 projects that may be financed by the bonds (excluding the projects financed by the carry forward amounts).

This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Thirty-third Supplemental Indenture and for the series of bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION

as Trustee

THIRTY-THIRD SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS

(Secured by the State Debt Service Commitment)

Dated as of _____

TABLE OF CONTENTS

Page

ARTICLE I

Definitions and Statutory Authority

101.	Thirty-third Supplemental Indenture	1
102.	Definitions	1
103.	Authority for the Thirty-third Supplemental Indenture	2

ARTICLE II

Authorization, Terms and Issuance of Bonds

201.	Authorization of Fiscal Year 2025-2026 Bonds, Maximum Amount, Bonds Delegation, Designation and Pledge.....	2
202.	Purposes	3
203.	Interest Payments	4
204.	Form, Denomination, Numbers and Letters	3
205.	Places of Payment and Paying Agent	4
206.	Sale	4
207.	Execution	4
208.	Delivery and Application of Bond Proceeds	4
209.	Defeasance	5
210.	UConn 2000 Infrastructure Improvement Program.....	5
211.	Continuing Disclosure Undertaking	5

ARTICLE III

Form of the Bonds

301.	Form of the Bonds	5

ARTICLE IV

Tax Covenant

401.	Tax Exemption.....	5

ARTICLE V

Miscellaneous

501.	No Recourse.....	6
502.	Delegation of Authority to Treasurer and to Authorized Officer for Sale Purposes or the University's Chief Financial Officer	6
503.	Declaration of Official Intent.....	7
504.	State of Connecticut Terms and Conditions.....	7

**THIRTY-THIRD SUPPLEMENTAL INDENTURE
AUTHORIZING THE ISSUANCE OF
THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

ARTICLE I

Definitions and Statutory Authority

101. **Thirty-third Supplemental Indenture.** This Thirty-third Supplemental Indenture authorizing the Bonds is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut (the “University”) and the Trustee dated as of November 1, 1995 (the “Master Indenture”) as amended and supplemented to the date hereof, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut.

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Indenture shall have the same meanings in this Thirty-third Supplemental Indenture as such terms are given in such Article I except that, as used in this Thirty-third Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“**Authorized Officer’s Certificate**” means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

“**Authorized Officer for Sale Purposes**” means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

“**Bond Insurance Policy**” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Indenture.

“**Bond Insurer**” means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

“**Bonds**” means any series of bonds issued pursuant to this Thirty-third Supplemental Indenture.

“**Certificate of Determination**” means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

“**General Statutes**” means the General Statutes of Connecticut Revision of 1958, as may be amended from time to time.

“**Indenture**” means the General Obligation Master Indenture of Trust between the University and the Trustee dated as of November 1, 1995, as from time to time amended or supplemented.

“Insured Bonds” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

“Official Statement” means the official statement of the University relating to the Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to the Bonds.

“Principal” or **“principal”** means the principal amount of each Bond payable at maturity.

“Principal Amount” means the outstanding principal of a Bond.

“Thirty-first Supplemental Indenture” means the Thirty-first Supplemental Indenture adopted by the Board of Trustees of the University on June 26, 2024 and approved by the Governor on July 17, 2024 authorizing the Fiscal Year 2024-2025 bonds.

“Thirty-third Supplemental Indenture” means this Thirty-third Supplemental Indenture as may be amended from time to time, authorizing the Bonds.

“UConn 2000 Philanthropic Commitments and Gifts” means commitments or gifts received by the University or the foundation of the University operating pursuant to section 4-37f of the General Statutes designated to support the construction or renovation of a new life sciences building to replace the George Stafford Torrey Life Sciences Building, the North Wing of the Edward V. Gant Science Complex, the Harry A. Gampel Pavilion, the Hugh S. Greer Field House, the Volleyball Center, the Boathouse or the Tennis Courts, or to support operational expenses associated with departments or programs housed in such facilities. UConn 2000 Philanthropic Commitments and Gifts shall not include more than twenty million dollars of commitments or gifts made prior to July 1, 2024.

“Underwriters” means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Thirty-third Supplemental Indenture.** This Thirty-third Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Indenture.

ARTICLE II

Authorization, Terms and Issuance of Bonds

201. **Authorization of Fiscal Year 2025-2026 Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds for Fiscal Year ending June 30, 2026 entitled to the benefit, protection and security of the Act and Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued in one or more series under the Indenture and pursuant to the Act in a maximum amount not to exceed \$128,000,000 for the UConn Projects as set forth in Appendix A (attached hereto and hereby made a part hereof) and constituting UConn 2000 Projects (provided nothing herein shall preclude the amendment of Appendix A pursuant to the Act

and in accordance with the Indenture and as provided by Appendix A), plus the amount of the Costs of Issuance to be funded from the proceeds of such Bonds, provided, however if the cumulative amount of UConn 2000 Philanthropic Commitments and Gifts received is less than the target milestone applicable to such Fiscal Year as set forth in Section 10a-109f(c)(4) of the General Statutes, the total amount of securities authorized herein shall not exceed an amount which shall be calculated in accordance with Section 10a-109f(c)(3) of the General Statutes and shall be as set forth in the Certificate of Determination. The amount of bonds which may be secured by the State debt service commitment and be issued for Fiscal Year ending 2026 but not authorized herein, if any, are hereby carried forward to be authorized at a future time.

All of the principal amount of bonds authorized but unissued under the Thirty-first Supplemental Indenture, submitted to the Governor on July 15, 2024 and approved by the Governor on July 17, 2024, is carried forward to Fiscal Year 2025-2026 in accordance with the Act. The amount of authorized but unissued bonds carried forward from the Thirty-first Supplemental Indenture is \$306,900,000.

The exact amount of the Bonds to be issued in each series under this Thirty-third Supplemental Indenture is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for Sale Purposes in accordance with Section 10a-109g of the General Statutes respecting the anticipated cash expenditure requirements for authorized UConn 2000 Projects within the year following issuance plus not more than twenty (20%) percent in excess thereof, provided that such amount shall not exceed \$128,000,000 (plus Costs of Issuance and any carry forward amounts). The amount of the balance of Bonds herein authorized for Fiscal Year ending 2026 and not funded by the Bonds shall be issued subsequently pursuant to an additional Certificate of Authorized Officer for Sale Purposes as an additional series of Bonds hereunder or pursuant to a Supplemental Indenture or Supplemental Indentures depending on the remaining cash expenditure requirements respecting each UConn 2000 Project theretofore authorized by a Supplemental Indenture.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “20__ Series __” or such other designation or designations of “Series __” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Indenture, this Thirty-third Supplemental Indenture, an Authorized Officer’s Certificate and the Treasurer’s Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each Fiscal Year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. Purposes. The Bonds will be issued and used to provide funds for deposit in the following accounts of the Bond Proceeds Fund: (i) Construction Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Trustee, for construction and equipping of certain facilities (or reimbursement to the University for funds expended therefor) that are included and that have been authorized as a UCONN 2000 Project by the Board of Trustees and (ii) Cost of Issuance Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Treasurer, to pay or provide for the Bonds’ costs of issuance. The Treasurer and University shall cause the proceeds from the sale of the Bonds to be so deposited in the Bond Proceeds Fund. Monies in the Construction Account respecting the proceeds of the Bonds heretofore issued may be disbursed from time to time pursuant to Section 603 of the Master Indenture,

particularly paragraph (5) thereof, for any such UConn 2000 Project but not in excess of the aggregate amount authorized for such UConn 2000 Project by the Board of Trustees.

203. **Interest Payments.** The Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** The Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York ("DTC"), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. The Bonds shall be lettered "AR-____" or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in the Certificate of Determination. If any of the Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

206. **Sale.** Pursuant to Sections 10a-109g(e) and 10a-109g(b) of the General Statutes, the Treasurer, on behalf of the University is authorized to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and the University. The terms and particulars of each such sale, the receipt of each proposal and each award of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture. The proceeds of the Bonds shall be deposited in the

Bond Proceeds Fund in the amounts and for the Construction Account and Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Section 1402, of the Master Indenture at the end of the second sentence, item (c) is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which moneys are to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

210. **UConn Infrastructure Improvement Program.** Pursuant to Sections 1001(1)(b) and 1001(6) of the Master Indenture, for purposes of the Bonds and any bonds issued under the Master Indenture after the date hereof, Section 909(C) is deleted in its entirety.

211. **Continuing Disclosure Undertaking.** Pursuant to Sections 1001(1) and 1512 of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Article XV is hereby deleted in its entirety.

ARTICLE III

Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Indenture with such additions or deletions anticipated by this Thirty-third Supplemental Indenture as are set forth in the Certificate of Determination.

ARTICLE IV

Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be “arbitrage bonds” within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or

on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

ARTICLE V

Miscellaneous

501. **No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Thirty-third Supplemental Indenture against any member of the Board of Trustees or the State Bond Commission or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees nor the State Bond Commission nor officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 10a-109s of the General Statutes, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

502. Delegation of Authority to the Treasurer and to the Authorized Officer for Sale Purposes or the University's Chief Financial Officer

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other purpose shall mature not later than thirty (30) years from their dated date); provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by him or her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of the Bonds.

(B) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 10a-109d(a)(5) of the General Statutes pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for the Bonds.

(C) The Authorized Officer for Sale Purposes or the University's Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission ("Rule 15c-2-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of the Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the University's Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in the Treasurer's judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(D) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Thirty-third Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions to the Thirty-third Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Thirty-third Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of the Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Thirty-third Supplemental Indenture as evidenced by approval of the Certificate of Determination and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer's duties at the University and under the Act.

503. Declaration of Official Intent. The University reasonably expects to incur expenditures (the "Expenditures") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "Project"). The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed the amount as set forth in Appendix A. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

504. State of Connecticut Terms and Conditions. The provisions of the State of Connecticut Terms and Conditions attached hereto as Appendix B are made a part of this Thirty-third Supplemental Indenture and for purposes thereof, "Contractor" shall refer to U.S. Bank Trust Company, National Association.

IN WITNESS WHEREOF, the University of Connecticut has caused this Thirty-third Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Thirty-third Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____

Its President

(SEAL)

ATTEST:

By: _____

Its Chief Financial Officer

U.S. BANK TRUST COMPANY, NATIONAL
ASSOCIATION,
as Trustee

Date: _____, 202__

By: _____

Name:

Title:

**THIRTY-THIRD SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2025-2026
UCONN 2000 BOND AUTHORIZATIONS
____ SERIES ____ BOND PROJECTS**

UCONN 2000 Projects* --<u>Storrs and Regional Campuses</u>	UCONN 2000 Phase III Total (\$) Needed -<u>D.S.C.</u> <u>Bonds</u>**	Fiscal Year 2025-2026 Bond <u>Authorization</u> ⁽²⁾
Academic and Research Facilities	\$366,797,009.00	\$54,000,000.00
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities ⁽³⁾	314,202,991.00	69,500,000.00
Equipment, Library Collections & Telecommunications	67,900,000.00	4,500,000.00
 <u>Subtotal – Storrs and Regional Campuses</u>		 <u>\$128,000,000.00</u>
Total Fiscal Year 2025-2026 Bond Authorization		\$128,000,000.00

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

** Effective with the 33rd Supplemental Indenture this figure has been updated to reflect only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward and does not include \$50 million of Phase II authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Thirty-third Supplemental Indenture on June __, 2025.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

⁽³⁾ Public Act 17-2 passed October 31, 2017 and changed the UCONN 2000 named project formally known as “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”, to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities” and UConn Health’s UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Sum — Health Center” to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities – Health Center. Similarly, Public Act 16-4 effective July 1, 2016 changed the name of UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”.

APPENDIX B

STATE OF CONNECTICUT TERMS AND CONDITIONS

[TO BE INCLUDED UPON EXECUTION]

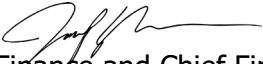
ATTACHMENT 35

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
EVP for Health Affairs and Chief Executive Officer for UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer
UConn and UConn Health

RE: Fiscal Year 2026 Spending Plan for the University of Connecticut Health Center

RECOMMENDATION:

That Board of Trustees approve a spending plan for Fiscal Year 2026 of \$1,871.3 million for UConn Health.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the Fiscal Year 2026 spending plan of \$1,871.3 million for UConn Health."

BACKGROUND:

The FY 26 spending plan includes \$1,809.5 million of revenue, including estimated state support of \$144.3 million, to cover \$1,871.3 million in expenses. Mitigation plans are currently underway to cover the shortfall. UConn Health will report back to the Board on a quarterly basis to provide updates on the plans.

The General Assembly has approved an FY26 budget that includes a block grant of \$143.5 million and .8 million of fringe benefits for State grants. We are grateful to the Governor and General Assembly for their continued support of UConn Health.

UConn Health will continue to manage its budget closely monitoring State support, clinical volume and operating costs. We will also continue to focus on providing excellent patient care, protecting academic excellence, and supporting the research mission.

FY26 Proposed Spending Plan

UConn Health Center	FY26 Budget	FY2025 Forecast	Variance	% Variance
State Support	\$ 144.3	\$ 193.7	\$ (49.4)	(25.5%)
Tuition and Fees	36.1	35.6	0.5	1.3%
Grants & Contracts	107.1	118.5	(11.4)	(9.6%)
Interns/Residents	99.5	91.7	7.9	8.6%
Net Patient Revenue	1169.3	1070.1	99.2	9.3%
Other Revenue	253.1	252.8	0.3	0.1%
Total Revenues	\$ 1,809.5	\$ 1,762.4	\$ 47.0	2.7%
Salaries & Wages	\$ 678.3	\$ 655.0	\$ 23.3	3.6%
Fringe Benefits	187.8	167.4	20.3	12.1%
Temporary/Contractual Support	213.9	206.4	7.5	3.6%
Drugs/Medical Supplies	378.7	348.0	30.7	8.8%
Resident and Fellow house staff	83.3	76.4	6.9	9.0%
Utilities	18.6	17.3	1.3	7.2%
Interest Expense on Debt Service	8.0	7.8	0.2	2.6%
Purchased Services	186.9	151.8	35.0	23.1%
Other Expenses	82.0	85.1	(3.1)	(3.6%)
Capital Projects/Debt Payments	33.8	32.0	1.9	5.8%
Total Expenses	\$ 1,871.3	\$ 1,747.3	\$ 124.0	7.1%
Budget Stabilization Fund	\$ 15.1	(\$ 15.1)		
Mitigation Plan	\$ 46.7	\$.0		
Net Income/(Loss)	(\$.0)	\$.0		

ATTACHMENT 36

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer
UConn and UConn Health

RE: Fiscal Year 2026 Capital Budget Spending Plan for the University of
Connecticut Health Center

RECOMMENDATION:

That the Board of Trustees approve a capital budget spending plan of \$58.4 million, for Fiscal Year 2026 which is comprised of \$28 million of State GO bond funds, and \$30.4 million of UConn Health Operating funds.

RESOLUTION:

"Be it resolved that the Board of Trustees approve a capital budget spending plan of \$58.4 million, for Fiscal Year 2026 for UConn Health."

BACKGROUND:

To manage all capital fund sources in a strategic and transparent manner, UConn Health is proposing an all funds capital budget for approval.

The proposed capital budget spending plan for FY26 of \$58.4 million is comprised of \$28 million of State GO bond funds, and \$30.4 million of UConn Health Operating funds. The following chart provides the proposed capital budget spending plan.

FY26 Capital Budget Spending Plan

State GO Bonds	
System telecommunications infrastructure upgrades, improvements and expansions	3.0
Equipment, library collections and telecommunications	25.0
Total State GO Bonds	\$28.0
UConn Health Operating Funds*	
Campus Renovations	5.0
Clinical Equipment	3.0
Deferred Maintenance	8.0
Information Technology Security and equipment	3.0
Revenue Growth Investment	2.0
Research Capital Use Allowance	8.0
Other/Contingency	1.4
Total UConn Health Operating Funds	\$30.4
Grand Total FY26 Capital Budget	\$58.4

*Categories/Projects may be redistributed based on UConn Health Capital Prioritization

ATTACHMENT 37

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Avery Point Housing Development
(Design: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve a Design Budget of \$3,000,000, as detailed in the attached project budget, for the Design phase, development agreement, and commencement of the Connecticut Environmental Policy Act (CEPA) process for the Avery Point Housing Development project. This reflects an increase of \$2,250,000 to the previously approved Planning budget of \$750,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$3,000,000 for the Avery Point Housing Development project; of which \$2,250,000 of UCONN 2000 bond funds is contingent upon Board of Trustees approval of a comprehensive strategic plan for the Avery Point Campus."

BACKGROUND:

The University published a Request for Expressions of Interest (RFEI) in May 2024 for development of a residence hall, dining facility, and recreation field at the Avery Point campus in Groton, CT. Submissions were reviewed, and a preferred Developer was selected to proceed with a proposal under which the Developer designs, constructs, and develops the Project on a turn-key basis under a Development Agreement. The University will be responsible for the project financing and the long-term operation of the Project after completion.

The proposed Project consists of a 250-bed suite-style residence hall with a 125-seat dining facility, totaling approximately 83,400 square feet of building area on a 1.1-acre site near the center of campus. The Project will also relocate an existing baseball practice field from the development site to a nearby location on Shennecossett Road. The Developer shall endeavor and use best efforts to substantially complete the Project by July 2028. If certain conditions are met, the Developer will deliver the Project sooner.

The intent is that the Project will conform to Connecticut High Performance Building regulations and will be registered as a LEED project, with a target for a certification level of Gold to be further evaluated during the Planning phase. The Development Agreement will require delivery of Project consistent with University Design Guidelines and Performance Standards, outline specifications, and conformance with contracting compliance goals for small and minority businesses. The proposed Project is on university property and is subject to CEPA.

At its April meeting this year, the Board of Trustees approved a Planning Phase that encompasses a feasibility report to be completed no later than August 2025, and its progress was shared in June 2025. This Design Phase will allow the Developer to transition into design of the Project immediately upon completion of the Planning Phase in August. Concurrently, the University will begin the CEPA process.

The Design Budget is attached for your information.

Attachment

ATTACHMENT 38

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for SHaW Suite at Avery Point Campus
(Final: \$850,000)

RECOMMENDATION:

That the Board of Trustees approves the Final Budget of \$850,000, as detailed in the attached project budget, for the SHaW Suite at Avery Point Campus project, an increase of \$700,000 over the previously approved budget of \$150,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$850,000 in University Funds for the SHaW Suite at Avery Point Campus project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget."

BACKGROUND:

The Connecticut General Assembly has appropriated funds to expand Student Health and Wellness (SHaW) services across UConn's four regional campuses to enhance student well-being and support academic success. At the Avery Point campus, this initiative will establish a comprehensive SHaW suite offering mental health clinical services, nurse navigation, and wellness programming.

This renovation will be fully funded through state appropriations and will create a dedicated SHaW space designed to ensure privacy, accessibility, and high-quality care. The suite will feature a separate, accessible entrance; private consultation and exam rooms; and a confidential medical reception and waiting area to promote a welcoming and secure environment for students.

The project scope includes constructing a new entry from the vestibule, installing a demising partition to separate the suite from the adjacent library, and building out the space to include a reception area, three to four private offices, a restroom, and appropriate furnishings. These improvements align with our commitment to equitable access to health services across all campuses.

Regional Wellness on each campus is intentionally cultivated through collaborations with students, campus leaders, staff and faculty. Through interpersonal, intrapersonal and community-based approaches, wellbeing is supported through evidence-informed practices including harm reduction, health promotion, and direct services.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **FINAL**

PROJECT NAME: **SHaW SUITE AT AVERY POINT CAMPUS**

	APPROVED PLANNING 7/15/2024	PROPOSED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>		
	PRC	
CONSTRUCTION	\$ -	\$ 600,000
DESIGN SERVICES	100,000	63,500
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	75,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	5,000	2,500
INSURANCE AND LEGAL		-
MISCELLANEOUS	5,000	9,000
OTHER SOFT COSTS	-	-
SUBTOTAL	\$ 110,000	\$ 750,000
PROJECT CONTINGENCY	40,000	100,000
TOTAL BUDGETED EXPENDITURES	\$ 150,000	\$ 850,000
<u>SOURCE(S) OF FUNDING*</u>		
UNIVERSITY FUNDS	\$ 150,000	\$ 850,000
TOTAL BUDGETED FUNDING	\$ 150,000	\$ 850,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

SHaW SUITE AT AVERY POINT CAMPUS
Project Budget (FINAL)
JUNE 25, 2025



New Office Location



Exterior Entrance

ATTACHMENT 39

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Pharmacy Biology Building (PBB) Research Support Expansion
(Final: \$10,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$10,000,000, as detailed in the attached project budget, for the Pharmacy Biology Building (PBB) Research Support Expansion project. This reflects an increase of \$9,000,000 to the previously approved Design budget of \$1,000,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$10,000,000 in UCONN 2000 Bond Funds for the Final Phase of the PBB Research Support Expansion project."

BACKGROUND:

The University's Animal Care facility must evolve to accommodate the growing research requirements of both current and incoming faculty, while also enhancing its support to the University community. To achieve this goal, this project will renovate approximately 3,850 net square feet (nearly 5,000 GSF) of existing "shell space" within the Pharmacy Biology building to facilitate the expansion of the adjacent animal care facility.

The proposed interior expansion includes additional procedural rooms and animal holding areas. The design approach prioritizes flexibility, allowing seamless transitions between different room functionalities with minimal disruption, such as converting procedure rooms into holding areas or vice versa. Consequently, the renovated space will integrate with the existing animal care facility, effectively extending its capabilities. The scope of work encompasses demolition/rework, new labs, installation of new mechanical, electrical, plumbing, and fire protection systems as necessary, the introduction of a redesigned sewage ejection system, and an alternate for a bedding disposal system like UConn Health's animal care facility.

The proposed renovations could result in additional research grants and help to attract new faculty members. This project aligns with the strategic plan's objective of fostering excellence in research, innovation, and engagement.

Construction is expected to begin in summer 2025 and be completed by spring 2026. The Final Budget is attached for your information.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **FINAL**

PROJECT NAME: **PBB RESEARCH SUPPORT EXPANSION**

	APPROVED PLANNING 2/13/2024	APPROVED DESIGN 6/26/2024	PROPOSED FINAL 6/25/2025
<u>BUDGETED EXPENDITURES</u>			
	PRC		
CONSTRUCTION	\$ -	\$ 100,000	\$ 7,900,000
DESIGN SERVICES	400,000	775,000	1,000,000
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	250,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER A/E SERVICES (including Project Management)	-	-	-
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	5,000	15,000	20,000
MISCELLANEOUS	10,000	10,000	10,000
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 415,000	\$ 900,000	\$ 9,180,000
PROJECT CONTINGENCY	80,000	100,000	820,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 495,000</u>	<u>\$ 1,000,000</u>	<u>\$ 10,000,000</u>
<u>SOURCE(S) OF FUNDING</u>			
UCONN 2000 BOND FUNDS	\$ 495,000	\$ 1,000,000	\$ 10,000,000
TOTAL BUDGETED FUNDING	<u>\$ 495,000</u>	<u>\$ 1,000,000</u>	<u>\$ 10,000,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

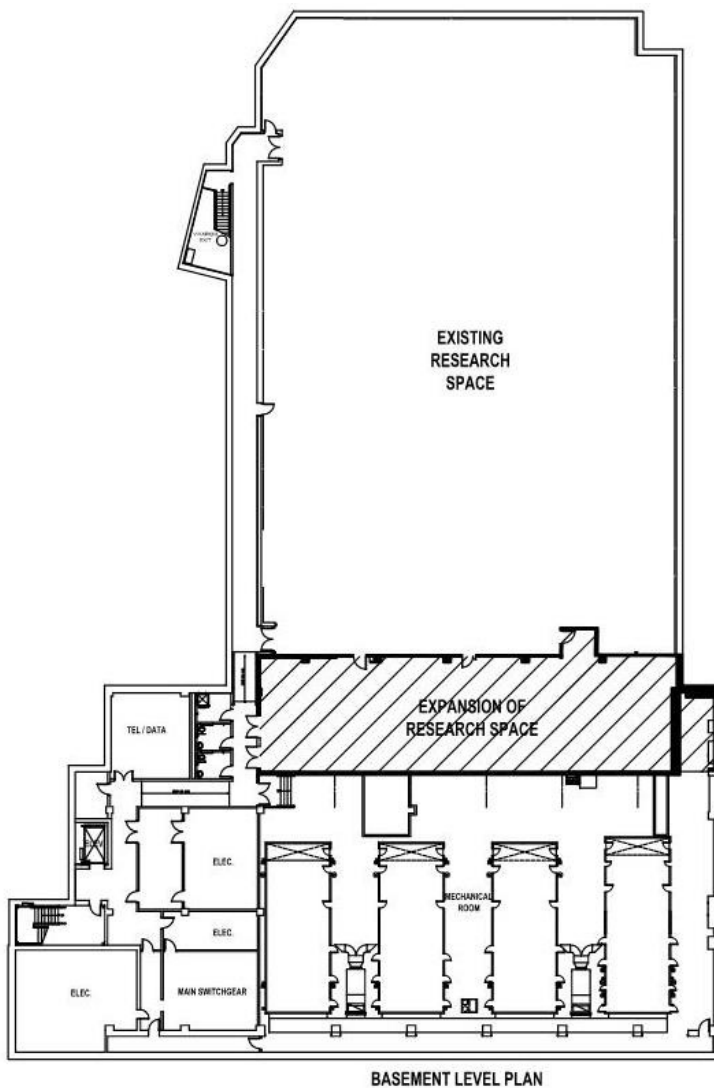
BOT 6.25.25

300249

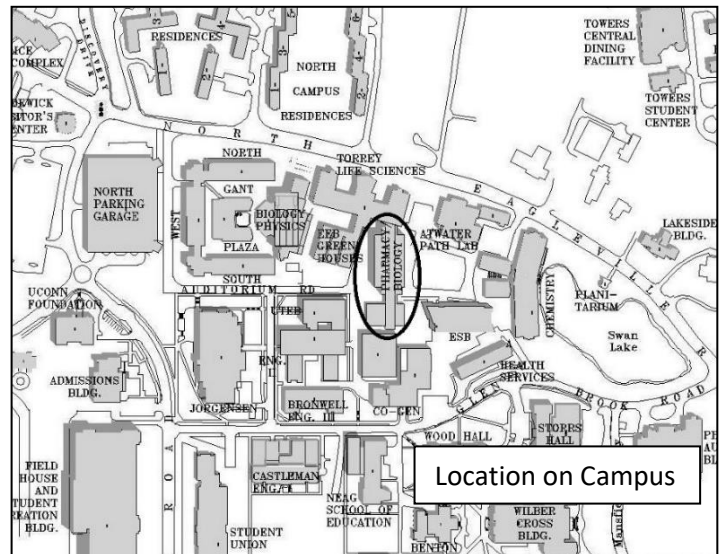
Pharmacy Biology Building (PBB) Research Support Expansion Project Budget (FINAL) June 25, 2025



Street view of Pharmacy/Biology Building



BASEMENT LEVEL PLAN



Location on Campus

ATTACHMENT 40

UConn HEALTH

June 25, 2025

TO: Members of the Board of Trustees 

FROM: Andrew C. Agwunobi, MD, MBA
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Electric Distribution Easement at 400 Farmington Avenue, Farmington, CT

RECOMMENDATION:

That the Board of Trustees approves the Electric Distribution Easement with the Connecticut Light and Power Company, doing business as (dba) Eversource Energy, across the University of Connecticut Health Center's (UConn Health) property at 400 Farmington Avenue, Farmington, CT 06032. The Administration recommends the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approves the Electric Distribution Easement with Eversource Energy at UConn Health and authorizes Jeffrey Geoghegan, UConn Health Executive Vice President for Finance and Chief Financial Officer, to execute the Electric Distribution Easement."

BACKGROUND:

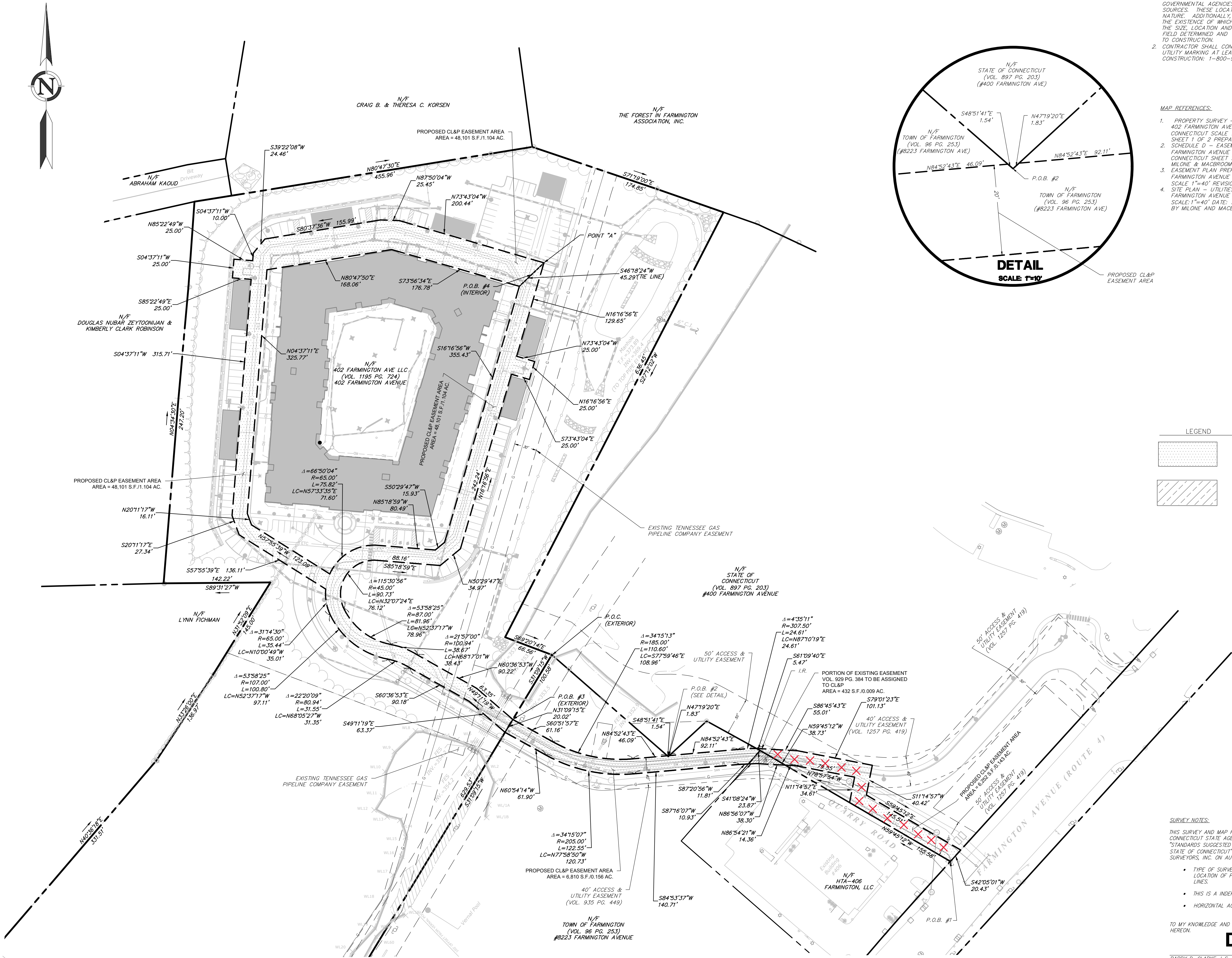
This easement is associated with the entrance drive to UConn Health's property known as the Cell and Genome Sciences Building, located at 400 Farmington Avenue in Farmington, CT.

402 Farmington Ave LLC, a Connecticut limited liability company having an address c/o The Metro Realty Group, Ltd., owns the property at 402 Farmington Avenue, Farmington, CT, and is developing a residential community on its property, which shares a driveway with the University's property at 400 Farmington Avenue, Farmington CT. 402 Farmington Ave LLC entered its own electrical distribution easement with Eversource Energy to supply utilities to its property. Because the proposed easement traverses over the University's property at 400 Farmington Avenue, Farmington, CT, 402 Farmington Ave LLC requested the University enter a similar electrical distribution easement with Eversource Energy.

The proposed easement area is more particularly shown on the attached map entitled "Easement Plan," prepared by Design Professionals on August 29, 2024.

The final easement language remains subject to final negotiation. The University will not seek consideration for this easement, but the easement is contingent upon the Metro Realty Group, Ltd agreeing to reimburse the University for the attorneys' fees expended on the easement review and the execution of an associated indemnification agreement, which will be recorded on the land records, for which 402 Farmington Ave LLC will indemnify the University against future expenses associated with this easement.

Attachments



NOTES:

- UNDERGROUND UTILITY, STRUCTURE AND FACILITY LOCATIONS DEPICTED AND NOTED HEREON HAVE BEEN COMPILED, IN PART, FROM RECORD MAPPING SUPPLIED BY THE RESPECTIVE UTILITY COMPANIES OR GOVERNMENTAL AGENCIES, FROM PAROL TESTIMONY AND FROM OTHER SOURCES. THESE LOCATIONS MUST BE CONSIDERED AS APPROXIMATE IN NATURE. ADDITIONALLY, OTHER SUCH FEATURES MAY EXIST ON THE SITE, THE EXISTENCE OF WHICH ARE UNKNOWN TO DESIGN PROFESSIONALS, INC. THE SIZE, LOCATION AND EXISTENCE OF ALL SUCH FEATURES MUST BE FIELD DETERMINED AND VERIFIED BY THE APPROPRIATE AUTHORITIES PRIOR TO CONSTRUCTION.
- CONTRACTOR SHALL CONTACT "CALL BEFORE YOU DIG" FOR UNDERGROUND UTILITY MARKING AT LEAST TWO FULL WORKING DAYS PRIOR TO START OF CONSTRUCTION: 1-800-922-4455 OR WWW.CBYD.COM.

MAP REFERENCES:

- PROPERTY SURVEY - ALTA/NSPS LAND TITLE SURVEY PREPARED FOR 402 FARMINGTON AVE LLC 9249 FARMING AVENUE FARMINGTON, CONNECTICUT SCALE 1"=60' DATE JUNE 7, 2024 REVISIONS 6/26/24 SHEET 1 OF 2 PREPARED BY SLR
- SCHEDULE D - EASEMENT MAP PROPOSED RESIDENTIAL DEVELOPMENT 402 FARMINGTON AVENUE (ROUTE 4) & QUARRY ROAD FARMINGTON, CONNECTICUT SHEET 1 OF 1 EM DATE NOVEMBER 11, 2021 PREPARED BY MILONE & MACBROOM NOW PART OF SLR
- EASEMENT PLAN PREPARED FOR FARMINGTON AVENUE BAPTIST CHURCH FARMINGTON AVENUE FARMINGTON, CT SHEET V-D-1 DATE: 07/26/07 SCALE 1"=40' REVISIONS 2/27/08 PREPARED BY FUSS & O'NEILL
- SITE PLAN - UTILITIES PROPOSED RESIDENTIAL DEVELOPMENT 402 FARMINGTON AVENUE FARMINGTON CONNECTICUT SHEET UT 06 OF 15 SCALE: 1"=40' DATE: NOVEMBER 2, 2020 REVISED 07/06/2021 PREPARED BY MILONE & MACBROOM NOW A PART OF SLR

LEGEND

- PROPOSED CL&P EASEMENT AREA
- PROPOSED CL&P EASEMENT AREA TO BE ASSIGNED

SURVEY NOTES:

- THIS SURVEY AND MAP HAS BEEN PREPARED PURSUANT TO THE REGULATIONS OF CONNECTICUT STATE AGENCIES SECTIONS 20-300b-1 THRU 20-300b-20 AND THE "STANDARDS SUGGESTED METHODS AND PROCEDURES FOR SURVEYS AND MAPS IN THE STATE OF CONNECTICUT" AS ADOPTED BY THE CONNECTICUT ASSOCIATION OF LAND SURVEYORS, INC. ON AUGUST 29, 2018.
- TYPE OF SURVEY IS AN EASEMENT SURVEY AND IS INTENDED TO DEPICT THE LOCATION OF PROPOSED AND EXISTING EASEMENTS RELATIVE TO PROPERTY LINES.
 - THIS IS AN INDEPENDENT RESURVEY BASED ON MAP REFERENCE #1.
 - HORIZONTAL ACCURACY MEETS CLASS A-2 STANDARDS.

TO MY KNOWLEDGE AND BELIEF, THIS MAP IS SUBSTANTIALLY CORRECT AS NOTED HEREON.

DRAFT

BARRY D. CLARKE, L.S.

16766
LIC. NO.

EASEMENT PLAN		REVISIONS		BY	
		NO.	DATE	BY	DATE
V-1		1	10/24/2024	MHA	10/24/2024
		2	12/02/2024	BDC	12/02/2024
		3	2/04/2025	MHA	2/04/2025
SCALE: 0 30' 60' 120'		DATE: 2/4/2025		BY: MHA	
FILE NO. W24180		DATE: AUGUST 29, 2024		BY: BDC	
THE TOWN OF FARMINGTON		DATE: AUGUST 29, 2024		BY: BDC	
402 FARMINGTON AVENUE		DATE: AUGUST 29, 2024		BY: BDC	
FILE NO. W24180		DATE: AUGUST 29, 2024		BY: BDC	
PREPARED FOR:		THE Metro Realty Group, Ltd.		DATE: 8/29/24	
6 Executive Drive		Suite 100		DATE: 8/29/24	
Farmington, CT 06032		DATE: 8/29/24		DATE: 8/29/24	
PROJECT NO. 4660		DATE: 8/29/24		DATE: 8/29/24	
DESIGN BY: BDC		DATE: 8/29/24		DATE: 8/29/24	
CHECKED BY: BDC		DATE: 8/29/24		DATE: 8/29/24	
DATE: 8/29/24		DATE: 8/29/24		DATE: 8/29/24	

design professionals
CIVIL & TRAFFIC ENGINEERS / PLANNERS / SURVEYORS
GIS ANALYSTS / LANDSCAPE ARCHITECTS

*This plan is a public record. It must be filed with the deed of a state and registered with the appropriate state agency. It is the responsibility of the client to ensure that the plan is filed with the appropriate state agency. The plan is not to be used for any other purpose without the written consent of the client.

ATTACHMENT 41

May 13, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Alumni Residence Halls Roof Replacement
(Revised Final: \$2,450,000)

RECOMMENDATION:

That the Board of Trustees approves the Revised Final Budget of \$2,450,000 as detailed in the attached project budget, for Alumni Residence Halls Roof Replacement. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

"Be it resolved that the Board of Trustees approve the use of \$2,375,000 in UCONN 2000 Bond Funds and \$75,000 in University Funds for the Alumni Residence Halls Roof Replacement project to allow construction to proceed."

BACKGROUND:

This project will replace roofs that are well beyond their life expectancy on the Alumni Residence Hall buildings. The initial phase for the project will replace roofs on the two highest priority buildings based on condition assessment (Belden & Eddy) during summer 2025. The remaining buildings will be completed in future years. The repair to aging buildings and infrastructure extends building asset life.

This request for additional funding is to include the alternate bid which would allow the second building (Eddy) to be completed this summer. Several cost savings opportunities exist by adding the alternate bid to the project this summer. Those include a significant savings given the current bid for the alternate building is much lower than the base bid for one building, savings on additional project management and design consultant costs next year, inflationary increases (3-5% on contractor labor and materials) and potential tariff impacts. Facilities Operations reduced maintenance costs for repairs over the next year caused by roof leaks are also cost savings. The priorities for the program to refurbish the University's Residential Life buildings is based on condition and need which apply for both these buildings roofs. This project aligns with the strategic initiative Seven World-Class Campuses, One Flagship University and Student Success Journey.

The funding increase will be sourced from the McMahon Residence Hall Roof Replacement project which also received very favorable bid results.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: ALUMNI RESIDENCE HALLS ROOF REPLACEMENT

	PRC APPROVED FINAL 11/22/2024	APPROVED FINAL 2/26/2025	PROPOSED REVISED FINAL 5/13/2025
<u>BUDGETED EXPENDITURES</u>			
CONSTRUCTION	\$ -	\$ 1,772,727	\$ 2,113,636
DESIGN SERVICES	68,182	68,182	68,182
TELECOMMUNICATIONS	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	45,455	45,455
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	-	-	-
OTHER SOFT COSTS	-	-	-
SUBTOTAL	\$ 68,182	\$ 1,886,364	\$ 2,227,273
PROJECT CONTINGENCY	6,818	188,636	222,727
TOTAL BUDGETED EXPENDITURES	\$ 75,000	\$ 2,075,000	\$ 2,450,000
<u>SOURCE(S) OF FUNDING *</u>			
UConn 2000 BOND FUNDS		\$ 2,000,000	\$ 2,375,000
UNIVERSITY FUNDS	\$ 75,000	\$ 75,000	\$ 75,000
TOTAL BUDGETED FUNDING	\$ 75,000	\$ 2,075,000	\$ 2,450,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 5.13.25

300298

ALUMNI RESIDENCE HALLS ROOF REPLACEMENT
Project Budget (REVISED FINAL)
May 13, 2025



ATTACHMENT 42

June 25, 2025

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Statement of Work for the UConn Foundation for Fiscal Year 2026
And First Amendment to the Second Amended and Restated Master Agreement

RECOMMENDATION:

The University recommends that the Board of Trustees approve the resolution below authorizing the University to enter into: (i) a Statement of Work ("SOW") for Fiscal Year 2026 with The University of Connecticut Foundation, Incorporated (the "UConn Foundation"); and (ii) the First Amendment (the "Amendment") to the Second Amended and Restated Master Agreement (the "Master Agreement") between the University and the UConn Foundation.

RESOLUTION:

RESOLVED, that the University's President and Chief Financial Officer are authorized to execute and deliver a SOW for Fiscal Year 2026 with the UConn Foundation at a cost of \$15,000,000 on the terms described below and such other terms as may be deemed advisable and in the best interest of the University by the University's President and Chief Financial Officer with notice to the Institutional Advancement Committee of the Board of Trustees; and

RESOLVED, that the University's President and Chief Financial Officer are authorized to execute and deliver the Amendment to extend the Master Agreement for an additional five (5) years and amend certain other terms therein; and

RESOLVED, that the University's President and Chief Financial Officer are hereby further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as each of them may deem necessary, useful or required in furtherance of the foregoing resolution.

BACKGROUND:

The University has entered into a Master Agreement with the UConn Foundation in satisfaction of the requirements of Connecticut General Statutes§ 4-37f(9). This agreement details the University's relationship with the UConn Foundation and sets forth the UConn

Foundation's responsibilities for performing development, investment, and other services in support of the University. The UConn Foundation is a private organization, exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, that operates exclusively to promote the educational, research, cultural, clinical, recreational, and outreach objectives of the University.

The Master Agreement currently expires on June 30, 2025. The proposed Amendment will extend the term of the Master Agreement for a period of five years and amend certain other terms therein.

The Master Agreement requires, among other things, that the University enter into a SOW with the UConn Foundation outlining specific goals and objectives and the financial consideration to be provided by the University. The current SOW expires on June 30, 2025.

The proposed SOW with the UConn Foundation sets forth the fundraising, investment management, alumni relations activities, and other related goals and objectives for Fiscal Year 2026. The goals and objectives established for next year include the following:

- A fundraising goal of \$145 million in new gifts and commitments for the University (including support for UConn Health).
- Aligning gift opportunities with specific goals and initiatives in the University's 2024 Strategic Plan.
- Fostering relationships and philanthropy from alumni, donors, families, and friends from a diverse spectrum of backgrounds, identities, ethnicities, races, perspectives, and abilities who reflect the whole of our state, country, and global society and share the Foundation and University's values and goals to support a thriving and inclusive community.
- Increasing scholarships and need-based financial aid for University students;
- Targeting cash available to or for the benefit of the University each fiscal year in an amount totaling not less than seven (7) times the amount of the Annual Service Fee paid during the preceding fiscal year.

In consideration for the UConn Foundation's performance of these services during the next fiscal year, the University has agreed to pay the UConn Foundation a total of \$15,000,000 which is inclusive of a minimum amount of \$3,093,000 to be devoted specifically to alumni relations activities and services and up to \$1,000,000 will be devoted to hiring up to six (6) new full-time development officers to support fundraising.

Statement of Work FY26

This Statement of Work FY26 (this “SOW”), effective the 1st day of July, 2025, is made between THE UNIVERSITY OF CONNECTICUT (“University” or “UConn”), Connecticut’s land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED (“Foundation”), a Connecticut nonstock corporation that is exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986, as amended.

The University and the Foundation have entered into a Second Amended and Restated Master Agreement, as amended by that certain First Amendment effective June 30, 2025 (the “Agreement”) under which the Foundation has responsibility for fundraising efforts for the benefit of the University, management of endowment and other funds designated to benefit the University and for performing alumni relations activities in support of the University.

The Agreement stipulates that the University and Foundation will from time to time, but in no event less frequently than once every five (5) years, enter statements of work which outline the specific fundraising, investment management and alumni relations activities goals and objectives that the University and Foundation have agreed upon and the consideration to be provided to the Foundation each fiscal year (July 1st through June 30th) (“Fiscal Year”). This SOW covers the period from July 1, 2025 through June 30, 2026 (“Period”).

1. Payments

The University agreed to provide certain in-kind consideration to the Foundation for its services under the terms of the Agreement. In addition to agreeing to provide such in-kind consideration, the University further agrees to provide the following consideration to the Foundation for the Period:

- a) **Annual Service Fee:** The University will pay an annual amount to the Foundation of Fifteen Million Dollars (\$15,000,000) during the Period for development and alumni activities and related services. Of this total amount, the University and the Foundation agree that during the Period a minimum amount of Three Million Ninety-Three Thousand Dollars (\$3,093,000) will be devoted specifically to alumni relations activities and services. Also, of this total amount, up to One Million Dollars (\$1,000,000) will be devoted to hiring up to six (6) new full-time development officers to support fundraising. Upon notification from the Foundation CFO, the UConn CFO will determine timing of the \$1,000,000 funding transfer. The balance of the annual service fee will be paid quarterly in advance in equal installments.
- b) **Other Fees:** The University agrees that Foundation operations will also be funded during the Period by an advancement fee on endowment funds (“Advancement Fee”), a Gift Fee on non-endowed contributions (“Gift Fee”), and retention of earnings on non-endowed Foundation assets.

- 1) The Foundation will assess and retain an Advancement Fee, as reasonably determined by the Foundation, on all endowment assets (University and Foundation assets) invested by the Foundation. The Foundation's Advancement Fee is calculated annually on a quarterly basis ("Calculation Date") and presently equals one and three-quarter percent (1.75%) of the rolling prior twelve (12) quarter average unitized market value of the long-term pooled investment portfolio multiplied by the number of units held by each endowed fund. The Advancement Fee owing to the Foundation will be transferred to Foundation operating funds in four equal installments as of the first day of each quarter following the Calculation Date (April 1st, July 1st, October 1st, and January 1st) or as soon as practicable thereafter.
 - 2) The Foundation will assess and retain Gift Fees on all non-endowed gifts deposited in the Foundation, as reasonably determined by the Foundation. The Foundation's Gift Fee for non-endowed gifts is presently five percent (5%) of the value of the gift as of the date of receipt. Twenty-five percent (25%) of any non-endowed gift fee (or 1.25%) will be transferred to unrestricted Foundation accounts supporting the school, college or unit supported by the fund to which the original gift was designated. The remaining seventy-five percent (75%) of any non-endowed Gift Fees (3.75%) is retained by the Foundation to support its operations. The school, college or unit may elect to waive its fee allocation, in which case a Gift Fee of only 3.75% will be assessed, to be retained by the Foundation.
 - 3) The Foundation will retain all investment earnings on non-endowed Foundation assets.
- c) **Fee Modifications and Waivers:** The Foundation, from time to time, may change its Advancement Fee and Gift Fee policies and will notify the University, in writing, of any changes made during the Period. The Foundation will also provide written notice to the University of any Advancement or Gift Fee reduction or waiver that is approved during the Period in accordance with its policies for any gift accepted in an amount greater than or equal to Ten Million Dollars (\$10,000,000). Any such modification or waiver will be reported to the Institutional Advancement Committee of the Board of Trustees.

2. Foundation Mission

The Foundation is an independent, not-for-profit, tax-exempt organization operating for the principal purpose to receive or use private funds for charitable, scientific, cultural, educational or related purposes that support or improve the objectives of the University of Connecticut (inclusive of the University's Health Center). The Foundation pursues this mission by, among other activities, raising philanthropic gifts to promote the educational, scientific, cultural, clinical, research, outreach and recreational objectives of the University and UConn Health; growing, managing and administering endowed and non-endowed funds established for these purposes; and promoting engagement of the University's diverse alumni and supporters. The Foundation solicits, administers, and invests private philanthropic funds for the sole benefit of the University and its areas of strategic focus including: Providing

access to a world-class education; Excelling as a renowned academic and research institution that catalyzes innovation in our state, nation, and world; Fostering the physical, mental, and environmental wellness of patients, students, faculty, staff, and the world at large; and Promoting Husky Pride through Athletic excellence and lifelong engagement of alumni, donors, and friends in UConn's mission.

3. Fundraising and Alumni Engagement Goals

In consideration of the compensation provided to Foundation by the University under the terms of the Agreement and this SOW, the Foundation, consistent with its mission, agrees as follows:

- a) **Fundraising:** The Foundation will endeavor during the Period to increase total private philanthropic support by raising One Hundred and Forty-Five Million Dollars (\$145,000,000) in new gifts and commitments (to be calculated in accordance with the Foundation's reasonably established gift counting policy, as amended from time to time) ("New Gifts & Commitments"), inclusive of:
 - 1) Eleven Million Five Hundred Dollars (\$11,500,000) support the construction and renovation (or operating expenses associated with the departments or programs housed in) of the following UCONN 2000 infrastructure projects: new Life Sciences Building, Edward V. Gant Science Complex, Harry A. Gampel Pavilion, Hugh S. Greer Field House, volleyball center, boathouse, or tennis courts;
 - 2) Funds for University priorities, inclusive of the Hartford and other regional campuses, to support increased student demand, including but not limited to scholarships; and
 - 3) Continue to pursue at least One Billion Dollars (\$1,000,000,000) in total endowment assets by the end of the next comprehensive fundraising campaign for the University (inclusive of support for UConn Health ("Campaign")), currently anticipated to be June 30, 2030.

The parties acknowledge and understand that achieving the fundraising goals may be negatively impacted by global financial markets and geopolitical events.

- b) The Foundation will focus its efforts on the following objectives during the Period of this SOW:
 - 1) Increasing the three-year trailing average annual total New Gifts & Commitments over the current three-year trailing average through, without limitation, realigning programs; aligning resources with opportunity; setting growth targets for schools, colleges, and units; raising individual performance expectations for fundraisers; redeploying employees; creating efficiencies of scale and shared services; and increasing sponsorships for Foundation-funded University events.
 - 2) Increasing total University and Foundation endowment assets.

- 3) Aligning fundraising with the University's stated 2024 Strategic Plan goals and initiatives, focusing on:
 - i. "Students First" by pursuing support for scholarships, wellness initiatives, and student success programs.
 - ii. "Research and Innovation" and "Health and Wellness of People and Planet" by pursuing support for professorships, graduate fellowships, state-of-the-art academic and laboratory spaces, and interdisciplinary research for the University and UConn Health.
 - iii. "Husky Pride" by pursuing support for Athletic scholarships, coaching positions, facilities, and Title IX investment as well as growing new alumni, parent, corporate, foundation and fan engagement.
 - iv. "Community and Service Engagement" by pursuing growth in support through engagement with industry and individuals in support of all campuses of the State of Connecticut's flagship land and sea-grant university.
- 4) Creating a high-performing operational institutionally related foundation by pursuing operational efficiencies and economies of scale through activities such as, without limitation, sharing services with the University, reducing non-essential and non-revenue expenditures, increasing revenue through sponsorship of Foundation events, and redirecting operational budgets to frontline, mission-specific activity as determined by Foundation management in its discretion.
- 5) As appropriate, aligning Foundation priorities and demonstrating values and objectives consistent with the strategic direction set by the University.
- 6) Fostering relationships and philanthropy from alumni, donors, families, and friends from a diverse spectrum of backgrounds, identities, ethnicities, races, perspectives, and abilities who reflect the whole of our state, country, and global society and share the Foundation and University's values and goals to support a robust inclusive community.
- c) **Alumni Engagement:** The Foundation will endeavor during the Period to create a meaningful alumni experience for University alumni as measured by aspirational peers' internal metrics with a goal of increasing connectivity among alumni as well as current students. The Foundation will provide budget support for such programs throughout the Period commensurate with the amount of the Annual Service Fee designated to the Foundation's alumni relations responsibilities.

The Foundation will focus on the following alumni engagement objectives during the Period of this SOW:

- 1) Consistently growing the number of alumni and constituents who engage in the University's mission, representing various backgrounds, abilities, and life experiences. Utilizing comprehensive alumni data to launch appropriate engagement event strategies with a goal of actively engaging constituents through: Athletic, networking, and academic events in Storrs, Hartford, Waterbury, Stamford, Avery Point and key constituent markets across the country; Participatory giving; Student mentorship, internship creation, and career development; Event sponsorship; Guest lectures; and other measurable engagement measures on our campus and in key markets.
 - 2) Tracking the success of alumni programs via attendance numbers, new attendees, and post-event surveys; defining strategic areas of focus; and conducting personal visits, especially with engaged alumni network volunteers.
 - 3) Developing programs for University students to educate them on how to engage as active alumni through programming, volunteering, and giving.
 - 4) Aligning alumni activities and event messaging with the University's stated 2024 Strategic Plan goals and initiatives (as described above) and the Campaign (i.e., executing outreach and engagement programs that promote the value of philanthropy, constituent giving, and pipeline development).
- d) **Key Performance Indicators:** The Foundation will provide a progress report to the University, on or about August 1, 2026, outlining progress against the following key performance indicators, which it aspires to achieve by the conclusion of the Campaign ("KPI"):
- 1) Deliver a minimum average annual total of New Gifts & Commitments raised per Foundation fundraising full-time employee ("FTE") of Two Million Seven Hundred Thousand Dollars (\$2,700,000).
 - 2) Target the total number of qualification meetings at Twenty Percent (20%) of all donor meetings.
 - 3) Increase the total number of New Gifts & Commitments at the One Million Dollar (\$1,000,000) or higher level by Fifteen Percent (15%) over the previous Fiscal Year.
 - 4) Target New Gifts & Commitments directed to the endowment and/or to the UCONN 2000 infrastructure projects at thirty percent (30%) of all solicitations.
 - 5) Prioritize gift opportunities with specific goals and initiatives in the University's 2024 Strategic Plan.
 - 6) Target the amount of cash made available to the University each Fiscal Year to an amount totaling not less than Seven (7) times the amount of the Annual Service Fee devoted to development activities paid during the preceding Fiscal Year.

4. Investment Benchmarks

The Foundation, in its discretion, will establish appropriate investment benchmarks for assets invested for the benefit of the University, both those owned by the Foundation and those owned by the University. The Foundation will provide to the University's President and Executive Vice President for Finance, and Chief Financial Officer a summary report of its investment risk and return benchmarks during the Period. The Foundation will use reasonable efforts to maintain the following benchmarks during the Period:

- a) The target net return on Foundation investments will be five and three-quarter percent (5.75%), plus inflation as measured by an appropriate inflation index.
- b) Volatility is expected to be consistent with the risk associated with exceeding the return of the portfolio benchmarks noted above, calculated as the weighted average performance of the asset class benchmarks defined in the Foundation's Investment Policy Statement.
- c) Risk is expected to be measured by the annualized standard deviation of returns over a market cycle of seven to ten years.

5. Amendment

This SOW may be modified or amended in whole or in part by mutual written agreement signed by duly authorized representatives of each of the parties.

6. Governing Law

This SOW is governed by the laws of the State of Connecticut. If there shall be any inconsistency between the provisions of this SOW and the Agreement, the provisions of the Agreement shall control.

7. Counterparts and Electronic Signatures

This SOW may be executed by electronic signature and/or in two (2) or more counterparts, each of which will be deemed an original instrument, but all of which will constitute one and the same agreement.

FOR THE UNIVERSITY OF CONNECTICUT

Radenka Maric, PhD
President, University of Connecticut

Date

Jeffrey P. Geoghegan
Executive Vice President for Finance
and Chief Financial Officer, University of Connecticut
and UConn Health

Date

FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

Craig W. Ashmore
Chair, The University of Connecticut
Foundation, Incorporated

Date

Amy Yancey
President, The University of Connecticut
Foundation, Incorporated

Date

APPROVED AS TO FORM

Assistant Attorney General,
Connecticut State Attorney General's Office

Date

FIRST AMENDMENT TO THE
SECOND AMENDED AND RESTATED MASTER AGREEMENT

between

THE UNIVERSITY OF CONNECTICUT

and

THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

WHEREAS, the UNIVERSITY OF CONNECTICUT (hereinafter the “University” or “UConn”), Connecticut’s land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED (hereinafter the “Foundation”), a Connecticut non-stock corporation that is exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the “Code”), entered into an agreement effective July 1, 2014 (the “Original Agreement”) to outline the relationship between the parties and to assure compliance with the provisions of CONN. GEN. STAT. § 4-37e et seq., as they may be amended, and other applicable laws;

WHEREAS, pursuant to the Original Agreement the University designated the Foundation to assume primary responsibility for the University’s development efforts and the investment and administration of endowment funds established to benefit the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Addendum to Master Agreement, effective as of April 1, 2015, pursuant to which, among other things, the University designated the Foundation to assume primary responsibility for performing alumni relations activities in support of the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Amended and Restated Master Agreement, effective July 1, 2015 and, subsequently the Second Amended and Restated Master Agreement, effective July 1, 2020 (“Current Agreement”), whereby the Original Agreement and its Addenda were amended and restated in their entirety to set forth the terms on which the Foundation would continue for a period of five (5) years to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities;

WHEREAS, the mission of the Foundation is to solicit, receive, invest and administer gifts and financial resources from private sources for the benefit of all campuses and programs of the University (inclusive of the University’s Health Center), and, to this end, to support the University’s alumni relations activities; and the Foundation operates exclusively to promote the educational, research, cultural, and recreational objectives of the University; and as a primary fundraising vehicle to solicit and administer private gifts and grants which will enhance the University’s mission, the Foundation supports the University’s pursuit of excellence in teaching, research and public service;

WHEREAS, the University and the Foundation desire to amend the terms on which the Foundation will continue to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities and to extend the Current Agreement through June 30, 2030 (“Extension Period”); and

WHEREAS, Section 11.2 of the Current Agreement provides that it may be amended from time to time upon mutual written agreement of the parties, approval of their respective governing boards and approval as to form by the Office of the Attorney General;

NOW, THEREFORE, upon execution of this First Amendment (the “Amendment”) by the parties, the Current Agreement is hereby amended and extended as follows effective as of June 30, 2025:

1. Section 2.2 is hereby amended by deleting the reference to “the UConn Law School Foundation”.
2. Section 3.4 is hereby deleted in its entirety and replaced with “3.4 Intentionally Omitted” for purposes of maintaining section numbering.
3. Section 5.2 is hereby deleted in its entirety and replaced with the following:

“5.2 Annually, the President of the University shall certify to the Foundation a list (which shall be updated as changes occur) of University employees who are authorized to request disbursements from the Foundation (“Authorized Officials”). Requests for disbursements by the Foundation from an Authorized Official, including, but not limited to, any charges applied to a Foundation credit card, shall constitute a representation and certification by the Authorized Official that the disbursement is being made in accordance with University policies and procedures.”
4. Section 5.4 is hereby deleted in its entirety and replaced with the following:

“5.4 The Foundation agrees to provide to the University, on an annual basis, a summary of all fund balances held for the benefit of the University, disbursements provided to the University, and expenditures made on behalf of the University to third parties during the preceding twelve (12) months.”
5. Section 7.1(b) regarding the “Endowment Administrative Fee” assessed by the Foundation on endowed funds is hereby deleted in its entirety and replaced with the following:

“7.1(b) Advancement Fee. The Foundation may assess an endowment administrative fee (“Advancement Fee”) on Foundation endowment and University endowment funds as determined in the Foundation’s discretion. The current rate for such fees will be provided in a SOW.”

6. Section 7.1(f) is added as the new and final subsection of Section 7.1 as follows:

“7.1(f) Other Support: As agreed by the University and Foundation, an SOW may provide for special projects or initiatives and any additional terms related thereto.”

7. Section 10 regarding non-discrimination and Executive Orders is hereby deleted in its entirety and replaced with the following:

“10.1 Nondiscrimination

a. For purposes of this Section, the following terms are defined as follows:

i. “Commission” means the Commission on Human Rights and Opportunities;

ii. “Contract” and “contract” include any extension or modification of the Contract or contract;

iii. “Contractor” and “contractor” include any successors or assigns of the Contractor or contractor;

iv. “Gender identity or expression” means a person’s gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person’s physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person’s core identity or not being asserted for an improper purpose.

v. “good faith” means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;

vi. “good faith efforts” shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;

vii. “marital status” means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;

viii. “mental disability” means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association’s “Diagnostic and Statistical Manual of Mental Disorders”, or a record of or regarding a person as having one or more such disorders;

ix. “minority business enterprise” means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs

of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Stat. § 32-9n; and

x. “public works contract” means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms “Contract” and “contract” do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract, (2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. § 1-267, (3) the federal government, (4) a foreign government, or (5) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), or (4).

b. (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, status as a victim of domestic violence, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, status as a victim of domestic violence, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an “affirmative action equal opportunity employer” in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers’ representative

of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Conn. Gen. Stat. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. §§ 46a-56, 46a-68e, 46a-68f and 46a-86; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.

c. Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

d. The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

e. The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

f. The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

g. (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56.

h. The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

i. Pursuant to subsection (c) of section 4a-60 and subsection (b) of section 4a-60a of the Connecticut General Statutes, the Contractor, for itself and its authorized signatory of this Contract, affirms that it understands the obligations of this section and that it will maintain a policy for the duration of the Contract to assure that the Contract will be performed in compliance with the nondiscrimination requirements of such sections. The Contractor and its authorized signatory of this Contract demonstrate their understanding of this obligation by (A) having provided an affirmative response in the required online bid or response to a proposal question which asks if the contractor understands its obligations under

such sections, (B) signing this Contract, or (C) initialing this nondiscrimination affirmation in the following box:

10.2 Executive Orders and Other Enactments.

a. All references in this Contract to any Federal, State, or local law, statute, public or special act, executive order, ordinance, regulation or code (collectively, “Enactments”) shall mean Enactments that apply to the Contract at any time during its term, or that may be made applicable to the Contract during its term. This Contract shall always be read and interpreted in accordance with the latest applicable wording and requirements of the Enactments. Unless otherwise provided by Enactments, the Contractor is not relieved of its obligation to perform under this Contract if it chooses to contest the applicability of the Enactments or the University’s authority to require compliance with the Enactments.

b. This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of this Contract as if they had been fully set forth in it.

c. This Contract may be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services. If applicable, Executive Order No. 14 is deemed to be incorporated into and made a part of this Contract as if fully set forth in it.”

8. Section 11.1 is hereby amended by deleting the name “UConn Nation Proud” and replacing it with “UConn Strong.”

9. Section 11.5 is hereby amended to extend the term of the Agreement by five (5) years thereby changing the expiration date to June 30, 2030.

[signature page follows]

If there shall be any inconsistency between the provisions of this Amendment and the Current Agreement, this Amendment shall control.

FOR THE UNIVERSITY OF CONNECTICUT

Radenka Maric, PhD
President, University of Connecticut

Date

Jeffrey P. Geoghegan
Executive Vice President for Finance
and Chief Financial Officer, University of Connecticut
and UConn Health

Date

FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

Craig W. Ashmore
Chair, The University of Connecticut
Foundation, Incorporated

Date

Amy Yancey
President, The University of Connecticut
Foundation, Incorporated

Date

APPROVED AS TO FORM

Assistant Attorney General,
Connecticut State Attorney General's Office

Date

EXECUTION VERSION

SECOND AMENDED AND RESTATED MASTER AGREEMENT

between

THE UNIVERSITY OF CONNECTICUT

and

THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

WHEREAS, the UNIVERSITY OF CONNECTICUT (hereinafter the "University" or "UConn"), Connecticut's land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED (hereinafter the "Foundation"), a Connecticut non-stock corporation that is exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code"), entered into an agreement effective July 1, 2014 (the "Original Agreement") to outline the relationship between the parties and to assure compliance with the provisions of CONN. GEN. STAT. § 4-37e et seq., as they may be amended, and other applicable laws;

WHEREAS, pursuant to the Original Agreement the University designated the Foundation to assume primary responsibility for the University's development efforts and the investment and administration of endowment funds established to benefit the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Addendum to Master Agreement, effective as of April 1, 2015, pursuant to which, among other things, the University designated the Foundation to assume primary responsibility for performing alumni relations activities in support of the University, and the Foundation agreed to undertake these responsibilities;

WHEREAS, the University and the Foundation entered into the First Amended and Restated Master Agreement, effective July 1, 2015, whereby the Original Agreement and First Addendum were amended and restated in their entirety to set forth the terms on which the Foundation would continue for a period of five (5) years to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities;

WHEREAS, the mission of the Foundation is to solicit, receive, invest and administer gifts and financial resources from private sources for the benefit of all campuses and programs of the University (inclusive of the University's Health Center), and, to this end, to support the University's alumni relations activities; and the Foundation operates exclusively to promote the educational, research, cultural, and recreational objectives of the University; and as a primary fundraising vehicle to solicit and administer private gifts and grants which will enhance the University's mission, the Foundation supports the University's pursuit of excellence in teaching, research and public service;

WHEREAS, the University and the Foundation desire to set forth the terms on which the Foundation will continue to support the University by engaging in development efforts, investing and administering endowment and other funds established to benefit the University and performing alumni relations activities;

WHEREAS, Section 11.2 of the First Amended and Restated Master Agreement provides that it may be amended from time to time upon mutual written agreement of the parties, approval of their respective governing boards and approval as to form by the Office of the Attorney General;

NOW, THEREFORE, the First Amended and Restated Master Agreement is hereby amended and restated in its entirety by the execution of this Second Amended and Restated Master Agreement dated effective July 1, 2020 (this "Agreement"), entered into between the University and the Foundation:

1.0 Relationship of the Parties

- 1.1 The University and the Foundation acknowledge that the University is a constituent unit of the State of Connecticut's system of public higher education, as defined in CONN. GEN. STAT. §10a-1, and is responsible for the governance and administration of all the schools, colleges, divisions and departments of all the University's campuses (including, without limitation, the University Health Center); and the Foundation is an independent Connecticut non-stock corporation exempt from federal taxation under Section 501(c)(3) of the Code, and a "foundation" as defined under CONN. GEN. STAT. § 4-37e(2); that each entity is governed by separate governing boards; that each entity is permitted only to deposit funds to their respective accounts which are properly designated for that specific entity; and that each entity is subject to separate accounting, disbursement, and disclosure requirements as a matter of internal governance regulations and applicable state and federal law.
- 1.2 The Foundation and University are each independent entities and agree that neither shall have any liability for the obligations, acts or omissions of the other party, or the other's trustees, directors, officers, employees and agents.
- 1.3 The Foundation will be governed, in accordance with its bylaws as amended from time to time, by a volunteer board of elected directors, which includes the following ex-officio non-voting (except as otherwise indicated) directors: the President of the University, the University's chief academic officer, a senior administrator from the University Health Center, the chief financial officer of the University, a chief administrator from the Department of Athletics, the Chair of the Institutional Advancement Committee of the University Board of Trustees or any other member of the Institutional Advancement Committee as designated by the Chair of the Institutional Advancement Committee, the President of the Foundation (voting), a student enrolled at the University and elected by enrolled students, and a faculty member of the University (elected by the faculty). With respect to the University positions serving as ex-officio members of the Foundation board of directors, the positions will be identified consistent with titles in the University's

bylaws as amended by the University from time to time. The Foundation reserves all rights and powers granted to it under its charter and bylaws, the Connecticut non-stock corporation law and federal law.

- 1.4 This Agreement provides the terms and conditions of the relationship between the University and Foundation. From time to time, but in no event less frequently than once every five years, the Foundation and University will enter into statements of work (each, a "SOW") which outline the specific fundraising, investment management, alumni relations activities and other related goals and objectives that the University and Foundation have agreed upon and the annual amount agreed to be paid (if any) to the Foundation for each fiscal year (Fiscal Year: July 1 – June 30) in consideration of the Foundation's fundraising, investment management, alumni relations and other related services described in this Agreement.
- 1.5 The University and the Foundation will use their best efforts to ensure that Foundation activities contemplated by this Agreement comply with the Internal Revenue Code, particularly, Section 501(c)(3) and its regulations, and applicable state law, including without limitation CONN. GEN. STAT. § 21a-175 et seq.
- 1.6 The Foundation in its discretion will assign Foundation employees to work primarily with the University's alumni and other potential donors and with the administration and faculty of particular University schools, colleges and programs for the activities contemplated by this Agreement. The Foundation agrees that it will collaborate with the Deans and Directors of such schools, colleges, and programs in establishing objectives and performance expectations of such employees. The employees will also be permitted to perform general advancement and alumni relations work as requested by the school, college and program and agreed to by the Foundation. As an independent organization the Foundation has the authority to hire employees and otherwise develop its own human resources infrastructure and compensation policies to accomplish the mission of the Foundation.
- 1.7 At times the University may request the use of financial services of the Foundation to facilitate special fundraising or alumni events or other University projects, in accordance with best practices, that extend beyond the normal fiscal functions of the Foundation contemplated by this Agreement, to deposit and account for private gifts and to process routine disbursements. In such cases, the University shall request prior approval from the Foundation for the use of these services. The parties agree that the Foundation will be entitled to reasonable and appropriate compensation for such services. The University and the Foundation agree to make no commitment to a third party on behalf of the other without expressed prior written permission of such other party.
- 2.0 Fundraising Services
- 2.1 The University designates the Foundation as the primary entity to raise private financial support, manage philanthropic assets, and steward donors in support of the University. All University fundraising shall be directed by the Foundation. The parties will work

closely to create a culture of philanthropy and provide the transformational support necessary for UConn to achieve its aspirations within all of the University's schools, colleges, athletic programs and the University Health Center. The University will engage the Foundation in strategic planning to develop University and unit priorities, long-range goals and associated fundraising needs. The University will advise and include the Foundation in matters related to the University's marketing, branding and other communications strategies to the extent that they are relevant to the Foundation's mission of supporting the University.

- 2.2 As set forth in a SOW entered into between the parties from time to time, the Foundation will use its best efforts to increase total voluntary support (gifts raised by the Foundation, the UConn Law School Foundation, and the University (including non-governmental philanthropic research grants)); the Foundation will strengthen its principal, major, planned, and corporate and foundation giving programs; and the Foundation will also use its best efforts to enhance prospect identification, alumni participation rates, donor retention rates, and volunteer engagement.

3.0 Acceptance and Stewardship of Gifts

- 3.1 The Foundation will in its discretion establish and maintain gift acceptance policies. The Foundation shall make its best efforts to ensure that any monies received by the Foundation and defined in CONN. GEN. STAT. § 4-37g as "funds for deposit and retention in state accounts" are transferred to the University in a timely manner. The University and Foundation will jointly develop and maintain guidelines for determining the proper deposit of funds.
- 3.2 The Foundation will provide receipts and acknowledgments, as required by the Code, for all private gifts made for the benefit of the University, including gifts that will be assets of the University.
- 3.3 The Foundation will be responsible for coordinating University and Foundation activities related to thanking, acknowledging and stewarding donors. The University will assist the Foundation in such activity by, without limitation, providing to the Foundation, upon request, appropriate information on the use of charitable funds by the University.
- 3.4 The Foundation owns a private home located at 61 Scarborough Street in Hartford, Connecticut ("Foundation House"). The primary purpose of Foundation House is to advance the mission of the Foundation in support of the University by supporting fundraising, stewardship and engagement activities. For so long as the Foundation chooses to own Foundation House, it may be made available by the Foundation, in its discretion, to the University in support of University business under the terms of a separate agreement between the parties establishing terms and conditions for Foundation House's use.
- 3.5 As appropriate, and in the best interest of the University, the Foundation in its discretion and in consultation with University Communications will be responsible for arranging

press conferences, releases, print, web, radio, and television communications to acknowledge significant gifts to all University schools, colleges, departments and units.

4.0 Investment of Funds

4.1 The University and the Foundation entered a separate Endowment Management Agreement, dated April 28, 1996, authorizing the Foundation to manage endowed funds donated directly to the University as the University's agent. This Agreement replaces and supersedes the Endowment Management Agreement in its entirety. The University designates the Foundation as the investment manager of all University endowment and quasi-endowment fund assets. The Foundation will in its sole discretion maintain and modify investment and spending policies for such University endowment fund assets and for all Foundation assets (both endowed and non-endowed) that adhere to applicable federal and state laws, including the Uniform Prudent Investor Act (CONN. GEN. STAT§ 45-541 et seq.) and the Uniform Prudent Management of Institutional Funds Act (CONN. GEN. STAT. § 45a-535 et seq.). The Foundation as agent for the University in managing University endowment fund assets shall also have the following powers:

- (a) To invest and re-invest the University endowment assets in such securities and property as are from time to time legal investments for the Foundation;
- (b) To purchase, manage and sell property;
- (c) To exercise all conversion and subscription rights pertaining to any property;
- (d) To exercise all voting rights with respect to any investment and to grant proxies, discretionary or otherwise;
- (e) To cause any investments to be registered and held in the name of one or more of its nominees, or one or more nominees of any system for the central handling of securities, without increase or decrease of liability;
- (f) To collect and receive any and all money and other property due to the University endowment assets and to give full discharge therefore;
- (g) To commence or defend suits or legal proceedings to protect any interest of the University endowment assets; and to represent the University endowment assets in all suits or legal proceedings in any court or before any other body or tribunal, except that to the extent the interests of the University are implicated in any such suit or proceeding, the Office of the University's General Counsel and the Office of the Attorney General shall be notified of such suit or proceeding and shall provide legal representation to the University in connection therewith;
- (h) To employ agents and depositories, to delegate to them discretionary powers, to compensate them for their services and to reimburse their reasonable expenses; and

- (i) Generally to do all acts, whether or not expressly authorized, which the Foundation may deem necessary or desirable for the protection of the University endowment assets.
- 4.2 The Foundation's current endowment investment and spending policies aim to preserve intergenerational equity (purchasing power) and provide a relatively stable spending stream to meet the needs of the University and comply with donor intent. The Foundation will, in its discretion, establish and achieve a reasonable endowment benchmark rate of return based on prudent levels of risk, targeted spending and an inflation factor calculated over a relevant rolling period. Such returns may also be benchmarked, in the Foundation's discretion, for a risk adjusted return defined by strategic asset allocation policy long-term targets using measurable market and manager benchmarks. Specific benchmarks may be identified in a SOW.
- 4.3 The Foundation's current non-endowed investment policy aims to provide sufficient liquidity and preserve capital for University needs. Specific benchmarks may be identified in a SOW.
- 4.4 The Foundation also agrees that it will act as the University's agent for gifts of securities or other non-cash gifts that are donated to the University with the intention to be liquidated.
- 4.5 The Foundation will maintain such reasonable operating reserve as it determines appropriate to ensure continuity of its business operations in periods of economic uncertainty.
- 5.0 Expenditure of Funds
- 5.1 The University will use its best efforts to ensure that all available Foundation funds are timely utilized in accordance with donor intent. The Foundation will only approve disbursement requests received from the University that are properly authorized and in compliance with Foundation disbursement policies, which may include, without limitation, requirements that the request: complies with all donor imposed restrictions on the fund; supports the University's mission and programs; represents a reasonable, legitimate and arm's length business transaction; is properly authorized in the context of CONN. GEN. STAT. § 4-37e et seq.; and is compliant with all state laws applicable to University employees.
- 5.2 Annually, the President of the University shall certify to the Foundation a list (which shall be updated as changes occur) of University employees who are authorized to request disbursements from the Foundation ("Authorized Officials"). Requests for disbursements by the Foundation from an Authorized Official shall constitute a representation and certification by the Authorized Official that the disbursement is being made in accordance with University policies and procedures.

- 5.3 Requests for disbursement from the Foundation for the benefit of University employees or officers for any salary, fee, fringe benefit, loan or other compensation item (collectively "Compensation Items") must be approved by the University President or his or her delegate, and paid by the Foundation, in accordance with CONN. GEN. STAT. § 4-37i and the University's Policy Regarding Financial Transactions with the Foundation, adopted by the University Board of Trustees, and as amended from time to time ("University's Policy Regarding Financial Transactions with the Foundation") which defines the proper use of the Foundation's fiscal services. Requests for disbursement from the Foundation for the benefit of the University President for any Compensation Item due him or her must be approved by the Chairman of the University Board of Trustees in accordance with CONN. GEN. STAT. § 4-37i and the University's Policy Regarding Financial Transactions with the Foundation.
- 5.4 The Foundation agrees to provide to the University, on a semi-annual basis, a summary of all fund balances held for the benefit of the University, disbursements provided to the University, and expenditures made on behalf of the University to third parties during the preceding six months.
- 5.5 In order to assist the University in its reporting responsibilities, the Foundation agrees to report annually to the University Controller all fixed asset expenditures made on behalf of the University.
- 6.0 Alumni Relations Activities
- 6.1 Roles and Responsibilities: The University and the Foundation recognize that alumni are key stakeholders of the University who provide valuable perspective to University leaders and are a source of significant support for the University's goals. The Foundation will use its best efforts to foster the continued connection between the University and its alumni and cultivate and steward alumni relationships for the University by organizing programs, activities and communications that are in the best interests of the University and in furtherance of the goals and objectives as may be set forth in a SOW entered into between the parties from time to time.
- 6.2 Alumni Center: The University and the Foundation acknowledge the importance of, and agree to work in good faith to have and maintain, a center for alumni on the University's Storrs campus. The location of and manner in which such center will be used and maintained by the University and the Foundation are set forth in a separate Lease Agreement effective as of October 22, 2015 which documents the terms and conditions for the Foundation's use of the center.
- 6.3 Affinity Relationships: The University acknowledges that the Foundation's performance of alumni relations activities may be supported, in part, by affinity programs (including but not limited to alumni insurance and credit card programs) and corporate sponsorships mutually acceptable to the University and the Foundation. The University agrees to consider, in good faith, use of the University's name, logo, seal, and other marks in

connection with such affinity programs and corporate sponsorships, which such use will be subject to the prior review and written approval of the University.

(a) The University agrees that no other University school, college, department or unit will be authorized to offer an affinity insurance or credit card program without the consent of the Foundation.

(b) The Foundation will be responsible for operating the University license plate program offered through the State of Connecticut Department of Motor Vehicles and will in its sole discretion determine the purpose and use of any revenue generated by the program.

6.4 Trustee Elections: In furtherance of the University's Board of Trustees' designation of the Foundation as the "alumni association" of the University for purposes of participating in elections of University graduates to the University's Board of Trustees pursuant to Connecticut General Statutes § 10a-103, the Foundation will, in coordination with the University, perform the duties, and exercise the powers, as are set forth in Connecticut General Statutes § 10a-103. The University will be responsible for payment of any expenses associated with the production and mailing of ballots required to conduct the election.

7.0 Compensation for Foundation Services

7.1 In consideration for the Foundation's fundraising, investment management, alumni relations and other related services described herein the University will provide the following compensation:

(a) Service Fee: The University will provide a payment to support the Foundation's general operations as agreed upon in a SOW.

(b) Endowment Administrative Fee: The Foundation may assess an endowment administrative fee on Foundation endowment and University endowment funds as determined in the Foundation's discretion. The current rate for such fees will be provided in a SOW.

(c) Gift Fees: The Foundation in its discretion may assess gift fees on new gifts received. The current rate for such fees will be provided in a SOW.

(d) Retained Earnings: The Foundation will retain all investment earnings on non-endowed funds.

(e) Foundation Funds: University schools, colleges, departments, and units that are authorized to expend Foundation funds may, with the agreement of the Foundation, designate such Foundation funds to support Foundation operating expenses supporting the school, college, department or unit.

7.2 The University will also provide the following in-kind support without fee, charge, or reimbursement:

- (a) Alumni Lists: The University agrees to provide an electronic interface to enable the Foundation to extract, on a regular basis and in an automated fashion exclusively for the purpose of enabling the Foundation to achieve its mission, which mission may require, without limitation, the release of such information to third parties, the following: (1) student directory information pertaining to current and past University students consistent with its established Family Educational Rights and Privacy Act of 1974 (20 U.S.C. §1232g; 34 CFR Part 99) ("FERPA") policy, (2) demographic information and protected health information pertaining to current and past patients of the University's Health Center ("Patient Information") consistent with the Health Insurance Portability and Accountability Act ("HIPAA") of 1996 and related rules and regulations related to institutionally related foundations, as amended from time to time, (3) University employee names and campus contact information consistent with University personnel policies, (4) University students' parents' contact information, exclusive of any parents who have opted out of providing such information for Foundation purposes.
- (b) Computer Network & Telecommunications Infrastructure: The University will provide to the Foundation standard University network and telecommunications infrastructure including, but not limited to, networking, internet access (including band width), and server rack space and power in the same manner as are provided to University schools, colleges, departments, and units. The Foundation and University acknowledge and agree that the Foundation may be assessed fees or charges by the University for services other than the standard infrastructure described above, but that such fees or charges will not exceed the rates paid for such services, in accordance with University policy, by University schools, colleges, departments, and units.
- (c) Event Planning: The University will provide standard University Event services to the Foundation to assist in its fundraising and stewardship efforts.
- (d) Facilities: Employees of the Foundation will have the same privileges for parking and the use of University facilities as similarly situated employees of the University. Facilities include, but are not limited to, recreational and library facilities. The University will provide office space, furniture, printers, photocopiers, telephone service, storage space, and utilities used by Foundation employees assigned to fundraise for, and physically work in, the University's schools, colleges, departments, and units. The Foundation agrees that it will advise Foundation employees against the misuse or abuse of state equipment, including the prohibition against the use of state equipment for personal purposes, and require employees to report any misuse of which they become aware.

Pursuant to a Land Lease dated November 1, 1998, the University leased land located at 2390 Alumni Drive, Storrs, Connecticut to the Foundation for the purpose of constructing the Foundation's main office building, which is owned and operated by the Foundation. The Land Lease remains in effect in accordance with its terms. The University and the Foundation may enter into separate agreements from time to time providing for maintenance, landscaping, and similar services to be provided by the University for the Foundation's main office building.

- (e) University Personnel: The University agrees to allow the Foundation to utilize University employees for the Foundation's fundraising and alumni relations activities without additional compensation or reimbursement from the Foundation (except that out of pocket business expenses incurred thereby may be reimbursed by the Foundation in accordance with its policies) including, without limitation, the President, Provost, Assistant and Associate Vice Provosts, Executive Vice Presidents, Vice Presidents, Associate Vice Presidents, Deans, Director of Athletics, Directors, Associate Directors, Department Heads, faculty and support staff. The University also agrees that the Foundation is allowed to utilize University employees (including those of the University Health Center) presently employed in positions that are primarily fundraising and alumni relations positions, for the Foundation's fundraising and alumni relations provided that in the event the incumbents in such primarily fundraising and alumni relations positions are no longer employed in such positions by the University, and the Foundation chooses to hire a new employee to perform the Foundation-related duties of this position, the new hire will be a Foundation employee, subject to restrictions imposed by any applicable collective bargaining agreement.
- (f) Mail Services: To the extent that it can do so, consistent with U.S. Postal Service statutes and regulations, the Foundation may use the University's mail system.
- (g) Intellectual Property: The University agrees that the Foundation may in connection with its lawful business and activities use the name of the University as well as the University's logo, seal, and other marks consistent with University restrictions applicable to University departments; provided that any use of the University's name, logo, seal and other marks in connection with affinity programs and corporate sponsorships is subject to Section 6.3 hereof and, as provided therein, will be subject to the University's prior review and written approval.

- 7.3 Except as otherwise provided herein or agreed to by the parties, the Foundation will reimburse the University for expenses the University incurs as a result of Foundation operations, if the University would not have otherwise incurred such expenses including, without limitation, expenses related to the maintenance and operation of the Foundation's facilities. The Foundation may in its discretion and subject to its policies make its facilities available to University schools, colleges, departments, and units (and organizations which are affiliated with the University and which support the furtherance

of the University's purpose) for events and programs primarily related to fundraising, alumni relations activities and other meetings. The Foundation may charge any fees for such usage directly to the relevant school, college, department, unit or organization.

8.0 Ownership and Management of Records

- 8.1** The Foundation will maintain appropriate financial and business records related to fundraising, investment, and other Foundation operations in a prudent manner. This will include, without limitation, a comprehensive, secure, state-of-the art electronic prospect management system and donor database, for which the Foundation will establish and maintain data integrity standards.
- 8.2** The University acknowledges and agrees that it does not have any ownership rights with respect to any Foundation information, records, documents or other materials provided to the University, including, but not limited to, donor records, gift records, financial records, or other Foundation business information which may have been derived from or related to information initially provided to the Foundation by the University. Any such Foundation information, records, documents or other materials including, without limitation, those maintained by the University will not be deemed public records and shall not be subject to disclosure pursuant to CONN. GEN. STAT. § 1-210. The Foundation will establish and enforce policies to protect the confidentiality of its records to the fullest extent allowable by law.
- 8.3** The Foundation may release information to third-parties exclusively for the purpose of accomplishing its mission provided that any such release is consistent with Foundation policies and applicable provisions of law, including without limitation, the applicable provisions of FERPA and HIPAA.
- 8.4** Without limiting the foregoing, the Foundation agrees (1) to enter into a data use and confidentiality agreement with any third-parties that will receive Patient Information, requiring such third-parties to hold such Patient Information confidential and to implement safeguards against further disclosure in a manner consistent with HIPAA, or (2) to allow the University to enter into a business associate agreement with such third-parties and permit the University (including the University Health Center) to provide such Patient Information to such third-parties directly. The Foundation agrees that it will include in any fundraising materials sent using Patient Information a description in accordance with HIPAA of how individuals may opt-out of receiving further fundraising communications. The Foundation also agrees to honor opt-out requests received. The Foundation agrees to maintain any Patient Information received from the University in a manner consistent with the requirements of 45 C.F.R. Parts 160 and 164 (the "HIPAA Privacy Rule") pertaining to institutionally related foundations. The Foundation agrees to establish processes and procedures sufficient to limit access to such Patient Information to Foundation personnel with a need to access such information. The University, through the University Health Center's Privacy and Security Offices, agrees to periodically offer, and provide at no cost to the Foundation, training to Foundation personnel on the HIPAA Privacy Rule and its implications for institutionally related foundations and the

Foundation will require Foundation personnel who will have access to Patient Information to attend such HIPAA training.

8.5 The Foundation agrees not to share or disclose information with third parties in a manner inconsistent with this Agreement, unless required to do so by law or other agency regulations.

8.6 The Foundation is aware of and supports the University's Identity Theft Prevention Program as approved by the University's Board of Trustees. The Foundation agrees to report any violations of the University's Identity Theft Prevention Program which it becomes aware of to the University's Audit and Management Advisory Services (AMAS) Office or University Compliance Office as soon as possible.

9.0 Audits and Legal Advice

9.1 The Foundation shall be responsible for retaining and compensating the independent auditing firm required by CONN. GEN. STAT. §4-37f(8). The audit report shall include financial statements, a management letter and an audit opinion which address the conformance of the operating procedures of the Foundation with the provisions of sections 4-37e to 4-37i (including, without limitation, whether funds for deposit and retention in state accounts have been deposited and retained in Foundation accounts in violation of section 4-37g), and recommend any corrective actions needed to ensure such conformance.

9.2 The Foundation will permit the University's AMAS office to conduct, if it so chooses, an annual examination of Foundation disbursements for compliance with the University's Policy Regarding Financial Transactions with the Foundation. The Foundation will also permit AMAS to conduct, if it so chooses, a post-deposit review of any gift, including reviewing checks, gifts, agreements and other supporting documentation for compliance with CONN. GEN. STAT. § 4-37e et seq. and the policy concerning the University Role and Review of Foundation Deposit of Funds, approved by the University and Foundation as of August 2006, as amended from time to time.

9.3 The Foundation shall provide a copy of each audit report completed pursuant to CONN. GEN. STAT. § 4-37f(8) to the President of the University.

9.4 The Foundation shall have its own legal counsel and shall be responsible for all costs for Foundation legal services.

10.0 Non-discrimination and Executive Orders

- 10.1 (a) For purposes of this Section, the following terms are defined as follows:
- i. "Commission" means the Commission on Human Rights and Opportunities;
 - ii. "Contract" and "contract" include any extension or modification of the Contract or contract;

- iii. "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
- iv. "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
- v. "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
- vi. "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
- vii. "marital status" means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;
- viii. "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
- ix. "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Stat. § 32-9n; and
- x. "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract, (2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. § 1-267, (3) the federal government, (4) a foreign government, or (5) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), or (4).

(b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or

group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Conn. Gen. Stat. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. §§ 46a-56, 46a-68e, 46a-68f and 46a-86; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.

(c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

(e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a

contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

(f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

(g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56.

(h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of

Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

- 10.2 This Agreement is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Agreement as if they had been fully set forth in it. The Agreement may also be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services and to Executive Order No. 49 of Governor Dannel P. Malloy, promulgated May 22, 2015, mandating disclosure of certain gifts to public employees and contributions to certain candidates for office. If Executive Order 14 and/or Executive Order 49 are applicable, they are deemed to be incorporated into and are made a part of the Agreement as if they had been fully set forth in it.

11.0 General

- 11.1 The Foundation may in its discretion enter into written agreements, for such purposes as it determines necessary or appropriate, with other University affiliates including, without limitation, UConn Nation Proud, as separate tax-exempt 501(c)(4) organization organized by University volunteers to promote the impact the University of Connecticut and its students, faculty, staff and organizations have on the well-being of the residents, culture and economy of the state of Connecticut, .
- 11.2 This Agreement may be amended from time to time at the request of either party. Any such amendment shall be set forth in writing by the parties and shall require the approval of both governing boards and approval as to form by the Office of the Attorney General.
- 11.3 This Agreement is governed by the laws of the State of Connecticut.
- 11.4 No right or duty, in whole or in part, of either party to this agreement may be assigned or delegated without the prior written consent of the other party.
- 11.5 The term of this Agreement shall commence on July 1, 2020, subject to approval as to form by the Office of the Attorney General, and shall continue for a period of five years (5) years. The term of this Agreement may be extended for an additional period of time with the mutual written agreement of the parties. This Agreement may be terminated by either party upon one year's prior written notice. Upon any expiration or termination of this Agreement, or if the Foundation ceases to exist, or ceases to be a foundation as defined in CONN. GEN. STAT. § 4-37e(2), then (a) the Foundation shall be prohibited from using the name of the University, (b) the records of the Foundation, or copies of such records, shall be made available to and may be retained by the University, provided any such records or copies which are retained by the University shall not be deemed to be

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
Hires Processed from March 31, 2025 to May 31, 2025
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Abu-Hasaballah,Suhaib Khamis	Financial Assistant 1	Academic Affairs Business Svcs	5/2/2025
Baker,Jordan Marie	Financial Assistant 1	Materials Science Institute	4/21/2025
Becotte,Samantha	Grants and Contracts Spec	SPS Faculty Shared Services	5/16/2025
Casseer,Dilshan Ramesh	Grants and Contracts Spec	SPS Faculty Shared Services	5/16/2025
Cooper,Sarah Mineko	Residence Hall Director	Residential Life	5/16/2025
Dela Cruz,Sophie Gabrielle	Research Asst 1	Pathobiology	5/30/2025
Denicolo,Stephanie A	Sr Student Services Prog Admin	UConn Recreation	4/21/2025
Desch,Melanie Patrice	Ed Program Assistant 2	Dept of Extension Tolland	5/16/2025
Dorrington,Caitlin	Ed Program Coordinator	Nursing	5/2/2025
Dubois,Abigail Aimee	Ed Program Assistant 2	Dept of Extension Tolland	5/16/2025
Gaffey,Alison M	Contract Specialist 2	Procurement Services	5/16/2025
Gribbin-Burket,Ryan	U Staff Professional 2	Human Resources Operations	5/30/2025
Guerrero,William	U Staff Professional 3	Athletics	4/21/2025
Harkins,Timothy John	Specialist IA	Athletics MI Football	5/2/2025
Hinze,Aaron	Research Asst 2	CT Transportation Institute	5/30/2025
Jiang,Jie	Librarian 1	UConn Library	5/16/2025
Johnson,Jett Doxey	Specialist IA	Athletics MI Football	5/2/2025
Johnson,Margaret Louise	University Director	Research Integrity	5/2/2025
Korzeniowski,Maria	Business Ops Specialist 1	Materials Science Institute	2/5/2025
Lane,Ian A	Postdoctoral Research Assoc	Nursing Instruct and Research	5/30/2025
Latacela,Desiree Beatriz	Asst Director	Student Health and Wellness	5/16/2025
Leddy,Colin John	Specialist IA	Athletics MI Football	5/2/2025
Leibham,Phillip Michael	Specialist IA	Athletics WI Basketball	5/30/2025
Luba,Kevin Michael	U Staff Professional 1	Audit and Mgmt Advisory Svcs	5/16/2025
Martin,Jenna Nicole	Academic Asst 1	Ctr Open Research Resrcs/Equip	5/16/2025

University of Connecticut Department of Human Resources
Hires Processed from March 31, 2025 to May 31, 2025
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Mcduffie,Evan	Financial Analyst 3	Treasury Services	5/30/2025
Nair,Venu	U Staff Professional 4	General Counsel	5/2/2025
Nardi,Michael Anthony	Specialist IIA	Athletics MI Baseball	4/29/2025
Nguyen,Cao Thuy Giang	Postdoctoral Research Assoc	Materials Science Institute	4/15/2025
Papakalodouka,Angeliki	Financial Assistant 2	Vice President for Research	5/16/2025
Pellegrino,Nicoletta Francesca	Research Asst 1	InCHIP	4/21/2025
Peters,Ramon Anthony	Financial Assistant 1	Chemistry	5/16/2025
Pfau,Kaitlyn Marie	Sr Grants & Contracts Spclist	SPS Adminstration	5/16/2025
Quinn,Mckenzie	Grants and Contracts Spec	Social Work Instruct and Rsrch	5/16/2025
Revander,Joseph Calvin	Sr Student Services Prog Admin	Community Standards	5/30/2025
Robbins,Breanna Glee Boyden	Research Scientist	Materials Science Institute	5/30/2025
Robinson,Nathan	Research Asst 2	Marine Sciences	4/4/2025
Rouhana,Francesco	Postdoctoral Research Assoc	Eversource Energy Center	5/16/2025
Santos Geronimo,Leana Crismel	Research Assoc 1	CT Transportation Institute	5/30/2025
Shaver,Kendrick	Specialist IA	Athletics MI Football	5/2/2025
Sullivan,Brianna Elizabeth	Admin Program Support 1	Social Work Instruct and Rsrch	4/21/2025
Swingle,Ryan Joseph	Specialist IA	Athletics MI Football	5/2/2025
Tan,Peisen	Postdoctoral Research Assoc	Marine Sciences	5/2/2025
Tarbox,Gwen Athene	University Director	CETL	5/2/2025
Truong,Hoang Quan	Postdoctoral Research Assoc	Materials Science Institute	4/15/2025
Wu,Xudong	Postdoctoral Research Assoc	Materials Science Institute	4/21/2025
Xia,Zhichao	Research Assoc 1	Psychological Sciences	4/22/2025
Zaman,Tasnim	Postdoctoral Research Assoc	Eversource Energy Center	5/2/2025
Zeng,Zhiqiao	Postdoctoral Research Assoc	Clean Energy Engineering Ctr	5/2/2025

**University of Connecticut Department of Human Resources
Separations Processed from March 31, 2025 to May 31, 2025
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	SEPARATION REASON	DATE
Afriyie, Richelle	Information Security Analyst 2	Info Technology Security	Separation	5/6/2025
Al-Arshani, Sarah	Editor 2	CLAS Administration	Separation	4/18/2025
Archacki, Marcie M.	Contract Specialist 2	Procurement Services	Separation	5/16/2025
Baker, Wendy	Admin Program Support 3	Univ Event and Conference Svcs	Retirement	5/1/2025
Briody, Diane	Ed Program Coordinator	Quantitative Center	Retirement	5/1/2025
Carlson, Benjamin Santosham	Postdoctoral Research Assoc	Eversource Energy Center	Separation	4/19/2025
Corbett, Robert A	Assoc Vice President	Planning, Design and Construct	Separation	4/4/2025
Ficara, Jonathan Joseph	Sr Student Services Prog Admin	Care and Concern	Separation	5/16/2025
Frueh, Samuel John	Asst Research Professor	Materials Science Institute	Separation	5/3/2025
Girasoli, Clarissa Q	Lead Accountant	Accounting Office	Separation	5/16/2025
Han, Dong	Postdoctoral Research Assoc	Biomedical Engineering	Separation	5/1/2025
Harkins, Patricia M	Academic Advisor 2	Acad Ctr for Xploratory Stdnts	Retirement	5/1/2025
Herlihy, Anne	Admin Program Support 3	Waterbury Campus	Separation	4/9/2025
Kantor, Benjamin A	Specialist IA	Athletics Business	Separation	5/9/2025
Kim, Colleen O.	Sen Ed Program Administrator	Social Work Instruct and Rsrch	Separation	3/25/2025
Landolina, Susan H	Career Consultant	Business Undergrad Programs	Separation	5/2/2025
Landrigan, Jahn Paul	Laboratory Technician 2	Physiology and Neurobiology	Separation	4/18/2025
Lestage, Nataliya	U Staff Professional 1	Audit and Mgmt Advisory Svcs	Separation	4/1/2025
Macdonald, Carolyn	Financial Analyst 1	CT Transportation Institute	Retirement	5/1/2025
Monroe, Mariah Noel	U Staff Professional 1	University Compliance	Separation	5/2/2025
Poku, Paschaline Yaa Asantewaah	Visiting Instructor - LAW	Law Instruction and Research	Separation	4/18/2025
Rodriguez, Jason	Student Services Prog Mngr 1	ISS Cntr for Access PScnd Succ	Separation	4/4/2025
Sauyet, Theodore Duncan	Postdoctoral Research Assoc	Tech Park	Separation	3/4/2025
Schondelmeyer, Curtis W	University Director	Animal Care	Separation	5/10/2025
Sladewski Jr, Thomas Edward	Asst Research Professor	Molecular and Cell Biology	Separation	3/22/2025
Smiley, Joanna Fay	Publicity/Marketing Admin	Social Work	Separation	5/1/2025
Smith, Amy B	Grants and Contracts Spec	Clean Energy Engineering Ctr	Retirement	4/1/2025

**University of Connecticut Department of Human Resources
Separations Processed from March 31, 2025 to May 31, 2025
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	SEPARATION REASON	DATE
Stewart,Cynthia Rose	Ed Program Administrator	Human Dev and Family Sciences	Separation	4/2/2025
Thoren,Jason John	Specialist IA	Athletics MI Football	Separation	4/9/2025
Tiamiyu,Bashir Bolaji	Postdoctoral Research Assoc	Plant Sci and Landscape Arch	Separation	4/29/2025
Usmani,Kashif	Postdoctoral Research Assoc	Electrical and Computer Engr	Separation	4/1/2025
Yang, Ji Hyun	Postdoctoral Research Assoc	Materials Science Institute	Separation	5/1/2025

COMMITTEE AGENDAS

AGENDA

University of Connecticut Board of Trustees

Buildings, Grounds and Environment Committee Tuesday, May 13, 2025, at 4:00 p.m. Special Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **4:00 p.m.**

1) Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, May 13, meeting must do so 24 hours in advance of the meeting's start time (i.e., 4:00 p.m. on Monday, May 12) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2) Project Budget for Alumni Residence Halls Roof Replacement (Revised Final: \$2,450,000)

3) Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA

University of Connecticut Board of Trustees

Committee for Diversity, Equity and Inclusion Tuesday, April 29, 2025, at 1:00 p.m. Virtual Meeting

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **1:00 p.m.**

1) Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, April 29, meeting, must do so 24 hours in advance of the meeting's start time (i.e., 1:00 p.m., on Monday, April 28) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2) Minutes from the February 21, 2025, Meeting

3) Admissions Update

4) Bias Report

5) Office for Diversity and Inclusion Update

6) Update on Undergraduate Students

7) Update on Graduate Students

8) University Senate Representative Report

10) Executive Session (as needed)

11) Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA

University of Connecticut Board of Trustees

Institutional Advancement Committee June 11, 2025, at 1:00 p.m. Virtual Meeting

Public Streaming Link (with live captioning upon request): <http://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **1:00 p.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Portion of the Wednesday, June 11, must do so 24 hours in advance of the meeting's start time (1:00 p.m. on Tuesday, June 10) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes from April 9, 2025, and April 17, 2025, Meetings
Attachment 1

Dr. Dennis-LaVigne

3. Naming Resolutions
Attachment 2

Ms. Yancey

- Rob & P.J. Brewer & Family Women's Ice Hockey Assistant Coach's Office
- Urman Peace Garden
- Named Gift Opportunities for MacKenzie Hall

4. UConn Foundation Master Agreement and Statement of Work FY26
Attachment 3

5. UConn Foundation Campaign Update

Ms. Yancey

6. UConn Foundation Naming Project

Ms. Marshall

7. UConn Foundation / Alumni Update

Ms. Yancey /
Ms. Cotton Kelley

8. Governmental Relations Update

Ms. Lombardo

9. University Communications Update
Attachment 4

Mr. Kirk

10. University Senate Representative Report

Dr. Timothy Folta

11. Other Business

12. Executive Session (as needed)

13. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA

University of Connecticut Board of Trustees

Committee for Research, Entrepreneurship and Innovation Thursday, June 12, 2025, at 1:00 p.m. Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu> within seven days of the meeting.)

Call to order at **1:00 p.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Thursday, June 12, meeting must do so 24 hours in advance of the meeting's start time (i.e., 1:00 p.m. on Wednesday, June 11) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of the public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments via BoardCommittees@uconn.edu, and all comments will be transmitted to the Committee.

2. Minutes from the April 24, 2025, Meeting

3. Presentation by Undergraduate Students Sean Dunn and Haseeb Chaudhry -
The Builder's Journey: UConn's Entrepreneurial Ecosystem

4. Presentation by Dr. Ji-Cheng 'JC' Zhao, Dean, College of Engineering -
Research and Innovation at UConn College of Engineering

5. University Senate Representative Report

6. Other Business

7. Executive Session (as needed)

8. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA

University of Connecticut Board of Trustees

Student Life Committee Tuesday, June 10, 2025, at 4:30 p.m. Special Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **4:30 p.m.**

1) Public Participation (limited to agenda items)*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, June 10, meeting, must do so, limited to agenda items, 24 hours in advance of the meeting's start time (i.e., 4:30 p.m., on Monday, June 9) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2) Minutes from the February 20, 2025, Meeting

3) Standing Updates:

- Vice President for Student Life and Enrollment
- Provost and Executive Vice President for Academic Affairs
- Dean of Students
- Student Trustees

4) Test Optional Update

Nathan Fuerst, Vice President for Student Life and Enrollment
Morgaen Donaldson, Associate Dean for Research, and Philip E. Austin Endowed
Professor of Public Policy and Educational Leadership
Eric Loken, Associate Professor, Educational Psychology

5) University Senate Representative Report

6) Executive Session (as needed)

7) Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

Academic Affairs

AGENDA

University of Connecticut Board of Trustees

Academic Affairs Committee Tuesday, June 24, 2025, at 8:30 a.m. Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **8:30 a.m.**

1. Public Participation*

* Individuals who wish to speak during the Public Participation portion, of the Tuesday, June 24, meeting, must do so 24 hours in advance of the meeting's start time (i.e., 8:30 a.m. on Monday, June 23) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

ACTION ITEMS

2. Minutes from the April 22, 2025, Meeting	(Attachment 1)
3. Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2024-2025	(Attachment 2)
4. Tenure Recommendation	(Attachment 3)
5. Designation of Emeritus Status	(Attachment 4)
6. Sabbatical Leave Recommendations	(Attachment 5)
7. Graduate Certificate in Insurance Law	(Attachment 6)
8. JD Certificate in Health Law	(Attachment 7)
9. Program Closure: Graduate Certificate in School Law	(Attachment 8)
10. Program Closure: Graduate Certificate in Addiction Sciences	(Attachment 9)

INFORMATIONAL ITEMS

11. Academic Centers & Institutes

(Attachment A)

12. Provost's Report

13. University Senate Representative Report

14. Other Business

15. Executive Session (as needed)

16. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

ATTACHMENT A

June 24, 2025

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Academic Centers and Institutes

The *By-Laws of the University of Connecticut*, Article XII, state that “All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University’s mission,” and that the “Provost will routinely inform the Board of Trustees ... of the establishment and discontinuation of all centers and institutes.” This document represents such notice.

BACKGROUND:

Following review and deliberation, the following Academic Centers and Institutes are being established, renewed or discontinued:

Renewed for five-year term

- Africana Studies Institute
- Connecticut Institute of Water Resource (CIWR)
- Connecticut Manufacturing Simulation Center (CMSC)
- Center for Applied Research in Human Development (CARHD)
- Center for Educational Policy Analysis, Research and Evaluation (CEPARE)
- Kildsig Center for Pharmaceutical Processing Research (CPPR)
- Pratt & Whitney Additive Manufacturing Center (PW AMC)
- Renzulli Center for Creativity, Gifted Education, and Talent Development

Renewed for three years, probationary status

- Center for the Ecological Study of Perception

Mission statements for established and renewed Academic Centers and Institutes are available on the following pages.

Africana Studies Institute

The Institute's mission is to enlighten and inform the University of Connecticut community and the people of the State of Connecticut, nation and the world about the history, culture, contributions and experiences of people of African descent in the United States and abroad.

Connecticut Institute of Water Resource (CIWR)

The Connecticut Institute of Water Resources' mission is to collaborate with and serve all colleges and universities in the state to resolve state and regional water related problems and provide a strong connection between water resource managers and the academic community, in addition to sharing the results of water resources research and other information with the general public.

The Connecticut Institute of Water Resources (CTIWR) mission is stipulated by the Federal Water Resources Research Act and involves two programmatic responsibilities.

The first is to plan, conduct and otherwise arrange for competent research that fosters:

- (a) The entry of new research scientists into the water resources fields.
- (b) The training and education of future water scientists, engineers and technicians.
- (c) The preliminary exploration of new ideas that address water problems or expand understanding of water and water related phenomenon.
- (d) The dissemination of research results to water managers and the public.

The second responsibility is to cooperate closely with other colleges and universities in Connecticut that have demonstrated capabilities for research information dissemination, and graduate training in order to develop a statewide program designed to resolve state and regional water and related land problems.

Connecticut Manufacturing Simulation Center (CMSC)

The mission of CMSC is to be a catalyst for innovation and growth within CT's manufacturing community by providing advanced technical assistance and fostering the development of the next generation of skilled workers. We are dedicated to equipping manufacturers with the tools and knowledge necessary to excel in an evolving industry. Through our expertise and dedication, we aim to empower CT's manufacturing sector with the knowledge, skills, and technologies needed to thrive in the global economy, while simultaneously cultivating a workforce that is prepared to meet the challenges of current and future industry

The Center for Applied Research in Human Development (CARHD)

The mission of CARHD is to enable the development and application of interventions intended to improve quality of life for individuals, families, and communities. In particular, we aim to improve outcomes for sociodemographically marginalized populations by informing and evaluating interventions intended for public benefit.

The Center for Education Policy Analysis, Research, and Evaluation (CEPARE)

CEPARE is housed within the Neag School of Education, produces high-quality research, evaluation, and policy analysis that informs leaders and policymakers on a range of pressing issues, with a particular focus on enhancing social justice and equity across p-20 educational settings in Connecticut and beyond.

The Center for Pharmaceutical Processing Research

The Center for Pharmaceutical Processing Research is an industry/university research center focusing on new technology for pharmaceutical processing, to foster collaborative research projects between industrial

and academic scientists, and to promote an interdisciplinary approach to training students in pharmaceutical process research and development.

Pratt & Whitney Additive Manufacturing Center (PW AMC)

The Pratt & Whitney Additive Manufacturing Center is a university-based center for research on fundamental aspects of additive manufacturing. The center activities focus primarily on materials science aspects such as rapid solidification, microstructure and phase formation, and alloy development for additive manufacturing. A suite of unique thermophysical property measurement instrumentation supports the research activities. The Center engages with Government agencies and with industry in a broad range of settings such as traditional research grants, purchase orders, or fee-for service work.

Renzulli Center for Creativity, Gifted Education, and Talent Development

The mission of the Renzulli Center is to serve as a center of expertise for talent development and creativity. We promote enjoyment, engagement, and enthusiasm for learning in educators and students at all levels through professional learning activities. We conduct and disseminate research on critical questions of practice related to talent development and gifted education pedagogy.

Center for the Ecological Study of Perception (CESPA)

CESPA provides an organizational structure that allows unparalleled integration of research across specialties, with extensive collaboration among faculty and students. With 10 Faculty and many associated Fellows (including specialists in optics, acoustics, haptics, movement, physical therapy, nonlinear dynamics, development, social psychology, ethology, and language), the program provides a breadth of training in ecological psychology that is unmatched anywhere. Students in Ecological Psychology at the University of Connecticut will confront conceptual and methodological topics that are at the cutting edge of cognitive science in a program that has long led the way in the development of many of those same topics.

Financial Affairs

AGENDA

University of Connecticut Board of Trustees

Financial Affairs Committee ANNUAL BUDGET WORKSHOP Tuesday, June 24, 2025, at 10:00 a.m. Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **10:00 a.m.**

1) Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, June 24, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, June 23) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

ACTION/PRESENTATION ITEMS:

TAB

2) Minutes of the Financial Affairs Committee April 22, 2025, Meeting	1
3) FY26 UConn and UConn Health Budget Presentation	2
4) Fiscal Year 2026 Spending Plan for the University of Connecticut, Storrs and Regional Campuses	3
5) Fiscal Year 2026 Capital Budget for the University of Connecticut, Storrs and Regional Campuses	4
6) Thirty-third Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds	5
7) Fiscal Year 2026 Spending Plan for the University of Connecticut Health Center	6
8) Fiscal Year 2026 Capital Budget Spending Plan for the University of Connecticut Health Center	7

ACTION/PRESENTATION ITEMS (Continued):**TAB**

9) Statement of Work for the UConn Foundation for Fiscal Year 2026 and First Amendment to the Second Amended and Restated Master Agreement	8
10) Contracts and Agreements for Approval	9

CAPITAL PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	
11) Motor Pool Fuel Tank Replacement	Design	\$525,000	10
12) Avery Point Housing Development	Design	\$3,000,000	11
13) SHaW Suite at Avery Point Campus	Final	\$850,000	12
14) Pharmacy Biology Building (PBB) Research Support Expansion	Final	\$10,000,000	13
15) Gampel Pavilion Renovation	Final	\$36,000,000	14
16) University Second Electrical Feed	Revised Final	\$18,000,000	15
17) Boiler Plant Equipment Replacement and Utility Tunnel Connection	Revised Final	\$46,500,000	16
18) Albert N. Jorgensen & Harriet S. Jorgensen Theatre and Performing Arts Center Envelope and Various Site Improvements	Final	\$1,064,595	17
19) Alumni Center Wood Shake Roof Replacement	Final	\$782,000	18
20) Branford House Exterior Repairs, Phases 1, 2, & 3	Revised Final	\$1,645,000	19
21) Andover Infrastructure and Software Upgrade Phases I through VI	Revised Final	\$4,855,439	20
22) VoIP Implementation and 5ESS Decommissioning	Final	\$4,000,000	21
<u>UCONN HEALTH</u>			
23) Connecticut Tower Infrastructure Upgrade	Planning	\$34,300,000	22
24) TM416 MRI Upgrade and Mobile Unit	Design	\$1,560,000	23
25) Torrington Clinical Practice Relocation	Final	\$4,800,000	24
26) Emergency Department Low Acuity Expansion	Final	\$1,350,000	25
27) BB013 Animal Research MRI Renovation	Final	\$620,000	26
28) Psychiatry Seclusion Suite & Nurse Station Security Renovation	Revised Final	\$1,470,000	27
29) Main Liquid Oxygen Tank Replacement	Final	\$925,000	28

INFORMATION ITEMS:

- 30) Construction Project Status Report
<https://bpir.media.uconn.edu/wp-content/uploads/sites/3452/2025/06/Construction-Status-Report-6.25.25.pdf>
- 31) University Senate Representative Report

INFORMATION ITEMS (Continued):

32) Other Business

33) Executive Session *(as needed)*

34) Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

ATTACHMENT A

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Planning Budget Phase								
Avery Point Housing Development	300306	\$750,000	0	P	University Funds	4/23/25		
Energy Services Performance Contract	FO502072	\$500,000	\$0	P	University Funds	12/06/23		
Design Budget Phase								
Gampel Pavilion Renovation	300284	\$9,000,000	\$1,276,047	RP-\$1.6M D-\$9.0M	UCONN 2000 Bond Funds	10/30/24 02/26/25		
PBB Research Support Expansion	300249	\$1,000,000	\$624,527	D	UCONN 2000 Bond Funds	06/26/24		
Final Budget Phase								
Alumni Residence Halls Roof Replacement	300298	\$2,075,000	\$83,068	F	UCONN 2000 Bond Funds University Funds	02/26/25	August 2025	Phase 1: 0%
Avery Point Parking Lots A&B Upgrades	FO502081	\$1,000,000	\$0	F	University Funds	02/26/25	November 2025	0%
Babbidge Library HVAC Equipment Upgrade Phase 1 & 2	FO501861	\$820,000	\$394,761	F	University Funds	02/26/25	December 2025	25%
Buckley Hall LED Lighting Upgrade	FO502343	\$278,000	\$258,268	F	University Funds	04/17/24	Summer 2025	95%
Coventry Boathouse	300246	\$2,650,000	\$318,546	F	UCONN 2000 Bond Funds University Funds	12/11/24	TBD	0%
Dining Hall Facilities Ventilation Upgrades	FO500010	\$892,700	\$836,656	F	University Funds	02/21/18	Summer 2025	75%
Electric Vehicle Charging Infrastructure & Service Upgrades	FO502039	\$957,200	\$384,276	F	University Funds	09/27/23	September 2025	50%
University 2nd Electrical Feed	300136	\$15,000,000	\$12,800,892	D-\$3M F-\$15M	UCONN 2000 Bond Funds	12/08/21 09/27/23	Spring 2027	0%
Gampel Pavilion Enhancements	300271	\$10,000,000	\$9,423,392	F	State GO Bonds	04/17/24	September 2025	75%
Gampel Ground Floor KSI Heat Laboratory	TL2582	\$975,000	\$823,152	F	University Funds	09/25/24	June 2025	50%
George C. White Building Roof & Drainage System	FO500127	\$985,800	\$973,958	F	University Funds	09/25/24	October 2025	75%
Gilbert Road Site Preparation	300235	\$6,600,000	\$4,828,470	RD-\$1.25M F-\$6.6M	UCONN 2000 Bond Funds	06/29/22	Sprng 2025	Phase 1: 100% Phase 2: 85%
Greer Field House Indoor Track Resurfacing	FO500159	\$807,092	\$801,774	F	University Funds	12/07/22	May 2025	99%
Hartford Café	300262	\$1,100,000	\$836,446	F	University Funds	12/11/24	Fall 2025	5%
Housing Refresh Program Summer 2025	FO502796	\$12,000,000	\$2,505,421	F	University Funds	02/26/25	August 2025	0%
Hydrogen Fuel Dispenser	FO502031	\$835,500	\$716,699	F	University Funds	09/27/23	December 2025	0%
IPB Renovations for Center for Clean Energy Engineering (C2E2)	300264/	\$20,000,000	\$17,076,051	P-\$1.4M F-\$5.4M F - \$20.0M	UCONN 2000 Bond Funds	10/25/23 12/06/23 06/26/24	October 2025	50%
Jorgensen Envelope and Site Repairs	FO501954	\$1,064,595		F	University Funds	06/25/25-pending		
McMahon Residence Hall & Dining Facility Roof Replacements	300299	\$1,965,000	\$46,815	F-\$1.965M	UCONN 2000 Bond Funds University Funds	02/26/25	August 2025	Phase 1: 0%
Mirror Lake Improvements	300174	\$11,500,000	\$10,158,648	D-\$1.6M RD-\$2.6M RD- \$4.0M F- \$11.5M	UCONN 2000 Bond Funds	10/27/21 03/30/22 04/19/23 06/26/24	Winter 2026	15%
Nathan L Whetten Graduate Center 3rd Floor Renovation	TL2572	\$648,000	\$546,691	F	University Funds	02/26/25	May 2025	90%
Northwest Residential Area-Thermal Comfort Improvements	300280	\$6,750,000	\$1,208,566	D-\$800k F-\$6.75M	University Funds	10/30/24 12/11/24	Fall 2025	0%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Final Budget Phase - continued								
Peace Garden	300267	\$12,400,000	\$115,747	D-\$800k F-\$1.24M	University Funds Gift Funds	12/11/24 04/23/25	Fall 2025	0%
School of Nursing Building	300260	\$100,000,000	\$5,800,060	P-\$2M D-\$5.4M F-\$100.0M	UCONN 2000 Bond Funds State GO Bond Funds Gift Funds	06/28/23 10/25/23 10/30/24	Winter 2027	5%
Sprague Hall Refurbishment - Flooring	FO502351	\$529,478	\$525,475	F	University Funds	04/17/24	Winter 2025	95%
Storrs Campus-UST Replacement	FO502851	\$580,000	\$0	F-\$580k	University Funds	04/23/25	Summer 2025	0%
UConn Tennis Facility	300257	\$3,450,000	\$3,146,136	F	UCONN 2000 Bond Funds Gift Funds	09/25/24	Fall 2025	20%
VOIP Implementation and 5ESS Decommissioning		\$4,000,000		F	UCONN 2000 Bond Funds	06/25/25-pending		
Von Der Mehden Recital Hall Roof Restoration	FO501881	\$720,000	\$685,267	P-1.01M F-\$720k	University Funds	10/25/23 04/17/24	Winter 2025	95%
Waterbury at 36 North Main	300275	\$1,250,000	\$726,426	F	UCONN 2000 Bond Funds	09/25/24	Spring 2025	99%
Whitney Road Steam Improvements E-8 to Q-8	300281	\$8,500,000	\$7,846,223	F	UCONN 2000 Bond Funds	12/11/24	Fall 2025	10%
Wired Access Layer Infrastructure - Cabling FY24	Various	\$2,000,000	\$900,399	F	UCONN 2000 Bond Funds	06/26/24	September 2025	35%
Revised Final Phase								
Academic & Research Facilities - Gant Building Renovations - STEM	901803	\$191,500,000	\$157,641,908	P-\$1M RP-\$5M D-\$15M F-\$85M RF-\$170M RF-\$191.5M	UCONN 2000 Bond Funds University Funds	11/13/13 09/30/15 08/10/16 02/22/17 06/26/19 02/26/25	TBD	MOB-100% Phase 1-100% Phase 2-100% Phase 3-0%
Andover Infrastructure and Software Upgrade- Phase I, II, III	FO500073	\$4,355,439	\$4,315,364	F-\$2.6M F-\$3.346M RF-\$3.48M RF-3.48M RF - 4.355M	University Funds	09/30/20 02/24/21 04/27/22 06/28/23 10/25/23 06/26/24	COMPLETE COMPLETE COMPLETE COMPLETE December 2025	Phase - 1 100% Phase - 2 100% Phase -3 100% Phase - 4 100% Phase -5 0%
Babbidge Library Stairs and Doors	FO502282	\$1,004,300	\$913,000	F-\$772k RF-\$1.0M	University Funds	06/26/24 12/11/24	Winter 2025 August 2025	Phase 1: 95% Phase 2: 0%
Boiler Plant Equipment Replacement and Utility Tunnel Connection	300151	\$43,000,000	\$40,120,957	RP-\$1.25M D-\$2.3M F-\$40M RF-\$43M	UCONN 2000 Bond Funds	04/24/19 08/14/19 02/26/20 06/28/23	Summer 2025	95%
Branford House Exterior Repairs, Phases 1, 2 & 3	FO500106	\$1,350,000	\$867,897	F-\$800k RF-\$838K RF-\$1.35M	University Funds	02/22/23 09/25/24 02/26/25	Summer 2025	Phase1-100% Phase 2-100% Phase3-0%
Field House- Old Rec Center Renovation	300209	\$90,000,000	\$15,284,873	RP-\$3M D-\$4.5M RD-\$8.5M F-\$15.5M RF-\$90.0M	UCONN 2000 Bond Funds UCONN 2000 Bond Funds University Funds Gift Funds	06/29/22 06/28/23 12/06/23 04/17/24 10/30/24	Fall 2025-Ph.1 Winter 2027-Ph. 2	Phase 1-15% Phase 2- 0%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Revised Final Budget Phase - continued								
Gampel Pavilion Generator Replacement	FO500063	\$595,000	\$374,479	RF-\$595k	University Funds	02/26/25	April 2025	25%
Jones Annex Renovation	300203/ TL2540	\$9,500,000	\$2,644,057	D-\$940k F-\$4.94M RF-\$9.5M	University Funds	09/28/22 12/06/23 04/23/25	December 2025	15%
Music Building Roof Replacement	FO500131	\$759,000	\$61,071	F-\$664.4k RF-\$759k	University Funds	02/26/25 04/23/25	August 2025	Phase 1: 0%
North & South Parking Garages Restoration, Phase I & II	FO500056	\$4,000,000	\$2,991,868	F-\$1.0M RF-\$2.0M RF\$3.0M RF-\$4.0M	University Funds	10/28/20 06/30/21 12/07/22 12/06/23	July 2023 May 2024 May 2024 May 2025	Phase 1- 100% Phase 2- 98% Phase 3-95% Phase 4 - 99%
Stamford Abutting Property Remediation	300149	\$2,850,000	\$2,473,669	F-\$2.5M RF-\$2.85	UCONN 2000 Bond Funds	04/24/19 04/23/25	Summer 2025	99%
South Campus Infrastructure-Phase I, II, III	300241	\$89,500,000	\$83,534,428	RP-\$1.25M D-\$1.750M RD- \$4.5M F-\$11.0M RF-\$44M RF-\$82M RF-\$89.5M	UCONN 2000 Bond Funds	03/30/22 06/29/22 09/28/22 12/07/22 04/19/23 06/28/23 09/27/23	Winter 2026	75%
Werth Residence Hall High Humidity Mitigation	300251	\$11,100,000	\$10,853,910	RP-\$925 D-\$1.5M F-\$8.5M RF-\$11.1M	UCONN 2000 Bond Funds University Funds	12/06/23 02/28/24 06/26/24 02/26/25	Fall 2025	0%
Recently Completed Projects ⁽¹⁾								
Academic and Research Facilities - STEM Research Center	901802	\$220,000,000	\$173,493,443	P-\$1M RP-\$5M RP-\$15M D-\$15M F-\$220M	UCONN 2000 Bond Funds	11/13/13 06/29/16 09/27/17 06/26/19 04/29/20	Summer 2024	100%
Avery Point Seawall Exigent Improvements	300253	\$3,063,069	\$2,514,098	F	UCONN 2000 Bond Funds	12/07/22	January 2025	100%
Buckley Hall Abatement	FO502330	\$624,000	\$398,099	F	University Funds	04/17/24	Summer 2024	100%
Buckley Hall Refurbishment-Flooring	FO502339	\$467,018	\$467,018	F	University Funds	04/17/24	Summer 2024	100%
Buckley Hall Refurbishment - Painting	FO502341	\$97,489	\$97,489	F	University Funds	04/17/24	Summer 2024	100%
Freitas Renovation	300252	\$3,250,000	\$3,163,327	F-\$2.5M RF-\$3.0M	Gift Funds	04/19/23 02/28/24	Winter 2025	Phase 1: 100% Phase 2: 100%
Gampel Pavilion Court Replacement	300255	\$678,689	\$678,689	F	University Funds	12/07/22	March 2025	100%
Garrigus Suites Environmental Systems Upgrade	FO502396	\$588,840	\$588,840	F-\$620k	University Funds	04/17/24	January 2025	100%
North Eagleville Road and Discovery Drive Intersection Improvements	300169	\$3,000,000	\$2,371,374	D-\$750k F-\$800k RF-\$2.0M	UCONN 2000 Bond Funds	09/30/20 10/27/21 04/27/22	Winter 2025	100%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Recently Completed Projects-continued ⁽¹⁾								
Northwest Quad: Science 1-Site Improvements	300050	\$56,000,000	\$52,964,165	RP-\$1M D-\$7.5M F-\$56M	UConn 2000 Bond Funds	02/21/18 06/27/18 04/29/20	Summer 2024	100%
Residential Life Facilities: South Campus Residence Hall	300200	\$215,000,000	\$197,490,262	P-\$800k D-\$6.5M F-\$215M	UConn 2000 Bond Funds	12/08/21 12/09/20 08/03/22	Winter 2025	100%
Sprague Hall Abatement	FO502344	\$318,000	\$228,965	F	University Funds	04/17/24	Summer 2024	100%
Sprague Hall Refurbishment - Painting	FO502345	\$260,000	\$152,640	F	University Funds	04/17/24	Summer 2024	100%
Storrs LED (SLED) Lighting Upgrade	FO500025	\$7,850,000	\$7,849,226	F-\$5.35M RF-\$7.85M	University Funds	12/09/20	October 2024	100%
Wilbur Cross Cupola Repair	FO500161	\$932,000	\$865,624	F	University Funds	10/25/23	January 2025	100%
Wired Access Layer Infrastructure Refresh - Phase III	Various	\$4,000,000	\$3,934,657	F	UConn 2000 Bond Funds	09/29/21	October 2024	100%
Wired Access Layer Infrastructure Refresh - Phase IV	Various	\$2,000,000	\$1,989,917	F	UConn 2000 Bond Funds	06/29/22	October 2024	100%
Wired Access Layer Infrastructure - Cabling Phase V	Various	\$4,000,000	\$2,574,161	F	UConn 2000 Bond Funds	06/28/23	November 2024	100%
XL Center-Academic Space Renovation	300270	\$1,039,000	\$884,719	F	State GO Bond Funds	04/17/24	Winter 2025	100%

Planning Budget Phase								
UCH - CT-7 Inpatient and Research Renovations	23-007	\$1,675,000	\$73,750	P	UConn 2000 Bond Funds UConn Health Capital Funds UConn Health Operating Funds UConn Foundation	(06/10/24)* 06/26/24		
UCH- Labor & Delivery Infant Protection System Replacement	21-022	\$760,000	\$314,210	P	UConn Health Capital Funds	(03/11/24)* 02/28/24		
UCH - Main Building (L) Lab Renovations - 1st Floor	24-035	\$11,900,000	\$0	P	FY23 State GO Bond Funds UConn Health Research IDC Capital UConn Health Operating Funds	(06/10/24)* 06/26/24		
UCH-SODM 24/7 Student Random Access Lab Renovation	24-032	\$830,000	\$0	P	UConn Health Capital Funds	(09/09/24)* 09/25/24		
UCH-Torrington Clinical Practice Relocation	24-028	\$4,800,000	\$236,537	P	UConn Health Capital Funds	(12/09/24)* 12/11/24		
UCH - Surgery Center Operating Room #6 Renovations	24-018	\$1,750,000	\$0	P	UConn Health Capital Funds	(06/10/24)* 06/26/24		
UCH - TM416 MRI Upgrade and Mobile Unit	25-013	\$1,560,000	\$0	P	UConn Health Capital Funds	(06/09/25)* 04/23/25		
UCH-University Tower (UT) 7th Floor Pharmacy Fit-Out	23-024	\$10,250,000	\$114,308	P	UConn 2000 Bond Funds UConn Health Capital Funds	(09/11/23)* 09/27/23		
Design Budget Phase								
UCH-BB013 Animal Research MRI Renovation	24-023	\$620,000	\$81,545	P-\$2.57M D-\$620k	State GO Bond Funds UConn Health Research IDC Capital UConn Health Operating Funds	(09/09/24)* 09/25/24 (06/09/25)* 04/23/25		

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Design Budget Phase -continued								
UCH-Emergency Department Low Acuity Expansion	23-025	\$1,350,000	\$98,500	P-\$1.26M D-\$1.35M	UConn Health Capital Funds	(09/09/24)* 09/25/24 (06/09/25)* 04/23/25		
Final Budget Phase								
UCH - Anatomic Pathology & Autopsy Renovation	21-059	\$1,175,000	\$898,634	F-\$1.175M	UConn Health Capital Funds	(03/13/23)* 12/06/23	February May 2025	Phase 1 - 100% Phase 2 - 60%
UCH - ASB Data Center Generator and Power Improvements	23-601.04	\$3,180,000	\$137,250	P D-\$960k D-\$3.15M F-\$3.18M	UCONN 2000 Bond Funds State GO Bond Funds	(06/10/24)* 06/26/24 (09/09/24)* 09/25/24 (03/10/25)* 02/26/25	September 2025	0%
UCH- Building F & Building M Roof Replacement	22-601.05	\$1,615,000	\$1,006,888	D F-\$1.615M	FY23 State GO Bond Funds	(03/11/24)* 02/28/24 (06/10/24)* 06/26/24	July 2025 August 2025	Bldg F 99% Bldg M-0%
UCH -Cell & Genome Science Building Data Center Cooling Upgrades	23-601.07	\$840,000	\$822,289	D-\$650k F-\$840k	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23	May 2025	99%
UCH - Cryo Electron Microscope Installation	22-055	\$2,086,000	\$186,760	P-\$960k D-\$960k F-\$2.086M	UConn Health Research IDC Capital	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (09/09/24)* 09/25/24	TBD	0%
UCH - Hybrid OR#2 Fit-Out	23-035	\$6,750,000	\$4,703,005	P-\$7.1M D-\$7.1M F-\$6.75M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/09/24)* 09/25/24 (12/09/24)* 12/11/24	July 2025	10%
UCH - Interventional Radiology Equipment Replacement & Renovation	20-001	\$8,700,000	\$1,957,378	P-\$4.7M D-\$4.7M F-\$8.7M	UConn Health Capital Funds FY23 State GO Bond Funds	(06/12/23)* 06/28/23 (12/09/24)* 12/11/24 (03/10/25)* 02/26/25	May 2026	1%
UCH - IT Critical Equipment Redundancy Room	23-601.06	\$1,600,000	\$54,500	P-\$1.37M D-\$1.37M F-\$1.6M	UCONN 2000 Bond Funds	(06/10/24)* 06/26/24 (09/09/24)* 09/25/24 (03/10/25)* 02/26/25	June 2026	0%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Final Budget Phase - continued								
UCH-Linac Unit Replacement	20-058	\$5,580,000	\$879,995	P-\$5.22M D-\$4.985M F-\$5.58M	UConn Health Capital Funds	(06/12/23)* 04/19/23 (03/11/24)* 02/28/24 (03/10/25)* 02/26/25	September 2025	0%
UCH - 16 Munson Road Emergency Lighting & Egress Upgrades	21-010	\$1,900,000	\$116,000	D-\$935k F-\$1.9M	UCONN 2000 Bond Funds FY23 State GO Bond Funds	(12/06/21)* 12/08/21 (09/11/23)* 09/27/23	TBD	0%
UCH - Outpatient Pavilion 3rd Floor Backfill	22-060	\$4,250,000	\$2,053,982	P-\$3.3M D-\$3.3M F-\$4.25M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24	May 2025 July 2025	Phase 1-10% Phase 2 - 0%
UCH - Outpatient Pavilion X-Ray & Blood Draw Relocation	22-046	\$1,925,000	\$1,323,576	P-\$650k D-\$850k F-\$1.925M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24	June 2025	30%
UCH - Parking Lots L1 & A5 Repavement	22-601.08	\$1,190,000	\$117,445	D- \$1.02M F-\$1.19M	FY23 State GO Bond Funds	(06/10/24)* 06/263/24 (06/09/25)* 04/23/25	August 2025	0%
UCH - Southington Clinic Expansion	23-045	\$1,900,000	\$1,307,197	D-\$1.32M F-\$1.9M	UConn Health Capital Funds	(03/11/24)* 02/28/24 (09/09/24)* 09/25/24	July 2025	5%
UCH-Underground Fuel Tank C1 Removal & Replacement	24-601.03	\$925,000	\$744,377	F-\$925k	UCONN 2000 Bond Funds	(03/10/25)* 02/26/25	June 2025	15%
Revised Final Phase								
UCH - Building E & Building K Roof Replacement	22-601.01	\$4,560,000	\$1,295,056	P-\$630K D-\$2.16M F-\$2.16M RF-\$4.56M	UCONN 2000 Bond Funds FY23 State Go Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (03/10/25)* 02/26/25	September 2024 May 2026	Bldg. E 100% Bldg. K - 0%
UCH - Central Sterile Washer & Sterilizer Replacement	21-034	\$7,605,000	\$6,221,178	P-\$1.9M D-\$3.985M F-\$4.97M RF-\$6.34M RF-\$7.605M	UCONN 2000 Bond Funds UConn Health Capital Funds FY23 State GO Bond Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/23 (03/10/25)* 02/26/25	March 2025 September 2025	Phase 1-100% Phase 2-20%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Revised Final Budget Phase - continued								
UCH-Fluoroscopy Equipment Replacement and Renovation	21-009	\$1,020,000	\$831,529	F-\$745k RF-\$1.02M	UConn Health Capital Funds	(09/11/23)* 09/27/23 (09/09/24)* 09/25/24	May 2025	80%
UCH - Garage 1, 2 & 3 Electric Vehicle Charger Installation	21-066	\$620,000	\$565,630	F-\$550k RF-\$620k	UConn Health Energy Conservation Pool CT DEEP Grant Eversource Rebate	(03/11/24)* 02/28/24 (09/09/24)* 09/25/24	May 2025 March 2025 March 2025	Garage 1-85% Garage 2 -100% Garage 3- 100%
UCH - KB034-036 Research Lab Renovation	24-011	\$1,975,000	\$444,099	F RF-\$1.975	UCONN 2000 Bond Funds UConn Health Operating Funds	(03/11/24)* 02/28/24 (06/10/24)* 06/26/24	September 2025	5%
Recently Completed Projects ⁽¹⁾								
UCH - Cadaver Lab Renovation & Air Handling Unit Replacement	23-601.12	\$2,960,000	\$2,552,160	D-\$1.8M F-\$2.96	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23	December 2024	100%
UCH - Canzonetti (F) Building Wound Care Center Renovation	22-019	\$1,330,000	\$1,199,874	D-\$850k F-\$1.225M RF-\$1.33M	UConn Health Capital Funds Restorix Health Inc Design & Construct Allow	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/09/24)* 09/25/24	May 2024	100%
UCH - Cardio Catheterization (Cath) & Electro Physiology (EP) Lap Renovation	22-017	\$6,430,000	\$5,655,730	P-\$3.7M D-\$6.166M F-\$6.430M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	February 2025	100%
UCH-CGSB & ARB Autoclave and Washer Replacement	22-012	\$1,200,000	\$1,109,593	F-\$1.2M	UConn Health Research IDC Capital	(12/05/22)* 12/07/22	November 2024	100%
UCH - Connecticut Tower (CT) 7th Floor Med-Surg/Observation Unit Renovation	23-027	\$2,500,000	\$1,966,493	P-\$2.5M F-\$2.5M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (03/11/24)* 02/28/24	November 2024	100%
UCH-Main Building (L) Lab Renovations - 2nd Floor	22-013	\$10,200,000	\$5,799,110	P-\$7.8M D-\$8.972M F-\$10.2M	UCONN 2000 Bond Funds UConn Health Research IDC Capital UCH School of Medicine Operating Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (12/05/22)* 12/07/22	November 2024	100%
UCH - Musculoskeletal Institute Rehabilitation Expansion	23-029	\$1,010,000	\$813,701	P-\$640k D-\$640k F-\$1.01M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24	November 2024	100%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 25, 2025

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/16/25)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Recently Completed Projects - Continued ⁽¹⁾								
UCH - New England Sickle Cell Institute Renovation	21-063	\$5,800,000	\$5,128,778	P-\$3M D-\$4.865M F-\$4.865M RF-\$5.27M RF-\$5.8M	UConn 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (09/09/24)* 09/25/24 (12/09/24)* 12/11/24	March 2025	100%
UCH - Psychiatry Seclusion Suite & Nurse Station Security Renovation	21-050	\$1,310,000	\$1,167,760	D-\$790k F-\$1.042M RF-\$1.197M RF-\$1.31M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22 (09/09/24)* 09/25/24	December 2024	100%
UCH - TB-121 Blood Bank Relocation	23-010	\$1,125,000	\$1,103,345	F-\$765k RF-\$880k RF-\$1.075M RF-\$1.125M	UConn Health Capital Funds CIRP	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/23 (09/09/24)* 09/25/24	November 2024	100%
UCH-Transitional Nursery Renovation	21-016	\$1,800,000	\$1,006,893	D-\$1.8M F-\$1.8M	UConn Health Capital Funds	(03/13/23)* 02/22/23 (06/12/23)* 06/28/23	July 2024	100%
P = Planning Budget RP = Revised Planning Budget D = Design Budget * UConn Health Board of Directors Reviewed and Recommended for Board of Trustees Approval ⁽¹⁾ Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.								
		RD = Revised Design Budget F = Final Budget RF = Revised Final Budget						