

UConn

UNIVERSITY OF CONNECTICUT

Board of



TRUSTEES

VOL. 245 JUNE 26, 2024

AGENDA

UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

University of Connecticut
Wilbur Cross Building
North Reading Room (109)
233 Glenbrook Road
Storrs, Connecticut

June 26, 2024

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:00 a.m.**

1. Public Participation*

* Individuals who wish to speak during the Public Participation portion of the Wednesday, June 26, meeting must do so in person and sign up 24 hours in advance of the meeting's start time (i.e., 9:00 a.m. on Tuesday, June 25) by emailing boardoftrustees@uconn.edu. Speaking requests must include a name, topic, and affiliation with the University (i.e., student, employee, member of the public). Per the University By-Laws, the Board may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Board via boardoftrustees@uconn.edu, and all comments will be transmitted to the Board.

2. Chairman's Report

(a) Matters Outstanding

(b) Board Recognitions

(c) Minutes of the meetings of April 17 and May 22, 2024

(d) Consent Agenda Items:

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| (1) Contracts and Agreements | (Attachment 1) |
| (2) Statement of Work for the UConn Foundation for Fiscal Year 2025 | (Attachment 2) |
| (3) Project Budget (Final) for Mirror Lake Improvements | (Attachment 3) |
| (4) Project Budget (Design) for Pharmacy-Biology Building Research Support Expansion | (Attachment 4) |
| (5) Project Budget (Final) for Werth Residence Tower High Humidity Mitigation | (Attachment 5) |
| (6) Project Budget (Final) for Babbidge Library Stairs and Doors | (Attachment 6) |
| (7) Project Budget (Final) for Innovation Partnership Building Renovations for the Center for Clean Energy Engineering | (Attachment 7) |

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| (8) | Project Budget (Revised Final) for Andover Infrastructure and Software Upgrade Phases I Through V | (Attachment 8) |
| (9) | Project Budget (Final) for Wired Access Layer Cabling | (Attachment 9) |
| (10) | Project Budget (Planning) for the UConn Health CT-7 Inpatient and Research Renovations | (Attachment 10) |
| (11) | Project Budget (Planning) for the UConn Health Main Building Lab Area Renovations – 1 st Floor | (Attachment 11) |
| (12) | Project Budget (Planning) for the UConn Health Surgery Center Operating Room #6 Renovations | (Attachment 12) |
| (13) | Project Budget (Planning) for the UConn Health ASB Data Center Generator and Power Improvements | (Attachment 13) |
| (14) | Project Budget (Planning) for the UConn Health IT Disaster Recovery Room | (Attachment 14) |
| (15) | Project Budget (Design) for the UConn Health Parking Lots L1 and A5 Repaving | (Attachment 15) |
| (16) | Project Budget (Final) for the UConn Health Building F and Building M Roof Replacement | (Attachment 16) |
| (17) | Project Budget (Revised Final) for the UConn Health KB034-36 Research Lab Renovation | (Attachment 17) |
| (18) | Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2023-2024 | (Attachment 18) |
| (19) | Promotion and Tenure | (Attachment 19) |
| (20) | Tenure at Hire | (Attachment 20) |
| (21) | Designation of Emeritus Status | (Attachment 21) |
| (22) | Sabbatical Leave Recommendations | (Attachment 22) |
| (23) | Appointment of Professor Jiong Tang to the Pratt & Whitney Chair in Design and Manufacturing in the College of Engineering | (Attachment 23) |
| (24) | Appointment of Professor Horea Ilies to the Pratt & Whitney Professor of Advanced Materials and Processing in the College of Engineering | (Attachment 24) |
| (25) | Reappointment of Professor Morgaen Donaldson to the Philip E. Austin Endowed Chair | (Attachment 25) |
| (26) | Reappointment of Professor Robert Bird to the Eversource Chair in Business Ethics in the School of Business | (Attachment 26) |
| (27) | Reappointment of Professor Evan Rawley to the Eversource Energy Scholar in Technological Entrepreneurship in the School of Business | (Attachment 27) |
| (28) | Reappointment of Professor Joseph Golec to the Finance Department Distinguished Scholar in the School of Business | (Attachment 28) |
| (29) | Reappointment of Professor Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business | (Attachment 29) |

- (30) Reappointment of Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business (Attachment 30)
- (31) Reappointment of Professor Yiming Qian to the Toscano Family Chair in Finance in the School of Business (Attachment 31)
- (32) Naming Recommendation for the Wells Fargo Center for Neurodiversity and Employment Innovation in the Werth Institute for Entrepreneurship and Innovation (Attachment 33)
- (33) Naming Recommendation for the Matthew and Margarethe Mashikian Entrepreneurship Hub in the College of Engineering (Attachment 32)
- (34) Naming Recommendation for the National Laboratory for Occupational Heat Safety at UConn's Korey Stringer Institute Powered by Magid & Mission (Attachment 34)
- (35) Naming Recommendation for the Professor Emeritus John E. Morral Conference Room in the Science 1 Building (Attachment 35)
- (36) Modification of Naming Recommendation for RTX Technology Research Center in the Science 1 Building (Attachment 36)
- (37) Naming Recommendation for the Cynthia Wyeth Peterson Memorial Observatory and the Cynthia Wyeth Peterson Memorial Planetarium (Attachment 37)
- (38) Revised Reopener to the Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors (AAUP) (Attachment 38)
- (39) Proposed Changes to the *By-Laws of the University of Connecticut* (Attachment 39)
 - (a) Article V – Committees of the Board of Trustees
 - (b) Article VIII – The University Administration
 - (c) Article IX – The University Senate

3. President's Report

4. University Senate Report
Senate Executive Committee Chair, Dr. Laura Burton

5. Academic Affairs Committee Report

- (a) Report on Committee activities

6. Financial Affairs Committee Report

- (a) Report on Committee activities
- (b) Presentation: FY 25 UConn and UConn Health Budget Presentation (Attachment 40)
Presenter: Jeffrey Geoghegan, Executive Vice President
for Finance and Chief Financial Officer
- (c) Items requiring Board discussion and approval:
 - (1) Fiscal Year 2025 Spending Plan for the University of Connecticut, Storrs and Regional Campuses (Attachment 41)
 - (2) Fiscal Year 2025 Capital Budget for the University of Connecticut, Storrs and Regional Campuses (Attachment 42)

- (3) Thirty-First Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds (Attachment 43)
 - (4) Thirty-Second Supplemental Indenture Authorizing University of Connecticut General Obligation Refunding Bonds (Attachment 44)
 - (5) Seventh Supplemental Indenture Authorizing University of Connecticut General Special Obligation Student Fee Revenue Refunding Bonds (Attachment 45)
 - (6) Fiscal Year 2025 Spending Plan for UConn Health (Attachment 46)
 - (7) Fiscal Year 2025 Capital Budget Spending Plan for UConn Health (Attachment 47)
- 7. UConn Health Report
 - (a) Report on UConn Health activities
- 8. Joint Audit and Compliance Committee Report
 - (a) Report on Committee activities
- 9. Buildings, Grounds and Environment Committee Report
 - (a) Report on Committee activities
 - (b) Item requiring Board discussion and approval:
 - (1) Proposed Drainage Easement for UConn Property on Hunting Lodge Road, Storrs, CT (Attachment 48)
- 10. Construction Management Oversight Committee Report
 - (a) Report on Committee activities
- 11. Student Life Committee Report
 - (a) Report on Committee activities
- 12. Institutional Advancement Committee Report
 - (a) Report on Committee activities
- 13. Committee for Diversity, Equity and Inclusion Report
 - (a) Report on Committee activities
- 14. Committee on Compensation Report
 - (a) Report on Committee activities
- 15. Committee for Research, Entrepreneurship and Innovation Report
 - (a) Report on Committee activities
- 16. Other Business
- 17. Executive Session anticipated
- 18. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

ATTACHMENT 1

**CONTRACT AGREEMENTS
FOR APPROVAL
JUNE 26, 2024**

PROCUREMENT - NEW*

*The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.

**S/MBE OR CT-Based Coding: S=Small, M=Minority, W=Woman Owned; C= CT-Based

FIRE ALARM SYSTEMS TESTING, INSPECTION, MAINTENANCE AND ALTERATION SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	**S/MBE Or CT-
1	Johnson Controls Fire Protection	Sourcewell #030421-JHN	\$7,500,000	07/01/24 -06/30/29	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	Sourcewell Consortium contract to provide fire alarm systems testing, inspection, preventative maintenance services and alteration services for the Simplex Grinnell and miscellaneous fire alarm systems and equipment. Term is a five year term and amount requested will cover the full five year term, through 06/30/29. Future extensions may be exercised at the discretion of the Consortium.	
2	Siemens Industry	Sourcewell #030421-SIE	\$5,000,000	07/01/24-06/30/29	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	Sourcewell Consortium contract to provide fire alarm systems testing, inspection, preventative maintenance services and alteration services for the Siemens Industry and miscellaneous fire alarm systems and equipment. Term is a five year term and amount requested will cover the full five year term, through 06/30/29. Future extensions may be exercised at the discretion of the Consortium.	

EVENT SEATING

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	**S/MBE Or CT-
3	Hussey Seating Company	081523-HSC	\$4,000,000	07/01/24-06/30/27	UCONN 2000	Stanley Nolan, Interim AVP Facilities Operations & Building Services	Sourcewell Consortium contract to provide event seating and staging solutions and related accessories and services. Contract term is three years. Future extensions may be exercised at the discretion of the Consortium. While this agreement has been in place since 10/05/23, this is the first time the University will be using it and, as a result, it is being presented as a new agreement for approval. This contract is used to upgrade seating for various projects including project # 300271 for the Enhancement of Gampel Pavilion to replace Gampel Pavilion's original lower-bowl retractable seating system.	

LAB SUPPLIES & SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	**S/MBE Or CT-
4	Airgas	CNR0XXXX	\$2,500,000	10/01/24-09/30/27	Operating Funds	Joseph Thompson, Associate Vice President and Chief Procurement Officer	Compressed gases to be used for research and operational needs of all University campuses. Sourced through the E & I Consortium as a result of a recent public solicitation. Initial term is three years, with three options to extend for a term of one year each. Agreement amount is consistent with historical spend of \$1.9m for the previous three year term and includes a contingency to cover the cost of the addition of new researcher labs, new bulk tank needs, outfitting of new equipment as part of building renovations/builds where gases may be involved.	

SOFTWARE PRODUCTS AND RELATED SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	**S/MBE Or CT-
5	SciQuest, Inc., dba JAGGAER	TBD	\$7,000,000	07/01/24-06/30/31	Multiple Sources	Joseph Thompson, Associate Vice President and Chief Procurement Officer	Procurement and Contracting CLM software applications serving all campuses, excluding UCH. Sourced under General Letter 71 which allows for renewal of existing software. This contract will be replacing Contract 17778 which expires on 6/30/2024. Initial contract term is seven years with a total contract value of \$7,000,000. This amount represented associated expenses for the full seven year term. SciQuest (Jaggaer) was implemented as the result of an RFP five years ago. This new contract will allow for continuation of current services as well as the addition of the contract Lifecycle management module offered by Jaggaer.	

SPRINKLER SYSTEMS TESTING, INSPECTION, MAINTENANCE & ALTERATION SERVICES

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose	**S/MBE Or CT-Based
6	Encore Holdings dba Encore Fire Protection	SK020724	\$4,000,000	07/01/24-06/30/29	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	Sprinkler systems testing, inspection, preventative maintenance services and alteration services for the University's sprinkler systems. This agreement is the result of a public solicitation and has a five year term. The amount requested will cover the full term. Zero extensions remain.	

**CONTRACT AGREEMENTS
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STUDENT HEALTH INSURANCE												**S/MBE Or CT- Based
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose					
7	Wellfleet Benefits LLC	UC-25-KA012924	\$7,700,000	08/01/24-07/31/25	Student Funds	Suzanne Onorato, Executive Director for Student Health and Wellness	Health insurance for registered University and UCH students, including UCH dental residents and other eligible individuals. Coverage is underwritten by Commercial Casualty Insurance Co., a Berkshire Hathaway backed carrier, Broker and agent services are provided by Smith Brothers, LLC. This agreement is the result of a public solicitation and has an initial term of one year with four, one-year extensions. All expenses associated with this agreement are borne by the insured students, not the University.					
PROCUREMENT - AMENDMENTS*												
CONSULTING SERVICES AND TEMPORARY STAFF												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-	
8	HRP Consulting Group, a division of BRANY (Biomedical Research Alliance of New York)	MF100220	\$1,200,000 [Contract Value Previously \$2,593,000; Total New Contract Value \$3,793,111]	03/19/21-03/18/25	Operating Funds	Julie Schwager, AVP, Office of the Vice President for Research	\$2,054,243	\$717,044	\$488,600	Consulting services and temporary staffing assistance for the OVPR's Sponsored Programs Services and Research Compliance units. Amend to increase contract value by \$1,200,000, for total new contract value of \$3,793,111 . Contract term remains the same. Contract value increase is requested to cover additional staffing expenditures through the remainder of the contract term. One extension of one year remains. Additional services are required to assure the operations of the Institutional Animal Care and Use Committee (IACUC) due to staffing reductions in the IACUC.		
9	Robert Half International Inc.	TS06-21	\$2,000,000 [Contract Value Previously \$3,200,000; Total New Contract Value \$5,200,000]	06/01/21-05/31/25	Multiple Sources	Joseph Thompson, AVP and Chief Procurement Officer	\$2,819,710	\$1,529,928	\$42,401	Temporary staffing, direct-hire, and other employer services. Amend to increase contract value \$2,000,000, for total new contract value of \$5,200,000. Amend to extend contract term one year, through 05/31/25. Sourced through Houston-Galveston Area Council (HGACBuy) Cooperative Purchasing Program. Future extensions may be exercised at the discretion of the Cooperative. Increase is requested to accommodate requirements for temporary staffing.	C	
10	Tri-Com Consulting Services	SO-19132	\$1,000,000 [Contract Value Previously \$5,500,000; Total New Contract Value \$6,500,000]	08/16/19-07/31/25	Auxiliary Services	Michael Mundrane VPand Chief Information Officer	\$5,166,818	\$1,017,849	\$729,294	IT professional services for strategic planning, project management, process analysis, infrastructure and related services, software/ application development, and IT security support services. Amend to increase contract value by \$1,000,000, for total new contract value of \$6,500,000. Amend to extend contract term one year, through 06/30/25. Sourced through a Connecticut State Colleges and Universities (CSCU) agreement. Future extensions may be exercised at the discretion of CSCU. Contract increase and extension are quested to continue use of temporary staffing to support implementation of ongoing projects on a timely basis.	S, M, C	
EMERGENCY LIGHT & FIRE EXTINGUISHER TESTING, INSPECTION AND REPAIR												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-	
11	Facilities Compliance Services LLC	SK111621	\$1,200,000 [Contract Value Previously \$900,000; Total New Contract Value \$2,100,000]	07/01/22-06/30/27	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$675,278	\$335,622	\$0	Emergency light and fire extinguisher testing, inspection and repair services for all university campuses. Amend to increase contract value by \$1,200,000, for total new contract value of \$2,100,000. Amend to extend contract term three years, through 06/30/27. The requested increase is to cover contracted services through the remainder of the extended contract term and is consistent with annual historical spend.	C	

**CONTRACT AGREEMENTS
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EVENT STAFFING SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
12	Contemporary Services Corporation	UC-22-KA071321-8	\$2,000,000 [Contract Value Previously \$1,850,000; Total New Contract Value \$3,850,000]	03/14/22-08/31/25	Operating Funds	David Benedict, Director of Athletics	\$1,445,084	\$586,250	\$268,063	Management and staffing for events on the Storrs campus, regional campuses (if needed), inclusive of athletic events and commencement. Amend to increase contract value by \$2,000,000, for total new contract value of \$3,850,000. Amend to extend contract term one year, through 08/31/2025. Contract increase is requested to cover anticipated costs and any unforeseen needs that arise through the extended contract term. One extension of one year remains.	
ENERGY SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
13	VFS Energy Services, LLC (a.k.a. Fuel Cell ESA UC LLC)	122010LM	\$3,000,000 [Contract Value Previously \$10,756,000; Total New Contract Value \$13,760,000]	05/01/11-04/30/42	Auxiliary Services	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$3,719,161	\$506,700	\$231,186	University contract for the lease of fuel cell to power the Depot Campus. Amend to increase contract value \$3,000,000, for total new contract value of \$13,760,000 . Contract term remains the same. VFS currently owns and operates the Doosan PureCell 400 located at the Center for Clean Energy Engineering facility in Storrs, CT. Contract increase is requested to provide funding to install battery energy storage systems (BESS) under the CT DEEP Energy Storage Solutions Program which will reduce/eliminate demand charges from the grid during peak periods by storing energy produced off-peak to power Depot Campus during on-peak hours. This will result in a average peak savings of \$9,000/month.	
FOOD, MILK & DAIRY PRODUCTS											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
14	Mountain Dairy, LLC	UC-17-LP040717-8	\$635,778 [Contract Value Previously \$1,464,422; Total New Contract Value \$2,100,000]	07/01/17-06/30/26	Operating Funds	Nathan Fuerst, VP for Enrollment Planning & Management	\$1,473,449	\$295,413	\$206,405	CT produced milk and dairy products primarily for the Department of Dining Services for student meals, catering and other needs. Amend to increase contract value by \$635,778, for total new contract value of \$2,100,000. Amend to extend contract term two years, through 06/30/26. Contract increase is requested to cover additional anticipated Dairy needs through the end of the extended term and to allow time for a new solicitation and resulting agreement process.	C
INFORMATION TECHNOLOGY - HARDWARE, SOFTWARE, SERVICES & ACCESSORIES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
15	GovConnection Inc	UC-19125	\$0 [Contract Value Previously \$8,000,000; Contract Value Remains the Same]	05/24/21-08/31/29	Multiple Sources	Michael Mundrane, VP & Chief Information Officer	\$3,582,999	\$1,328,343	\$1,218,997	Information technology hardware, software, supplies and related services for all University campuses. UConn partnered with the Connecticut State Colleges and University System (CSCU) on a formal solicitation. This agreement is the result of that joint effort. Amend to extend term five years, through 08/31/29. Contract value remains the same. The requested extension in contract term is to exercise the final extension under the existing agreement and to allow continuity of software, computers and peripherals for all faculty and staff. Zero extensions remain.	

**CONTRACT AGREEMENTS
FOR APPROVAL
JUNE 26, 2024**

INFORMATION TECHNOLOGY - HARDWARE, SOFTWARE, SERVICES & ACCESSORIES [Continued]											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
16	ePlus Technology	SO-19111	\$5,000,000 [Contract Value Previously \$1,250,000; Total New Contract Value \$6,250,000]	03/20/22-07/31/29	Multiple Sources	Michael Mundrane, VP & Chief Information Officer	\$621,239	\$496,102	\$0	Information technology hardware, software, supplies and related services for all University campuses. UConn partnered with the Connecticut State Colleges and University System (CSCU) on a formal solicitation. This agreement is the result of that joint effort. Amend to increase contract value by \$5,000,000, for total new contract value of \$6,250,000. Amend to extend contract term five years, through 07/21/29. Contract increase is requested to cover additional expenses during the remainder of the extended contract term. Extension is requested to exercise final extension under the existing agreement and to allow continuity of software, computers and peripherals for all faculty and staff. Zero extensions remain.	
17	Touchnet Information Systems, Inc.	WH110804	\$546,987 [Contract Value Previously \$2,953,013; Total New Contract Value \$3,500,000]	06/01/05-06/30/25	Multiple Sources	Michael Mundrane, VP & Chief Information Officer	\$1,426,977	\$380,115	\$253,968	Credit card processing software, services and payment integration with the PeopleSoft Student Administration System for all University campuses. Amend to increase contract value by \$546,987, for total new contract value of \$3,500,000. Amend to extend contract term one year, through 06/30/25. The increase and extension in contract term are requested to support annual renewal requires for the credit card software. Future extensions may be exercised upon agreement from all parties.	
LANDSCAPING SERVICES - STORRS CAMPUS											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
18	E.A. Quinn Landscape Contracting Inc.	LM071320	\$2,500,000 [Contract Value Previously \$5,000,000; Total New Contract Value \$7,500,000]	04/01/20-03/31/26	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$4,057,131	\$1,413,920	\$1,410,118	University contract for landscape services for the Storrs Campus to include spring clean-up, lawn maintenance, fall clean-up and additional landscape services. This is the result of a public solicitation. Amend to increase contract value by \$2,500,000, for total new contract value of \$7,500,000. Contract term remains the same. Contract increase is requested to cover contracted services for the remaining contract term and is consistent with historical spend.	C
LIBRARY SERIALS & SUBSCRIPTIONS											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
19	EBSCO Information Services	UC-17-JL20316	\$5,036,508 [Contract Value Previously \$20,963,492; Total New Contract Value \$26,000,000]	07/01/17-06/30/27	Multiple Sources	Anne Langley, Dean of UConn Library	\$17,839,122	\$1,531,837	\$2,391,792	Purchase of e-resources (library serials and subscriptions) for use by all University campuses. Journals included packages held by Wiley Interscience Core Collection, Taylor & Francis, and Springer. Amend to increase contract value by \$5,036,508, for total new contract value of \$26,000,000. Amend to extend contract term three years, through 06/30/27. The contract increase and extension are requested by the UConn Library to support ongoing use of library serials and subscriptions.	C

**CONTRACT AGREEMENTS
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RELOCATION MANAGEMENT SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
20	Signature Relocation, Inc.	CNR01503	\$500,000 (Contract Value Previously \$1,500,000 Total new Contract Value \$2,000,000)	05/01/20-04/30/27	Multiple Sources	Joseph Thompson, AVP for University Business Services and CPO	\$1,016,254	\$383,490	\$337,763	Provide relocation management services for new faculty/staff as needed to include but not limited to household goods moves, and vehicle transport. Sourced through E&I Cooperative Purchasing Program. Amend to increase contract value by \$500,000, for total new contract value of \$2,000,000. Contract term remains the same. Contract increase will support additional expenses through the remainder of the current term. Future extensions may be exercised at the discretion of the Cooperative.	
SCOREBOARDS, DIGITAL DISPLAYS, VIDEO BOARDS & RELATED SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
21	Daktronics Inc.	030223-DAK	\$4,550,000 (Contract Value Previously \$450,000 Total new Contract Value \$5,000,000)	06/19/23 - 05/25/27	UCONN 2000	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$157,605	\$0	\$0	Sourcewell Consortium contract to provide scoreboards, display boards, video boards and related services for all University campuses. Amend to increase contract value \$4,550,000, for total new contract value of \$5,000,000. Contract term remains the same. Future extensions may be exercised at the discretion of the Consortium. This contract will be used for various projects including the replacement of Gampel Pavilion's existing videoboard system as part of Project # 300271 for the Enhancement of Gampel Pavilion.	
TELECOMMUNICATIONS SUPPORT & SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
22	Cellco	18PSX0189	\$0 [Contract Value Previously \$2,100,000; Contract Value Remains the Same]	04/01/21-06/29/29	Auxiliary Services	Michael Mundrane VP and Chief Information Officer	\$1,411,201	\$498,672	\$431,891	Cellular services and supplies for all University campuses. Amend to extend contract term five years, through 06/30/29. Contract value remains the same. Sourced through a State of Connecticut agreement. Contract extension is requested to remain aligned with current State agreement.	
23	AT&T Mobility II LLC	18PSX0189	\$500,001 [Contract Value Previously \$999,999; Total New Contract Value \$1,500,000]	04/01/21-08/11/29	Auxiliary Services	Michael Mundrane VP and Chief Information Officer	\$635,733	\$221,439	\$206,594	Cellular services and supplies for all University campuses. Amend to increase contract value \$500,001, for total new contract value of \$1,500,000. Amend to extend contract term five years, through 08/11/29. Sourced through a State of Connecticut agreement. Contract increase is requested to cover additional	
24	Southern NE Telephone Co DBA Frontier Communications of CT	17PSX0072	\$458,451 [Contract Value Previously \$3,041,549; Total New Contract Value \$3,500,000]	10/02/17-10/01/25	Auxiliary Services	Michael Mundrane VP and Chief Information Officer	\$2,999,199	\$452,330	\$463,704	Telecommunication network services, landlines, and long distance services for use by all University campuses. Amend to increase contract value \$458,451, for total new contract value of \$3,500,000. Amend to extend contract term one year, through 10/01/25. Sourced through a State of Connecticut agreement. Contract increase is requested to cover additional expenses during the extended contract term. Contract extension is requested to remain aligned with current State agreement and to cover FY25 expenses related to University landlines.	C

**CONTRACT AGREEMENTS
FOR APPROVAL
JUNE 26, 2024**

UTILITY PLANT SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
25	IPC Lydon	DS021119	\$300,000 (Contract Value Previously \$2,400,000 Total new Contract Value \$2,700,000)	07/01/19-06/30/25	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$1,704,000	\$704,384	\$378,234	University contract for the service, maintenance and repair services for the University Co-Generation(CoGen), Central Utility Plant (CUP and Supplemental Utility Plant (SUP) facilities. Amend to increase contract value by \$300,000, for a new contract value of \$2,700,000. Amend to extend contract term one year, through 06/30/25. Contract increase is requested to cover additional expenses during the extended contract term and is consistent with historical spend. Contract extension is requested to exercise final option under existing agreement.	
PARTNERSHIP AGREEMENTS - AMENDMENTS*											
ON-CALL GRAPHIC ENHANCEMENT GOODS AND SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
26	Lauretano Sign Group	MF030122-7	\$300,000 (Contract Value Previously \$700,000 Total new Contract Value \$1,000,000)	08/01/24-07/31/26	Multiple Sources	Joseph Thompson, AVP for University Business Services and CPO	\$607,604	\$527,442	\$0	On-call graphic enhancement goods and services for all University campuses including signage, displays, vehicle wraps, banners, museum cases as well as other design and fabrication services. Amend to increase contract value \$300,000, for total new contract value of \$1,000,000. Amend to extend term two years, through 07/31/26. Zero extensions remain.	C
27	National Sign Corp	MF030122-1	\$1,300,000 (Contract Value Previously \$700,000 Total new Contract Value \$2,000,000)	08/01/24-07/31/26	Multiple Sources	Joseph Thompson, AVP for University Business Services and CPO	\$729,169	\$0	\$495,070	On-call graphic enhancement goods and services for all University campuses including signage, displays, vehicle wraps, banners, museum cases as well as other design and fabrication services. Amend to increase contract value \$1,300,000, for total new contract value of \$2,000,000. Amend to extend term two years, through 07/31/26. Zero extensions remain.	S, M, C
TRANSPORTATION SERVICES											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 05/01/24	Expenditures FY23	Expenditures FY22	Purpose	**S/MBE Or CT-
28	DATTCO, Inc.	UC-SP-21-001	\$3,000,000 (Contract Value Previously \$7,000,000 Total new Contract Value \$10,000,000)	07/01/21-06/30/28	Multiple Sources	Joseph Thompson, AVP for University Business Services and CPO	\$4,921,460	\$2,120,558	\$1,122,611	Exclusive motorcoach transportation provider for all University campuses. Amend to increase contract value \$3,000,000, for total new contract value of \$10,000,000. Contract term remains the same.	C
REVENUE AGREEMENTS - AMENDMENTS*											
RESEARCH CONTRACT											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Revenues FY24	Revenues FY23	Revenues FY22	Purpose	**S/MBE Or CT-Based
29	Connecticut Department of Social Services	15DSS2402AY [SPS No. 231350-001 / 231291]	\$5,963,295 (Contract Value Previously \$60,261,862 Total new Contract Value \$66,225,157)	07/01/15-06/30/27	Revenue Funds	Laura Curran, Dean and Professor, School of Social Work	\$5,963,295	\$6,795,993	\$5,644,823	This revenue generating Memorandum of Agreement (MOA) is a partnership between the Connecticut Department of Social Services (DSS) and UConn School of Social Work whereby UConn will provide training, staff development, project/program development services, and vendor management support to DSS. Amend to increase contract value by \$5,963,295, for total new contract value of \$66,225,156.82. Extensions to this MOA may be exercised by written agreement of all parties.	C

**CONTRACT AGREEMENTS
FOR APPROVAL
JUNE 26, 2024**

SALE OF LICENSED MERCHANDISE											
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Revenue as of 05/01/24	Revenue FY23	Revenue FY22	Purpose	**S/MBE Or CT-Based
30	Dyehard Fan Supply LLC	UConn Athletics In-Venue Merchandise Sales Agreement	Revenue based agreement	07/01/19-09/01/24	Operating Funds	Jeffrey P. Geoghegan, CPA, EVP for Finance & Chief Operating Officer	\$114,372	\$41,054	\$23,776	Sale of merchandise, souvenirs, programs and other novelty items during events at various Athletic venues. Vendor manages the sale of licensed merchandise and UConn receives a percentage of all net sales. Amend to extend contract term two months, through 09/01/24. Contract extension is requested to allow time for completion of a new agreement.	

TUITION DISCOUNT PROGRAM

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Revenue as of 05/01/24	Revenue FY23	Revenue FY22	Purpose	**S/MBE Or CT-Based
31	Raytheon Technologies Corporation (f/k/a UTC)	UTC070112	N/A	07/01/19-06/30/25	Tuition Funds	Anne D'Alleva, Provost & EVP for Academic Affairs	\$13,000,546	\$1,988,467	\$2,092,119	Continuation of the UTC Employee Scholar Program under the Tuition Discount Policy that provides 5% graduate school tuition discount to companies that have spent at least \$1.0mm in graduate and undergraduate tuition the prior fiscal year. The 5% discount applies to students only if Raytheon Technologies Corporation (f/k/a UTC) exceeds \$1.0mm per year. (Tuition Discount Policy was originally adopted by the Board on 06/28/12). Amend to extend contract term one year, through 06/30/25.	

REAL ESTATE AGREEMENTS FOR APPROVAL *

**Not all provisions of all Agreements appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.*

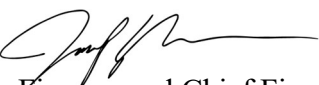
UNIVERSITY AS LESSEE - LEASE AGREEMENTS

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	Eastern Connecticut Health Network/ Prospect ECHN Inc.	\$62,900	07/01/24-06/30/27	Operating Funds	Anne Horbatuck, VP/COO Ambulatory Svcs, UMG Administration	Lease for UConn Medical Group's use of approximately 2,329 square feet located at 2800 Tamarack Avenue, Suite 100, South Windsor. UMG Faculty will use the space to conduct clinics for vascular surgery, maternal fetal medicine, spine and GI surgery.
2	Tolland County Agricultural Center, Inc.	\$57,865.50 annually for years 1-5 and \$60,758.78 annually for years 6-10.	10 years	Operating Funds	Bonnie E. Burr, Asst Director/Dept Head, UConn Ext, College of Ag, Health and Nat Res	A new lease agreement for existing space consisting of 2,841 square feet located at 24 Hyde Avenue in Vernon. This space is used by UConn's Tolland County Agricultural Extension program and the current lease term expires September 30th, 2024.
3	Seabright Properties, LLC	\$484,000 annually	2 years	Operating Funds	Nathan Fuerst, VP for Student Life & Enrollment	A new lease agreement for student housing in Stamford. UConn is to lease the entire building located at 1385 Washington Boulevard, Stamford, consisting of 8 newly constructed three-bedroom units and housing approximately 35 students. The lease term is for two years starting August 1st, 2024.
4	HPP-Four LLC	\$1,040,000 annually, increasing 3% per year	2 years with an additional 1-year renewal option	Operating Funds	Nathan Fuerst, VP for Student Life & Enrollment	A new lease agreement for student housing in Stamford. UConn is to lease up to 21 units in the building known as Allure Harbor Point located at 850 Pacific Street in Stamford. These units consist of one and two-bedroom units and will house approximately 50 students. The lease term is for two years with starting dates of July 1st and August 1st, 2024.
5	HPC-Eight LLC	\$945,000 annually, increasing 3% per year	2 years with an additional 1-year renewal option	Operating Funds	Nathan Fuerst, VP for Student Life & Enrollment	A new lease agreement for student housing in Stamford. UConn is to lease up to 19 units in the building known as NV Harbor Point located at 100 Commons Park North in Stamford. These units consist of one and two-bedroom units and will house approximately 45 students. The lease term is for two years with starting dates of July 1st and August 1st, 2024.

ATTACHMENT 2

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Statement of Work for the UConn Foundation for Fiscal Year 2025

RECOMMENDATION:

The University recommends that the Board of Trustees approve the resolution below authorizing the University to enter into a Statement of Work (SOW) for Fiscal Year 2025 with The University of Connecticut Foundation, Incorporated (the “UConn Foundation”).

RESOLUTION:

RESOLVED, that the University's President and Chief Financial Officer are authorized to execute and deliver a SOW for Fiscal Year 2025 with the UConn Foundation at a cost of \$16,000,000 on the terms described below and such other terms as may be deemed advisable and in the best interest of the University by the University's President and Chief Financial Officer with notice to the Institutional Advancement Committee of the Board of Trustees; and

RESOLVED, that the University's President and Chief Financial Officer are hereby further authorized to execute, deliver and perform, in the name of and on behalf of the University, such certificates, instruments, documents and agreements as each of them may deem necessary, useful or required in furtherance of the foregoing resolution.

BACKGROUND:

The University has entered into a Master Agreement with the UConn Foundation in satisfaction of the requirements of Connecticut General Statutes§ 4-37f(9). This agreement details the University's relationship with the UConn Foundation and sets forth the UConn Foundation's responsibilities for performing development, investment and other services in support of the University. The UConn Foundation is a private organization, exempt from taxation under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, that operates exclusively to promote the educational, research, cultural, clinical, recreational, and outreach objectives of the University.

The Master Agreement requires, among other things, that the University enter into a SOW with the UConn Foundation outlining specific goals and objectives and the financial consideration to be provided by the University. The current SOW expires on June 30, 2024.

The proposed SOW with the UConn Foundation sets forth the fundraising, investment management, alumni relations activities and other related goals and objectives for Fiscal Year 2025. The goals and objectives established for next year include the following:

- A fundraising goal of \$135 million in new gifts and commitments for the University (including support for UConn Health).
- Aligning gift opportunities with specific goals and initiatives in the University's 2024 Strategic Plan.
- Fostering relationships and philanthropy from alumni, donors, families, and friends from a diverse spectrum of backgrounds, identities, ethnicities, races, perspectives, and abilities who reflect the whole of our state, country, and global society and share the Foundation and University's values and goals to support a thriving and inclusive community.
- Increasing scholarships and need-based financial aid for University students;
- Re-deploying five (5) full-time Foundation positions (existing as of the date of this SOW) from non-fundraising to fundraising roles.
- Targeting cash available to or for the benefit of the University each fiscal year in an amount totaling not less than Seven (7) times the amount of the Annual Service Fee paid during the preceding fiscal year.

The UConn Foundation will also pursue the following fundraising goals which it aspires to achieve by the conclusion of the next comprehensive Campaign, which will launch publicly no later than Fall 2025:

- Increasing the average annual total of New Gifts & Commitments raised per Foundation fundraising full-time employee ("FTE") to \$2.7M.
- Tracking and increasing the total number of donor qualifications conducted per Foundation fundraiser over the prior fiscal year by 10%.
- Increasing the total number of solicitations of New Gifts & Commitments at the One Million Dollar (\$1,000,000) or higher level over the prior fiscal year by 15%.
- Increasing the percentage of New Gifts & Commitment solicitations directed to the endowment and/or to the UCONN 2000 infrastructure projects to 30% of all solicitations.

In consideration for the UConn Foundation's performance of these services during the next fiscal year, the University has agreed to pay the UConn Foundation a total of \$16,000,000 which is inclusive of a minimum amount of \$2,835,000 to be devoted specifically to alumni relations activities and services, and \$2,500,000 for Campaign support.

Statement of Work FY25

This Statement of Work FY25 (this “SOW”), effective the 1st day of July, 2024, is made between THE UNIVERSITY OF CONNECTICUT (“University” or “UConn”), Connecticut’s land grant university, whose statutory authority is set forth in Chapter 185b of the Connecticut General Statutes, and THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED (“Foundation”), a Connecticut nonstock corporation that is exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986, as amended.

The University and the Foundation have entered into a Second Amended and Restated Master Agreement dated July 1, 2020 (the “Agreement”) under which the Foundation has responsibility for fundraising efforts for the benefit of the University, management of endowment and other funds designated to benefit the University and for performing alumni relations activities in support of the University.

The Agreement stipulates that the University and Foundation will from time to time, but in no event less frequently than once every five (5) years, enter statements of work which outline the specific fundraising, investment management and alumni relations activities goals and objectives that the University and Foundation have agreed upon and the consideration to be provided to the Foundation each fiscal year (July 1st through June 30th) (“Fiscal Year”). This SOW covers the period from July 1, 2024 through June 30, 2025 (“Period”).

1. Payments

The University agreed to provide certain in-kind consideration to the Foundation for its services under the terms of the Agreement. In addition to agreeing to provide such in-kind consideration, the University further agrees to provide the following consideration to the Foundation for the Period:

- a) **Annual Service Fee:** The University will pay a guaranteed annual amount to the Foundation of Thirteen Million Five Hundred Thousand Dollars (\$13,500,000) during the Period for development and alumni activities and related services, which amounts will be paid quarterly in advance in equal installments. Of this total amount, the University and the Foundation agree that during the Period a minimum amount of Two Million Eight Hundred Thirty-five Thousand Dollars (\$2,835,000) will be devoted specifically to alumni relations activities and services.
- b) **Campaign Support:** The University will pay an additional guaranteed amount during the Period to the Foundation of Two Million Five Hundred Dollars (\$2,500,000) to support expenses related to launching and executing a comprehensive fundraising Campaign (defined below), which amount will be paid quarterly in advance in equal installments. The Foundation will provide a report of campaign expenses.
- c) **Other Fees:** The University agrees that Foundation operations will also be funded during the Period by an advancement fee on endowment funds (“Advancement Fee”), a

Gift Fee on non-endowed contributions (“Gift Fee”), and retention of earnings on non-endowed Foundation assets.

- 1) The Foundation will assess and retain an Advancement Fee, as reasonably determined by the Foundation, on all endowment assets (University and Foundation assets) invested by the Foundation. The Foundation’s Advancement Fee is calculated annually on a quarterly basis (“Calculation Date”) and presently equals one and three-quarter percent (1.75%) of the rolling prior twelve (12) quarter average unitized market value of the long-term pooled investment portfolio multiplied by the number of units held by each endowed fund. The Advancement Fee owing to the Foundation will be transferred to Foundation operating funds in four equal installments as of the first day of each quarter following the Calculation Date (April 1st, July 1st, October 1st, and January 1st) or as soon as practicable thereafter.
- 2) The Foundation will assess and retain Gift Fees on all non-endowed gifts deposited in the Foundation, as reasonably determined by the Foundation. The Foundation’s Gift Fee for non-endowed gifts is presently five percent (5%) of the value of the gift as of the date of receipt. Twenty-five percent (25%) of any non-endowed gift fee (or 1.25%) may be transferred to unrestricted Foundation accounts supporting the school, college or unit supported by the fund to which the original gift was designated. The remaining seventy-five percent (75%) of any non-endowed Gift Fees (3.75%) is retained by the Foundation to support its operations.
- 3) The Foundation will retain all investment earnings on non-endowed Foundation assets.
- d) **Fee Modifications and Waivers:** The Foundation, from time to time, may change its Advancement Fee and Gift Fee policies and will notify the University, in writing, of any changes made during the Period. The Foundation will also provide written notice to the University of any Advancement or Gift Fee reduction or waiver that is approved during the Period in accordance with its policies for any gift accepted in an amount greater than or equal to Ten Million Dollars (\$10,000,000). Any such modification or waiver will be reported to the Institutional Advancement Committee of the Board of Trustees.

2. Foundation Mission

The Foundation is an independent, not-for-profit, tax-exempt organization operating exclusively to promote the educational, scientific, cultural, clinical, research, outreach and recreational objectives of the University of Connecticut (inclusive of the University’s Health Center “UConn Health”). This is accomplished by providing quality programs and services for its diverse alumni and supporters, and by serving as the primary fundraising vehicle for the University. The Foundation solicits, administers, and invests private philanthropic funds for the sole benefit of the University and its mission of pursuing excellence in teaching, research, and public service.

3. Fundraising and Alumni Engagement Goals

In consideration of the compensation provided to Foundation by the University under the terms of the Agreement and this SOW, the Foundation, consistent with its mission, agrees as follows:

- a) **Fundraising:** The Foundation will endeavor during the Period to increase total private philanthropic support by (i) raising One Hundred and Thirty-Five Million Dollars (\$135,000,000) in new gifts and commitments (to be calculated in accordance with the Foundation's reasonably established gift counting policy, as amended from time to time) ("New Gifts & Commitments"), inclusive of:
 - 1) Eleven Million Five Hundred Thousand Dollars (\$11,500,000) to support the construction and renovation (or operating expenses associated with the departments or programs housed in) of the following UCONN 2000 infrastructure projects: new Life Sciences Building, Edward V. Gant Science Complex, Harry A. Gampel Pavilion, Hugh S. Greer Field House, volleyball center, boathouse, or tennis courts;
 - 2) Funds for University priorities inclusive of the Hartford and other regional campuses to support increased student demand including but not limited to scholarships; and
 - 3) Continue to pursue at least One Billion Dollars (\$1,000,000,000) in total endowment assets by June 30, 2031, the anticipated end of the next comprehensive fundraising campaign for the University (inclusive of support for UConn Health ("Campaign")).

The parties acknowledge and understand that achieving the fundraising goals may be negatively impacted by global financial markets and geopolitical events.

- b) The Foundation will focus its efforts on the following objectives during the Period of this SOW:
 - 1) Increasing the three-year trailing average annual total New Gifts & Commitments over the current three-year trailing average through, without limitation, realigning programs; aligning resources with opportunity; setting growth targets for schools, colleges, and units; raising individual performance expectations for fundraisers; redeploying employees; creating efficiencies of scale and shared services; and increasing sponsorships for Foundation-funded University events.
 - 2) Increasing total University and Foundation endowment assets.
 - 3) Aligning fundraising with the University's stated 2024 Strategic Plan goals and initiatives, focusing on:
 - i. "Students First" by pursuing support for scholarships, health and wellness initiatives, and student success programs.

- ii. “Research and Innovation” by pursuing support for professorships, graduate fellowships, state-of-the-art academic and laboratory spaces, and interdisciplinary research for the University and UConn Health.
 - iii. “Husky Pride” by pursuing support for Athletic scholarships, coaching positions, facilities (particularly Gampel and the Field House), and Title IX investment as well as growing new alumni, parent, corporate, foundation and fan engagement, cooperating, as appropriate, with other entities that support UConn such as, without limitation, UConn Strong (a separate 501(c)(4) organization established to promote the impact the University and its students, faculty, staff and organizations have on the well-being of the residents, culture and economy of the State of Connecticut).
 - iv. “Community and Service Engagement” by pursuing support through engagement with industry and individuals in support of all campuses of the State of Connecticut's flagship land and sea-grant university.
- 4) Creating a high-performing operational institutionally related foundation by pursuing activities such as, without limitation, sharing services with the University, reducing non-essential and non-revenue expenditures, increasing revenue through sponsorship of Foundation events, and redirecting operational budgets to frontline, mission-specific activity as determined by Foundation management in its discretion.
- 5) As appropriate, aligning Foundation priorities and demonstrating values and objectives consistent with the strategic direction set by the University in areas such as, without limitation, health, sustainability, diversity and inclusivity.
- 6) Fostering relationships and philanthropy from alumni, donors, families, and friends from a diverse spectrum of backgrounds, identities, ethnicities, races, perspectives, and abilities who reflect the whole of our state, country, and global society and share the Foundation and University's values and goals to support a thriving and inclusive community.
- c) **Alumni Engagement:** The Foundation will endeavor during the Period to create a meaningful alumni experience for University alumni as measured by aspirational peers with a goal of increasing connectivity among alumni as well as current students. The Foundation will provide budget support for such programs throughout the Period commensurate with the amount of the Annual Service Fee designated to the Foundation's alumni relations responsibilities.

The Foundation will focus on the following alumni engagement objectives during the Period of this SOW:

- 1) Consistently growing the number of alumni and constituents who engage with the University from diverse cultures, identities, experiences and abilities. Utilizing comprehensive alumni data to launch appropriate engagement event strategies with a goal of actively engaging constituents through: Athletic, networking, and academic events in Storrs, Hartford, Waterbury, Stamford, Avery Point and key constituent

markets across the country; Participatory giving; Student mentorship, internship creation, and career development; Event sponsorship; Guest lectures; and other measurable engagement measures on our campus and in key markets.

- 2) Tracking success of alumni programs via attendance numbers, new attendees, and post-event surveys; defining strategic areas of focus; and conducting personal visits especially with engaged alumni network volunteers.
 - 3) Developing programs for University students to educate them on how to engage as active alumni through programming, volunteering, and giving.
 - 4) Aligning alumni activities and event messaging with the University's stated 2024 Strategic Plan goals and initiatives (as described above) and the Campaign (i.e., executing outreach and engagement programs that promote the value of philanthropy, constituent giving, and pipeline development).
- d) **Key Performance Indicators:** The Foundation will provide a progress report to the University, on or about August 1, 2025, outlining progress against the following key performance indicators which it aspires to achieve by the conclusion of the Campaign ("KPI"):
- 1) Launching the Campaign publicly no later than Fall 2025.
 - 2) Increasing the average annual total of New Gifts & Commitments raised per Foundation fundraising full-time employee ("FTE") to Two Million Seven Hundred Thousand Dollars (\$2,700,000).
 - 3) Tracking and increasing the total number of donor qualifications conducted per Foundation fundraiser over the prior Fiscal Year by ten percent (10%).
 - 4) Increasing the total number of solicitations of New Gifts & Commitments at the One Million Dollar (\$1,000,000) or higher level over the prior Fiscal Year by fifteen percent (15%).
 - 5) Increasing the percentage of New Gifts & Commitment solicitations directed to the endowment and/or to the UCONN 2000 infrastructure projects outlined above to thirty percent (30%) of all solicitations.
 - 6) Aligning gift opportunities with specific goals and initiatives in the University's 2024 Strategic Plan.
 - 7) Re-deploying five (5) full-time Foundation positions (existing as of the date of this SOW) from non-fundraising to fundraising roles.
 - 8) Targeting the amount of cash made available to the University each Fiscal Year to an amount totaling not less than Seven (7) times the amount of the Annual Service Fee paid during the preceding Fiscal Year.

4. Investment Benchmarks

The Foundation in its discretion will establish appropriate investment benchmarks for assets invested for the benefit of the University, both those owned by the Foundation and those owned by the University. The Foundation will provide to the University's President and

Executive Vice President for Finance and Chief Financial Officer a summary report of its investment risk and return benchmarks during the Period. The Foundation will use reasonable efforts to maintain the following benchmarks during the Period:

- a) The target net return on Foundation investments will be five and three-quarter percent (5.75%), plus inflation as measured by an appropriate inflation index.
- b) Volatility is expected to be consistent with the risk associated with exceeding the return of the portfolio benchmarks noted above, calculated as the weighted average performance of the asset class benchmarks defined in the Foundation's Investment Policy Statement.
- c) Risk is expected to be measured by the annualized standard deviation of returns over a market cycle of seven to ten years.

5. State Contract Requirements

The state contracting requirements set forth in Section 10 of the Agreement are amended by deleting it in its entirety and replacing it with the following are incorporated herein by reference, to the extent necessary:

“10.1 Nondiscrimination

- a. For purposes of this Section, the following terms are defined as follows:
 - i. “Commission” means the Commission on Human Rights and Opportunities;
 - ii. “Contract” and “contract” include any extension or modification of the Contract or contract;
 - iii. “Contractor” and “contractor” include any successors or assigns of the Contractor or contractor;
 - iv. “Gender identity or expression” means a person’s gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person’s physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person’s core identity or not being asserted for an improper purpose.
 - v. “good faith” means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
 - vi. “good faith efforts” shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
 - vii. “marital status” means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;
 - viii. “mental disability” means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association’s “Diagnostic and Statistical Manual of Mental Disorders”, or a record of or regarding a person as having one or more such disorders;

- ix. “minority business enterprise” means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Stat. § 32-9n; and
- x. “public works contract” means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms “Contract” and “contract” do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract, (2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. § 1-267, (3) the federal government, (4) a foreign government, or (5) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), or (4).

- b. (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an “affirmative action equal opportunity employer” in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers’ representative of the

Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Conn. Gen. Stat. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. §§ 46a-56, 46a-68e, 46a-68f and 46a-86; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.

- c. Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- d. The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- e. The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- f. The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.
- g. (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that

employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Conn. Gen. Stat. § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Conn. Gen. Stat. § 46a-56.

- h. The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Conn. Gen. Stat. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- i. Pursuant to subsection (c) of section 4a-60 and subsection (b) of section 4a-60a of the Connecticut General Statutes, the Contractor, for itself and its authorized signatory of this Contract, affirms that it understands the obligations of this section and that it will maintain a policy for the duration of the Contract to assure that the Contract will be performed in compliance with the nondiscrimination requirements of such sections. The Contractor and its authorized signatory of this Contract demonstrate their understanding of this obligation by (A) having provided an affirmative response in the required online bid or response to a proposal question which asks if the contractor understands its obligations under such sections, (B) signing this Contract, or (C) initialing this nondiscrimination affirmation in the following box:

10.2 Executive Orders and Other Enactments.

- a. All references in this Contract to any Federal, State, or local law, statute, public or special act, executive order, ordinance, regulation or code (collectively, "Enactments") shall mean Enactments that apply to the Contract at any time during its term, or that may be made applicable to the Contract during its term.

This Contract shall always be read and interpreted in accordance with the latest applicable wording and requirements of the Enactments. Unless otherwise provided by Enactments, the Contractor is not relieved of its obligation to perform under this Contract if it chooses to contest the applicability of the Enactments or the University's authority to require compliance with the Enactments.

- b. This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of this Contract as if they had been fully set forth in it.
- c. This Contract may be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services. If applicable, Executive Order No. 14 is deemed to be incorporated into and made a part of this Contract as if fully set forth in it."

6. Amendment

This SOW may be modified or amended in whole or in part by mutual written agreement signed by duly authorized representatives of each of the parties.

7. Governing Law

This SOW is governed by the laws of the State of Connecticut. If there shall be any inconsistency between the provisions of this SOW and the Agreement, the provisions of the Agreement shall control.

8. Counterparts and Electronic Signatures

This SOW may be executed by electronic signature and/or in two (2) or more counterparts, each of which will be deemed an original instrument, but all of which will constitute one and the same agreement.

FOR THE UNIVERSITY OF CONNECTICUT

Radenka Maric, PhD
President, University of Connecticut

Date

Jeffrey P. Geoghegan
Executive Vice President for Finance
and Chief Financial Officer, University of Connecticut
and UConn Health

Date

FOR THE UNIVERSITY OF CONNECTICUT FOUNDATION, INCORPORATED

Craig W. Ashmore
Chair, The University of Connecticut
Foundation, Incorporated

Date

Amy Yancey
President, The University of Connecticut
Foundation, Incorporated

Date

APPROVED AS TO FORM


Assistant Attorney General,
Connecticut State Attorney General's Office

Date

ATTACHMENT 3

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Mirror Lake Improvements (Final: \$11,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$11,500,000, as detailed in the attached project budget, for the Mirror Lake Improvements project for Construction, an increase of \$7,500,000 over the previously approved budget. The project has completed its redesign and will construct safety, compliance and stormwater attenuation improvements identified in studies for the Roberts Brook watershed and a memorandum of understanding with CTDEEP. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$11,500,000 in UCONN 2000 bond funds for the Mirror Lake Improvements project.”

BACKGROUND:

Mirror Lake has been a beloved and historic campus landmark since 1922. After multiple decades of deferring maintenance of accumulating silt, sediment and pollutants, significant unmitigated development within its Robert Brook watershed resulting in an undersized stormwater facility since 1993, a damaged spillway with temporary repairs, and a dam that recently received an elevated hazard classification, various improvements to Mirror Lake to address these conditions are now essential. Numerous studies recently completed for Mirror Lake, including an unimplemented dredging plan in 2012, a campus master plan and water quality assessment in 2015, a campus drainage master plan in 2018, a dam inspection report in 2020, and a feasibility study in 2021 all concluded with recommendations for the University to make improvements to the lake and dam.

Permitting and design ensued in 2021 and became the basis of preconstruction activities with a Construction Manager that were completed in Fall 2022 but due to capital budget constraints the University deferred the Mirror Lake Improvements project and did not proceed with the construction contract. However, construction of the South Campus Residence Hall and associated infrastructure continued within the Lake’s watershed and require stormwater

improvements for environmental compliance. The University subsequently requested a near-term, phased scope of work and an updated feasibility study that would be mutually satisfactory to CT DEEP and the University for short-term dam and stormwater improvements for the lake.

At the conclusion of several collaborative working meetings with CT DEEP through March 2023, an agreeable short-term scope of work was agreed upon and includes (1) interim improvements and repairs to the dam and spillway due to its hazard class and existing conditions, and (2) stormwater attenuation and water quality improvements associated with the construction of the two South Campus projects. Alternatives to attenuate stormwater from previous development in the watershed since 1993, a key component of the deferred Mirror Lake Improvements project, were also explored and submitted to CT DEEP for consensus.

This project finished its redesign and new permit applications were submitted. Bidding and the review of a draft GMP is complete. Work at this time is restricted to critical and essential improvements, including those necessary for construction of the Connecticut Residence Hall, South Campus Infrastructure, and the proposed School of Nursing, but future work at the lake will also be required. To keep the cost of work to a minimum at this time several bid alternates were not accepted including a qualitative, vegetative forebay, a lighted walkway and bridge connection across the dam, and new tree plantings and preservation of a notable tree.

This project will elongate the life of the lake and dam, and promote the wellness of the community, and is based upon the revised MOU for stormwater management within the Roberts Brook watershed with DEEP. Construction is anticipated to begin in the Spring 2025 and conclude by summer 2025.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

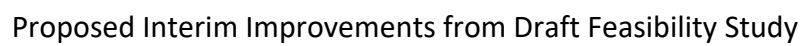
TYPE BUDGET: FINAL

PROJECT NAME: MIRROR LAKE IMPROVEMENTS

	APPROVED PLANNING 3/23/2020	APPROVED REVISED PLANNING 10/5/2020	APPROVED DESIGN 10/27/2021	APPROVED REVISED DESIGN 3/30/2022	APPROVED REVISED DESIGN 4/19/2023	PROPOSED FINAL 6/26/2024
<u>BUDGETED EXPENDITURES</u>	PRC	PRC				
CONSTRUCTION	\$ -	\$ -	\$ -	\$ 500,000	\$ 300,000	\$ 7,235,000
DESIGN SERVICES	45,000	300,000	1,250,000	1,700,000	2,980,000	3,045,000
TELECOMMUNICATIONS	-	-	-	-		100,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	80,000	30,000
CONSTRUCTION ADMINISTRATION	-	-	-	-		200,000
OTHER AE SERVICES (including Project Management)	2,000	14,000	50,000	80,000	150,000	200,000
ART	-	-	-	-		-
RELOCATION	-	-	-	-		-
ENVIRONMENTAL	-	-	125,000	80,000	80,000	80,000
INSURANCE AND LEGAL	1,000	1,000	5,000	5,000	10,000	10,000
MISCELLANEOUS	-	-	-	-	-	-
OTHER SOFT COSTS	-	-	-	-	-	-
SUBTOTAL	\$ 48,000	\$ 315,000	\$1,430,000	\$ 2,365,000	\$3,600,000	\$10,900,000
PROJECT CONTINGENCY	<u>\$12,000</u>	<u>\$60,000</u>	<u>\$170,000</u>	<u>\$235,000</u>	<u>\$400,000</u>	<u>\$600,000</u>
TOTAL BUDGETED EXPENDITURES	<u>\$ 60,000</u>	<u>\$ 375,000</u>	<u>\$ 1,600,000</u>	<u>\$ 2,600,000</u>	<u>\$4,000,000</u>	<u>\$ 11,500,000</u>
<u>SOURCE(S) OF FUNDING*</u>						
UCONN 2000 BOND FUNDS	<u>\$ 60,000</u>	<u>\$ 375,000</u>	<u>\$ 1,600,000</u>	<u>\$ 2,600,000</u>	<u>\$4,000,000</u>	<u>\$ 11,500,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 60,000</u>	<u>\$ 375,000</u>	<u>\$ 1,600,000</u>	<u>\$ 2,600,000</u>	<u>\$4,000,000</u>	<u>\$ 11,500,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

June 26, 2024



ATTACHMENT 4

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for PBB Research Support Expansion (Design: \$ 1,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$1,000,000, as detailed in the attached project budget, for the Pharmacy Biology Building (PBB) Research Support Expansion project. This reflects an increase of \$505,000 to the previously approved Planning budget of \$495,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,000,000 in UCONN 2000 Bond Funds for the Design Phase of the PBB Research Support Expansion project.”

BACKGROUND:

The University’s Animal Care facility must evolve to accommodate the growing research requirements of both current and incoming faculty, while also enhancing its support to the University community. To achieve this goal, this project will renovate approximately 3,850 net square feet (nearly 5,000 GSF) of existing “shell space” within the Pharmacy Biology building to facilitate the expansion of the adjacent animal care facility.

The proposed interior expansion includes additional procedural rooms and animal holding areas. The design approach prioritizes flexibility, allowing seamless transitions between different room functionalities with minimal disruption, such as converting procedure rooms into holding areas or vice versa. Consequently, the renovated space will integrate with the existing animal care facility, effectively extending its capabilities. The scope of work encompasses demolition/rework, new labs, installation of new mechanical, electrical, plumbing, and fire protection systems as necessary, and the introduction of a redesigned sewage ejection system.

The proposed renovations will play a critical role in attracting new faculty members and enhancing UConn’s competitiveness in grant competitions. Consequently, the project will contribute to elevating the quality of research at the University, aligning with the strategic plan’s objective of fostering excellence in research, innovation, and engagement.

The Planning Phase of the PBB Research Support Expansion project has been completed and design is estimated to be complete by spring 2025. Construction is anticipated to start in summer 2025 and end in spring 2026.

The Design Phase Budget is attached for your information.
Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: PBB RESEARCH SUPPORT EXPANSION

	APPROVED PLANNING 2/13/2024	PROPOSED DESIGN 6/26/2024
<u>BUDGETED EXPENDITURES</u>		
	PRC	
CONSTRUCTION	\$ -	\$ 100,000
DESIGN SERVICES	400,000	775,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER A/E SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	5,000	15,000
MISCELLANEOUS	10,000	10,000
OTHER SOFT COSTS	-	-
	<hr/>	<hr/>
SUBTOTAL	\$ 415,000	\$ 900,000
	<hr/>	<hr/>
PROJECT CONTINGENCY	80,000	100,000
	<hr/>	<hr/>
TOTAL BUDGETED EXPENDITURES	<u><u>\$ 495,000</u></u>	<u><u>\$ 1,000,000</u></u>
<u>SOURCE(S) OF FUNDING</u>		
UCONN 2000 BOND FUNDS	\$ 495,000	\$ 1,000,000
	<hr/>	<hr/>
TOTAL BUDGETED FUNDING	<u><u>\$ 495,000</u></u>	<u><u>\$ 1,000,000</u></u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 6.26.24

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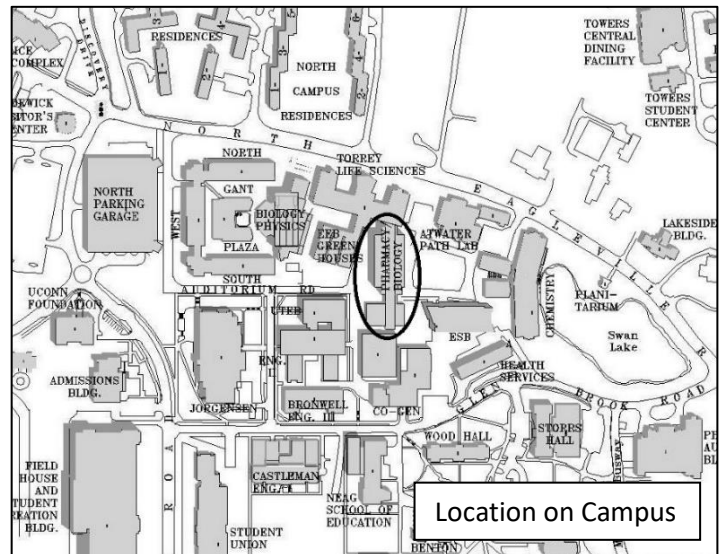
PBB Research Support Expansion

Project Budget (DESIGN)

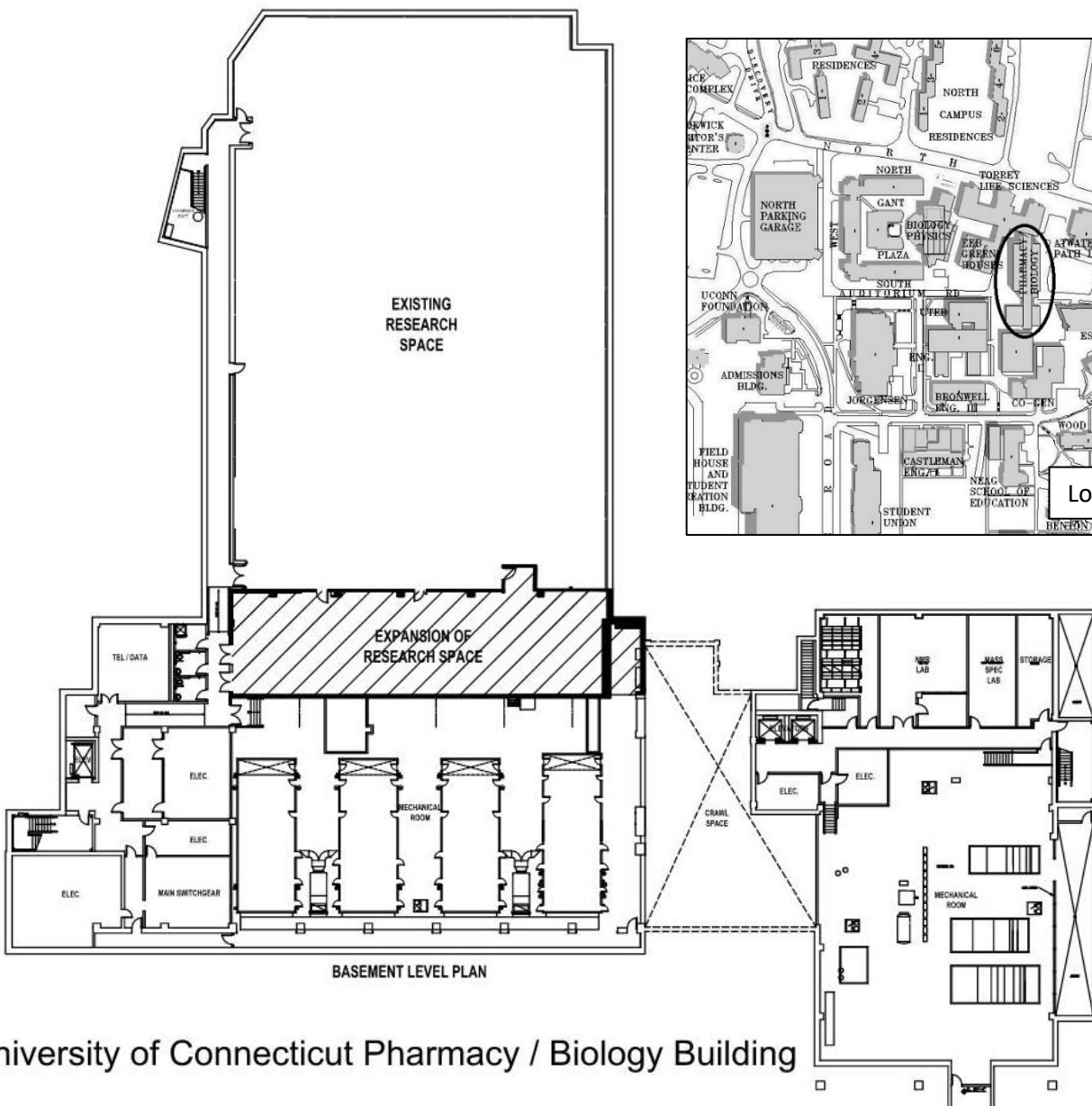
June 26, 2024



Street view of Pharmacy/Biology Building




Location on Campus



ATTACHMENT 5

June 26, 2024

TO: Members of the Board of Trustees 

FROM: Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Werth Residence Tower High Humidity Mitigation
(Final: \$8,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget of \$8,500,000 as detailed in the attached project budget for the Werth Residence Tower High Humidity Mitigation project, for Construction. This reflects an increase of \$7,000,000 to the previously approved Design budget of \$1,500,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,500,000 in University Funds and \$7,000,000 in UCONN 2000 bond funds for the Werth Residence Tower High Humidity Mitigation project.”

BACKGROUND:

Werth Residence Tower is an approximately 350 room, 8-story residence hall, which opened in 2016, and was constructed using the design/build method. Since opening, UConn has reported concerns of condensation on the windows and ceilings immediately adjacent to the in-room HVAC system. Approximately 30% of the rooms have issues with excessive humidity and condensation, with no apparent pattern identified from semester-to-semester. In order to provide a better student success journey for our students in Werth Tower, we propose to mitigate the humidity conditions in the building.

A forensic MEP engineering firm was hired to investigate the HVAC system and develop a plan to remedy this situation. Multiple mockups of potential solutions were installed in student rooms in the summer 2023 and data from sensors was collected bi-weekly through the summer, fall and winter. All indications are that increasing dry air flow directly to the rooms is the only solution that reduces the humidity significantly.

An engineering firm was hired to design a long-term solution that introduces dry air directly into the student rooms. Due to long lead times for procurement of equipment, the final modifications to the HVAC system cannot be completed until the summer 2025. As an interim measure, to help lower the humidity level in the building for this upcoming year, temporary dehumidifiers were installed in the corridors of the seven floors housing students and will remain in place for the 2024-2025 academic year.

The original building designers and contractors have been put on legal notice regarding the repairs and replacements being undertaken to correct the high humidity conditions.

The Final Budget is attached for your information.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: WERTH RESIDENCE HALL HIGH HUMIDITY MITIGATION


	APPROVED PLANNING 5/21/2021	APPROVED REVISED PLANNING 6/6/2023	APPROVED REVISED PLANNING 9/14/2023	APPROVED REVISED PLANNING 12/6/2023	APPROVED DESIGN 2/24/2024	PROPOSED FINAL 6/26/2024
BUDGETED EXPENDITURES						
	PRC	PRC	PRC			
CONSTRUCTION	\$ -	\$ 100,000	\$ 185,000	\$ 285,000	\$ 845,000	\$ 6,500,000
DESIGN SERVICES	60,000	150,000	200,000	500,000	500,000	630,000
TELECOMMUNICATIONS	-	-	-	-	-	40,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-	130,000
CONSTRUCTION ADMINISTRATION	-	-	-	-	-	650,000
OTHER AE SERVICES (including Project Management)	-	-	-	-	-	50,000
ART	-	-	-	-	-	-
RELOCATION	-	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	-	-
INSURANCE AND LEGAL	-	5,000	5,000	5,000	5,000	5,000
MISCELLANEOUS	10,000	20,000	25,000	25,000	25,000	15,000
OTHER SOFT COSTS	-	-	-	-	-	-
SUBTOTAL	\$ 70,000	\$ 275,000	\$ 415,000	\$ 815,000	\$ 1,375,000	\$ 8,020,000
PROJECT CONTINGENCY	10,000	35,000	50,000	110,000	125,000	480,000
TOTAL BUDGETED EXPENDITURES	\$ 80,000	\$ 310,000	\$ 465,000	\$ 925,000	\$ 1,500,000	\$ 8,500,000
SOURCE(S) OF FUNDING						
UNIVERSITY FUNDS	\$ 80,000	\$ 310,000	\$ 465,000	\$ 925,000	\$ 1,500,000	\$ 1,500,000
UConn 2000 BOND FUNDS	-	-	-	-	-	7,000,000
TOTAL BUDGETED FUNDING	\$ 80,000	\$ 310,000	\$ 465,000	\$ 925,000	\$ 1,500,000	\$ 8,500,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

ATTACHMENT 6

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Babbidge Library Stairs and Doors (Final: \$771,760)

RECOMMENDATION:

That the Board of Trustees approves the Final Budget of \$771,760 as detailed in the attached project budget, for interior improvements to the Homer Babbidge Library. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$771,760 in University Funds for the Babbidge Library Stairs and Doors project and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed in accordance with sole source procurement procedures.”

BACKGROUND:

The project involves three main components:

- Demolition of the existing defunct and decommissioned escalator between the Plaza and Lower Levels and replacement with a bluestone staircase, similar to the work performed one level up in the 1999 interior improvement project.
- Removal and replacement of six storefront doorways at the elevator lobbies on the 2nd, 3rd, and 4th Levels to comply with building code.
- Removal and replacement of the storefront entry doorways at the Plaza Level to comply with energy code.

This project aligns with the strategic initiative Excellence in Research, Innovation, and Engagement.

Attachment

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: BABBIDGE LIBRARY STAIRS AND DOORS

		PROPOSED FINAL 6/26/2024
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$	638,000
DESIGN SERVICES		63,600
TELECOMMUNICATIONS		-
FURNITURE, FIXTURES AND EQUIPMENT		-
CONSTRUCTION ADMINISTRATION		-
OTHER AE SERVICES (including Project Management)		-
ART		-
RELOCATION		-
ENVIRONMENTAL		-
INSURANCE AND LEGAL		-
MISCELLANEOUS		-
OTHER SOFT COSTS		-
SUBTOTAL	\$	701,600
PROJECT CONTINGENCY		70,160
TOTAL BUDGETED EXPENDITURES	\$	771,760
<u>SOURCE(S) OF FUNDING *</u>		
UNIVERSITY FUNDS	\$	771,760
TOTAL BUDGETED FUNDING	\$	771,760

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 6.26.24

FO502282

BABBIDGE LIBRARY STAIRS & DOORS UPGRADE

Project Budget (FINAL)

JUNE 26, 2024



ATTACHMENT 7

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Innovation Partnership Building (IPB) Renovations for the
Center for Clean Energy Engineering (C2E2) (Final: \$24,000,000)

RECOMMENDATION:

That the Board of Trustees approves the Proposed Final Budget of \$24,000,000 as detailed in the attached project budget, to relocate C2E2 to the Innovation Partnership Building. The additional \$18,600,000 is to fully fund the construction of Phase 2. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$24,000,000 in UCONN 2000 Bond Funds for the design of the entire project and construction of Phase 1 & 2 and approve the request for a waiver of the three-stage budget approval process to allow construction to proceed.”

BACKGROUND:

This project involves renovation of labs, offices, and shell space in the Innovation Partnership Building. The work includes customizing office areas, building new labs in shell spaces and revising the layout of existing labs to accommodate new equipment. The project will entail the relocation of equipment, labs, and staff from C2E2 to create necessary accommodations for research staff in IPB. The total project costs are anticipated to be \$24,000,000. This project aligns with the strategic initiative Excellence in Research, Innovation, and Engagement.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **FINAL**

PROJECT NAME: **INNOVATION PARTNERSHIP BUILDING (IPB) RENOVATIONS FOR THE CENTER FOR
CLEAN ENERGY ENGINEERING (C2E2)**

	PHASE 1 PRC 9/14/2023	PHASE 2 APPROVED DESIGN 10/25/2023	PHASE 1 FINAL 12/6/2023	PHASE 2 PROPOSED FINAL 6/26/2024
<u>BUDGETED EXPENDITURES</u>				
CONSTRUCTION	\$ -	\$ -	\$ 3,612,000	\$ 20,000,000
DESIGN SERVICES	368,000	1,288,000	1,288,000	2,000,000
TELECOMMUNICATIONS	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-
OTHER AE SERVICES (including PM)	-	-	-	-
ART	-	-	-	-
RELOCATION	-	-	-	-
ENVIRONMENTAL	-	-	-	-
INSURANCE AND LEGAL	-	-	-	-
MISCELLANEOUS	-	-	-	-
OTHER SOFT COSTS	-	-	-	-
SUBTOTAL	\$ 368,000	\$ 1,288,000	\$ 4,900,000	\$ 22,000,000
PROJECT CONTINGENCY	32,000	112,000	500,000	2,000,000
TOTAL BUDGETED EXPENDITURES	\$ 400,000	\$ 1,400,000	\$ 5,400,000	\$ 24,000,000
<u>SOURCE(S) OF FUNDING*</u>				
UCONN 2000 BOND FUNDS	\$ 400,000	\$ 1,400,000	\$ 5,400,000	\$ 24,000,000
TOTAL BUDGETED FUNDING	\$ 400,000	\$ 1,400,000	\$ 5,400,000	\$ 24,000,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 06.26.24
300254/TL2547

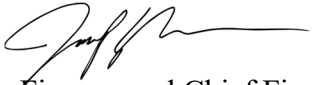
INNOVATION PARTNERSHIP BUILDING (IPB)
RENOVATIONS FOR THE CENTER FOR CLEAN ENERGY
ENGINEERING (C2E2)
Project Budget (Final)
June 26, 2024



ATTACHMENT 8

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Andover Infrastructure and Software Upgrade Phases I
Through V (Revised Final: \$4,355,439)

RECOMMENDATION:

That the Board of Trustees note the approval of the Revised Final Budget of \$4,355,439 as detailed in the attached project budget, for the Andover Infrastructure and Software Upgrade Phases I through V for Construction. The increase of \$500,000 to the Project Budget is to establish a fifth phase for FY25, furnishing and installing controllers for four additional facilities at the Storrs campus and upgrading the main BMS server. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees note the approval of the use of \$4,355,439 in University Funds for the Andover Infrastructure and Software Upgrade Phases I through V project.”

BACKGROUND:

UConn monitors buildings through the Andover Building Management System. This system controls and monitors the buildings’ mechanical and electrical equipment such as ventilation, lighting, power systems, fire systems, and security systems. Its useful life is ending. The system needs to be upgraded to the next-generation EcoStruxure Building Operation System over several years to securely facilitate the exchange of data from both ESC and third-party energy, lighting, HVAC, fire safety, security, and workplace management systems to create future-ready smart buildings.

This project has multiple phases. Currently the cost of the entire project is estimated at around \$8M. The sequence of building upgrades in a particular phase will vary depending upon the funding availability and building access. Sixty-nine campus buildings have already been updated as part of Phases I, II, III & IV. Phase V will add four more facilities in FY25 and upgrade the main BMS server. This project will align with the strategic initiatives of Wellness of People and Planet and Seven World-Class Campuses, One Flagship University.

The Revised Final Budget is attached for your information and reflects an increase of \$500,000 to the previously approved Final Budget of \$3,855,439.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED FINAL**

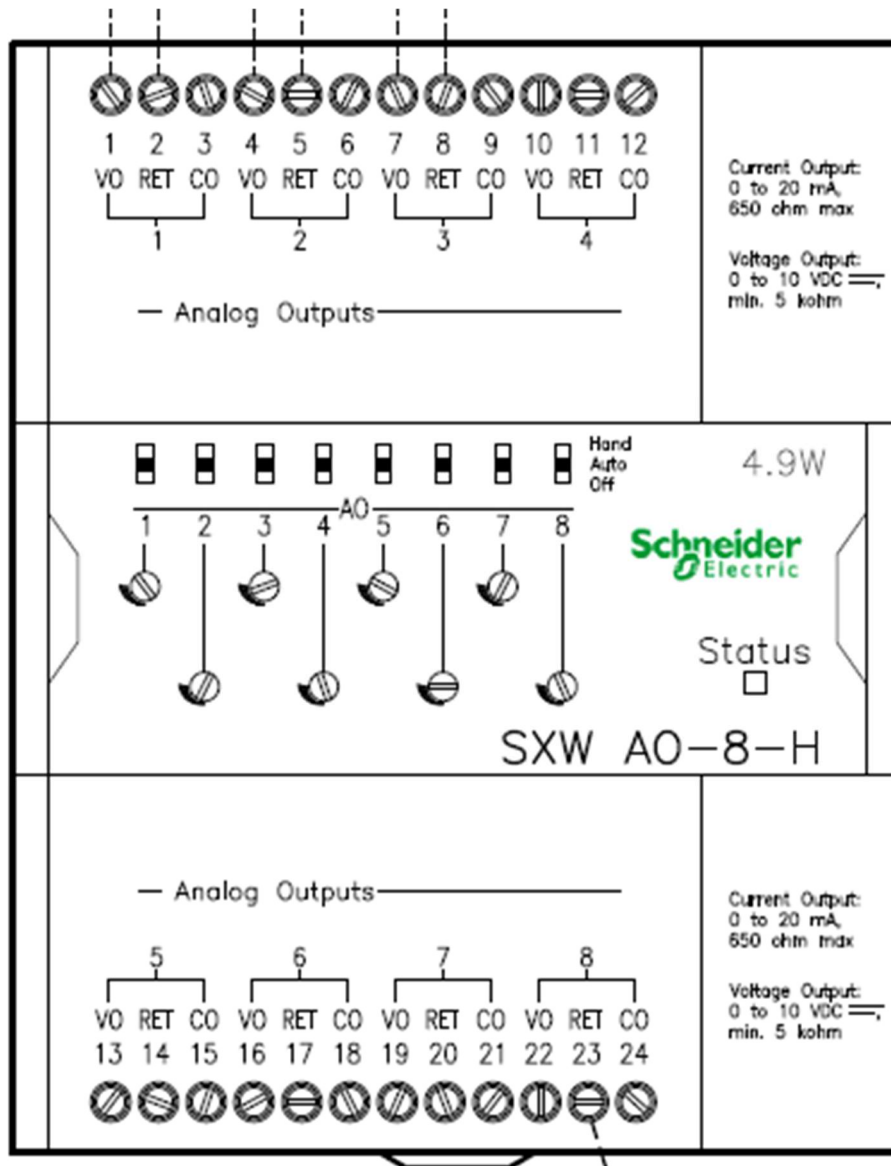
PROJECT NAME: **ANDOVER INFRASTRUCTURE AND SOFTWARE UPGRADE - PHASES I THROUGH V**

	PHASE I & II APPROVED FINAL 2/24/2021	PHASE I,II&III APPROVED FINAL 4/27/2022	Phase I,II&III APPROVED FINAL 6/28/2023	Phase I, II, III, & IV APPROVED FINAL 10/25/2023	Phase I Through V PROPOSED REVISED FINAL 6/26/2024
<u>BUDGETED EXPENDITURES</u>					
CONSTRUCTION	\$ 2,314,000	\$ 3,011,400	\$ 3,480,439	\$ 3,503,439	\$ 3,959,000
DESIGN SERVICES	-	-	-	-	-
TELECOMMUNICATIONS	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including PM)	-	-	-	-	-
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	-
INSURANCE AND LEGAL	-	-	-	-	-
MISCELLANEOUS	-	-	-	-	-
OTHER SOFT COSTS	-	-	-	-	-
SUBTOTAL	\$ 2,314,000	\$ 3,011,400	\$ 3,480,439	\$ 3,503,439	\$ 3,959,000
PROJECT CONTINGENCY	282,000	334,600	-	352,000	396,439
TOTAL BUDGETED EXPENDITURES	\$ 2,596,000	\$ 3,346,000	\$ 3,480,439	\$ 3,855,439	\$ 4,355,439
<u>SOURCE(S) OF FUNDING*</u>					
UNIVERSITY FUNDS	\$ 2,596,000	\$ 3,346,000	\$ 3,480,439	\$ 3,855,439	\$ 4,355,439
TOTAL BUDGETED FUNDING	\$ 2,596,000	\$ 3,346,000	\$ 3,480,439	\$ 3,855,439	\$ 4,355,439

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 6.26.24
FO500073

ANDOVER INFRASTRUCTURE AND SOFTWARE UPGRADE
PHASE I THROUGH V
Project Budget (REVISED FINAL)
JUNE 26, 2024





ATTACHMENT 9



June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

Anne D'Alleva, PhD 
Provost and Executive Vice President for Academic Affairs

RE: Project Budget for Wired Access Layer Cabling (Final \$2,000,000)

RECOMMENDATION:

That the Board of Trustees approve the final budget of \$2,000,000, as detailed in the attached program budget, to execute the facilities and wiring deficiencies related to the Wired Access Layer Infrastructure Refresh. The administration recommends that the Board of Trustees adopt the resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$2,000,000 in UCONN 2000 bond funds for the wiring and related construction needed to continue the Wired Access Layer Infrastructure Refresh project and approve the request to waive the three-stage budget approval, allowing work to proceed immediately.”

BACKGROUND:

In 2019, ITS initiated the Wired Access Layer Refresh (WALR) project to completely refresh the wired and wireless portion of the data network on all campuses. This large-scale update was necessary to address end-of-life equipment, facilities, fiber optics, and copper cabling and effectively update the University's foundation for all connectivity. There are widely different lifespans for network equipment (5-8 years) and facilities and cabling (25-30 years). Therefore, the construction portion, which will address facilities and cabling deficiencies, will remain a project for which we request funding annually. Network equipment, deployed at the beginning of the project, is now end-of-life, and the scheduled refresh of network equipment is being treated as deferred maintenance.

To continue work on the facilities and wiring the wired access layer refresh, we are requesting \$2,000,000 funded by UCONN 2000 bonds. The funds requested for FY25 will be used to update the remaining Law School buildings, including Mackenzie Hall, and North Campus Residence Halls. There will also be targeted updates to address acute deficiencies in several other buildings, including dining halls, the Volleyball Center, and Wilbur Cross.

CAPITAL PROGRAM BUDGET REPORTING FORM

TYPE BUDGET: FINAL

NAME: WIRED ACCESS LAYER INFRASTRUCTURE - CABLING FY25

<u>BUDGETED EXPENDITURES*</u>	PROPOSED FINAL 6/26/2024
CONSTRUCTION	\$ 810,000
DESIGN SERVICES	90,000
TELECOMMUNICATIONS	846,000
CONSTRUCTION ADMINISTRATION	60,000
OTHER AE SERVICES (including Project Management)	80,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	12,000
OTHER SOFT COSTS	-
SUBTOTAL	\$ 1,898,000
PROJECT CONTINGENCY	102,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 2,000,000</u>
<u>SOURCE OF FUNDING**</u>	
UConn 2000 BOND FUNDS	<u>\$ 2,000,000</u>
TOTAL BUDGETED FUNDING	<u>\$ 2,000,000</u>

**This budget reflects the University's current intended source(s) of funding for this phase. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 06.26.24
VARIOUS IT PROJECT #S

ATTACHMENT 10

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health CT-7 Inpatient & Research Renovations
(Planning: \$1,675,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$1,675,000 as detailed in the attached project budget for the UConn Health CT-7 Inpatient & Research Renovations Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,675,000 from UConn Health School of Medicine Operating funds, UCONN 2000 Phase III Deferred Maintenance, UConn Health Capital and UConn Foundation funds for the UConn Health CT-7 Inpatient & Research Renovations Project.”

BACKGROUND:

This project will promote improve the Wellness of People and Planet and Excellence in Research, Innovation and Engagement by renovating space to accommodate an enlarged Clean Supply room required for the new Inpatient unit on CT-7 and construct a new metabolic chamber for research studies. A metabolic chamber is a controlled is a controlled environment where subjects go through various different testing scenarios to measure their energy consumption (metabolism). The data gathered through these studies are used for research related to obesity, diabetes and other chronic disorders affecting the body's metabolism.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the project design is developed. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

**PROJECT NAME: UCONN HEALTH - CT-7 INPATIENT AND RESEARCH
RENOVATIONS**

<u>BUDGETED EXPENDITURES</u>	PROPOSED PLANNING 6/26/2024
CONSTRUCTION	\$ 365,000
DESIGN SERVICES	60,000
TELECOMMUNICATIONS	20,000
FURNITURE, FIXTURES AND EQUIPMENT	1,060,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	5,000
ART	-
RELOCATION	-
ENVIRONMENTAL	10,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	2,000
SUBTOTAL	\$ 1,522,000
PROJECT CONTINGENCY	153,000
TOTAL BUDGETED EXPENDITURES	\$ 1,675,000
<u>SOURCE(S) OF FUNDING*</u>	
UCONN HEALTH SCHOOL OF MEDICINE OPERATING FUNDS	925,000
UCONN 2000 PHASE III DM	300,000
UCONN HEALTH CAPITAL	200,000
UCONN FOUNDATION	250,000
TOTAL BUDGETED FUNDING	\$ 1,675,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health CT-7 Inpatient & Research Renovations
Project Budget (Planning) \$1,675,000
June 26, 2024



Conceptual Floor Plan

ATTACHMENT 11

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Main Building Lab Area Renovations – 1st Floor (Planning: \$11,900,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$11,900,000 as detailed in the attached project budget for the UConn Health Main Building Lab Area Renovations – 1st Floor Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$11,900,000 from UConn Health School of Medicine Operating funds, Research IDC Capital and General Obligation DM Bond funds for the UConn Health Main Building Lab Area Renovations – 1st Floor Project.”

BACKGROUND:

Two major projects under Bioscience Connecticut and subsequent projects in 2018 and 2022 were implemented to renovate the laboratory space located in the Main Building Lab (L) Area per the concepts developed under the 2009 Main Building Renovation Master Plan. This project will promote Excellence in Research, Innovation and Engagement by continuing to implement the Master Plan and renovate a section of the 1st floor to create open and flexible, state of the art wet lab research space similar to the work done on the previous floors.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the project design is developed. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

CAPITAL PROJECT BUDGET REPORTING FORM

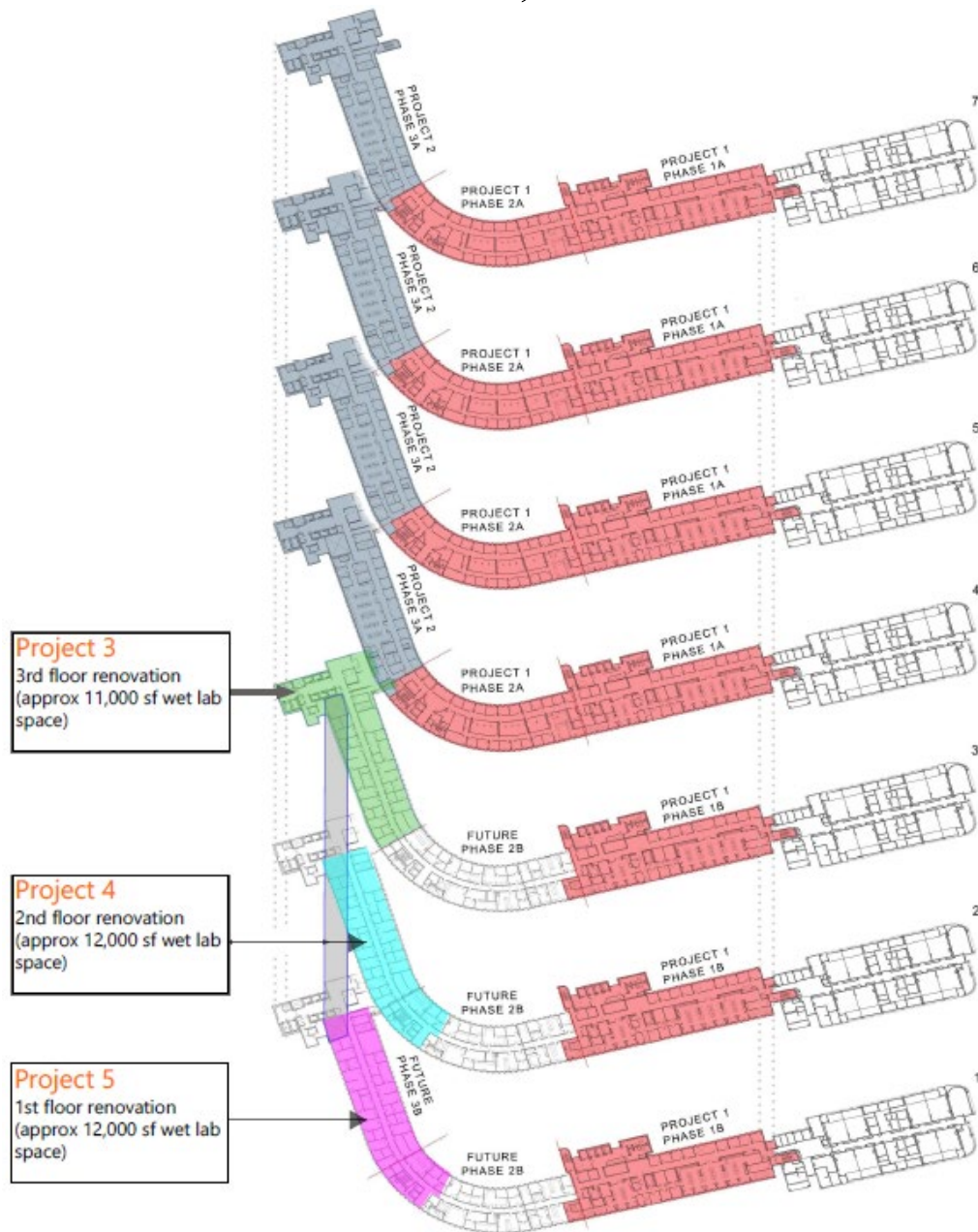
TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - MAIN BUILDING (L) LAB RENOVATIONS - 1ST FLOOR

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/26/2024</u>
CONSTRUCTION	\$ 9,700,000
DESIGN SERVICES	750,000
TELECOMMUNICATIONS	133,000
FURNITURE, FIXTURES AND EQUIPMENT	145,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	65,000
ART	-
RELOCATION	-
ENVIRONMENTAL	25,000
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
SUBTOTAL	\$ 10,818,000
PROJECT CONTINGENCY	1,082,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 11,900,000</u>
<u>SOURCE(S) OF FUNDING*</u>	
FY 23 STATE GO BOND FUNDS	7,350,000
UCONN HEALTH RESEARCH IDC CAPITAL	2,275,000
UCONN HEALTH SCHOOL OF MEDICINE OPERATING FUNDS	2,275,000
TOTAL BUDGETED FUNDING	<u>\$ 11,900,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UConn Health Main Building
Lab Area Renovations – 1st Floor
Project Budget (Planning) \$11,900,000
June 26, 2024




MASTER PLAN MAIN BUILDING LAB RENOVATIONS

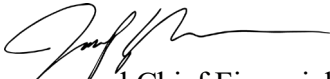
ATTACHMENT 12

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Surgery Center Operating Room #6
Renovations (Planning: \$1,750,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$1,750,000 as detailed in the attached project budget for the UConn Health Surgery Center Operating Room #6 Renovations.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,750,000 from UConn Health Capital for the UConn Health Surgery Center Operating Room #6 Renovations.”

BACKGROUND:

This project will promote and improve the Wellness of People and Planet by converting an existing procedure room into an operating room and replacing/upgrading all the existing outdated operating room light booms and making required air pressure modifications within the Surgery Center located in the Musculoskeletal Institute.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the project design is developed. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

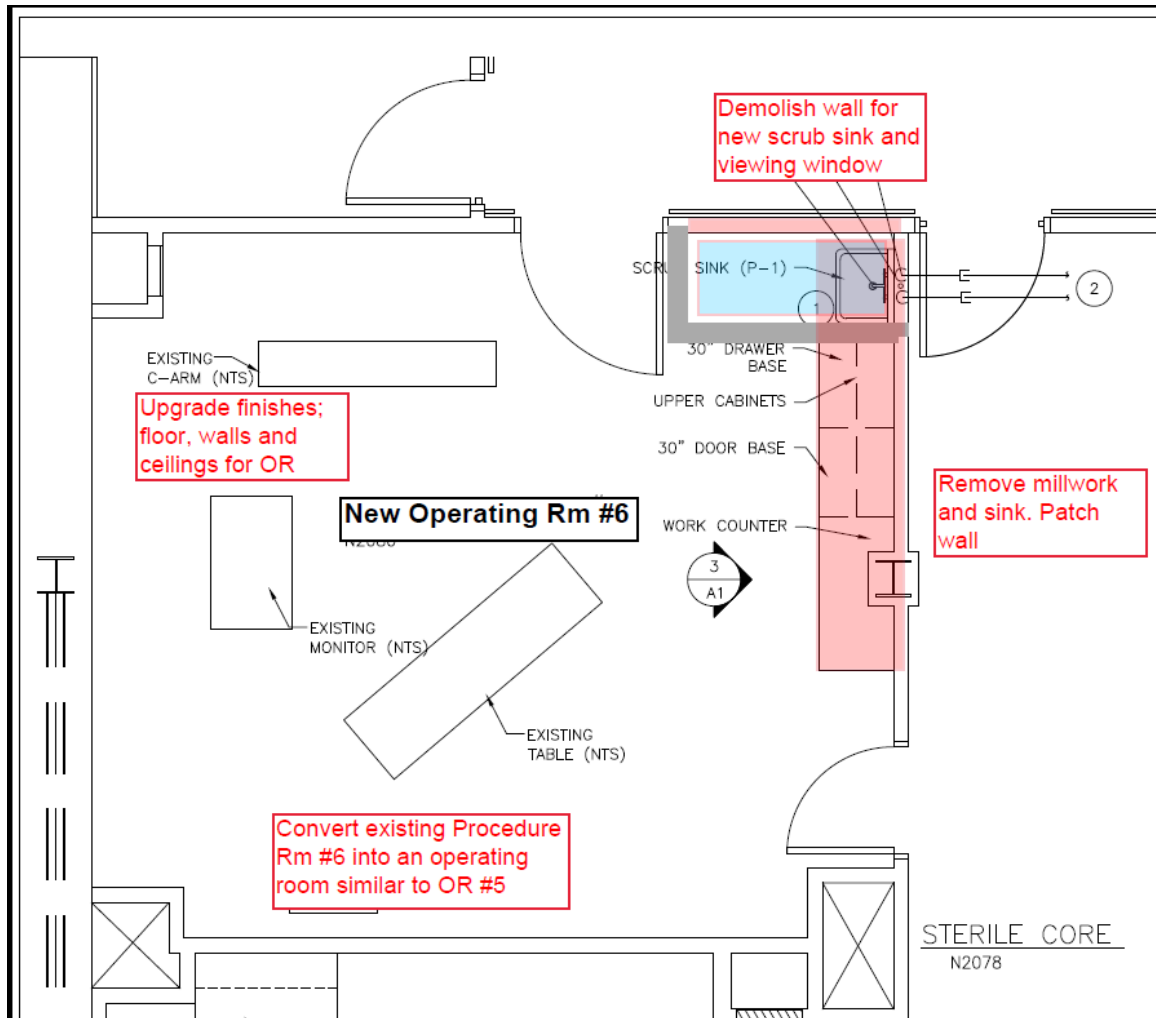
TYPE BUDGET: PLANNING

**PROJECT NAME: UCONN HEALTH - SURGERY CENTER OPERATING ROOM #6
RENOVATIONS**

<u>BUDGETED EXPENDITURES</u>	PROPOSED PLANNING 6/26/2024
CONSTRUCTION	\$ 608,000
DESIGN SERVICES	120,000
TELECOMMUNICATIONS	15,000
FURNITURE, FIXTURES AND EQUIPMENT	840,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	5,000
ART	-
RELOCATION	2,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
SUBTOTAL	\$ 1,590,000
PROJECT CONTINGENCY	160,000
TOTAL BUDGETED EXPENDITURES	\$ 1,750,000
<u>SOURCE(S) OF FUNDING*</u>	
UCONN HEALTH CAPITAL	1,750,000
TOTAL BUDGETED FUNDING	\$ 1,750,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health Surgery Center
Operating Room #6 Renovations
Project Budget (Planning) \$1,750,000
June 26, 2024




Conceptual Floor Plan

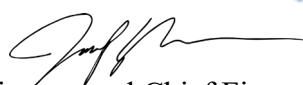
ATTACHMENT 13

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health ASB Data Center Generator and Power Improvements (Planning: \$3,150,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$3,150,000 as detailed in the attached project budget for the UConn Health ASB Data Center Generator and Power Improvements Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$3,150,000 from UCONN 2000 Phase III Deferred Maintenance and Fiscal Year 23 GO Bond funds for the UConn ASB Data Center Generator and Power Improvements Project.”

BACKGROUND:

The Administrative Services Building (ASB) is the site of the main UConn Health Data Center. In order to maintain Farmington as part of our Seven World-Class Campuses, One Flagship University and maintain Excellence in Research, Innovation and Engagement; this project will make improvements to the building's emergency power distribution system, including the replacement of an existing exterior generator that serves the campus-wide data center.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the project design is developed. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - ASB DATA CENTER GENERATOR AND POWER IMPROVEMENTS

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 6/26/2024</u>
CONSTRUCTION	\$ 2,500,000
DESIGN SERVICES	150,000
TELECOMMUNICATIONS	20,000
FURNITURE, FIXTURES AND EQUIPMENT	20,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	50,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	10,000
SUBTOTAL	\$ 2,750,000
PROJECT CONTINGENCY	400,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 3,150,000</u>
<u>SOURCE(S) OF FUNDING*</u>	
FY 23 STATE GO BOND FUNDS	3,000,000
UCONN 2000 PHASE III DM	150,000
TOTAL BUDGETED FUNDING	<u>\$ 3,150,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health ASB Data Center
Generator & Power Improvements
Project Budget (Planning) \$3,150,000
June 26, 2024




Existing Generator

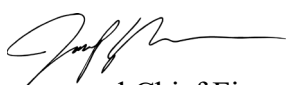
ATTACHMENT 14

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health IT Disaster Recovery Room
(Planning: \$1,370,000)

RECOMMENDATION:

That the Board of Trustees approve the Planning Budget in the amount of \$1,370,000 as detailed in the attached project budget for the UConn Health IT Disaster Recovery Room Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,370,000 from UCONN 2000 Phase III Deferred Maintenance and General Obligation DM Bond funds for the UConn IT Disaster Recovery Room Project.”

BACKGROUND:

In order to maintain Farmington as part of our Seven World-Class Campuses, One Flagship University; this project will update the data systems and infrastructure within an existing tel/data room located in the John Dempsey Hospital to support UConn Health’s Information Technology system recovery efforts to allow for business continuity in response to a major disruptive event. This project will make improvements to the building’s emergency power distribution system, including the replacement of an existing exterior generator that serves the campus wide data center.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the project design is developed. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: PLANNING

PROJECT NAME: UCONN HEALTH - IT DISASTER RECOVERY ROOM

<u>BUDGETED EXPENDITURES</u>	PROPOSED PLANNING 6/26/2024
CONSTRUCTION	\$ 995,000
DESIGN SERVICES	110,000
TELECOMMUNICATIONS	140,000
FURNITURE, FIXTURES AND EQUIPMENT	.
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	.
SUBTOTAL	\$ 1,245,000
PROJECT CONTINGENCY	125,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,370,000</u>
<u>SOURCE(S) OF FUNDING*</u>	
FY 23 STATE GO BOND FUNDS	1,260,000
UCONN 2000 PHASE III DM	110,000
TOTAL BUDGETED FUNDING	<u>\$ 1,370,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS
UConn Health IT Disaster Recovery Room
Project Budget (Planning) \$1,370,000
June 26, 2024




Conceptual Layout

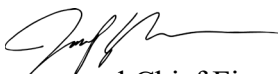
ATTACHMENT 15

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Chief Executive Officer and Executive Vice President for Health Affairs

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Parking Lots L1 & A5 Repaving
(Design: \$1,020,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,020,000 for the UConn Health Parking Lots L1 & A5 Repaving project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,020,000 from General Obligation DM Bond Funds for the UConn Health Parking Lots L1 & A5 Repaving project and; approve the request for a waiver of the three-stage budget approval process, to allow bidding to occur as soon as project design work is completed.”

BACKGROUND:

In order to maintain Farmington as part of our Seven World-Class Campuses, One Flagship University; this project will replace the parking lot pavement and upgrade storm drainage systems at staff parking lots L1 and A5 over the Summer/Fall of 2024.

The Design Budget is attached for your consideration. The Design Budget is based on estimates and may change based on actual bids received. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: DESIGN

PROJECT NAME: UCONN HEALTH - PARKING LOTS L1 & A5 REPAVEMENT

<u>BUDGETED EXPENDITURES</u>	PROPOSED DESIGN 6/26/2024
CONSTRUCTION	\$ 675,000
DESIGN SERVICES	160,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	12,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	2,000
SUBTOTAL	\$ 849,000
PROJECT CONTINGENCY	171,000
TOTAL BUDGETED EXPENDITURES	\$ 1,020,000
<u>SOURCE(S) OF FUNDING*</u>	
FY 23 STATE GO BOND FUNDS	\$ 1,020,000
TOTAL BUDGETED FUNDING	\$ 1,020,000

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 6-26-24

22-601.08

UConn HEALTH/IMPROVEMENTS
UConn Health Parking Lots L1 and A5 Repaving
Project Budget (Design) \$1,020,000
June 26, 2024




AERIAL VIEW OF PARKING LOTS

ATTACHMENT 16

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Building F & Building M Roof Replacement
(Final: \$1,615,000)

RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,615,000 for the UConn Health Building F & Building M Roof Replacement project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,615,000 from General Obligation DM Bond Funds for the UConn Health Building F & Building M Roof Replacement project.”

BACKGROUND:

The Canzonetti Building (Building F) and the Daycare Center (Building M) roofs have reached the end of their service life. The roofing membrane has deteriorated beyond the scope of normal maintenance and a full replacement is required. In order to maintain Farmington as part of our Seven World-Class Campuses, One Flagship University and improve the Wellness of People and Planet; these roofs will be replaced with a sustainable/high solar reflectance EPDM membrane system over the Summer/Fall of 2024.

The Final Budget is attached for your consideration. The Final Budget is based on estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: FINAL

PROJECT NAME: UCONN HEALTH - BUILDING F & BUILDING M ROOF REPLACEMENT

	APPROVED DESIGN 2/28/2024	PROPOSED FINAL 6/26/2024
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$ 1,302,000	\$ 1,302,000
DESIGN SERVICES	163,000	53,000
TELECOMMUNICATIONS	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	-	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
SUBTOTAL	\$ 1,465,000	\$ 1,355,000
PROJECT CONTINGENCY	150,000	260,000
TOTAL BUDGETED EXPENDITURES	<u>\$ 1,615,000</u>	<u>\$ 1,615,000</u>
<u>SOURCE(S) OF FUNDING*</u>		
FY 23 STATE GO BOND FUNDS	\$ 1,615,000	\$ 1,615,000
TOTAL BUDGETED FUNDING	<u>\$ 1,615,000</u>	<u>\$ 1,615,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

BOT 6-26-24

22-601.05

UCONN HEALTH/IMPROVEMENTS
UConn Health Building F & Building M
Roof Replacement
Project Budget (Final) \$1,615,000
June 26, 2024



BUILDING F (CANZONETTI BUILDING) ROOF




BUILDING M (DAYCARE CENTER) ROOF


ATTACHMENT 17

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA 
Executive Vice President for Health Affairs and CEO of UConn Health

Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health KB034-036 Research Lab Renovation
(Revised Final: \$1,975,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget in the amount of \$1,975,000 as detailed in the attached project budget for the UConn Health KB034-036 Research Lab Renovation Project.

RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,975,000 from UConn Health School of Medicine Operating Funds and UCONN 2000 Phase III Deferred Maintenance, for the UConn Health KB034-036 Research Lab Renovation Project.”

BACKGROUND:

This project will promote Excellence in Research, Innovation and Engagement by renovating approximately 2,500 sf of animal research/holding space located within the basement of the Transgenic Animal Facility (building K) to create a flexible/open wet research laboratory area for the new head of the Department of Surgery.

This project has an expedited project schedule that included the submission of a Final budget based upon a conceptual scope and estimates. As the design developed; necessary changes in the project scope significantly increased the project budget.

The Revised Final Budget is attached for your consideration. The Revised Final Budget is based on actual bids received. This Revised Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on June 10, 2024.

Attachments

CAPITAL PROJECT BUDGET REPORTING FORM

TYPE BUDGET: **REVISED FINAL**

PROJECT NAME: **UConn HEALTH - KB034-036 RESEARCH LAB RENOVATION**

	APPROVED FINAL <u>2/28/2024</u>	PROPOSED REVISED FINAL <u>6/26/2024</u>
<u>BUDGETED EXPENDITURES</u>		
CONSTRUCTION	\$450,000	\$ 1,530,000
DESIGN SERVICES	65,000	65,000
TELECOMMUNICATIONS	35,000	160,000
FURNITURE, FIXTURES AND EQUIPMENT	100,000	25,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	10,000	10,000
ART	-	-
RELOCATION	-	2,000
ENVIRONMENTAL	-	3,000
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	-
SUBTOTAL	\$660,000	\$ 1,795,000
PROJECT CONTINGENCY	100,000	180,000
TOTAL BUDGETED EXPENDITURES	<u>\$760,000</u>	<u>\$ 1,975,000</u>
<u>SOURCE(S) OF FUNDING*</u>		
UConn HEALTH SCHOOL OF MEDICINE OPERATING FUNDS	760,000	800,000
UConn 2000 PHASE III DM		1,175,000
TOTAL BUDGETED FUNDING	<u>\$760,000</u>	<u>\$ 1,975,000</u>

* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.


[illegible]

Proposed Floor Plan

ATTACHMENT 18

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Recommendations for Designation as Board of Trustees Distinguished Professors,
Academic Year 2023-2024

RECOMMENDATION:

That the Board of Trustees accept the recommendation of the Distinguished Professor Review Committee and designate the following faculty members as University of Connecticut Board of Trustees Distinguished Professors: Dr. Nora Berrah (Department of Physics), Dr. Ki H. Chon (Department of Biomedical Engineering), and Dr. Crystal L. Park (Department of Psychological Sciences).

BACKGROUND:

On November 10, 1998, the Board of Trustees voted to establish the title of Board of Trustees Distinguished Professor. This designation is the University's highest academic honor.

Pursuant to the By-Laws of the University of Connecticut, the Board of Trustees Distinguished Professor award is reserved exclusively to recognize faculty who have achieved exceptional distinction in scholarship, teaching, and service while at the University of Connecticut. Faculty chosen must have distinguished themselves in all three of these categories. The designation process occurs annually, as a result of a peer review process.

After careful deliberations, the Review Committee recommended the three individuals named above. I am recommending that the Board of Trustees designate these faculty as its Distinguished Professors.

Dr. Nora Berrah

Dr. Nora Berrah is a renowned experimental physicist specializing in investigating Quantum Systems and currently serves as a faculty member in the Department of Physics at the University of Connecticut. She joined UConn in 2014 as the Department Head of Physics and has significantly transformed both the administrative and academic landscapes of the department. Prior to her tenure at UConn, she held the title of University Distinguished Faculty Scholar at Western Michigan University and progressed through various academic ranks from Assistant to Full Professor.

Dr. Berrah earned her Ph.D. in Physics in 1987 from the University of Virginia. Throughout her illustrious career, she has held numerous prestigious positions across the United States and Europe, including the Blaise Pascal Chair d'Excellence at the Commissariat à l'énergie Atomique in Saclay, France.

Her research focuses on investigating ultrafast quantum phenomena through the interaction of molecules with high-intensity laser pulses that cover a broad energy range from infra-red to X-ray wavelengths. Her pioneering cutting-edge research has led to groundbreaking insights in Quantum Dynamics and Non-Linear Physics, supported by funding from the NSF and the Department of Energy, Basic Energy Sciences, amounting to \$1.33 million over three years. Dr. Berrah's career research funding totals approximately \$10 million.

Dr. Berrah's academic contributions include over 284 peer-reviewed publications in top-tier journals such as Nature, Science, and Physical Review Letters, among others. She has delivered 275 invited presentations at national and international levels. Her work has earned her numerous accolades, including a Humboldt award from the Alexander von Humboldt Foundation, Germany, an Honorary Doctoral Degree in Physics from the University of Turku, Finland, the 2014 Davisson-Germer Prize from the American Physical Society. She was elected to the American Academy of Arts and Sciences, the American Physical Society, the American Association for the Advancement of Science and the National Academy of Sciences.

Beyond her research, Dr. Berrah is deeply committed to educational innovation and public engagement. She introduced Studio Physics at UConn, a research-based approach enhancing student comprehension in introductory physics courses. She also leads efforts in organizing significant scientific conferences and actively contributes to promoting diversity and inclusion within the scientific community.

In recognition of her outstanding scientific achievements and leadership in academia, Dr. Nora Berrah is nominated for the Board of Trustees Distinguished Professor award, reflecting her exceptional contributions to the University of Connecticut and the broader field of physics.

Dr. Ki H. Chon

Dr. Ki H. Chon, the Krenicki Professor of Biomedical Engineering at the University of Connecticut, is a pioneer in the field of biosignal processing and wearable devices. As the inaugural head of the Biomedical Engineering department from 2014 to 2022, Dr. Chon's leadership was instrumental in driving substantial growth in both faculty recruitment and research funding, securing a more than \$17 million increase in annual research allocations.

Having earned his engineering degree from UConn, Dr. Chon has remained dedicated to advancing his alma mater's stature in the global academic community. His research has led to the development of a life-saving wearable device capable of predicting seizures in divers—a breakthrough that underscores his commitment to translating academic research into practical, real-world applications. This innovation has not only secured the backing of the U.S. Navy but also holds the potential to transform safety protocols in diving operations worldwide.

Dr. Chon's scholarly contributions are extensive, with an impressive tally of over 214 refereed journal articles and 13 U.S. patents granted, alongside substantial federal research funding totaling more than \$29 million. His work on real-time detection of atrial fibrillation and other physiological anomalies via mobile and wearable technology platforms has positioned him at the forefront of biomedical engineering.

Dr. Chon has demonstrated a profound commitment to educational innovation. He has developed three new courses, including Junior Design and Biomedical Signal Processing, which have significantly enhanced the biomedical engineering curriculum at UConn. These courses not only prepare students for real-world engineering challenges but also ensure that they are well-versed in the latest technological advancements and methodologies.

Beyond his technical and academic achievements, Dr. Chon has played a pivotal role in enhancing the department's diversity and inclusion efforts. His recruitment strategy led to the appointment of UConn's first female African American Professor in the College of Engineering, marking a significant step forward in fostering an inclusive academic environment.

As a fellow of five major societies and a distinguished member of the Connecticut Academy of Science and Engineering, Dr. Chon's contributions to the field of biomedical engineering are widely recognized. His leadership and vision have not only elevated the Department of Biomedical Engineering at UConn but have also had a profound impact on the broader scientific and engineering communities.

In recognition of his outstanding contributions to research, teaching, and service, Dr. Ki H. Chon is an exemplary candidate for the Board of Trustees Distinguished Dr. award. His ongoing dedication to the field and his alma mater makes him a deserving recipient of this prestigious honor.

Dr. Crystal L. Park

Dr. Crystal Park is a distinguished member of the faculty at the University of Connecticut, where she has served since 1999. As a clinical psychologist, her work in health psychology, particularly in the domains of holistic health, well-being, and spirituality, has set a new standard in the field. She is recognized for her superior trajectory of scholarly contributions, as evidenced by her impressive h-index of 101, highlighting her status as a top-tier researcher globally.

Dr. Park obtained her doctoral degree in Clinical Psychology and has since directed her research efforts towards understanding the human capacity to thrive in adversity. Her work integrates meaning making, integrative medicine, and spirituality/religion, contributing significantly to the fields of psychological resilience and flourishing. To date, her research has garnered over \$17 million in direct costs through federal and foundational support, and her scholarly output includes 376 peer-reviewed articles and 70 book chapters.

Her development of the Meaning Making Model is particularly noteworthy, offering a robust framework for understanding how individuals navigate stressful and traumatic experiences. This model has become foundational in studies across a variety of contexts including serious illness and trauma. Dr. Park is also at the forefront of advancing the scientific rigor of yoga research, enhancing methodological approaches and contributing to its integration into mainstream medical practices.

As a mentor, Dr. Park is deeply committed to fostering the academic and professional growth of her students. She has successfully guided 17 doctoral students to completion, with several more currently underway, and has supervised over 800 undergraduate research assistants. Her mentorship has consistently enabled her students to achieve remarkable success in clinical and research careers.

In addition to her research and mentoring roles, Dr. Park's service contributions are substantial. She has played a critical role in integrating health psychology into UConn's curriculum and has been instrumental in developing interventions that improve student well-being. Nationally and internationally, she disseminates her Meaning Making Model widely, impacting diverse applied settings and advancing understanding of spirituality's role in mental and physical health.

Dr. Crystal Park's unparalleled expertise, her innovative contributions to psychological science, and her dedicated mentorship and service make her an exemplary candidate for the Board of Trustees Distinguished Dr. award at the University of Connecticut. Her work not only elevates the university's standing but also significantly advances the broader field of psychology.

ATTACHMENT 19

UNIVERSITY OF CONNECTICUT - College of Liberal Arts & Sciences
PROMOTION AND TENURE RECOMMENDATIONS (REVISED)
PRESENTED TO THE BOARD OF TRUSTEES - June 26, 2024

NAME

DEPARTMENT

PROMOTION TO PROFESSOR

Embrick, David	Sociology
Furtado, Delia	Economics
Ghosh, Debarchana	Geography
Granger, Julie	Marine Sciences
Hancock, Jason	Physics
He, Jie	Chemistry
Leadbeater, Nicholas	Chemistry
Rosberg, Marcus	Philosophy
Russell, Beth	Human Dev and Family Sciences
Whitney, Michael	Marine Sciences
Willen, Sarah	Anthropology
Yuan, Yaowu	Ecology and Evolutionary Biology
Zhao, Jing	Chemistry

PROMOTION TO ASSOCIATE PROFESSOR AND TENURE

Gabriel, Dexter	History
Heaslip, Aoife	Molecular and Cell Biology
Li, Sean	Mathematics
McCarron, Daniel	Physics
Ostroff, Linnaea	Physiology and Neurobiology
Paxton, Alexandra	Psychological Sciences
Perkoski, Evan	Political Science
Sarkar, Debapriya	English
Sochnikov, Ilya	Physics
Tabor, Clay	Earth Sciences
Llanera, Tracy	Philosophy
Chen, Xiang	Geography

TENURE AS ASSOCIATE PROFESSOR

Wallace, Scott	Journalism
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PROMOTION TO PROFESSOR IN-RESIDENCE (Non-Tenure Track)

Blansett, Lisa	English
Finiguerra, Michael	Ecology and Evolutionary Biology
Herrick, Susan	Ecology and Evolutionary Biology
Zane, Sherry	Women's Gender and Sexuality Studies

PROMOTION TO ASSOCIATE PROFESSOR IN-RESIDENCE (Non-Tenure Track)

Aguirre, Jessica Dafhne	Chemistry
Basaran, Anne	Communication
Chen, Tianxu	Economics
DeCapua, Sarah	English
Divino, Jeffrey	Physiology and Neurobiology
Pathirana, Vindya	Mathematics

PROMOTION TO CLINICAL PROFESSOR (Non-Tenure Track)

Gallagher, Nicole	Speech, Language, and Hearing Sciences
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PROMOTION TO ASSOCIATE CLINICAL PROFESSOR (Non-Tenure Track)

Wittke, Kacie	Speech, Language, and Hearing Sciences
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PROMOTION TO ASSOCIATE RESEARCH PROFESSOR (Non-Tenure Track)

Vaudrey, Jamie	Marine Sciences
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ATTACHMENT 20

UNIVERSITY OF CONNECTICUT
TENURE AT HIRE RECOMMENDATIONS
PRESENTED TO THE BOARD OF TRUSTEES - June 26, 2024

NAME

DEPARTMENT

SCHOOL/COLLEGE

Effective August 23, 2024

TENURE AS PROFESSOR

Eikenberry, Angela

Public Policy

College of Liberal Arts & Sciences

ATTACHMENT 21

University of Connecticut Department of Human Resources

Emeritus Retirees

June 26, 2024 Board of Trustees Meeting

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE*</u>	<u>RETIRED</u>	<u>HIRED</u>
<i>Faculty meeting “automatic” criteria for emeritus status</i>					
Ayers, John E.	Associate Professor	Electrical and Computer Engineering	School of Engineering	6/1/2024	9/1/1990
Brown, Pamela A.	Professor	English	College of Liberal Arts and Sciences	6/1/2024	8/23/1998
Coppolino, Nina C.	Associate Professor in Residence	Literature, Cultures and Languages	College of Liberal Arts and Sciences	6/1/2024	8/23/2010
Gitterman, Alex	Professor	Social Work Instruc and Rsrch	School of Social Work	4/1/2024	8/23/1999
Kerr, Kirklyn M.	Professor	Pathobiology	College of Agriculture, Health and Natural Resources	6/1/2024	6/1/1993
Levy, Elena T.	Associate Professor	Psychological Sciences	College of Liberal Arts and Sciences	6/1/2024	9/1/1987

ATTACHMENT 22

University of Connecticut Office of the Provost
Sabbatical Leave Recommendations Requiring Board of Trustees Approval
June 26, 2024 Board of Trustees Meeting

SABBATICAL MODIFICATION REQUESTS

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Atkinson-Palombo, Carol	Professor	Geography	Liberal Arts and Sciences	Full Change to Full	Spring 2025 Spring 2026
Coppola, Marie	Professor	Psychological Sciences	Liberal Arts and Sciences	Full Change to Full	Fall 2024 Fall 2025
Dayton, Cornelia H	Professor	History	Liberal Arts and Sciences	Half Change to Full	AY 24-25 Spring 2025
Igarashi, Yohei	Assoc Professor	English	Liberal Arts and Sciences	Full Change to Full	Fall 2024 Fall 2025
Li, Cuihong	Director & Assoc Professor	Operations and Info Management	Business	Full Change to Full	Spring 2024 Spring 2025
Seth, Anji	Professor	Geography	Liberal Arts and Sciences	Half Change to Half	AY 2023-2024 AY 2025-2026

SABBATICAL LEAVE REQUESTS


NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Ceglio, Clarissa J	Assoc Professor	Digital Media Design	Fine Arts	Full	Spring 2025
Cho, Yongku P	Assoc Professor	Chem and Biomolecular Engr	Engineering	Full	Fall 2024

NAME	TITLE	DEPARTMENT	SCHOOL/COLLEGE	PAY	PERIOD
Dam Guerrero, Hans G	Professor	Marine Sciences	Liberal Arts and Sciences	Full	Spring 2025
Fernando, Gayanath W	Professor	Physics	Liberal Arts and Sciences	Full	Fall 2025
Irizarry, Guillermo B	Assoc Professor	Lit, Cultures and Languages	Liberal Arts and Sciences	Half	AY 2024-2025
Kinsella-Shaw, Jeffrey	Assoc Professor	Kinesiology	Agriculture,Health,Natural Rcs	Full	Spring 2025
Llanera, Tracy Ann Pelayo	Asst Professor	Philosophy	Liberal Arts and Sciences	Full	Spring 2025
Ma, Wing Kui Anson	Assoc Professor	Chem and Biomolecular Engr	Engineering	Half	AY 2024-2025
Player, Grace D	Asst Professor	Ed Curriculum and Instruction	Education	Full	Spring 2025
Scapetis-Tycer, Jennifer	Asst Professor	Dramatic Arts	Fine Arts	Full	Fall 2024
Simpson, Bradley R	Assoc Professor	History	Liberal Arts and Sciences	Full	Spring 2026
Shankar, Ramesh	Assoc Professor	Operations and Info Management	Business	Full	Spring 2025
Zhou, Ying	Assoc Professor	Accounting	Business	Full	Spring 2025
Zhu, Zhe	Assoc Professor	Natural Resources and Environ	Agriculture,Health,Natural Rcs	Full	Spring 2025

ATTACHMENT 23

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Appointment of Professor Jiong Tang to the Pratt & Whitney Chair in Design and Manufacturing in the College of Engineering

RECOMMENDATION:

That the Board of Trustees appoint Professor Jiong Tang to the Pratt & Whitney Chair in Design and Manufacturing in the College of Engineering.

BACKGROUND:

The Pratt & Whitney Chair in Design and Manufacturing was established in March 2000 through an endowment known as the United Technologies Corporation Endowment for Engineering. The Pratt & Whitney Chair in Design and Manufacturing is reserved for a renowned researcher and teacher in application-based Design and Manufacturing fields who will provide leadership and vision in evolving areas of these fields. This appointment will be effective from July 1, 2024, through June 30, 2029.

Professor Jiong Tang is an internationally renowned scholar and technology leader in the areas of design and manufacturing, with research and teaching interests focused on system dynamics, sensing, control, and automation. He is serving or has served as PI or Co-PI on over 50 externally funded research projects, resulting in over \$33M in total funding. He has published 118 refereed journal papers, 1 book, 2 book chapters, and 133 refereed conference proceedings.


Professor Tang received the 2022 Department of Defense Manufacturing Achievement Award as lead PI of the winning team. He currently holds the P&W Professor of Advanced Materials & Processing in the College of Engineering; was named Distinguished Engineer of the Year, ASME Hartford Chapter (2017); is an elected member of the Connecticut Academy of Science and Engineering (2014) and is a Fellow of the American Society of Mechanical Engineers.

Professor Tang has graduated 14 Ph.D. students, five of whom obtained faculty positions at reputable U.S. and international universities. He serves as the Co-Director of the Management and Engineering for Manufacturing Program (MEM), a joint program by College of Engineering and School of Business, securing ABET accreditation for the program in 2019, and lifting MEM to become the leading manufacturing engineering college program in Connecticut.

ATTACHMENT 24

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Appointment of Professor Horea Ilies to the Pratt & Whitney Professor of
Advanced Materials and Processing in the College of Engineering

RECOMMENDATION:

That the Board of Trustees appoint Professor Horea Ilies to the Pratt & Whitney Professor of Advanced Materials and Processing in the College of Engineering.

BACKGROUND:

The United Technologies Corporation Professor of Advanced Materials and Processing was established in February 2006 by agreement of the Provost's Office, the College of Engineering and the Institute of Material Science. The Pratt & Whitney Professorship of Advanced Materials and Processing is reserved for a renowned researcher and teacher in an area of materials science and engineering who will provide leadership and vision toward the development of advanced technologies and processes, the enhancement of graduate and undergraduate education, and the elevation of UConn's reputation for excellence in materials science and allied areas. The name was changed to the Pratt & Whitney Professor of Advanced Materials and Processing as part of an agreement between Raytheon Technologies Corporation, The University of Connecticut Foundation, Inc., and UConn dated August 31, 2022. This appointment will be effective from July 1, 2024, through June 30, 2029.


Professor Horea Ilies is a Professor of Mechanical Engineering and an international leader in digital design and advanced manufacturing, with research and teaching interests focused on systematic and efficient design, analysis, and manufacturing of engineering artifacts. Professor Ilies is serving or has served as PI or Co-PI for 23 externally funded research projects, with a total amount of funding over \$16M. He has published 50 refereed journal papers, 9 book chapters, and holds 8 patents/invention disclosures. He has delivered over 20 invited and keynote talks, is an elected member of the Connecticut Academy of Science and Engineering, and serves as a member of the Editorial Board for three journals.

Professor Ilies has graduated 12 Ph.D. students and has 6 in his research group at this time. In addition to his position as Director of the DREAM Research Center, he is currently the Director of the School of Mechanical, Aerospace and Manufacturing Engineering within the College of Engineering.

ATTACHMENT 25

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Morgaen Donaldson to the Philip E. Austin Endowed Chair

RECOMMENDATION:

That the Board of Trustees reappoint Professor Morgaen Donaldson to the Philip E. Austin Endowed Chair.

BACKGROUND:


Established in 2007, the Philip E. Austin Endowed Chair is awarded to a tenured associate or full professor in either the College of Liberal Arts & Sciences or the Neag School of Education who has a distinguished record in both policy-relevant research and public engagement. In addition, incumbents are expected to use funds to enhance the impact of their work by promoting it to external audiences. This appointment will be effective from August 23, 2024, through August 22, 2027.

Professor Donaldson is a Professor of Educational Leadership, the Director for the Center for Education Policy Analysis, Research, & Evaluation, and Director of the EdD. program. Professor Donaldson's research has centered on educator development, including educator performance evaluation, teaching and leadership quality, and school reform broadly. Her research on teacher evaluation practices has gained state and national attention due to high-quality research on these prominent policy issues and her work in translating her research into policy and practice. Her work is also tightly connected to the work of policymakers affiliated with the Connecticut State Department of Education, superintendents, principals, and teachers within the state's public schools.

ATTACHMENT 26

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Robert Bird to the Eversource Chair in Business Ethics in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Robert Bird to the Eversource Energy Chair in Business Ethics in the School of Business.

BACKGROUND:

Established in 2000, this Chair is supported by an endowment from the Northeast Utilities Corporation to recognize a researcher and teacher having made significant contributions to business ethics. The Chair will provide intellectual leadership for existing courses, offer specific dedicated classes, conduct and oversee faculty research, as well as publish in business ethics. This appointment will be effective from August 23, 2024, through August 22, 2027.


The decision to reappoint was made following the School of Business policy. Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.

Professor Bird is a tenured Professor in the Marketing Department who is nationally recognized within his profession as a leader in the field and a very accomplished scholar with 10 publications since 2018 (66 total to date). Professor Bird's research examines business ethics on a global scale, including the impact of compulsory licensing laws on the availability of pharmaceutical drugs in developing countries; the obligation and opportunity in global human rights; and the procedural and substantive justice issues underlying fair and unfair termination of employees in the workplace arena.

ATTACHMENT 27

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Evan Rawley to the Eversource Energy Scholar in
Technological Entrepreneurship in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Evan Rawley to the Eversource Energy Scholar in Technological Entrepreneurship in the School of Business.

BACKGROUND:

Established in 2018, this Scholar is supported by Eversource Energy to recognize a tenured faculty member with experience in building productive partnerships between academia and businesses focusing on entrepreneurship. This appointment will be effective from August 23, 2024, through August 22, 2027.


The decision to reappoint was made following the School of Business policy. Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.

Professor Rawley is an Associate Professor in the Boucher Management and Entrepreneurship Department and award-winning researcher on corporate strategy and entrepreneurship has been widely published in the leading academic journals in his field. Professor Rawley holds a Ph.D. from the UC Berkeley Haas School of Business and an M.B.A. from the University of Chicago. Prior to completing his Ph.D., Professor Rawley worked for the Boston Consulting Group in New York and for Deloitte in Detroit.

ATTACHMENT 28

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Joseph Golec to the Finance Department
Distinguished Scholar in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Joseph Golec to the Finance Department Distinguished Scholar in the School of Business.

BACKGROUND:

The Finance Department Distinguished Scholar is awarded to a department faculty member for distinguished research record, strong teaching and exemplary leadership and service to the School, the University and/or the Academic Community. This appointment will be effective from August 23, 2024, through August 22, 2027.


The decision to reappoint was made following the School of Business policy. Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.

Joseph Golec (Ph.D. Washington University, St. Louis) is a tenured Professor of Finance recognized for his work in investments, financial institutions, mutual funds, healthcare, real estate, and regulation. In addition to publishing in top journals, his work is relevant. For example, he has modeled how to use options prices to estimate the stock market's expectation of the probability that an event would occur and applied it to estimate the probability that Obamacare would pass Congress. This work appears in the *Journal of Financial Economics*, one of the top three premier journals in finance.

ATTACHMENT 29

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Chinmoy Ghosh to the Gladstein Professor of
Business and Innovation in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business.

BACKGROUND:

On November 9, 2010, the Board of Trustees approved the appointment of Professor Chinmoy Ghosh to the Gladstein Professor of Business and Innovation. The Gladstein Professor of Business and Innovation was established to endow a Professorship to be awarded to a nationally or internationally recognized researcher, scholar and teacher who will provide leadership in building the School's reputation focused on innovative business education and research in the areas of Finance and Accounting. This appointment will be effective from August 23, 2024, through August 22, 2027.


The decision to reappoint was made following the School of Business policy. Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.

Chinmoy Ghosh (Ph.D., Pennsylvania State University) is a tenured Professor of Finance in the School of Business and has served on the faculty at the University of Connecticut since 1986. His research focuses on the impact of corporate governance on firm value, the effect of change in disclosure regulatory on firm performance, and the effect of financial innovation on IPO valuation and performance. He has an international reputation and is widely regarded as an interdisciplinary scholar in Finance and Real Estate. He works closely with doctoral students and has distinguished service to the Department, School, and University.

ATTACHMENT 30

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Michael Willenborg to the Richard F. Kochanek
Professorship in Accounting in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business.

BACKGROUND:

The Richard F. Kochanek Professorship in Accounting was established to support a faculty member who demonstrates outstanding contributions within the Department in one or more areas of teaching, research, and service. This appointment will be effective from August 23, 2024, through August 22, 2027.


The decision to reappoint was made following the School of Business policy. Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.

Michael Willenborg (Ph.D., Pennsylvania State University) is a tenured Professor of Accounting in the School of Business. He has served on the faculty at the University of Connecticut since 1996. His research focuses on empirical, economics-based research in auditing and financial accounting. Professor Willenborg was chosen as the Kochanek Professor because of his strong research record, interest in and history of working with doctoral students, and his distinguished service to the Department, School, University, and Profession. He is a highly regarded faculty member in our M.B.A. program, as evidenced by his receipt of several teaching awards.

ATTACHMENT 31

June 26, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D. 
Provost and Executive Vice President for Academic Affairs

RE: Reappointment of Professor Yiming Qian to the Toscano Family Chair in Finance
in the School of Business

RECOMMENDATION:

That the Board of Trustees reappoint Professor Yiming Qian to the Toscano Family Chair in Finance in the School of Business.

BACKGROUND:

Established in 2014, this Chair is supported by an endowment from the Toscano Family to recognize a notionally or internationally researcher, scholar and teacher and will have made significant contributions to the field of finance. The Chair will provide intellectual leadership for existing courses, offer specific dedicated classes, conduct and oversee faculty research, as well as publish in the field of finance. This appointment will be effective from August 23, 2024, through August 22, 2027.

The decision to reappoint was made following the School of Business policy. Dean Elliott is making his recommendation based on consultation with the associate deans and the relevant department head in the School of Business.


Professor Yiming Qian (Ph.D. in Finance, New York University) is a tenured Professor who is nationally recognized within her profession as a leader in the field and a very accomplished scholar with over 20 publications. Professor Qian's research focuses on varying topics in corporate finance, including initial public offerings, mergers and acquisitions, behavioral finance, and emerging markets. She is widely published in leading finance journals including the Journal of Financial Economics, the Review of Financial Studies, Management Science, Journal of Financial and Quantitative Analysis, and Review of Finance, among others.

Professor Qian has served as a board member of Midwest Finance Association. She is on the editorial board of Journal of Empirical Finance and Quarterly Journal of Finance. She has also served on the program committees for many international finance conferences.

ATTACHMENT 32

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Naming Recommendation for the Wells Fargo Center for Neurodiversity and Employment Innovation

RECOMMENDATION:

That the Board of Trustees name the Center for Neurodiversity and Employment Innovation (CNDEI) in the Werth Institute for Entrepreneurship and Innovation as the “Wells Fargo Center for Neurodiversity and Employment Innovation.”

BACKGROUND:

The Center for Neurodiversity and Employment Innovation’s current mission is to create inclusive workplaces and foster neurodiversity acceptance through increased employer awareness and understanding of the value of neurodivergent talent. CNDEI advances this mission by bringing forward innovative ways for higher education to provide customized career services that increase job preparation and connection for students.

Wells Fargo is a founding and strategic corporate partner of the Center and is acknowledged globally as a prominent corporate advocate for neurodiversity employment. This partnership between Wells Fargo and the University is a step towards advancing UConn as a national leader in this field.

Wells Fargo is committing outright operational support to the Center over a five-year period. The amount is consistent with the University’s *Named Gift Guidelines*.

At their June 4 and June 18, 2024, meetings, respectively, the Institutional Advancement and Academic Affairs Committees recommended approval to the full Board.

ATTACHMENT 33

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric



RE: Naming Recommendation for the Matthew and Margarethe Mashikian
Entrepreneurship Hub

RECOMMENDATION:

That the Board of Trustees name the existing Entrepreneurship Hub Program (“eHub”) in the College of Engineering as the “Matthew and Margarethe Mashikian Entrepreneurship Hub.”

BACKGROUND:

The eHub program was created to promote technological innovation and support entrepreneurship, from ideation and conceptualization to fully launching startups and scaling businesses. The program advances this mission by offering courses, programs, and activities that provide training and support in the skills, tools, and mindset of being an entrepreneur.

Dr. and Mrs. Mashikian are long-time members of the UConn community. Dr. Mashikian is a Professor Emeritus of Electrical Engineering and was the Founding Director of UConn’s Electrical Insulation Research Center. He taught in the then-School of Engineering for 14 years until his retirement in 1997. In addition to his work at UConn, he led research in engineering at Detroit Edison and later founded Imcorp, a technology leader in underground power cable life cycle condition assessment and performance. Mrs. Mashikian worked as an orthoptist with a focus on therapeutic exercises for adults with double vision and eye fatigue.

The Mashikians have made an outright gift and pledged additional donations for an endowed fund to provide substantial ongoing operational support to the existing Entrepreneurship Hub in the College of Engineering. The amount is consistent with the University’s *Named Gift Guideline*.

On June 18, 2024, the Academic Affairs Committee and the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 34

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Naming Recommendation for the National Laboratory for Occupational Heat Safety at UConn's Korey Stringer Institute Powered by Magid & Mission

RECOMMENDATION:

That the Board of Trustees name the National Laboratory for Occupational Heat Safety at UConn's Korey Stringer Institute as the "National Laboratory for Occupational Heat Safety at UConn's Korey Stringer Institute Powered by Magid & Mission." The Laboratory is located on the basement level of Gampel Pavilion and is managed under the Department of Kinesiology within the College of Agriculture, Health and Natural Resources.

BACKGROUND:

The research lab space is planned to be approximately 940 square feet and is expected to be used to support growth and sustainability of the Institute's research on heat safety for the workforce.

Both Mission and Magid are corporate partners of the Korey Stringer Institute with particular interest in the Institute's research, advocacy, and impact on occupational heat safety of the laborer workforce. Each company has pledged a commitment to the Institute totaling an amount consistent with the University's *Named Gift Guidelines*.

At its June 4, 2024, meeting, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 35

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric



RE: Naming Recommendation for the Professor Emeritus John E. Morral Conference Room in the Science 1 Building

RECOMMENDATION:

That the Board of Trustees names Conference Room 1082 in the Science 1 Building on the Storrs campus as the “Professor Emeritus John E. Morral Conference Room.”

BACKGROUND:

The Science 1 Building opened in the summer of 2023, significantly expanding UConn’s educational and research work in STEM fields and houses the Institute of Materials Science. Conference Room 1082 is approximately 220 square feet and is located on the First Floor.

The late John E. Morral dedicated 32 years of his professional life to UConn students, teaching students in the then-School of Engineering and serving as Department Head of Materials Science and Engineering. Professor Morral is survived by his wife, Dorothy Morral, who gave a gift in support of the Department of Materials Science and Engineering to honor her husband’s legacy at UConn.


Mrs. Morral’s gift is consistent with the University’s *Named Gift Guidelines*.

On June 18, 2024, the Institutional Advancement Committee recommended approval to the full Board.

ATTACHMENT 36

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Modification of Naming Recommendation for the RTX Technology Research Center

RECOMMENDATION:

That the Board of Trustees, as requested by donors, rename the Level G cleanroom bay (third bay) located in the Science 1 Building on the Storrs campus as the “RTX Clean Room.” The Board named the Level G cleanroom as the RTX Research Center on February 28, 2024.

BACKGROUND:

Dedicated to the interdisciplinary fields of materials science and engineering, Science 1 is one of the University’s largest and most technologically advanced research buildings. Science 1 is the centerpiece of the University’s new Northwest Science Quad “science district.”

RTX and its business units are strategic corporate partners of the University. With a focus on diversity, equity, and inclusion, they have provided scholarship support to underserved students within the College of Engineering. In October 2023, UConn held its first RTX-UConn Research Day with the aim of building a stronger, longer-term, and broader research strategic partnership.

RTX Corporation is an American aerospace and defense conglomerate formed by the merger of United Technologies Corporation and Raytheon that includes three subsidiaries: Collins Aerospace, Pratt and Whitney, and Raytheon. RTX serves customers in commercial aerospace and defense industries. RTX’s current gift was consistent with the University’s *Named Gift Guidelines*.

At its June 4, 2024, meeting, the Institutional Advancement Committee recommended modification to the full Board.

ATTACHMENT 37

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric



RE: Naming Recommendation for the Cynthia Wyeth Peterson Memorial Observatory and the Cynthia Wyeth Peterson Memorial Planetarium

RECOMMENDATION:

That the Board of Trustees name the East Road Observatory as the Cynthia Wyeth Peterson Memorial Observatory and the Planetarium as the Cynthia Wyeth Peterson Memorial Planetarium.

BACKGROUND:

Professor Cynthia Peterson (1933-2020) joined the UConn Physics department in 1968 as the sole female faculty member, and she remained the only woman faculty member for nearly 30 years. During her 49 years at UConn, she became known for her passion in astrophysics, notably leading the construction of a state-of-the-art observatory on UConn's campus. She revolutionized interactive astronomy education at UConn, incorporating practical methods into the curriculum through the Gant and East Road observatories and the UConn planetarium. Beyond her teaching of over 9,000 undergraduates and extensive outreach efforts, Professor Peterson emphasized mentorship and the importance of undergraduate research; in particular, advocating for women in science and working to enhance scientific literacy in the local community.

The opening of the observatory in January 1980, funded by an NSF grant awarded to Professor Peterson, expanded the University's astronomy capabilities. The facility was recently refurbished and reopened in 2023. Renaming the observatory recognizes Professor Peterson's contributions to the university and the field of astronomy and reflects the historical and academic significance of her work. The Planetarium, which Professor Peterson staffed, will reopen in 2024.


Professor Peterson's legacy exemplifies passion, perseverance, and dedication to science and education. She was a mentor, a leader for women in science, and an inspiration to all who knew her. Her contributions to UConn, physics, astronomy, and the broader community have left an indelible mark that will be memorialized and appreciated for generations to come.

The Facilities Naming Committee approved the recommendation on May 13, 2024, which I endorsed and referred to the Institutional Advancement Committee, which approved the recommendation on June 18, 2024.

ATTACHMENT 38

June 26, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Revised Reopener to the Collective Bargaining Agreement with the University of Connecticut Chapter of the American Association of University Professors

RECOMMENDATION:

That the Board of Trustees approve the Revised Agreement between the University of Connecticut and the University of Connecticut Chapter of the American Association of University Professors.

RESOLUTION:

Resolved by the Board of Trustees that the Revised Agreement for a reopener agreement between the University of Connecticut and the University of Connecticut Chapter of the American Association of University Professors is hereby approved; and that the President of the University is authorized to execute such Agreement on behalf of the University; and that the President is authorized to do all things necessary to implement the Agreement.

BACKGROUND:

The University and the UConn-AAUP are parties to a collective bargaining agreement (CBA) expiring on June 30, 2025. Article 40.1 of the CBA provides for a reopener for the final year of the contract (July 1, 2024 to June 30, 2025) limited to a general wage increase, merit pool, minimum adjunct compensation, and summer and winter compensation. The University and the Union began reopener negotiations in December 2023.

On March 5, 2024, the parties signed a tentative agreement on all aspects of the reopener, providing increases effective August 23, 2024. On March 6, 2024, the parties signed a revised tentative agreement that clarified the process for Research Assistant merit. The revised tentative agreement was put to the members of the Union for a ratification vote, which was approved. On March 20, 2024, the Board approved the March 5, 2024, tentative agreement, rather than the March 6, 2024, revised tentative agreement, which was ratified by Union members.

March 5, 2024
UConn Counterproposal
OFF THE RECORD
PACKAGE ARTICLE 19 and ARTICLE 38

This package proposal is for the purpose of the FY 2025 wage reopener negotiations only and is without practice or precedent.

ARTICLE 19

SALARY AND BENEFITS

19.1 Permanent members of the bargaining unit who are half time or more, but not full time, shall receive a prorated share of all salary and fringe benefits.

A. Salary

The following increases shall be added to the base salary of each member of the bargaining unit who is employed on April 1, 2024, and still employed on the effective date of the increase.

1. Effective August 23, 2024, those members of the bargaining unit whose performance is satisfactory shall receive a 2.5% general wage increase (GWI).

2. Effective August 23, 2024, a merit pool shall be established comprised of 2.0% of the combined salaries of members of the unit as of December 31, 2023, and distributed as follows:

(a) Those members of the bargaining unit whose performance is satisfactory shall receive a performance-based award of \$1000.

(b) Those members of the bargaining unit whose full-time annual salary is less than or equal to \$55,168 and whose performance is satisfactory shall receive a 0.5% performance-based award in addition to the award in (a).

(c) The remainder will be distributed in accordance with the procedures outlined in Article 25.

19.2 Research Assistants and Research Associates

Research Assistants and Research Associates shall receive the following increment increase in lieu of a merit award provided under this Agreement. The amount shall be added to the base salary of each bargaining unit member with this title who qualifies whose performance is satisfactory and who has been employed as of April 1, 2024, and is still employed on the effective date of the increase:

Effective August 23, 2024, the merit increment of each Research Assistant and Research Associate in the bargaining unit shall be calculated as the percentage increase accorded to merit in Article 19.1(A)(2).

March 5, 2024
UConn Counterproposal
OFF THE RECORD
PACKAGE ARTICLE 19 and ARTICLE 38

19.7 Adjunct Faculty Compensation

For Adjunct Faculty, the minimum remuneration shall be at the following rates:

Effective August 23, 2024	\$2,062 per credit
---------------------------	--------------------

For adjuncts with more than two (2) academic years (four (4) semesters) of continuous employment, the minimum remuneration shall exceed the amount above by the following percentage each year of the agreement: 2%.

For adjuncts with more than six (6) academic years (twelve (12) semesters) of continuous employment, the minimum remuneration shall exceed the amount above by the following percentage each year of the agreement: 3%.

ARTICLE 38

SUMMER AND WINTER COMPENSATION

38.1 Compensation

Compensation of full-time faculty who hold academic appointments during the regular academic year and who teach credit bearing courses during the summer or winter sessions are paid as described in A.1 and A.2 below, unless teaching such courses is part of the bargaining unit member's regular teaching load. The summer and winter academic programs are self-supporting based upon fee revenue from program participants.

A. Traditional Credit Courses

1. Non-W Credit Courses: Effective August 23, 2024, faculty will be paid a base salary of \$1,915 per credit for enrollments up to and including eight (8) students. \$230 per credit per student will be added to this base for each additional student thereafter up to a maximum of 12% of the faculty member's normal academic year salary or 2.25 times the minimum adjunct rate, whichever is greater, not to exceed 12% of the University-wide full professor average salary. Compensation for credit bearing courses above or below three credits shall be prorated accordingly.

2. W Credit Courses: Effective August 23, 2024, faculty will be paid a base salary of \$1,915 per credit for enrollments up to and including eight (8) students. \$240 per credit per student will be added to this base for each additional student thereafter up to a maximum of 12% of the faculty member's normal academic year salary or 2.25 times the minimum adjunct rate, whichever is greater, not to exceed 12% of the University-wide full professor average salary. Compensation for credit bearing courses above or below three credits shall be prorated accordingly.

March 5, 2024

UConn Counterproposal

OFF THE RECORD

PACKAGE ARTICLE 19 and ARTICLE 38

5. Adjunct Faculty: Adjunct faculty who teach during the regular academic year shall be paid the same rate when teaching the same course(s) during the summer or winter.

Tentative Agreement:



For the UConn-AAUP

Date: 3/6/2024



For the University

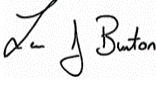
Date: 3/6/24

ATTACHMENT 39



June 26, 2024

TO: Members of the Board of Trustees

FROM: Laura Burton, Chair, Senate Executive Committee 

RE: Proposed Changes to the *By-Laws of the University of Connecticut*

RECOMMENDATION:

That the Board of Trustees amend the *By-Laws of the University of Connecticut* Article V – Committees of the Board of Trustees, Article VIII – The University Administration, and Article IX – The University Senate.

BACKGROUND:

The *By-Laws of the University of Connecticut* may be amended at any regular meeting of the Board by a recorded majority of all members of the Board provided that notice of any proposed amendment, including a draft thereof, shall have been given at a previous regular meeting. Such notice and the resolution calling for the described amendments to be acted upon at one of the next three meetings of the Board was given at the meeting dated April 17, 2024.

On February 5, 2024, the University Senate passed four recommendations to amend Section F.3 of Article V, Section C.1 of Article VIII, and Sections B.4 and G.1 of Article IX in the *By-Laws*.

The recommendation to Section F.3 of Article V asks the Board to give the student governments at the regional campuses an equal advisory role in recommending two undergraduate students as voting members on the Student Life Committee.

The recommendation to Section C.1 of Article VIII asks the Board to expand the membership of The Trustee-Administration-Faculty-Student Committee by four to include the respective presidents of each regional campus student government.

The recommendation to Section B.4 of Article IX asks the Board to adjust the appointment process for undergraduate students representing the regional campuses on the University Senate.

The recommendation to Section G.1 of Article IX asks the Board to guarantee that one of the two seats on the Senate Executive Committee reserved for undergraduate students be filled by a senator representing a campus other than Storrs and clarify the procedure for determining student representation on the Senate Executive Committee.

For the information of the Board, also attached is the original language of Articles V, VIII, and IX, with indications of the proposed amendments.

University Senate Executive Committee
362 FAIRFIELD WAY, UNIT 4169
STORRS, CT 06269-4169
PHONE 860.486.2236
<http://www.senate.uconn.edu>

Article V – Committees of the Board of Trustees

(Deleted items struck through, new language underlined)

F. Membership on Committees other than the Board of Directors of the University of Connecticut Health Center

3. The trustee elected by the undergraduate students will be appointed as a voting member of the standing committee having cognizance over financial matters. Upon the recommendation of the Undergraduate Student Government of Storrs, the Associated Student Government of Avery Point, the Undergraduate Student Government of Hartford, the Student Government Association of Stamford, and the Associated Student Government of Waterbury, the board shall appoint two undergraduate students as voting members to the standing committee having cognizance over student life matters. This paragraph three shall be null and void if the number of student trustees on the board is greater than two.

Article VIII – The University Administration

(Deleted items struck through, new language underlined)

C. The Trustee-Administration-Faculty-Student Committee

1. *Membership*

The Trustee-Administration-Faculty-Student (TAFS) Committee shall consist of the members of the committee of the Board of Trustees having cognizance over academic matters; the President; the Provost; the Vice Presidents; the members of the Senate Executive Committee; the President, Undergraduate Student Government of Storrs; the President, Associated Student Government of Avery Point; the President, Undergraduate Student Government of Hartford; the President, Student Government Association of Stamford; the President, Associated Student Government of Waterbury; the President, Student Union Board of Governors; the Chair, Residence Hall Advisory Board; the President, Graduate Student Senate; and such other individuals serving in a non-voting capacity as may be designated.

The Chairperson of the TAFS Committee shall be chosen by the Committee at its first meeting each academic year.

Article IX – The University Senate

(Deleted items struck through, new language underlined)

B. Elections

4. Five undergraduate ~~Undergraduate~~ student members representing the Storrs campus shall be appointed by the President of the Undergraduate Student Government of Storrs according to procedures established by the Undergraduate Student Government of Storrs. ~~Each regional campus~~ The Avery Point, Hartford, Stamford, and Waterbury campuses shall each be represented by one student senator appointed according to procedures established by the Associated Student Government of Avery Point, the Undergraduate Student Government of Hartford, the Student Government Association of Stamford, and the Associated Student Government of Waterbury ~~Undergraduate Student Government~~.

Article IX – The University Senate

(Deleted items struck through, new language underlined)

G. Committees

1. The Senate Executive Committee
The Senate Executive Committee (SEC) shall consist of eight faculty members, two professional staff members, and two undergraduate and two graduate student members. The faculty and professional staff members shall be elected by the Senate from among the elected members of the Senate who are not primarily administrators. Department heads and directors are not regarded as primarily administrators. ~~The~~ Both the undergraduate and graduate members shall be ~~selected~~ elected from among and by all student members of ~~the~~ their respective Senate delegation in a blanket election, wherein the top vote-getters will serve as the Senate Executive Committee representatives. At least one of the undergraduate student members of the SEC shall be a representative from Storrs and at least one shall be a representative from Avery Point, Hartford, Stamford, or Waterbury. The Undergraduate Student Government of Storrs and Graduate Student Senate Presidents or their student Senate member designee will serve as the interim student representatives to the Senate Executive Committee during the summer months until the permanent members are selected.

ATTACHMENT 40



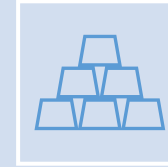
Board of Trustees Financial Affairs

UConn and UConn Health Budget Presentation

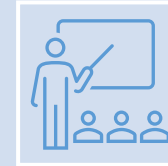


FY25 Budget Overview – UConn and UConn Health

- We remain grateful to the Governor, the Office of Policy and Management, and the General Assembly for continuous investment in UConn and UConn Health.
- Revenue changes are driven by growing enrollment, tuition and fee rate increases, and improved clinical revenues.
- Expense changes are driven by salary and wage increases, student financial aid support, new faculty hiring, and service and supply inflation.



Consolidated Budget
(3-6)



Storrs & Regionals
(7-32)

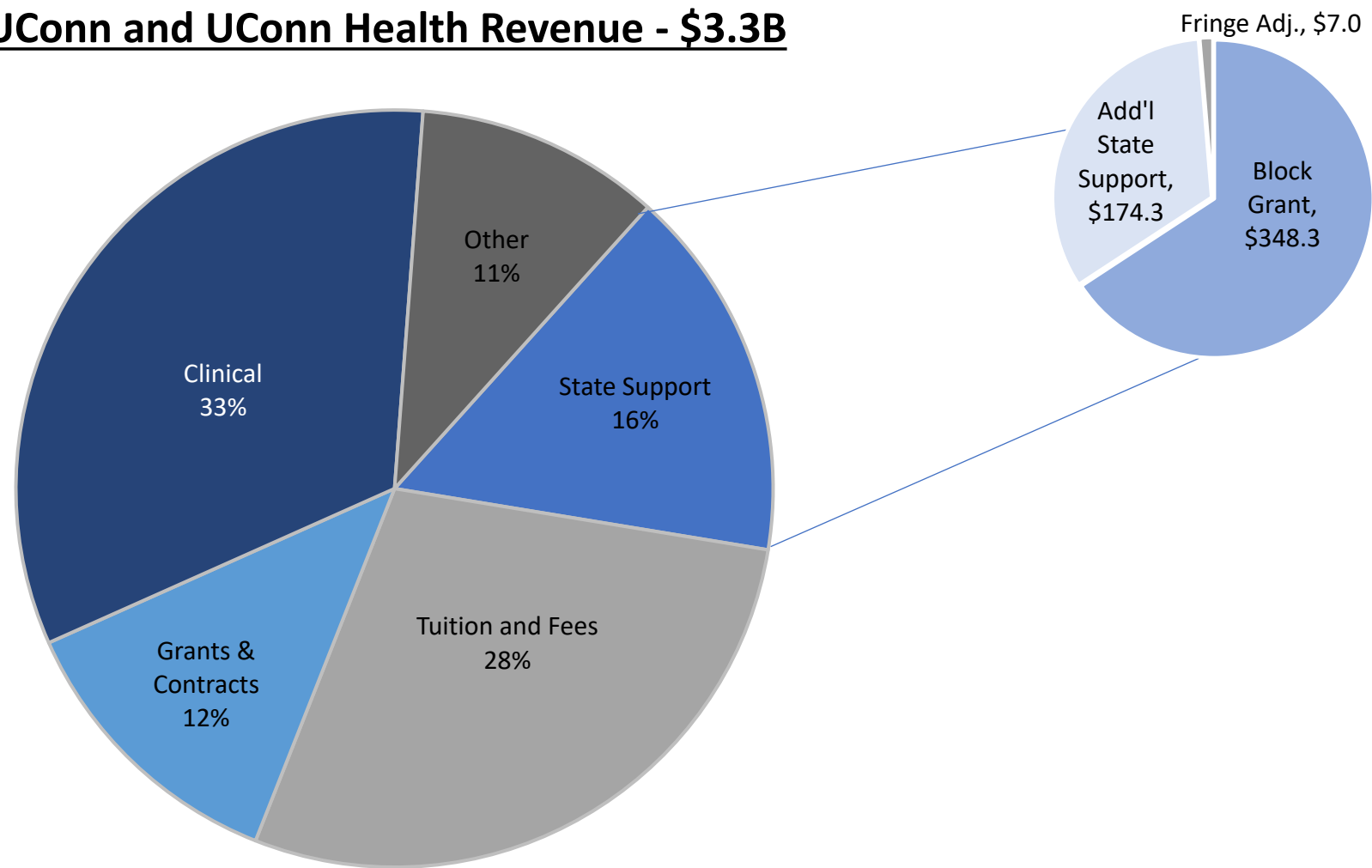


UConn Health
(33-59)

FY25 Proposed Operating Budget Consolidated Total

UConn and UConn Health Revenue - \$3.3B

State Support accounts for 16% of total revenues at UConn and UConn Health combined.



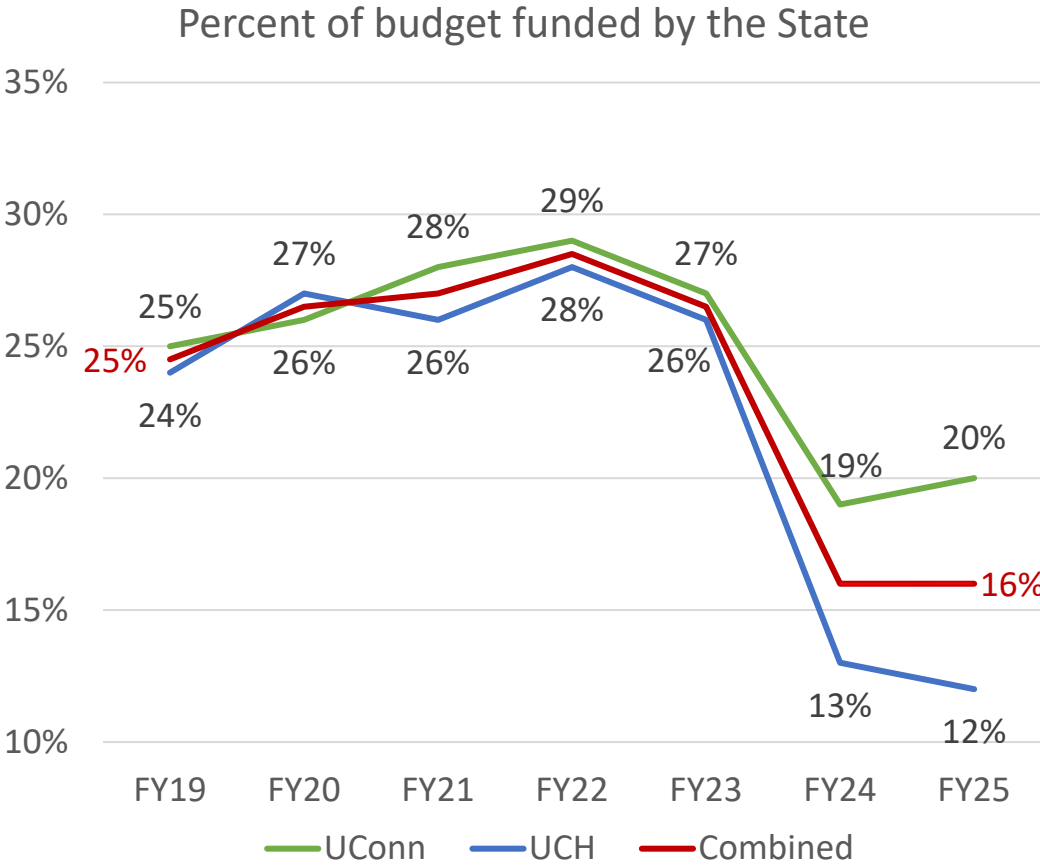
How Much of UConn's Budget does the State Support?

16%

In FY25, the State is supporting 16% of UConn's total budget

At UConn and UConn Health, the State supports 20% and 12% of their total budgets, respectively

20% of UConn's budget
12% of UConn Health's budget

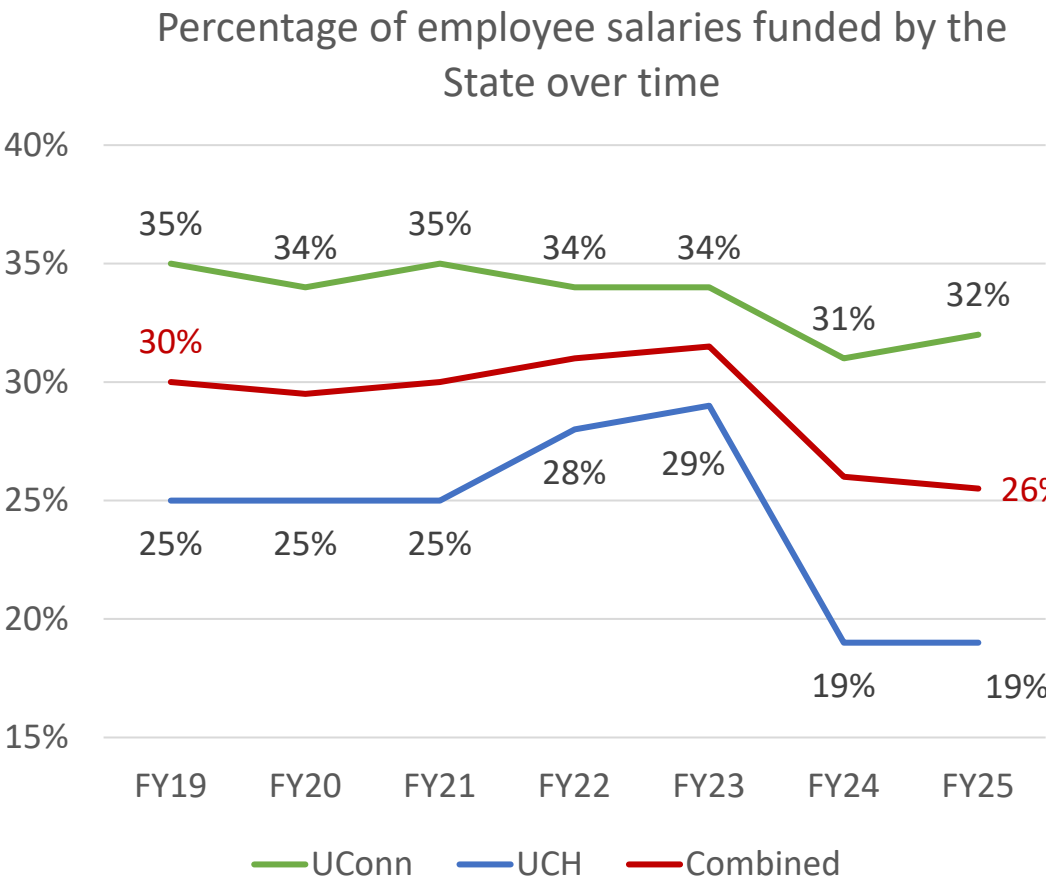


State Funds are Used to Support Salaries

State support is only used for salaries and covers:

26% of total employee salaries

32% of salaries at UConn
19% of salaries at UCH



Higher Education Financial Sustainability Advisory Board (PA 24-81 Sec. 107)

Purpose: to discuss barriers to meeting state workforce needs, developing economic growth, and achieving or maintaining affordable tuition. **Disaggregated information required include:**

Seven Year P&L	Including the current fiscal year, subsequent fiscal year, and five preceding fiscal years	Net position	A summary and general ledger account code analysis of the institution's unrestricted net position
Student Enrollment	The number of full- and part-time enrolled students by in-state and out-of-state	Cost Drivers	A summary of the institution's cost drivers
Filled and Vacant Positions	The number of vacant and filled employment positions disaggregated by bargaining unit	Deficit Mitigation Plan	A detailed plan that eliminates a deficiency if the current or subsequent year's financial report projects

The first meeting will be held by September 1, 2024; and meet at least quarterly thereafter





Storrs & Regional Campuses

STUDENTS FIRST, EXCELLENCE ALWAYS, HUSKIES FOREVER

FY24 Forecast

Through one-time salary savings and generous state support, UConn is forecasting a balanced budget for FY24.

- During FY24, the University recognized favorable tuition/fee revenues and significant energy savings.
- These gains offset additional spending in salaries/benefits, travel, and other transfers to capital projects.

UConn Storrs & Regional Campuses	FY24 Forecast	FY24 Revised Budget	Variance	Variance %
State Block Grant	216.2	216.2	-	0.0%
Additional State Support	82.2	82.2	-	0.0%
Adjustments	1.5	-	1.5	-
Total State Support	\$ 299.9	\$ 298.4	\$ 1.5	0.5%
Tuition - Undergraduate	483.0	472.0	11.0	2.3%
Tuition - Graduate	40.9	43.0	(2.1)	-4.9%
Course/Mandatory Fees	166.1	162.1	4.0	2.5%
Grants & Contracts - Financial Aid	78.8	78.8	-	0.0%
Grants & Contracts - Other	206.7	206.7	-	0.0%
Auxiliary Revenue	233.4	231.2	2.2	1.0%
Other Revenues	82.8	80.8	2.0	2.5%
Total Revenues	\$ 1,591.6	\$ 1,573.1	\$ 18.6	
Salary/Benefits	\$ 858.7	\$ 850.4	8.3	1.0%
Financial Aid - Tuition Funded	181.2	181.2	-	0.0%
Financial Aid - Other	101.9	101.9	-	0.0%
Energy	23.3	30.2	(6.9)	-22.9%
Equipment	26.7	24.7	2.0	8.0%
Capital Projects/Debt Payments	94.1	83.9	10.2	12.2%
Other Expenses	305.6	300.7	4.9	1.6%
Total Expense	\$ 1,591.6	\$ 1,573.0	\$ 18.5	
Net Income/(Loss)	\$ 0.0	\$ 0.0	\$ -	



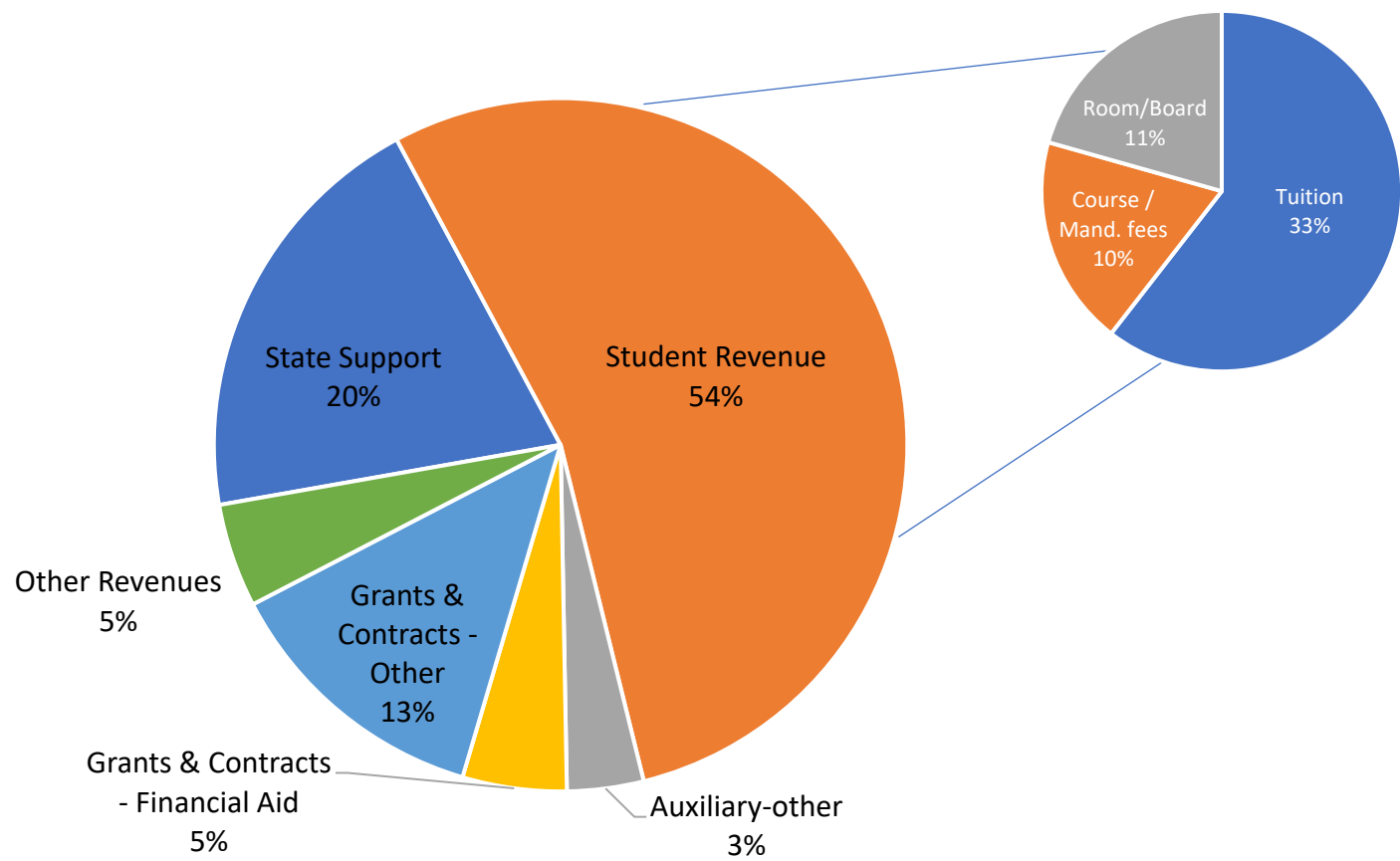
FY25 Proposed Spending Plan

- UConn will be utilizing prior year funds, reducing expenses, and expecting additional ARPA funds to balance the FY25 budget.

UConn Storrs & Regional Campuses	FY25 Budget	Primary FIP	Secondary Mid Year FIP	FY25 Final Budget	FY24 Forecast	Variance	% Variance
State Block Grant	227.4			227.4	216.2	11.3	5.2%
Additional State Support	88.8		10.0	98.8	82.2	16.6	20.2%
Adjustments (WC/FB reimb)	\$ 1.9			1.9	\$ 1.5	0.4	23.3%
Total State Support	\$ 318.1	\$ -	\$ 10.0	\$ 328.1	\$ 299.9	\$ 28.2	9.4%
Tuition	559.2			559.2	523.9	35.3	6.7%
Course/Mandatory Fees	167.8			167.8	166.1	1.7	1.0%
Grants & Contracts - Financial Aid	80.0			80.0	78.8	1.2	1.5%
Grants & Contracts - Other	211.2			211.2	206.7	4.5	2.2%
Auxiliary Revenue	242.2			242.2	233.4	8.8	3.8%
Other Revenues	82.8			82.8	82.8	0.1	0.1%
Total Revenues	\$ 1,661.3	\$ -	\$ 10.0	\$ 1,671.3	\$ 1,591.6	\$ 79.8	5.0%
Salary/Benefits	\$ 904.3	\$ (4.5)		899.8	\$ 858.7	41.1	4.8%
Financial Aid - Tuition Funded	198.4			198.4	181.2	17.2	9.5%
Financial Aid - Other	108.2			108.2	101.9	6.3	6.2%
Energy	26.2			26.2	23.3	2.9	12.3%
Equipment	37.8			37.8	26.7	11.1	41.5%
Capital Projects/Debt Payments	86.9			86.9	94.1	(7.2)	-7.7%
Purchased Services	194.9			194.9	184.7	10.2	5.5%
Other Expenses	134.3	(3.0)		131.3	120.9	10.4	8.6%
Total Expense	\$ 1,691.1	\$ (7.5)	\$ -	\$ 1,683.6	\$ 1,591.6	\$ 92.0	5.8%
Use of Fund Balance		10.1	2.2	12.3			
Net Income/(Loss)	\$ (29.7)	\$ 17.6	\$ 12.2	\$ 0.0	\$ -	\$ -	
Retirement Costs				\$ 196.8	\$ 213.1	16.2	-100.0%

FY25 Revenues

Revenue Budget - \$1,671.3M



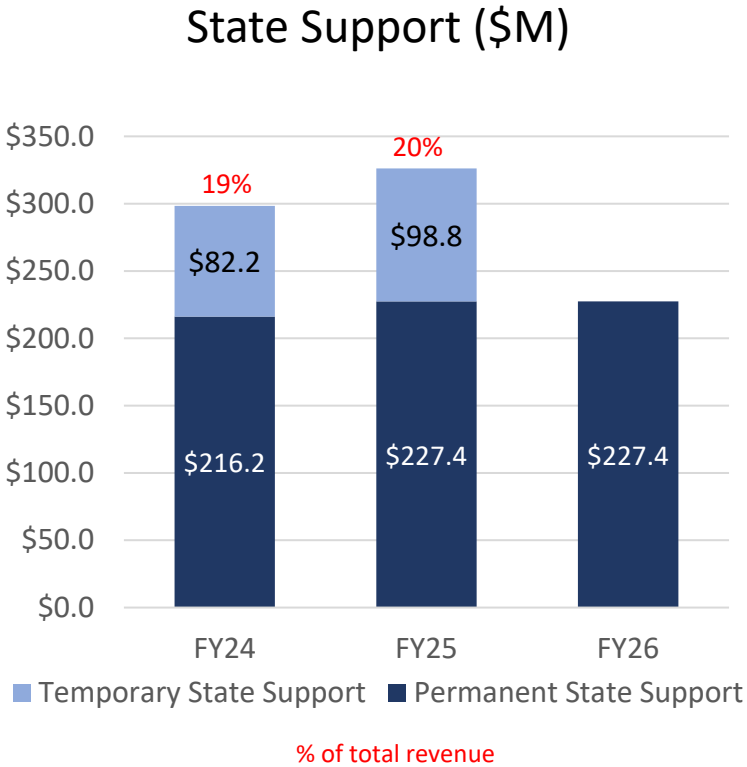
- Revenues will increase 5.0% over FY24, mainly driven by additional temporary state support and tuition revenue.
- 54% of total revenue is funded by students and their families through tuition and fees.
- Tuition rate increases and enrollment growth fueled revenues but is partially offset by financial aid needs.

State Support

The University is grateful for the support provided by the State in FY25. The significant one-time funding in FY25 will require UConn to implement strategic financial improvement plans over the next biennial budget.

State Support (\$M)		
State Support Salary	FY24	FY25
Operating Expenses	\$213.5	\$224.8
Institute for Municipal and Regional Policy	0.6	0.6
Veterinary Diagnostic Laboratory	0.3	0.3
UConn Veterans Program	0.3	0.3
Puerto Rican Studies Initiative	0.2	0.2
Health Services-Regional Campuses	1.4	1.4
Total State Support – Salary	\$216.2	\$227.4
Temporary Operating Support – ARPA	42.2	68.8
Temporary Operating Support - Carry Forward	40.0	20.0
Temporary Operating Support – Mid year ARPA		10.0
Total Additional State Support	\$82.2	\$98.8
Fringe Adjustments (WC,FB reimb.)	1.5	1.9
Total Appropriation	\$299.9	\$328.1

← Temporary funds



*The State is covering all pension/retirement fringe costs, so those costs have been removed from the budget on both the revenue and expense sides.

Tuition Revenue

Tuition revenue will grow from increases in rate, residency mix, and enrollment and will generate \$559M in FY25.

UConn is in year 5 of its 5-year tuition plan.

Years 5 – 5 of the current tuition plan rates					
2022-23		2023-2024		2024-2025	
Resident	Non-Resident	Resident	Non-Resident	Resident	Non-Resident
\$15,672	\$38,340	\$16,332	\$39,000	\$17,012	\$39,680

Tuition Revenue*		
FY23 Actual	FY24 Projection	FY25 Budget
\$492.2M	\$523.9	\$559.1

* Reflects undergraduate and graduate revenue

Undergraduate Enrollment				
Residency	FY24 Actual		FY25 Budget	
	Count	%	Count	%
In-State	17,593	72%	17,219	69%
Out-of-State Domestic	5,107	21%	5,983	24%
International	1,656	7%	1,618	7%
Total	24,356		24,820	

Increasing out-of-state and international enrollment fuels new revenue growth.

Growth is focused on maximizing “net tuition revenue” (which is revenue after financial aid)



Student Demand by Major (Based on Deposits)

Overall Storrs deposits are up 6.8% vs last year and Stamford deposits are up 57% vs last year.

Top Five Majors									
Storrs		Stamford		Hartford		Waterbury		Avery Point	
Exploratory	8%	Exploratory	38%	Exploratory	36%	Exploratory	34%	Exploratory	33%
Biological Sciences	8%	Psychology	7%	Biological Sciences	9%	Allied Health	10%	Psychology	8%
Psychology	6%	Biological Sciences	7%	Business Undecided	7%	Biological Sciences	7%	Coastal Studies	8%
Nursing	5%	Political Science	4%	Psychology	7%	Business Admin	7%	Biological Sciences	7%
Computer Science	5%	Computer Science	4%	Allied Health	3%	Psychology	6%	Chemistry	3%

Demand has grown significantly in several STEM fields, particularly:

- Biology
- Pharmacy
- Chemical Engineering
- Nursing
- Economics
- Business

Fee Revenue

Fee revenue growth is related to enrollment growth and modest rate increases.

Fee Revenue		
\$ M	FY24 Projection	FY25 Budget
Course Fees	\$43.8	\$42.5
Intercession	28.4	29.1
Mandatory Fees	82.0	84.0
Other Fees	11.9	12.2
Total	\$166.1	\$167.8

Course Fees \$42.5M
Includes programs in the Schools of Business, Education, Nursing, Social Work, Engineering, and Law.

Intercession \$29.1M
Includes courses offered during the summer, winter and continuing education revenue.

Mandatory Fees \$84.0M
Includes fees for General University, Infrastructure Maintenance, Tech, and Student Health

The growth reflects increased rate and enrollment growth.

Other Fees \$12.2M
This includes fees such as Application, VISA compliance, late, online course, and enrollment fees.

Auxiliary Enterprise Revenue

Auxiliary revenues will increase by nearly \$9 million in FY25, driven primarily by revenue generated from the new South Campus residence hall.

Auxiliary Revenue		
\$ M	FY24 Projection	FY25 Budget
Housing	\$105.9	\$114.8
Dining	66.8	68.7
Dining Services/Retail	5.4	5.5
Athletics	35.7	34.7
Parking and Transit	12.0	12.0
Other	7.5	6.5
Total	\$233.4	\$242.2

Student Housing \$114.8M

Housing rates will increase by 2.75% in FY25, with an anticipated occupancy rate of 100% of ~13,250 beds

Athletics \$34.7M

Athletics includes Conference, Ticket Sales, and Sponsorship revenues.

Meal Plans/Dining Services and Retail \$74.2M

Dining rates will increase by 2.75% in FY25, driving revenue favorably and increasing meal plan purchases.

Parking and Transit/Other \$18.5M

Includes health services fee for service, self-supporting auxiliary enterprises, student, employee and visitor parking.

Foundation & Investment/Interest Income

Leveraging the Foundation and income from philanthropy will be an area of focus in the coming years for the University.

Foundation & Investment/Interest Income		
\$ M	FY24 Projection	FY25 Budget
Foundation	\$22.6	\$22.8
Investment Income*	0.6	0.6
STIF Interest Income	12.9	12.0
Total	\$36.1	\$35.4

*Endowment funds held by UConn

UConn has benefited from short-term investment fund (STIF) rates of nearly 5.5%.

FY25 Foundation assumes use of more endowed funds.

UConn will continue to work with the Foundation to maximize distributions.

Other Revenues

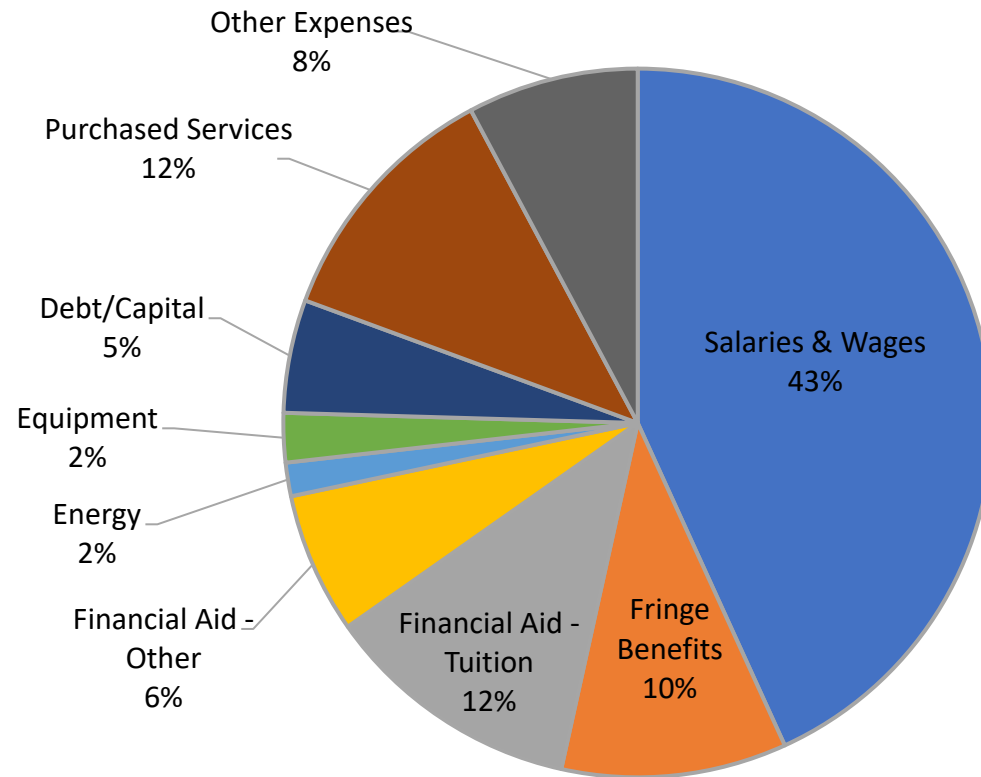
Other revenues are expected to see a slight increase in FY25.

Other Revenues		
\$ M	FY24 Projection	FY25 Budget
Building/Room Rental Income	\$1.5	\$1.5
Renewable Energy Credits	1.9	1.9
Royalties and License Income	1.4	1.4
One UConn Revenues	22.0	23.1
Sales/Services Revenue	15.7	16.4
Other Miscellaneous Revenue	4.2	3.1
Total	\$46.7	\$47.4

One UConn's revenues make up most other revenues. One UConn has been effective in reducing redundancies and achieving cost savings at UConn and UConn Health.

FY25 Expenses

Expense Budget - \$1,683.6M

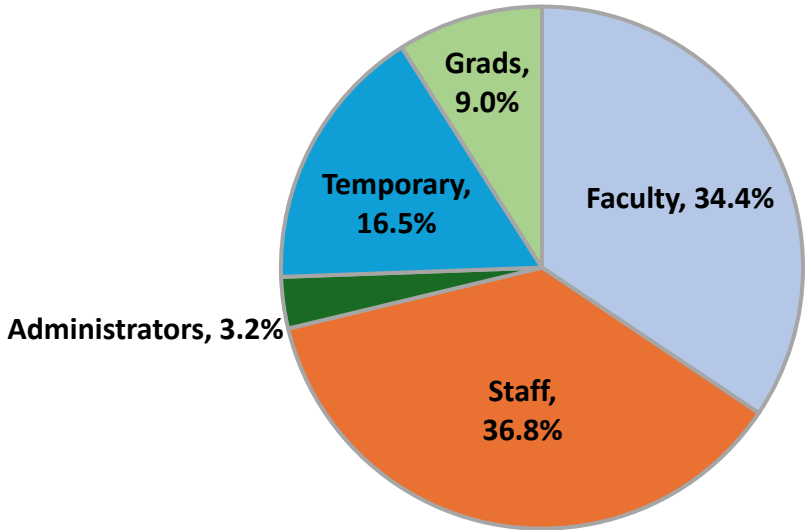


- Expenses will increase 5.8% over FY24 primarily driven by salary and wage increases and financial aid growth.
- 53% of total expense is allocated to personnel costs (salaries & wages + fringe benefits).
- Student financial aid commitment is significant with a 10% increase in tuition funded aid.

Salaries & Wages

Growth in FY25 is driven by salary and wage increases at 4.5% and faculty hiring.

Salary Expense Budget - \$727.0M



Temporary: includes undergraduate students, adjuncts, overtime, and other professional temporary support

92% of faculty & staff are covered by collective bargaining agreements

Perm FTE	FY19	FY23	FY24	5 Year Growth
Faculty	1,597	1,623	1,693	6.0%
Staff	3,174	3,228	3,410	7.4%
Total	4,771	4,851	5,103	6.9%

Note: Staff includes 97 top management administrators (IPEDS) which have been decreasing over the last 5 years.

Refills are reviewed and approved strategically.

Energy/Equipment

The FY25 energy budget of \$26.2M assumes an increase in electric price and increased consumption.

\$M	FY23 Actual	FY24 Forecast	FY25 Budget	1-yr increase
Energy	\$27.6	\$23.3	\$26.2	12.3%

The FY25 equipment budget of \$37.8M assumes slight inflation in cost and additional research spend. \$10M of equipment was shifted to bond funds in FY24.

Equipment (\$M)	FY25 Budget
Long term software	\$2.9
General equipment over \$5K	\$14.6
Research equipment	\$8.3
Computers/equipment under \$5K	\$7.3
Other (library, artwork, design)	\$0.6

Other Expenses - Purchased Services

The FY25 budget of \$194.9M assumes 2% inflationary growth on contracts plus increased operating expenses for new buildings.

Facilities - \$43.1M

Includes janitorial services, waste management, landscaping and other facilities contracts, etc.

Dining - \$25.8M

Includes salary and fringe costs for contracted staff.

Research - \$38.6M

Includes sub-agreements as well as consulting services and lab and facility costs.

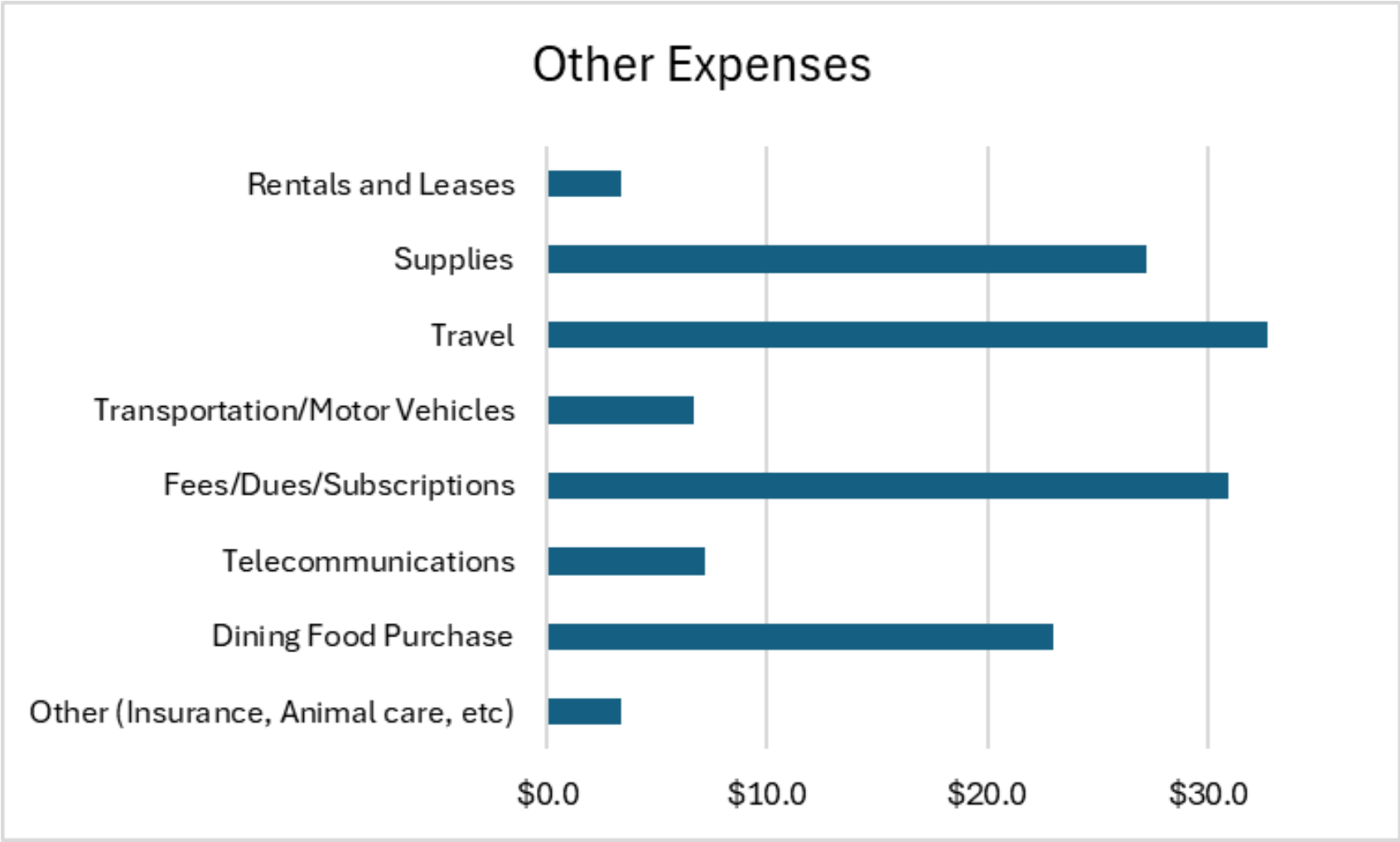
Other - \$87.4M

Includes Education Abroad, ITS contracts, Foundation support, Athletics payments to CRDA, and game operations.



Other Expenses

The remaining operating expenses of \$131.3M include various activities among the academic and administrative units.



Financial Aid Expense

UConn is committed to providing financial aid to ensure student quality and diversity, reflecting a 10% increase in University Supported funds in the FY25 budget.

Financial Aid		
\$ M	FY24 Forecast	FY25 Budget
Total Tuition Funded*	\$181.3	\$198.4
Department revenue	10.8	12.3
State (incl Roberta Willis Scholarship)	5.4	5.1
Federal	65.4	69.7
Other- private/Foundation**	20.2	21.1
Total	\$283.1	\$306.6

FY25 UConn funded set-aside for need-based aid as a % of tuition revenues is 16.5% (15% requirement). Merit aid is discretionary to the University.

80% of students receive some form of financial aid

*Tuition Funded includes undergraduate and graduate aid funded by tuition.
** Other funding comes from private sources such as the Foundation and Endowments.

Debt / Capital

The Debt / Capital category (\$86.9M) includes debt service payments for revenue bonds and funds transferred to capital accounts for projects.

Item (\$M)	FY25 Budget	Notes
Debt Payments	\$27.2	Recreation center, stadia, utility, cogen, & housing debt payments
Lease entries (Accounting adjust. GASB 87,etc)	\$15.5	Recent change to be classified as finance leases. Shift to capital funds.
Repair & renovation projects	\$29.2	Facilities and departmental projects (i.e. classrooms, roofs, elevators, boilers, chilled water, sewer, life safety, environmental remediation)
Housing plan re-investment (South Dorm)	\$15.0	Housing/Dining gain

Future Year Operating Budget Strategy

The new strategic plan will guide the university in developing a flexible five- to ten-year roadmap to financial sustainability.

- Strategically utilize the existing balances held at the University and Foundation as a bridge until new revenues and cost savings are realized, ensuring the financial stability of the university.

- Strategic planning discussion to start in July
 - Biennial state request for FY26-FY27
 - Enrollment plan
 - Tuition & fee rate plan

Vote - FY25 Spending Plan Resolution (Operating)

RECOMMENDATION:

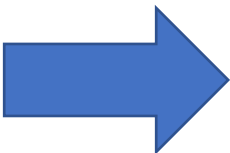
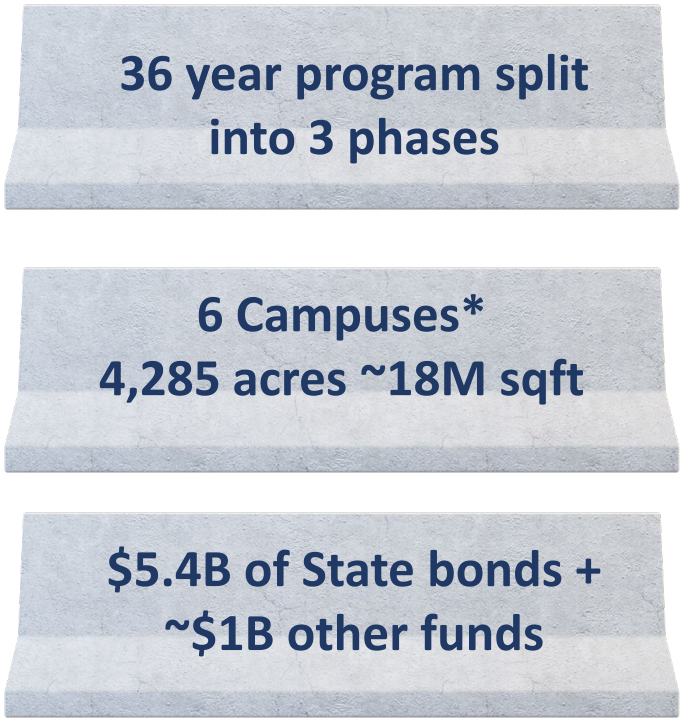
- That the Board of Trustees approve the Spending Plan for Fiscal Year 2025 of \$1,683.6 million for the University of Connecticut, Storrs and Regional Campuses.

RESOLUTION:

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2025 Spending Plan of \$1,683.6 million for the University of Connecticut, Storrs and Regional Campuses.”

UConn 2000 Capital Program

The program was enacted to attract and retain CT’s high-achieving students through a dramatic transformation and modernization of the University physical plant.



Over \$5.0B in capital expenditures since FY96 from all fund sources.

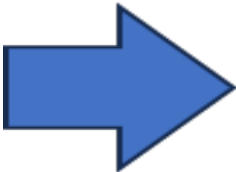
Capital Expenditures (\$M)	
\$3,870.5	State-supported UCONN 2000 GO Bonds
259.6	Other State-supported bonds (i.e. Tech Park, Waterbury)
346.6	UConn-supported Special Obligation bonds
565.4	Non-State funds (i.e. UConn operating funds, gifts)
\$5,042.1M Total Expenditures (as of 3/31/24)	

*Storrs, Avery Point, Farmington, Hartford, Stamford, Waterbury

UConn 2000 Extension

Extension of the UCONN 2000 program **includes an additional \$625M in funding over 7 years** for Storrs and the Regional Campuses.

New Projects (\$M)	New Bonds
Life Sciences Building (aka Science 2)	\$269.5
Torrey Demo	25.0
Gant-Code Repairs & Renovations	100.0
Deferred Maintenance	50.0
Return Hydrogen Hub Funds	12.0
Gampel Renovations	100.0
Field House Renovation (Title IX + DM)	60.0
Coventry Boathouse (Title IX)	0.8
Freitas Renovation (Title IX)	7.0
Tennis Facility (Title IX)	0.7
New Project Total	\$625.0



UConn 2000 (\$M)	Prior Total	Change	New Total
FY25	44.0	78.0	122.0
FY26	14.0	110.0	124.0
FY27	9.0	107.0	116.0
FY28		103.5	103.5
FY29		101.5	101.5
FY30		100.0	100.0
FY31		25.0	25.0
Total	\$67.0	\$625.0	\$692.0

Fundraising

- Fundraising goal of \$100M to be raised over 8 years (by FY32)
 - \$40M for operational expenses inclusive of \$10M endowed
 - \$60M for construction expenses
- Reporting of progress towards cumulative milestone targets
 - Annual bond authorization approval to be reduced proportionally if targets **not** met

Milestone Fundraising Targets (\$M)	FY25	FY26	FY27	FY28	FY29	FY30	FY31	FY32
	\$20.0	\$31.5	\$43.0	\$54.5	\$66.0	\$77.5	\$89.0	\$100.0

UCONN 2000 Bond Funded Projects by Statutory Named Lines	Total	By Program	
		Deferred Maint.	Other Improvements
Academic and Research Facilities	\$15.0		
Gant-Code Repairs & Renovations	15.0	15.0	
Deferred Maintenance	102.0		
Coventry Boathouse	0.6		0.6
Freitas Renovations	2.0		2.0
Gampel Renovations	19.0		19.0
Housing Repairs & Improvements	8.0	8.0	
Infrastructure & Building Repairs	3.5	3.5	
NW Quad: Electrical Infrastructure Upgrades	18.0	18.0	
Field House Renovation	28.2	28.2	
IPB Office & Lab Renovation for C2E2	13.6	5.0	8.6
Tennis Facility Improvements	0.7		0.7
Contingency	8.4	8.4	
Equipment	5.0		
Information Technology	5.0	5.0	
Total UCONN 2000 Bond Funded Projects	\$122.0	\$91.1	\$30.9
Other Funded Projects (University, Gifts, SO/GO Bonds)			
Equipment (State GO Bonds)	10.0	5.0	5.0
Gampel Enhancements (State GO Bonds)	10.0	10.0	
Facilities Repairs & Improvements (University)	11.5	11.5	
Programmatic Renovations (University)	6.5	3.3	3.3
Total Other Funded Projects	\$38.0	\$29.8	\$8.3
Grand Total FY25 Capital Budget	\$160.0	\$120.9	\$39.1

FY25 UConn Capital Budget

- FY25 is year 11 of the 17-year NextGenCT initiative.
- 28% of the capital budget will provide funding for active construction projects.

Vote - FY25 Capital Resolution

RECOMMENDATION:

- That the Board of Trustees approve a capital budget of \$160,000,000, as detailed in Attachment A, for Fiscal Year 2025 which is comprised of \$122,000,000 of UCONN 2000 State GO bond funds, \$20,000,000 of State GO bond funds, and \$18,000,000 of University funds. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2025 capital budget of \$160,000,000 for the University of Connecticut Storrs and Regional Campuses.”

Vote - FY25 Indentures

- 1) Thirty-First Supplemental Indenture Authorizing University of Connecticut General Obligation Bonds in an amount not to exceed \$122,000,000 plus cost of issuance, plus amounts carried forward from the Thirtieth Supplemental Indenture.
- 2) Thirty-Second Supplemental Indenture Authorizing University of Connecticut General Obligation Refunding Bonds secured by the State of Connecticut's Debt Service Commitment from time to time at such expected debt service savings and terms as future market conditions may warrant at the time of the sale.
- 3) Seventh Supplemental Indenture Authorizing University of Connecticut Special Obligation Refunding Bonds from time to time at such terms as future market conditions may warrant at the time of the sale.



**UCONN
HEALTH**

Fiscal Year 2025 Budget

FY24 Year End Forecast

Note: Use of decimals may result in rounding differences.

UConn Health Center	FY24 Forecast	FY24 Budget	Variance	% Variance
State Support Salary	111.6	111.6	0.0	0.0%
Additional State Support	86.5	86.5	0.0	0.0%
State Support Fringe	0.7	5.3	(4.5)	(639.8%)
Total State Support	\$ 198.8	\$ 203.3	\$ (4.5)	(2.3%)
Tuition and Fees	33.8	33.4	0.4	1.3%
Grants & Contracts	121.6	122.5	(0.9)	(0.7%)
Interns/Residents	82.8	82.8	(0.0)	(0.0%)
Net Patient Revenue	944.0	919.8	24.2	2.6%
Other Revenue	203.1	188.4	14.7	7.3%
Total Revenues	\$ 1,584.1	\$ 1,550.2	\$ 34.0	2.1%
Salaries & Wages	600.9	601.8	(0.9)	(0.1%)
Fringe Benefits	154.7	157.0	(2.3)	(1.5%)
Temporary/Contractual Support	158.7	147.5	11.2	7.0%
Drugs/Medical Supplies	306.3	296.7	9.6	3.1%
Resident and Fellow house staff	68.4	68.6	(0.3)	(0.4%)
Utilities	13.5	14.9	(1.3)	(9.9%)
Interest Expense on Debt Service	8.0	8.0	(0.0)	(0.3%)
Purchased Services	134.8	140.8	(6.0)	(4.5%)
Other Expenses	91.8	87.8	4.0	4.3%
Capital Projects/Debt Payments	36.9	27.0	9.9	26.9%
Total Expenses	\$ 1,574.0	\$ 1,550.2	\$ 23.8	1.5%
Net Income/(Loss)	\$ 10.1	\$.0	\$ 10.1	

FY25 Proposed Spending Plan

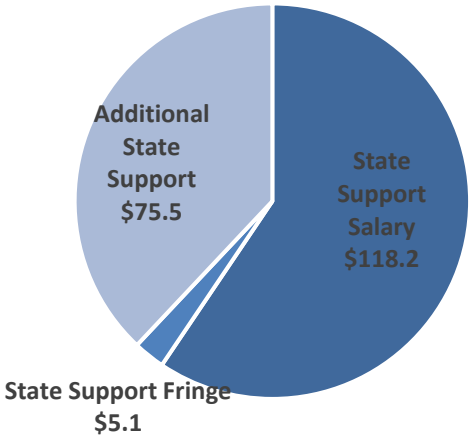
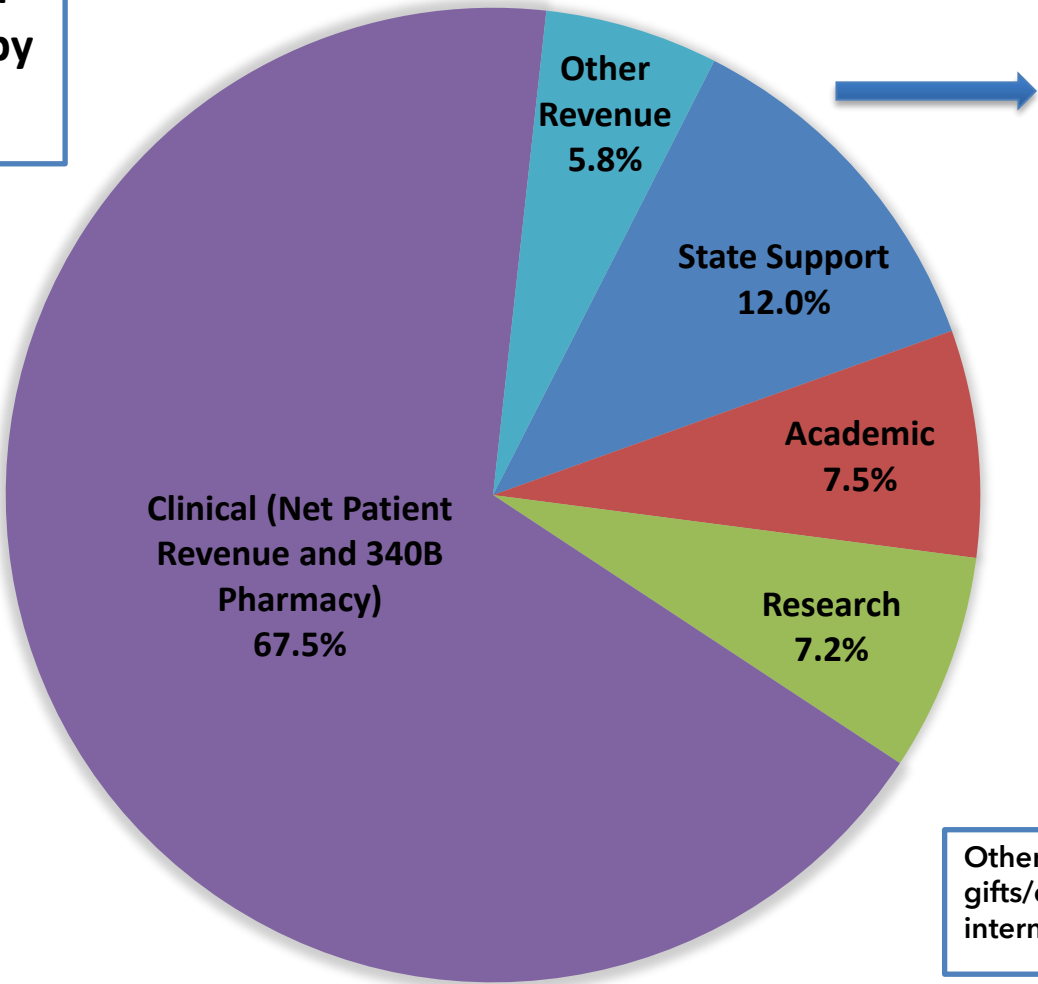
Note: Use of decimals may result in rounding differences.

UConn Health Center	FY25 Budget	Primary FIP	Secondary Mid Year FIP	FY25 Revised Budget	FY24 Forecast	Variance	% Variance
State Support Salary	118.2			118.2	111.6	6.6	5.9%
Additional State Support	65.5		10.0	75.5	86.5	(11.0)	(12.7%)
State Support Fringe	5.1			5.1	0.7	4.4	100.0%
Total State Support	\$ 188.8		\$ 10.0	\$ 198.8	\$ 198.8	\$ (0.0)	(0.0%)
Tuition and Fees	34.2			34.2	33.8	0.4	1.2%
Grants & Contracts	119.2			119.2	121.6	(2.4)	(2.0%)
Interns/Residents	90.2			90.2	82.8	7.4	9.0%
Net Patient Revenue	999.4	4.0		1003.4	944.0	59.3	6.3%
Other Revenue	206.4			206.4	203.1	3.3	1.6%
Total Revenues	\$ 1,638.2	\$ 4.0	\$ 10.0	\$ 1,652.2	\$ 1,584.1	\$ 68.1	4.3%
Salaries & Wages	644.2	(4.2)		640.0	600.9	39.1	6.5%
Fringe Benefits	168.3	(1.3)		166.9	154.7	12.2	7.9%
Temporary/Contractual Support	159.2			159.2	158.7	0.5	0.3%
Drugs/Medical Supplies	332.0			332.0	306.3	25.7	8.4%
Resident and Fellow house staff	77.5			77.5	68.4	9.1	13.3%
Utilities	14.8			14.8	13.5	1.2	9.1%
Interest Expense on Debt Service	8.0			8.0	8.0	0.1	0.8%
Purchased Services	149.9	(4.5)		145.4	134.8	10.5	7.8%
Other Expenses	79.0			79.0	91.8	(12.7)	(13.9%)
Capital Projects/Debt Payments	30.9			30.9	36.9	(6.0)	(16.3%)
Total Expenses	\$ 1,663.8	\$ (10.0)	-	\$ 1,653.8	\$ 1,574.0	\$ 79.8	5.1%
Prior Year Unrestricted Fund Balance	\$.0		\$ 1.6	\$ 1.6	\$.0	\$ 1.6	
Net Income/(Loss)	\$ (25.6)	\$ 14.0	\$ 11.6	\$ (.0)	\$ 10.1	\$ (10.1)	
Non-operating pension/retirement costs				\$ 196.6	\$ 211.4	\$ (14.8)	(7.0%)

FY25 Proposed Spending Plan

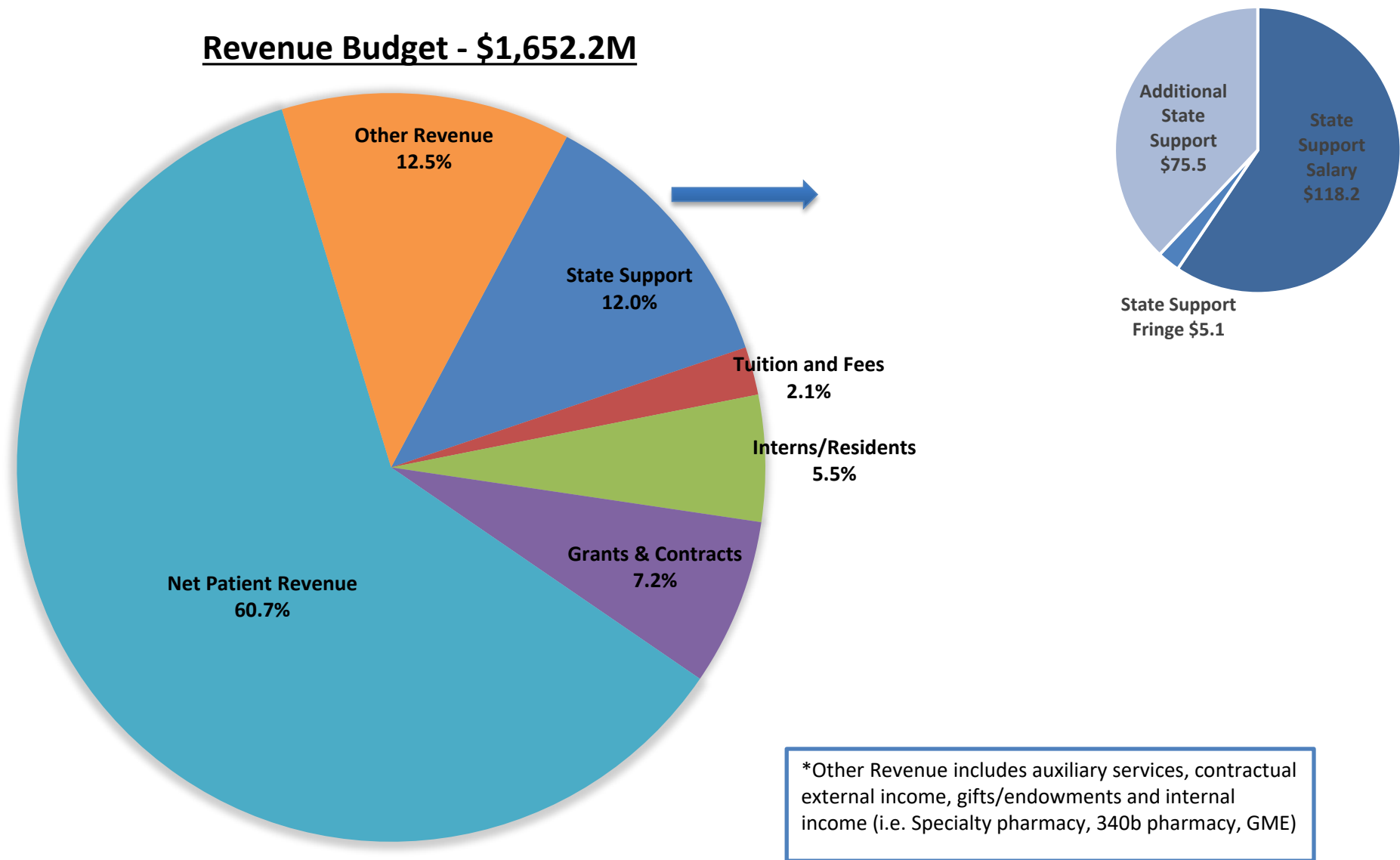
Over 67.5% of total revenues is funded by patients

Revenue Budget - \$1,652.2M



Other Revenue includes auxiliary services, gifts/endowments and internal income (offset by internal expense)

FY25 Proposed Spending Plan



State Support

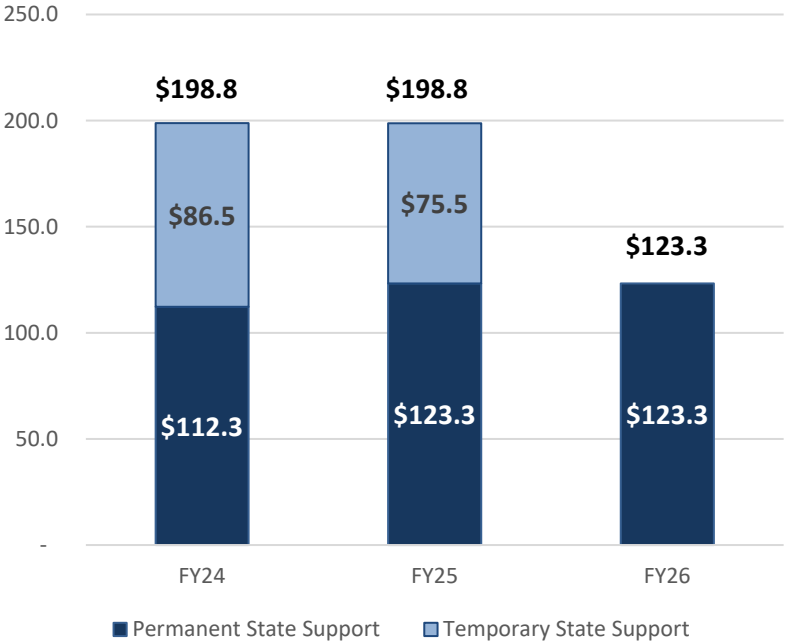
UConn Health is grateful for the generous support provided by the State in FY25. The significant one-time funding in FY25, will require UConn Health to implement Financial Improvement Plans over the next biennial budget.

State Support (\$M)		
State Support Salary	FY24	FY25
Operating Expenses	\$ 111.2	\$ 117.7
AHEC	0.4	\$ 0.4
Total State Support - Salary	\$ 111.6	\$ 118.2
Additional State Support		
Temporary Operating Support - ARPA	\$ 51.5	\$ 48.0
Temporary Operating Support - Carry Forward	35.0	17.5
Temporary Operating Support - Mid year ARPA	-	10.0
Total Additional State Support	\$ 86.5	\$ 75.5
Total Appropriation	\$ 198.1	\$ 193.7
Fringe Benefits*	0.7	5.1
Total State Support	\$ 198.8	\$ 198.8

The State covers all pension/retirement fringe costs, those costs have been removed from the budget on both the revenue and expense sides

Comptroller's Retirement/Pension cost was estimated at \$211.4M in FY24 compared to \$196.6M in FY25

Temporary Funds



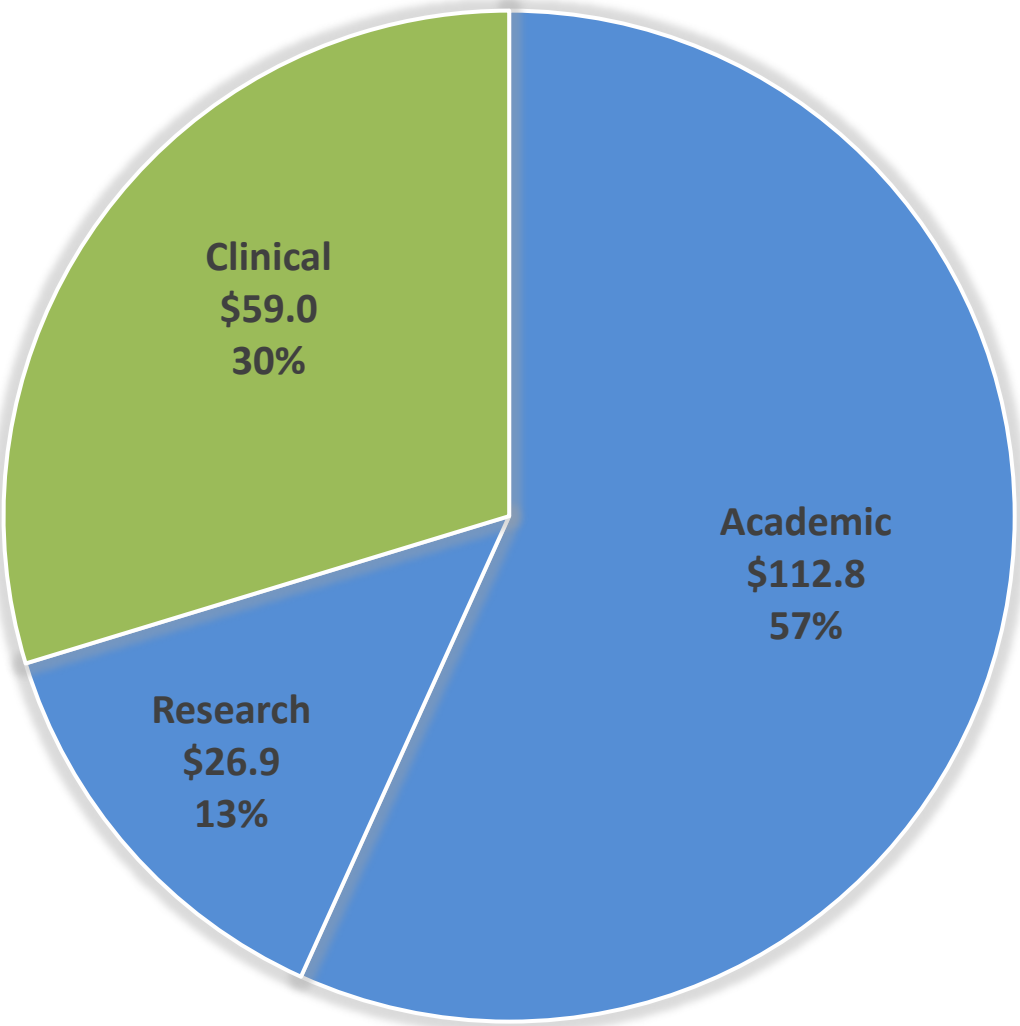
State Support - Allocation

70% or \$139.7M of the State Support is used to support the Academic and Research missions

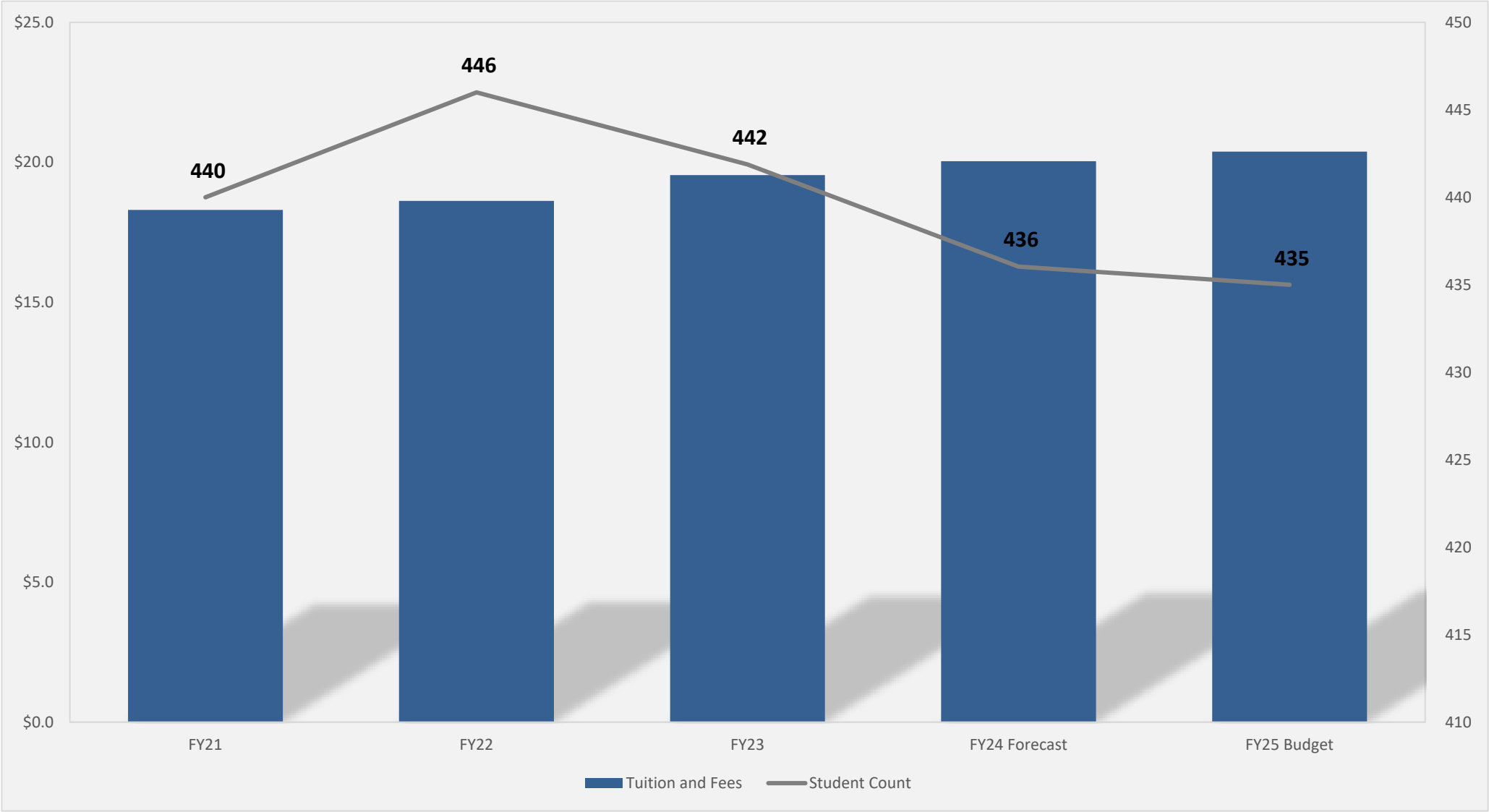
30% or \$59.0M is allocated to the Clinical operations

Academic = School of Medicine, School of Dental Medicine, and Dental Clinics

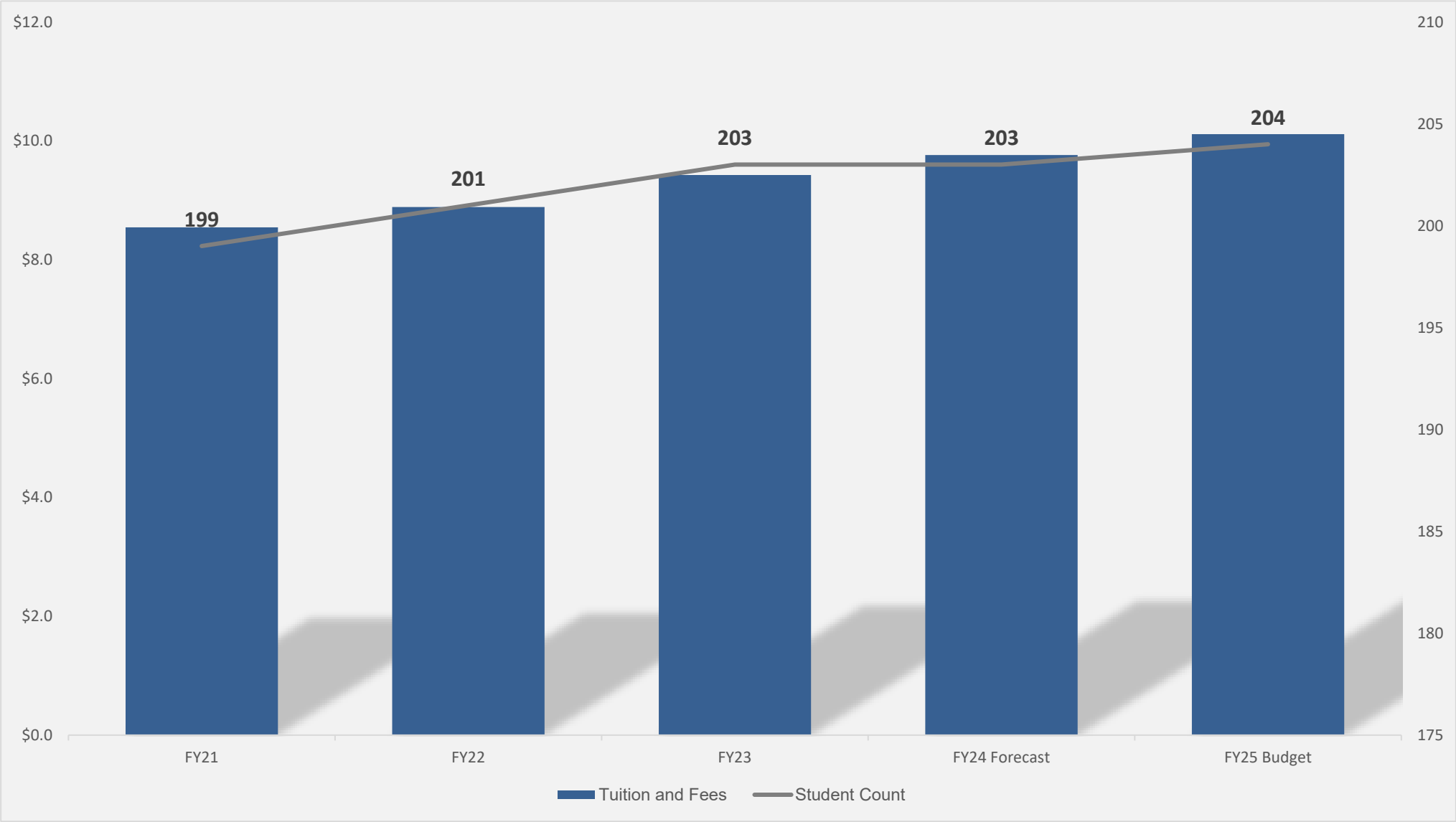
Clinical = John Dempsey Hospital, UConn Medical Group, Finance Corp and Institutional support



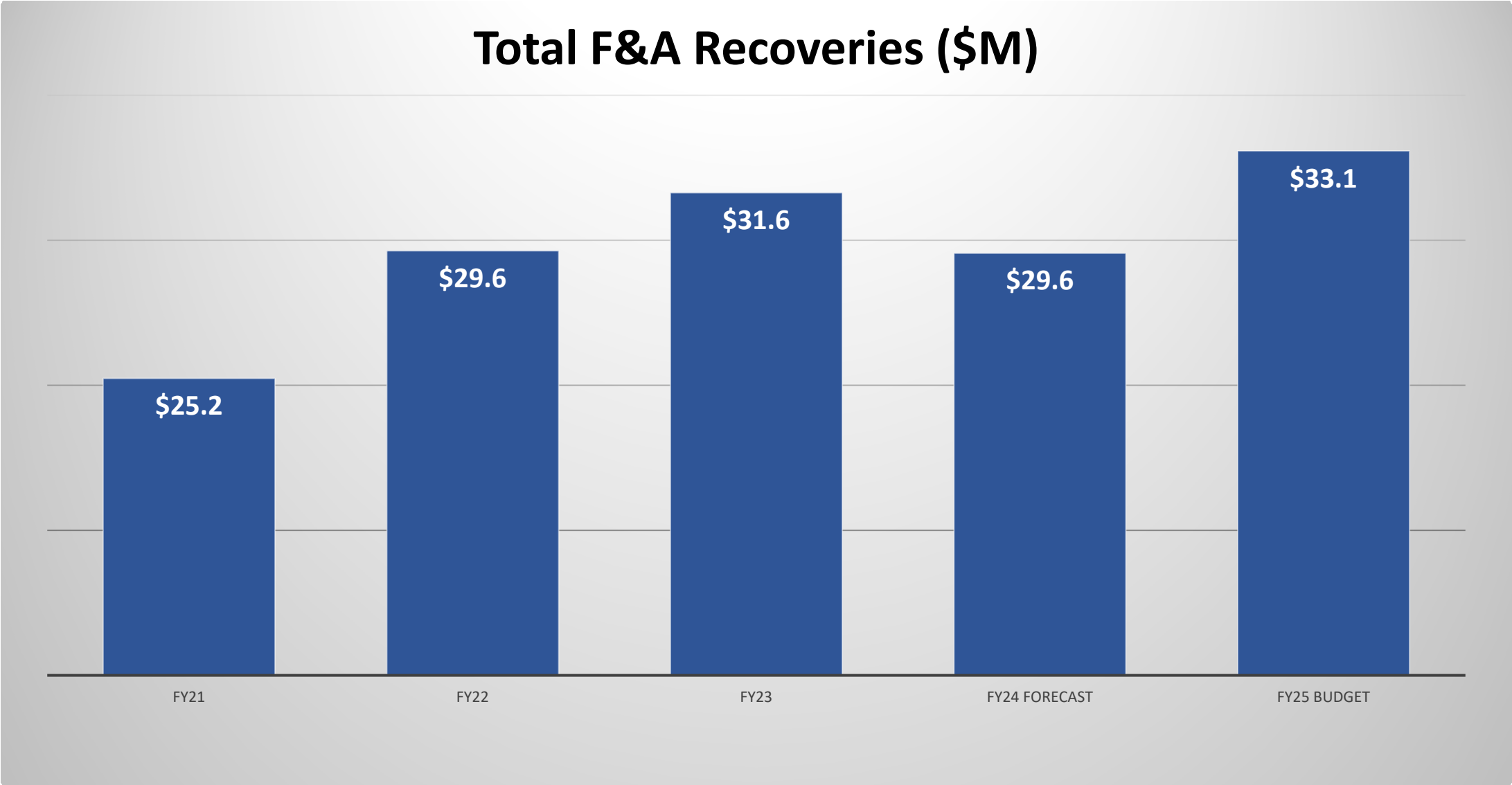
Tuition and Fees - School of Medicine



Tuition and Fess - School of Dental Medicine



Grants and Contracts - Research



Net Patient Revenue

Net Patient Revenue				
\$ M	FY25 Budget	FY24 Forecast	Variance	% Variance
John Dempsey Hospital	\$ 678.6	\$ 645.2	\$ 33.4	5.2%
UConn Medical Group	154.6	142.4	12.2	8.6%
Dental Clinics	10.5	10.4	0.1	0.6%
Pharmacy	159.6	146.0	13.6	9.3%
Total	\$ 1003.4	\$ 944.0	\$ 59.3	6.3%

**John Dempsey
Hospital (JDH)
\$678.6M**

Acute care, University
teaching hospital licensed
for 234 beds.
Inpatient/Outpatient
Services and Urgent Care

**UConn Medical
Group (UMG)
\$154.6M**

Among the largest multi-
specialty faculty clinical
group practices in the
region

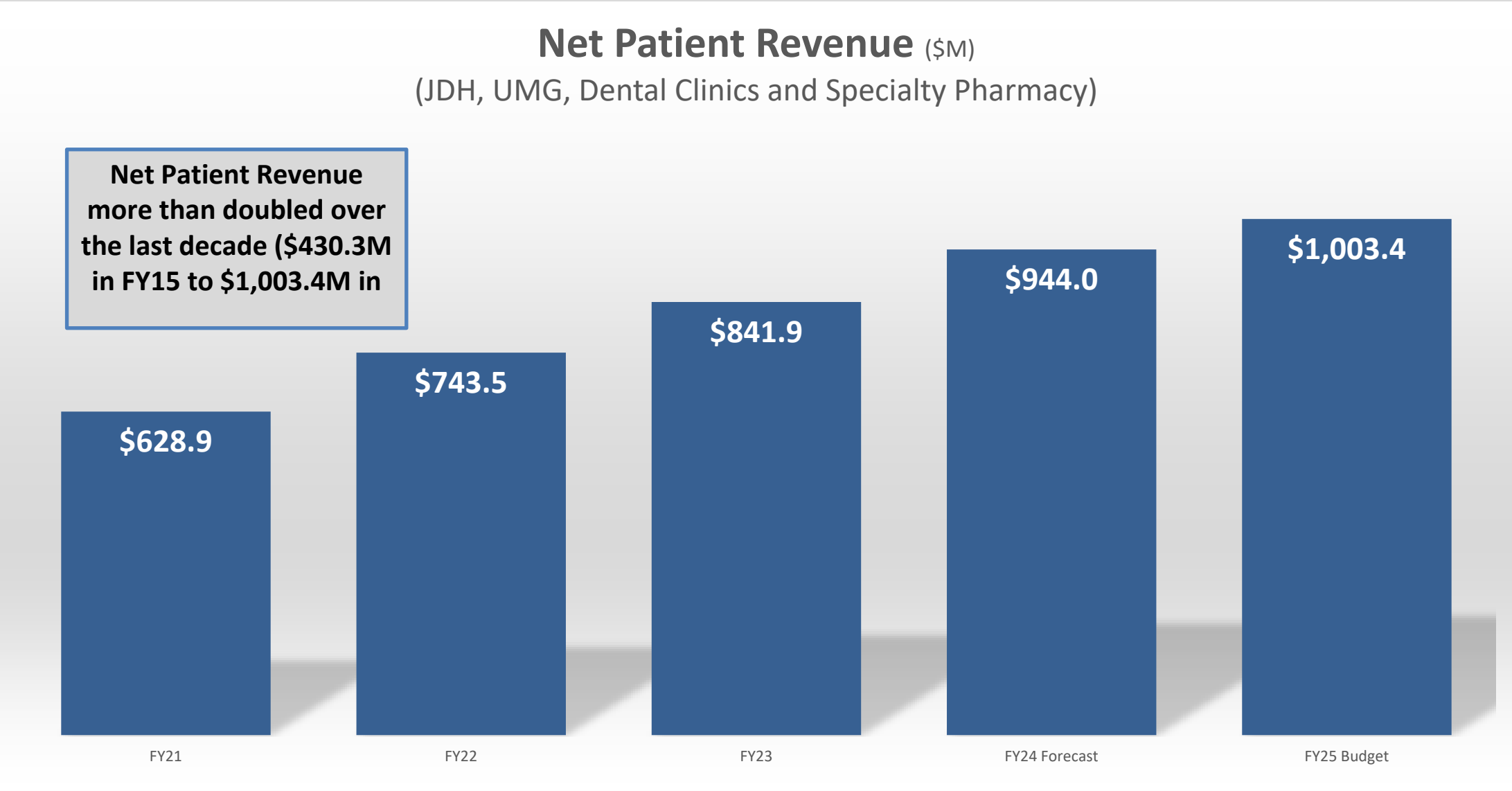
**Dental Clinics
(University Dentist
and UConn Dental
Clinics) \$10.5M**

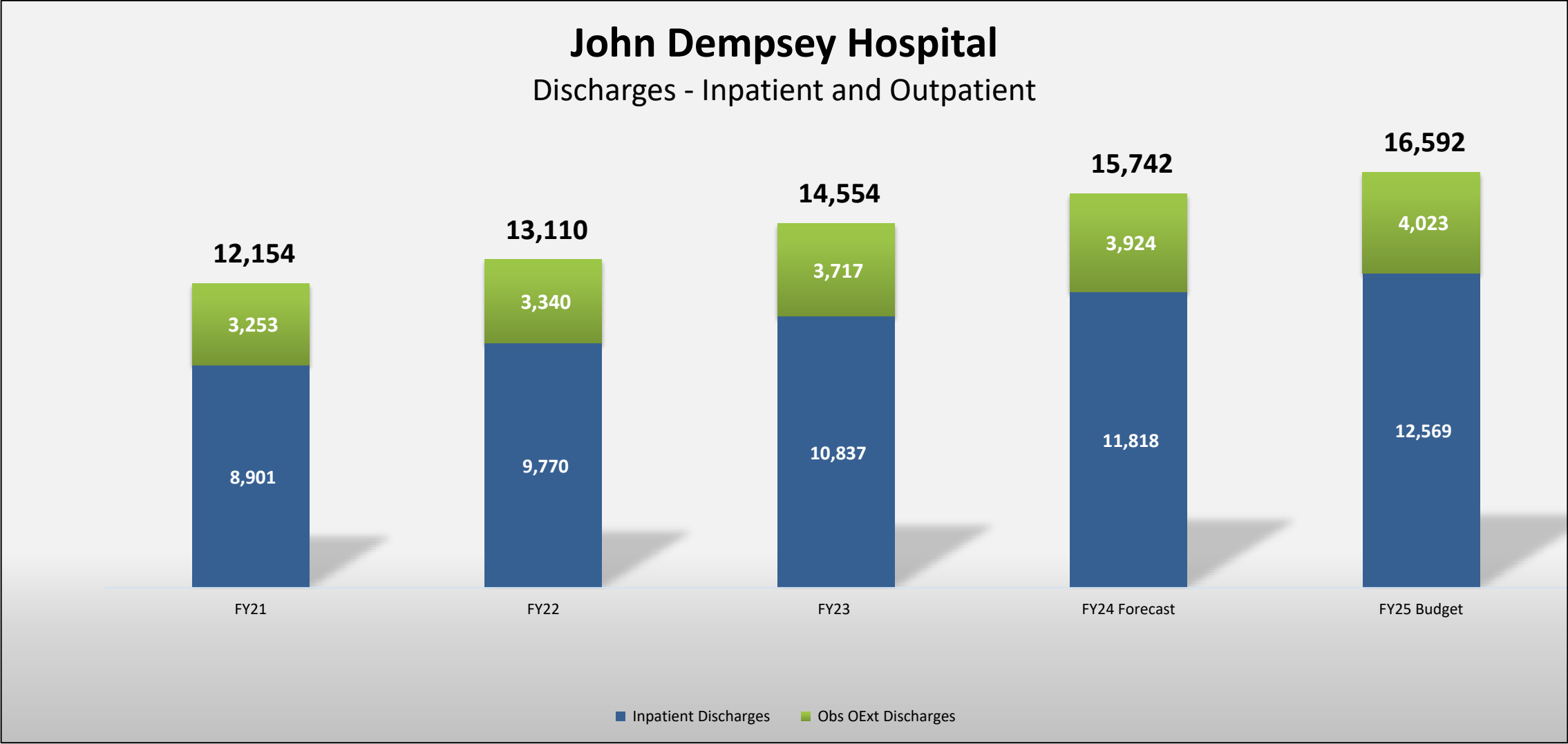
Faculty and resident dental
care practices

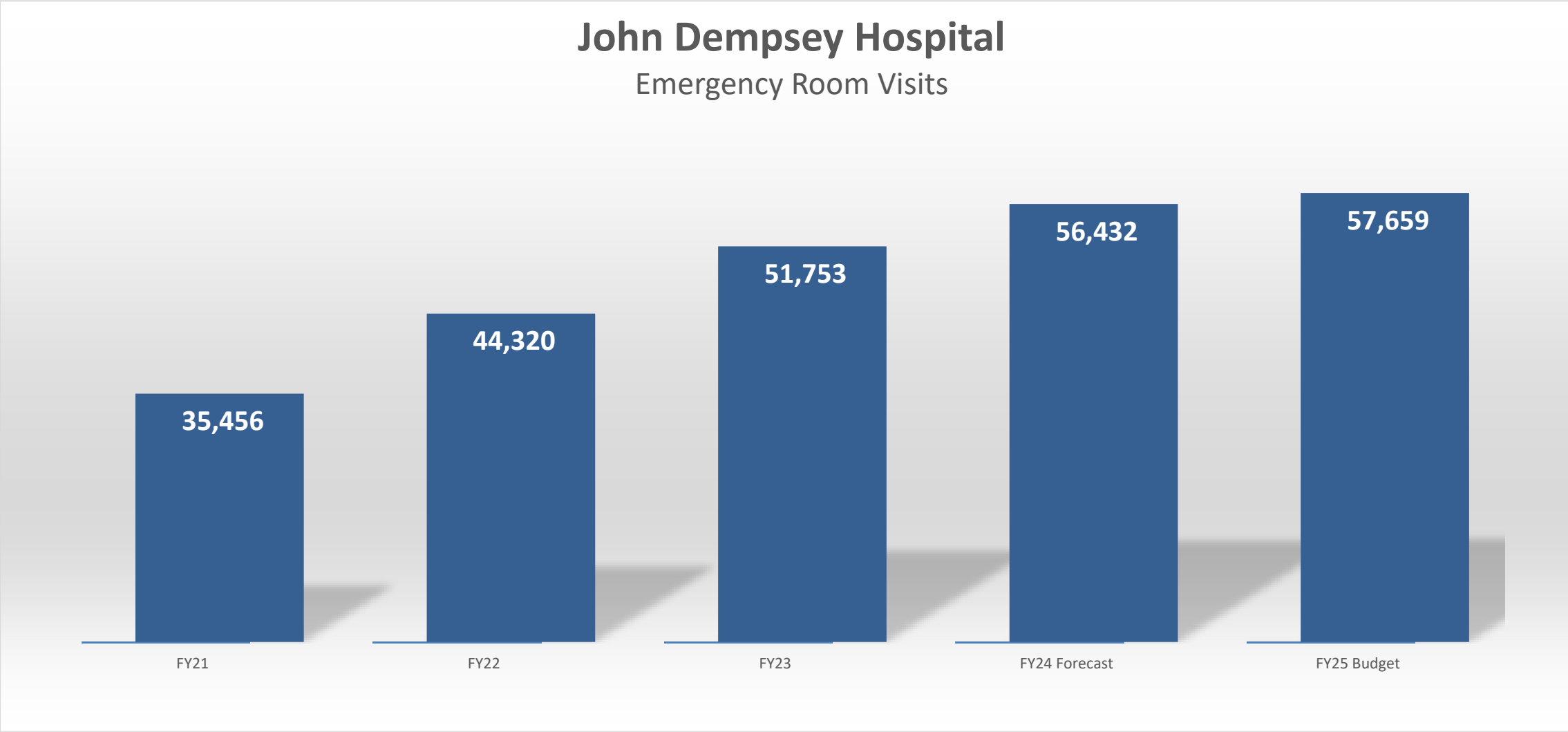
**Specialty Pharmacy
\$159.6M**

Specialty medication
therapy and home infusion
program

Clinical

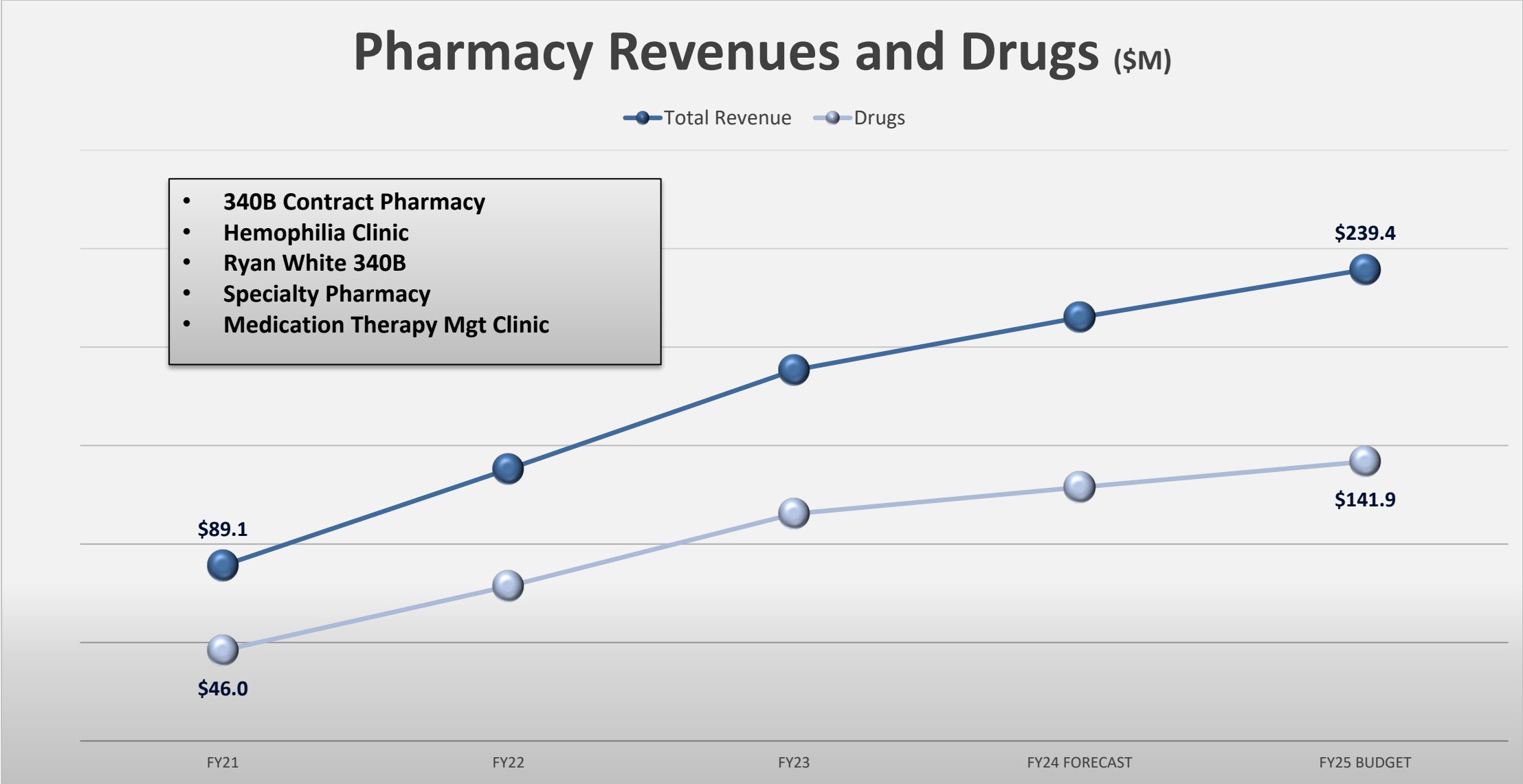


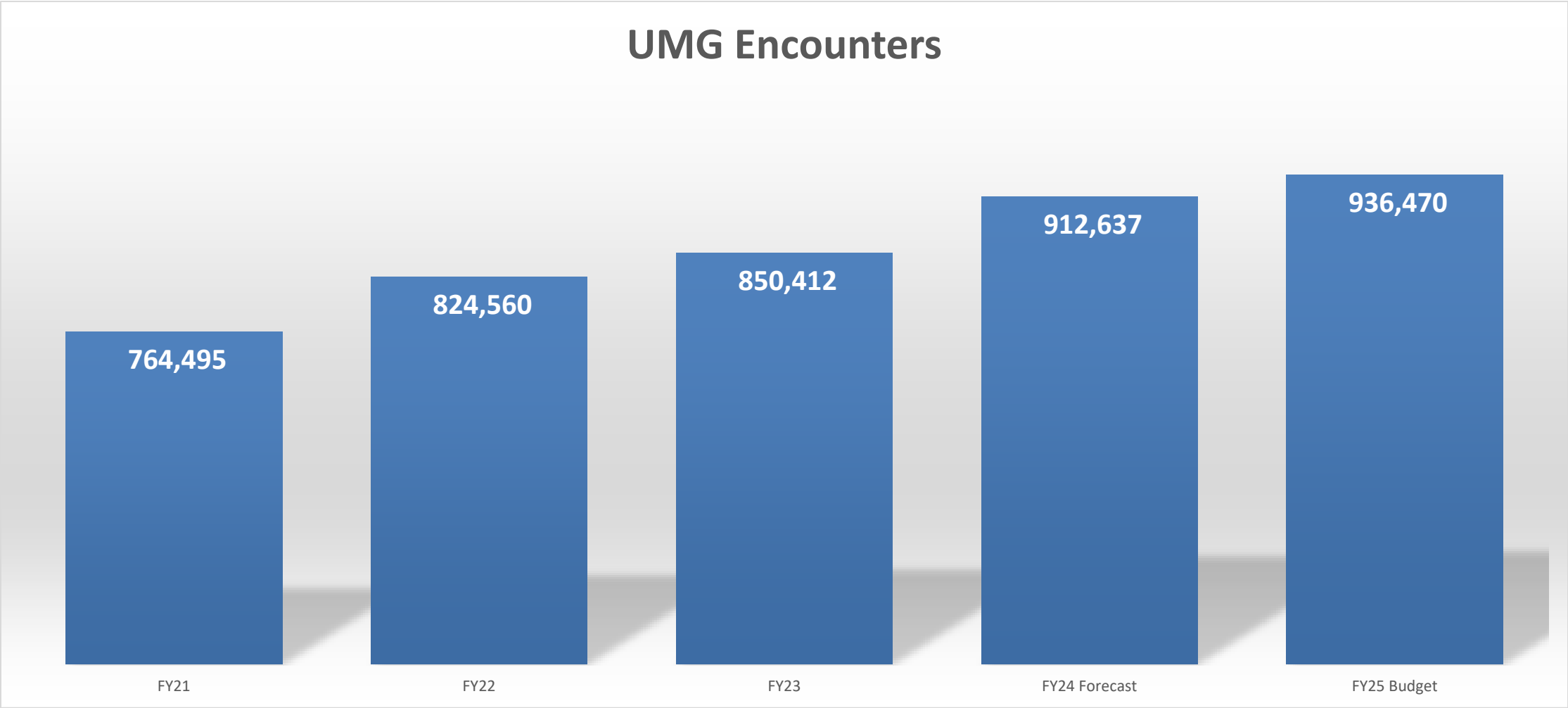




John Dempsey Hospital
Surgical Cases
(Main Operating Room and Surgery Center)







Other Revenue

Other Revenue				
\$ M	FY25 Budget	FY24 Forecast	Variance	% Variance
Auxiliary Services	\$ 15.7	\$ 17.1	\$ (1.4)	-8%
Gift and Endowment Revenue	4.9	5.8	(0.9)	-15.3%
Contractual and Other Income	55.5	50.6	4.9	9.6%
Investment Income	10.0	13.8	(3.8)	-27.8%
Internal Income	120.3	115.7	4.6	3.9%
Total	\$ 206.4	\$ 203.1	\$ 3.3	1.6%

Auxiliary Services \$15.7M

Creative Child Care,
Parking, Family Medicine
contracts, Perfusion
Services etc.

Gift and Endowment \$4.9M

Decrease in FY25 due to
one-time gifts in FY24

Contractual and Other Income \$55.5M

JDH 340B external
contract pharmacy,
NNICU agreement and
other
contracts/agreements

Investment Income \$10.0M

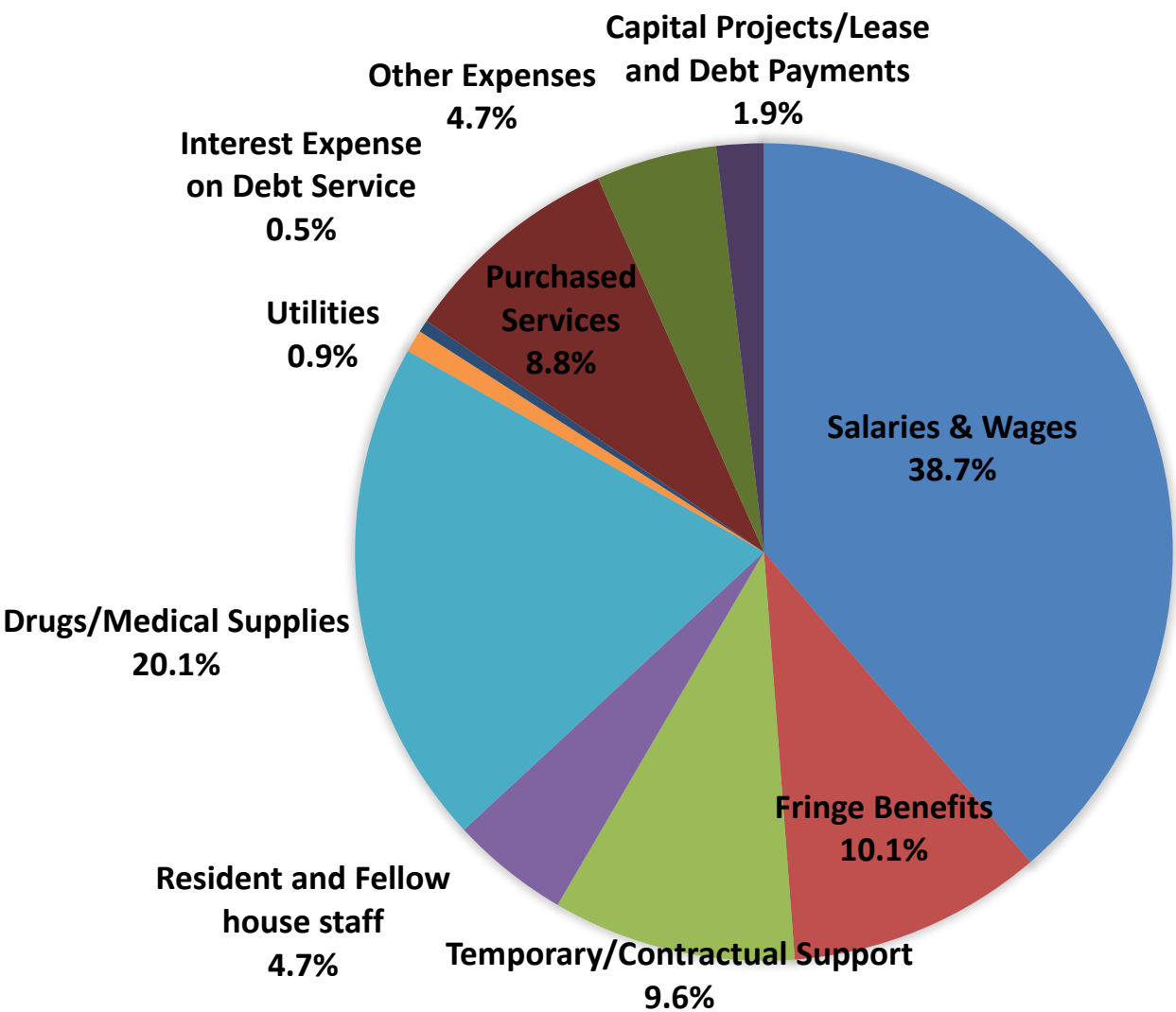
UConn Health has
benefited from short term
investment fund (STIF)
rates of over 5%.

Internal Income \$120.3M

JDH 340b internal
contract pharmacy,
JDH/SOM interns and
residents, dental house
staff and rental fees

FY25 Proposed Spending Plan

Expense Budget - \$1,653.8M



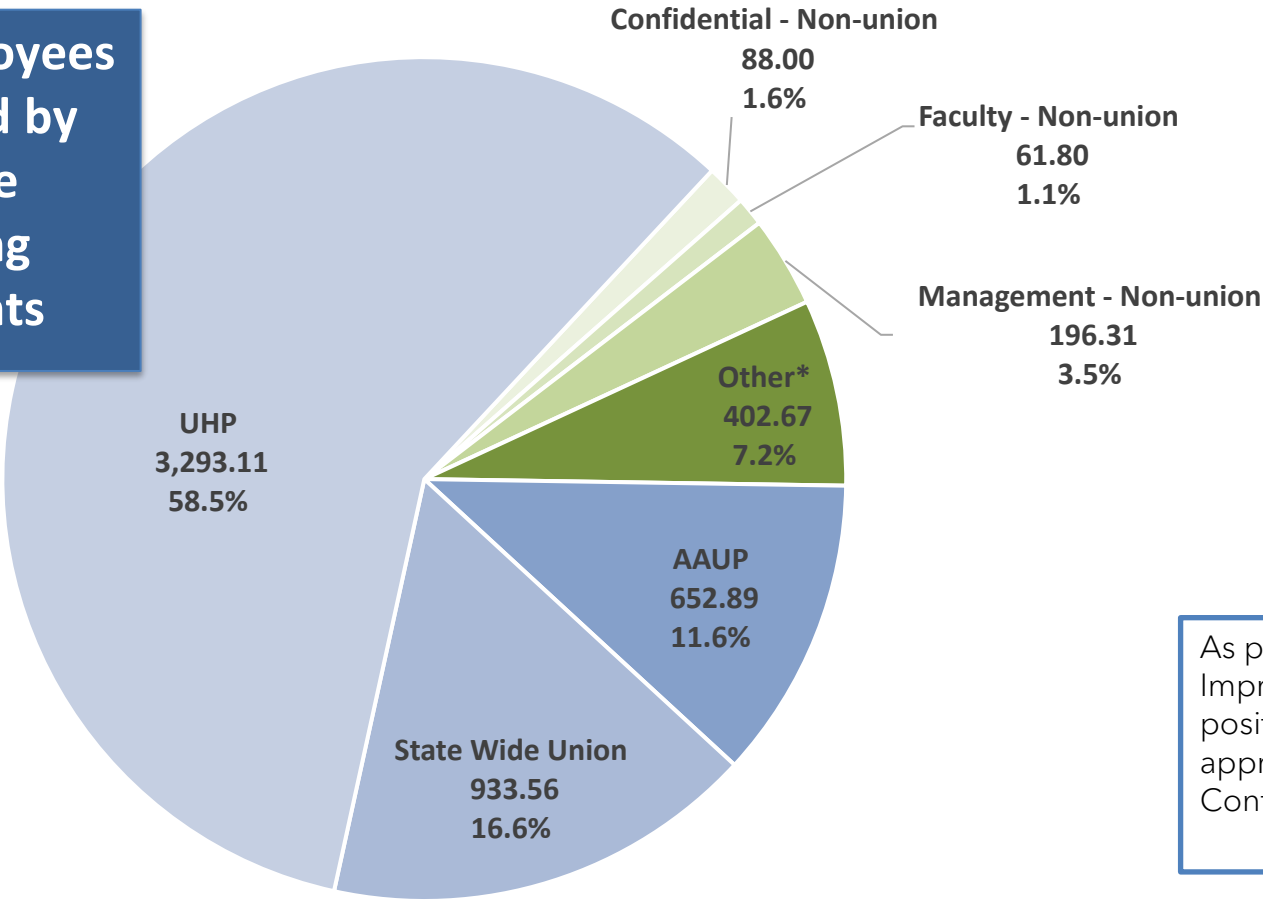
63.1% of total expense is allocated to personnel cost
(Salaries & wages, Fringe Benefits, temporary/contractual support and resident and fellow house staff)

Other Expenses includes items such as Grants Subcontracts, Library E-Resources, Insurance and Repairs/Maintenance

FY25 Salaries and Wages - \$640.0M – 5,629 FTEs

Growth in FY25 salaries is driven by salary and wage increases of 4.5% and faculty/staff hiring due to clinical volume growth.

87% of employees are covered by collective bargaining agreements



As part of the Financial Improvement Plan, vacant and new positions are reviewed and approved biweekly by the Position Control Review Committee

*Other includes Students, Grad Assistants, Nurse Pros, Residents and Special Payroll

FY25 Drugs and Medical Supplies

Drugs and Medical Supplies				
\$ M	FY25 Budget	FY24 Forecast	Variance	% Variance
Drugs	\$ 232.9	\$ 213.8	\$ 19.1	8.9%
Medical Supplies	99.1	92.4	6.7	7.2%
Total	\$ 332.0	\$ 306.3	\$ 25.7	8.4%

Drugs

Rate increases estimated at 5.0% along with volume increase in JDH, UMG and Pharmacy

Medical Supplies

Rate increases estimated at 3.0% along with volume increase in JDH, UMG and Pharmacy

FY25 Purchased Services

Purchased Services				
\$ M	FY25 Budget	FY24 Forecast	Variance	% Variance
Purchased Services	\$ 145.4	\$ 134.8	\$ 10.5	7.8%
Total	\$ 145.4	\$ 134.8	\$ 10.5	7.8%

Facilities

Custodial services, laundry and linen, waste management, landscaping and other facilities contracts

Clinical Services

Contract Pharmacy services, food and nutrition, lab and medical services, revenue cycle contracts, offsite rental agreements, medical equipment leasing

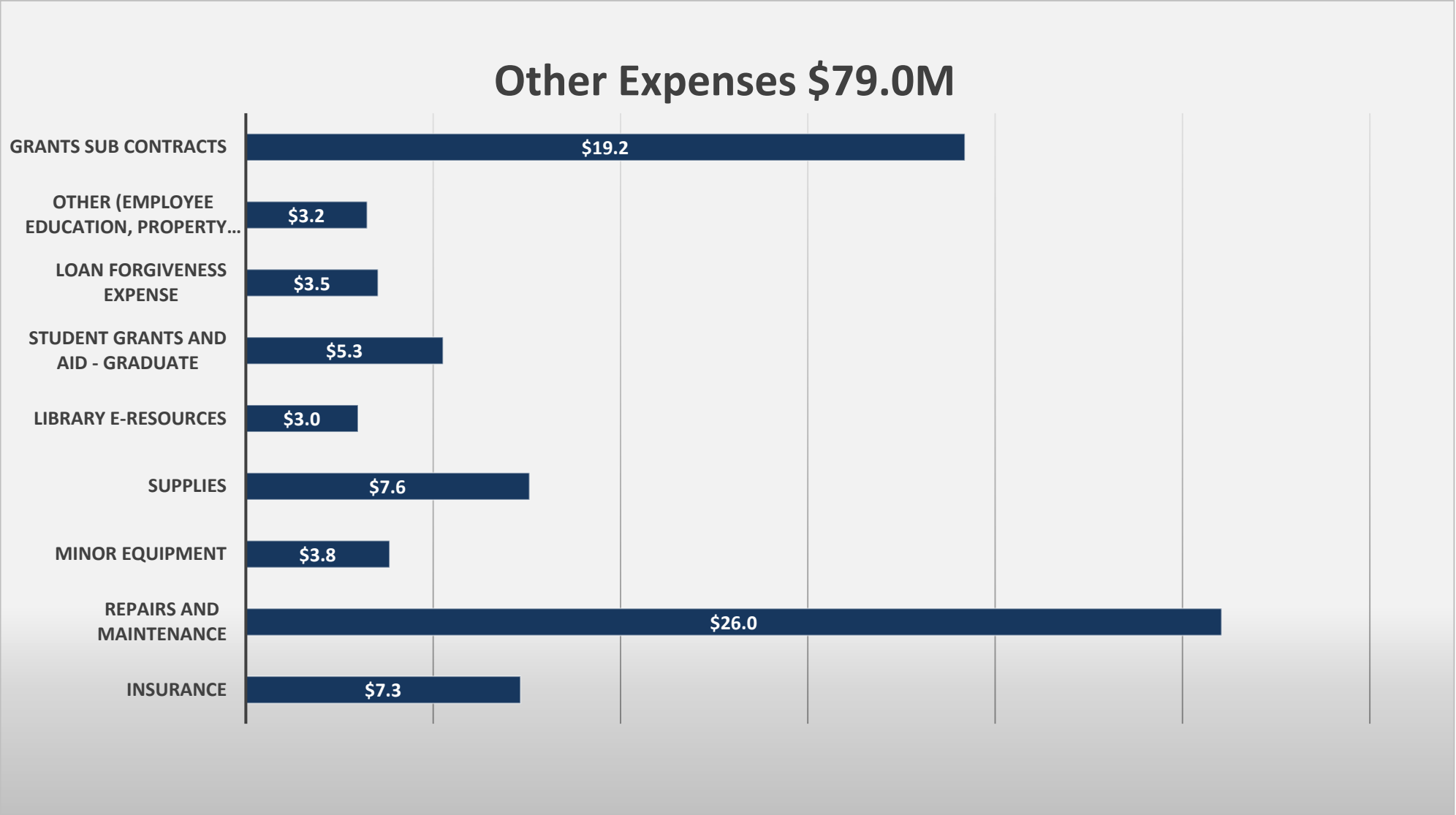
Research

Consulting services, lab and facility costs

Other Services

Information Technology software/hardware maintenance, IT security contracts, telecom, Public safety, communications, development, Audit services

FY25 Other Expenses



FY25 Spending Items to Monitor

Staffing

Employee turnover is greater than historical trends; Overtime, Travel/Temporary Staff, Stipends

Other Expenses

Increased inflationary pressures and supply chain shortages, backorders and outages

Patient Revenue

DSS Supplement/Enhanced payments Federal match

340B eligibility and the restriction of 340B pricing by manufacturers in the Contract Pharmacy realm of our 340B program

Payer mix and volume fluctuations

State Support

Additional state support - Mid-year temporary operating support - possibility of receiving the additional funds in January is unknown

FY26 Forward

Our fiscal year 2026 forecast leaves UConn Health with a shortfall and will require financial improvement plans to generate new revenues and reduce expenses.

Vote - FY25 Spending Plan Resolution (Operating)

RECOMMENDATION:

- That the Board of Trustees approve the Spending Plan for Fiscal Year 2025 of \$1,653.8 million for UConn Health.

RESOLUTION:

- “Be it resolved that the Board of Trustees approve the Fiscal Year 2025 Spending Plan of \$1,653.8 million for UConn Health.”

FY25 Capital Budget Spending Plan

State GO Bonds	
Deferred maintenance, code compliance and infrastructure improvements	30.0
System telecommunications infrastructure upgrades, improvements and expansions	3.0
Equipment, library collections and telecommunications	10.0
Total State GO Bonds	\$43.0
UConn Health Operating Funds*	
Campus Renovations	5.0
Clinical Equipment	3.0
Deferred Maintenance	8.0
Information Technology Security and equipment	3.0
Revenue Growth Investment	2.0
Research Capital Use Allowance	8.0
Other/Contingency	8.7
Total UConn Health Operating Funds	\$37.7
Grand Total FY25 Capital Budget	\$80.7

*Categories/Projects may be redistributed based on UConn Health Capital Prioritization

Vote - FY25 Capital Spending Plan Resolution

RECOMMENDATION:

- That the Board of Trustees approve a capital budget of 80.7 million for Fiscal Year 2025 which is comprised of \$43.0 million of State GO bond funds and \$37.7 million of UConn Health Operating funds.

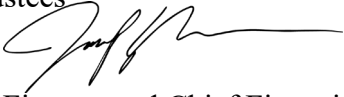
RESOLUTION:

- “Be it resolved that the Board of Trustees approve a capital budget of \$80.7 million for Fiscal Year 2024 which is comprised of \$43.0 million of State GO bond funds and \$37.7 million of UConn Health Operating funds.”

ATTACHMENT 41

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2025 Spending Plan for the University of Connecticut, Storrs and
Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve the attached Spending Plan for Fiscal Year 2025 of \$1,683.6 million for the University of Connecticut, Storrs and Regional Campuses.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the Fiscal Year 2025 Spending Plan of \$1,683.6 million for the University of Connecticut, Storrs and Regional Campuses.”

BACKGROUND:

The FY25 Spending Plan includes \$1,671.3 million in revenue, to cover \$1,683.6 million in expenses and transfers and includes the use of \$12.3 million in unrestricted reserves to balance the budget.

The General Assembly has approved an FY25 state budget that includes a block grant of \$227.4 million and additional one-time support and adjustments of \$90.7 million to cover CBI's and non-retirement fringe costs, for a total of \$318.1 million in state support. We are grateful to the Governor, the Office of Policy and Management and General Assembly for their continued support of UConn. Additionally, we hope to receive an additional \$10 million of temporary ARPA funding mid-year to help balance the Fiscal Year 2025 budget.

UConn will manage its budget closely and continue to focus on protecting academic excellence and providing strong student support. The proposed budget increases our tuition-funded financial aid budget by 10% and includes an overall student financial aid budget of \$306.6 million.

University of Connecticut, Storrs & Regional Campuses

Fiscal Year 2025 Spending Plan

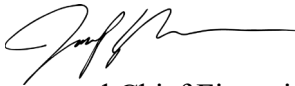
Operating Budget

UConn Storrs & Regional Campuses	FY25 Budget	Primary FIP	Secondary Mid Year FIP	FY25 Final Budget
State Block Grant	227.4			227.4
Additional State Support	88.8		10.0	98.8
Adjustments (WC/FB reimb)	\$ 1.9			1.9
Total State Support	\$ 318.1	\$ -	\$ 10.0	\$ 328.1
Tuition	559.2			559.2
Course/Mandatory Fees	167.8			167.8
Grants & Contracts - Financial Aid	80.0			80.0
Grants & Contracts - Other	211.2			211.2
Auxiliary Revenue	242.2			242.2
Other Revenues	82.8			82.8
Total Revenues	\$ 1,661.3	\$ -	\$ 10.0	\$ 1,671.3
Salary/Benefits	\$ 904.3	\$ (4.5)		899.8
Financial Aid - Tuition Funded	198.4			198.4
Financial Aid - Other	108.2			108.2
Energy	26.2			26.2
Equipment	37.8			37.8
Capital Projects/Debt Payments	86.9			86.9
Purchased Services	194.9			194.9
Other Expenses	134.3	(3.0)		131.3
Total Expense	\$ 1,691.1	\$ (7.5)	\$ -	\$ 1,683.6
Use of Fund Balance		10.1	2.2	12.3
Net Income/(Loss)	\$ (29.7)	\$ 17.6	\$ 12.2	\$ 0.0

ATTACHMENT 42

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Fiscal Year 2025 Capital Budget for the University of Connecticut, Storrs and
Regional Campuses

RECOMMENDATION:

That the Board of Trustees approve a capital budget of \$160,000,000, as detailed in Attachment A, for Fiscal Year 2025 which is comprised of \$122,00,000 of UCONN 2000 State GO bond funds, \$20,000,000 of State GO bond funds, and \$18,000,000 of University funds. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the Fiscal Year 2025 capital budget of \$160,000,000 for the University of Connecticut Storrs and Regional Campuses.”

BACKGROUND:

To manage all capital fund sources in a strategic and transparent manner, UConn is proposing an all-funds capital budget for approval.

The proposed capital budget for FY25 of \$160,000,000 includes \$122,000,000 of UCONN 2000 State GO bond funds, \$20,000,000 of State GO bond funds, and \$18,000,000 of university funds. The two attached documents reflect the capital budget spending plan detail:

- Attachment A - the proposed FY25 capital budget spending plan
- Attachment B - the UCONN 2000 Phase III State Bond Phasing Plan by Statutory Named Line

The FY25 capital budget does not provide approval for specific projects, per our capital policies and procedures; all capital projects, no matter the fund source, costing \$500,000 or more are submitted for Board action on a project-by-project basis. Projects costing less than \$500,000 are approved by the internal capital governance committee.

Program & Planning Adjustments:

The University has benefitted tremendously from the UCONN 2000 Infrastructure Improvement Program established by the General Assembly in 1995. We are now in the third phase of this 36-year program, which is designed to modernize, rehabilitate, and dramatically expand the physical plant of the University. This phase includes the NextGenCT and the Bioscience Connecticut initiatives. The Bioscience initiative at UConn Health, completed in 2018 and the NextGenCT program at Storrs and the Regional Campuses is moving along aggressively.

Over the past year, the capital budget plan was adjusted to incorporate the extension of the UCONN 2000 program and additional funding. The current State bond package added \$625M to Phase III of UCONN 2000 and extended the program until FY31. The funding will be used to update STEM infrastructure to meet workforce needs, help address deferred maintenance needs, renovate portions of Gampel Pavilion for our national championship basketball programs, and upgrade the Field House and other athletic facilities to address Title IX inequities as follows:

UCONN 2000 Capital Extension (\$M)	
Life Sciences Building (aka Science 2)	\$269.5
Torrey Demo	25.0
Gant-Code Repairs & Renovations	100.0
Deferred Maintenance	50.0
Return Hydrogen Hub Funds	12.0
Gampel Renovations	100.0
Field House Renovation (Title IX + DM)	60.0
Coventry Boathouse (Title IX)	0.8
Freitas Renovation (Title IX)	7.0
Tennis Facility (Title IX)	0.7
Total UCONN 2000 Capital Extension	\$625.0

In exchange for this funding, UConn pledged to raise \$100 million by FY32 to support the construction, renovation and operating expenses associated with the new projects being funded. Of the \$100M UConn commits to raise, at least \$60M will be for construction expenses with the remaining directed for operational expenses. \$10M of the \$100M will be in the form of endowed gifts. UConn is required to report every year to the General Assembly on progress toward the \$100M and commits to raising gifts according to a statutory schedule. In any year that UConn does not meet the statutory targets, its Board of Trustees will be statutorily required to lower its request to the Governor for that year's bond issuance proportionally.

Cumulative Statutory Milestone Fundraising Targets (\$M)							
FY25	FY26	FY27	FY28	FY29	FY30	FY31	FY32
\$20.0	\$31.5	\$43.0	\$54.5	\$66.0	\$77.5	\$89.0	\$100.0

The table below reflects the new UCONN 2000 State GO bond authorizations by fiscal year (in millions):

UCONN 2000 Bonding Schedule (\$M)		Statute
Phase I	FY96-FY99	\$382.0
Phase II	FY00-FY05	580.0
Phase III	FY05-FY24	3,266.9
	FY25	122.0
	FY26	124.0
	FY27	116.0
	FY28	103.5
	FY29	101.5
	FY30	100.0
	FY31	25.0
	Total	\$4,920.9

FY25 is year 11 of the 17-year NextGenCT program. Numerous projects are currently in construction with \$692,000,000 of required funding to be allotted in FY25 through FY31. In FY25, NextGenCT bond funds will be set-aside for Gant code repairs and renovations, maintenance to a Coventry Boathouse, Freitas Volleyball Center, and Gampel Pavilion as well as housing repairs and improvements, infrastructure and building repairs, Northwest Quad electrical infrastructure upgrades, Field House renovations, IPB office and lab renovations for C2E2, tennis facility improvements, and equipment repairs and upgrades.

We are appreciative of the State's support of the NextGenCT capital initiative and the recognition that planned levels of capital funding remain intact to support these projects to avoid additional costs associated with delaying or shutting down projects in construction. Also, while it is critical to have a long-term capital plan with a stable funding source, it is important to recognize that the plan is a live document which is continuously under review by senior management. This approach allows the University the flexibility to be responsive to changing project needs as well as external market factors/drivers.

Indenture Amendments:

The law specifically gives the Board of Trustees the authority to revise project budgets and related indentures. It would be impossible to manage a thirty-six-year capital program without the authority to make such adjustments. These revisions are complex because 1) there are many projects, 2) UConn must operate within statutory annual bond caps, 3) tax-related expenditure requirements must be observed, and 4) the adjustments to numerous lines involve projects that span a number of years. While revisions may affect current projects, given the annual bond caps, they also have a rollout effect over the next decade. The Board of Trustees has the authority to amend past indentures to reflect changes as project budgets are finalized or other events affect the capital budget for a given prior fiscal year. While indenture changes are not required this year to adjust funding amounts, we are proposing revisions to the 32nd General Obligation Supplemental Indenture as well as the 7th Special Obligation Supplemental Indenture to correct technical items (confirming proceeds of refunding bonds may be deposited directly to an escrow fund, modifying

provisions consistent with the UCONN 2000 Act) and general language updates (job titles, statutory references).

State General Obligation Bonds

From time to time, in addition to the UCONN 2000 program, the State will authorize General Obligation bonds for special initiatives. For FY25 the Governor and General Assembly authorized the following:

- Enhancements to Gampel Pavilion, not exceeding \$10,000,000
- Equipment, library collections and telecommunications, not exceeding \$10,000,000

The total FY25 State General Obligation bond amount is \$20,000,000. This authorization is pending allotment by the State Bond Commission.

University/Gift Funds:

UConn utilizes other fund sources for high priority capital and maintenance initiatives. These requests are reviewed and approved in the same way as bond funded projects. In addition, the University may choose to utilize cash balances to temporarily fund capital projects in advance of the issuance of new UConn Special Obligation bonds or to bridge cash flow for Gift funded projects. The FY25 capital budget includes \$18,000,000 of University funds for facilities repairs & improvements and academic programmatic renovations.

University of Connecticut
FY25 Capital Budget Spending Plan
Proposed Projects by Statutory Named Lines & by Program*

UCONN 2000 Bond Funded Projects by Statutory Named Lines	Total	By Program	
		Deferred Maint.	Other Improvements
Academic and Research Facilities	\$15.0		
Gant-Code Repairs & Renovations 15.0		15.0	
Deferred Maintenance	102.0		
Coventry Boathouse 0.6			0.6
Freitas Renovations 2.0			2.0
Gampel Renovations 19.0			19.0
Housing Repairs & Improvements 8.0		8.0	
Infrastructure & Building Repairs 3.5		3.5	
NW Quad: Electrical Infrastructure Upgrades 18.0		18.0	
Field House Renovation 28.2		28.2	
IPB Office & Lab Renovation for C2E2 13.6		5.0	8.6
Tennis Facility Improvements 0.7			0.7
Contingency 8.4		8.4	
Equipment	5.0		
Information Technology 5.0		5.0	
Total UCONN 2000 Bond Funded Projects	\$122.0	\$91.1	\$30.9
Other Funded Projects (University, Gifts, SO/GO Bonds)			
Equipment (State GO Bonds) 10.0	10.0	5.0	5.0
Gampel Enhancements (State GO Bonds) 10.0	10.0	10.0	
Facilities Repairs & Improvements (University) 11.5	11.5	11.5	
Programmatic Renovations (University) 6.5	6.5	3.3	3.3
Total Other Funded Projects	\$38.0	\$29.8	\$8.3
Grand Total FY25 Capital Budget	\$160.0	\$120.9	\$39.1

*Projects less than \$500,000 are approved by UConn administrative committee. Projects costing \$500,000 or more are

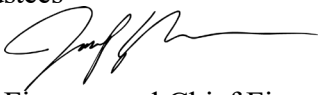
UConn 2000 Bonds State Bond Phasing Plan by Statutory Named Line for Informational Purposes Only - Revised 6/26/24				
Project	FY05-FY24	FY25	FY26-FY31	Total Phase III
Academic and Research Facilities	\$420,704,438	\$15,000,000	\$338,500,000	\$774,204,438
Arjona and Monteith (new classroom buildings)	128,219,871			128,219,871
Avery Point Campus Undergraduate & Library Building	10,461,246			10,461,246
Avery Point Renovation	8,327,448			8,327,448
Beach Hall Renovations	5,146,688			5,146,688
Benton State Art Museum Addition	2,903,509			2,903,509
Biobehavioral Complex Replacement	3,495,807			3,495,807
Bishop Renovation	2,480,141			2,480,141
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities	893,993,263	102,000,000	211,950,000	1,207,943,263
Engineering Building	92,579,390			92,579,390
Equipment, Library Collections & Telecommunications	209,941,656	5,000,000	19,550,000	234,491,656
Family Studies (DRM) Renovation	2,868,306			2,868,306
Farm Buildings Repairs/Replacement	6,408,304			6,408,304
Fine Arts Phase II	38,792,721			38,792,721
Floriculture Greenhouse	6,691,799			6,691,799
Gant Building Renovations	12,455,770			12,455,770
Gentry Renovation & Completion	9,628,209			9,628,209
Hartford Relocation Acquisition/Renovation	139,027,625			139,027,625
Heating Plant Upgrade	11,877,724			11,877,724
Intramural, Recreational & Intercollegiate Facilities	31,009,921			31,009,921
Jorgensen Renovation	3,899,129			3,899,129
Koons Hall Renovation/Addition	1,461,146			1,461,146
Lakeside Renovation	3,800,000			3,800,000
Law School Renovations/Improvements	16,660,677			16,660,677
Manchester Hall Renovation	772,577			772,577
Mansfield Training School Improvements	3,000,000			3,000,000
Natural History Museum Completion	500,000			500,000
North Hillside Road Completion	6,700,000			6,700,000
Old Central Warehouse Renovation	126,000			126,000
Parking Garage #3	75,214			75,214
Psychology Building Renovation/Addition	24,337,399			24,337,399
Residential Life Facilities	248,538,476			248,538,476
School of Pharmacy/Biology	6,000,000			6,000,000
Stamford Campus Improvements/Housing	1,500,870			1,500,870
Storrs Hall Addition	14,664,091			14,664,091
Student Union Addition	13,000,000			13,000,000
Support Facility (Architectural & Engineering Services)	16,583			16,583
Torrey Life Science Renovation & Completion	1,530,373			1,530,373
Torrington Campus Improvements	369,156			369,156
Waterbury Downtown Campus	1,608,764			1,608,764
West Hartford Campus Renovations/Improvements	6,774,305			6,774,305
Young Building Renovation/Addition	23,651,403			23,651,403
SUBTOTAL FOR STORRS & REGIONAL CAMPUS	\$2,416,000,000	\$122,000,000	\$570,000,000	\$3,108,000,000
CLAC Renovation Biosafety Level 3 Lab	15,901,466			15,901,466
Deferred Maintenance-UCH	76,959,697			76,959,697
Dental School Renovation	3,525,000			3,525,000
Equipment, Library Collections & Telecom-UCH	116,429,390			116,429,390
Library/Student Computer Center Renovation	1,266,460			1,266,460
Main Building Renovation	117,484,316			117,484,316
Medical School Academic Building Renovation	39,578,232			39,578,232
Planning & Design Costs	25,000,000			25,000,000
Research Tower	67,992,229			67,992,229
Support Building Addition/Renovation	100,000			100,000
UCH New Construction and Renovation	386,663,210			386,663,210
SUBTOTAL FOR HEALTH CENTER	\$850,900,000	-	-	\$850,900,000
GRAND TOTAL	\$3,266,900,000	\$122,000,000	\$570,000,000	\$3,958,900,000

ATTACHMENT 45

ATTACHMENT 43

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Thirty-first Supplemental Indenture Authorizing University of Connecticut
General Obligation Bonds

RECOMMENDATION:

That the Board of Trustees approves the Thirty-first Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment in an amount not to exceed \$122,000,000 plus costs of issuance, plus amounts carried forward from the Thirtieth Supplemental Indenture.

BACKGROUND:

The University of Connecticut's (the "University") General Obligation Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank Trust Company, National Association, dated as of November 1, 1995, as amended (the "Master Indenture") which provides that each new issue of bonds be issued pursuant to a supplemental indenture. For bonds secured by the State Debt Service Commitment, the law sets maximum annual amounts that the University, through its Board of Trustees, may issue.

The Thirty-first Supplemental Indenture authorizes the appropriations for and issuance of bonds in the maximum amount of \$122,000,000 plus costs of issuance to finance fiscal year 2025 capital projects pursuant to Section 10a-109e(a) of the UCONN 2000 Act, as amended effective July 1, 2024, plus amounts carried forward from the Thirtieth Supplemental Indenture. The Supplemental Indenture specifies however if the cumulative amount of UConn 2000 Philanthropic Commitments and Gifts received is less than the target milestone applicable to such Fiscal Year set forth in Section 10a-109f(c)(4) of the UCONN 2000 Act, the total amount of securities authorized herein shall not exceed an amount which shall be calculated in accordance with Section 10a-109f(c)(3) and shall be as set forth in a Certificate of Determination in advance of issuing bonds. The list includes projects which the Board has approved undertaking during Phase III of UCONN 2000 at Storrs and the regional campuses and the UConn Health Center.

The Thirty-first Supplemental Indenture also authorizes that the exact amount of the bonds be determined at the time of issuance depending on cash expenditure requirements for twelve months or less following issuance. Appendix A of the Thirty-first Supplemental Indenture lists the UCONN 2000 projects that may be financed by the bonds (excluding the projects financed by the carry forward amounts).

This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Thirty-first Supplemental Indenture and for the series of bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION

as Trustee

THIRTY-FIRST SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS

(Secured by the State Debt Service Commitment)

Dated as of _____

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**THIRTY-FIRST SUPPLEMENTAL INDENTURE
AUTHORIZING THE ISSUANCE OF
THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION BONDS
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

ARTICLE I

Definitions and Statutory Authority

101. **Thirty-first Supplemental Indenture.** This Thirty-first Supplemental Indenture authorizing the Bonds is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut (the “University”) and the Trustee dated as of November 1, 1995 (the “Master Indenture”) as amended and supplemented to the date hereof, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut.

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Indenture shall have the same meanings in this Thirty-first Supplemental Indenture as such terms are given in such Article I except that, as used in this Thirty-first Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“**Authorized Officer’s Certificate**” means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

“**Authorized Officer for Sale Purposes**” means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

“**Bond Insurance Policy**” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Indenture.

“**Bond Insurer**” means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

“**Bonds**” means any series of bonds issued pursuant to this Thirty-first Supplemental Indenture.

“**Certificate of Determination**” means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

“**General Statutes**” means the General Statutes of Connecticut Revision of 1958, as may be amended from time to time.

“**Indenture**” means the General Obligation Master Indenture of Trust between the University and the Trustee dated as of November 1, 1995, as from time to time amended or supplemented.

“Insured Bonds” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

“Official Statement” means the official statement of the University relating to the Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to the Bonds.

“Principal” or **“principal”** means the principal amount of each Bond payable at maturity.

“Principal Amount” means the outstanding principal of a Bond.

“Thirtieth Supplemental Indenture” means the Thirtieth Supplemental Indenture adopted by the Board of Trustees of the University on June 28, 2023 and deemed approved by the Governor on August 25, 2023 authorizing the Fiscal Year 2023-2024 bonds.

“Thirty-first Supplemental Indenture” means this Thirty-first Supplemental Indenture as may be amended from time to time, authorizing the Bonds.

“UConn 2000 Philanthropic Commitments and Gifts” means commitments or gifts received by the University or the foundation of the University operating pursuant to section 4-37f of the General Statutes designated to support the construction or renovation of a new life sciences building to replace the George Stafford Torrey Life Sciences Building, the North Wing of the Edward V. Gant Science Complex, the Harry A. Gampel Pavilion, the Hugh S. Greer Field House, the Volleyball Center, the Boathouse or the Tennis Courts, or to support operational expenses associated with departments or programs housed in such facilities. UConn 2000 Philanthropic Commitments and Gifts shall not include more than twenty million dollars of commitments or gifts made prior to July 1, 2024.

“Underwriters” means the initial purchasers of the Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Thirty-first Supplemental Indenture.** This Thirty-first Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Indenture.

ARTICLE II

Authorization, Terms and Issuance of Bonds

201. **Authorization of Fiscal Year 2024-2025 Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds for Fiscal Year ending June 30, 2025 entitled to the benefit, protection and security of the Act and Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued in one or more series under the Indenture and pursuant to the Act in a maximum amount not to exceed \$122,000,000 for the UConn Projects as set forth in Appendix A (attached hereto and hereby made a part hereof) and constituting UConn 2000 Projects (provided nothing herein shall preclude the amendment of Appendix A pursuant to the Act

and in accordance with the Indenture and as provided by Appendix A), plus the amount of the Costs of Issuance to be funded from the proceeds of such Bonds, provided, however if the cumulative amount of UConn 2000 Philanthropic Commitments and Gifts received is less than the target milestone applicable to such Fiscal Year as set forth in Section 10a-109f(c)(4) of the General Statutes, the total amount of securities authorized herein shall not exceed an amount which shall be calculated in accordance with Section 10a-109f(c)(3) of the General Statutes and shall be as set forth in the Certificate of Determination. The amount of bonds which may be secured by the State debt service commitment and be issued for Fiscal Year ending 2025 but not authorized herein, if any, are hereby carried forward to be authorized at a future time.

All of the principal amount of bonds authorized but unissued under the Thirtieth Supplemental Indenture, submitted to the Governor on July 26, 2023 and deemed approved by the Governor on August 25, 2023, is carried forward to Fiscal Year 2024-2025 in accordance with the Act. The amount of authorized but unissued bonds carried forward from the Thirtieth Supplemental Indenture is \$184,900,000.

The exact amount of the Bonds to be issued in each series under this Thirty-first Supplemental Indenture is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for Sale Purposes in accordance with Section 10a-109g of the General Statutes respecting the anticipated cash expenditure requirements for authorized UConn 2000 Projects within the year following issuance plus not more than twenty (20%) percent in excess thereof, provided that such amount shall not exceed \$122,000,000 (plus Costs of Issuance and any carry forward amounts). The amount of the balance of Bonds herein authorized for Fiscal Year ending 2025 and not funded by the Bonds shall be issued subsequently pursuant to an additional Certificate of Authorized Officer for Sale Purposes as an additional series of Bonds hereunder or pursuant to a Supplemental Indenture or Supplemental Indentures depending on the remaining cash expenditure requirements respecting each UConn 2000 Project theretofore authorized by a Supplemental Indenture.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “20 Series_” or such other designation or designations of “Series_” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Indenture, this Thirty-first Supplemental Indenture, an Authorized Officer’s Certificate and the Treasurer’s Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each Fiscal Year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. **Purposes.** The Bonds will be issued and used to provide funds for deposit in the following accounts of the Bond Proceeds Fund: (i) Construction Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Trustee, for construction and equipping of certain facilities (or reimbursement to the University for funds expended therefor) that are included and that have been authorized as a UCONN 2000 Project by the Board of Trustees and (ii) Cost of Issuance Account, which, pursuant to Section 602 of the Indenture unless otherwise provided by a Supplemental Indenture, shall be held and maintained by the Treasurer, to pay or provide for the Bonds’ costs of issuance. The Treasurer and University shall cause the proceeds from the sale of the Bonds to be so deposited in the Bond Proceeds Fund. Monies in the Construction Account respecting the proceeds of the Bonds heretofore issued may be disbursed from time to time pursuant to Section 603 of the Master Indenture,

particularly paragraph (5) thereof, for any such UConn 2000 Project but not in excess of the aggregate amount authorized for such UConn 2000 Project by the Board of Trustees.

203. **Interest Payments.** The Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** The Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York ("DTC"), which will act as securities depository for the Bonds. The Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. The Bonds shall be lettered "AR-____" or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. The Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on the Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Bonds, as determined by the Treasurer in the Certificate of Determination. If any of the Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Bonds shall be payable by check mailed to the registered owners of the Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Bonds shall be payable at the principal corporate trust office of the Paying Agent for the Bonds.

206. **Sale.** Pursuant to Sections 10a-109g(e) and 10a-109g(b) of the General Statutes, the Treasurer, on behalf of the University is authorized to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and the University. The terms and particulars of each such sale, the receipt of each proposal and each award of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture. The proceeds of the Bonds shall be deposited in the

Bond Proceeds Fund in the amounts and for the Construction Account and Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Section 1402, of the Master Indenture at the end of the second sentence, item (c) is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such maturity or redemption date upon which moneys are to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

210. **UConn Infrastructure Improvement Program.** Pursuant to Sections 1001(1)(b) and 1001(6) of the Master Indenture, for purposes of the Bonds and any bonds issued under the Master Indenture after the date hereof, Section 909(C) is deleted in its entirety.

211. **Continuing Disclosure Undertaking.** Pursuant to Sections 1001(1) and 1512 of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Article XV is hereby deleted in its entirety.

ARTICLE III

Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Indenture with such additions or deletions anticipated by this Thirty-first Supplemental Indenture as are set forth in the Certificate of Determination.

ARTICLE IV

Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Bonds to be “arbitrage bonds” within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or

on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

ARTICLE V

Miscellaneous

501. No Recourse. No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Thirty-first Supplemental Indenture against any member of the Board of Trustees or the State Bond Commission or any officer of the University or the State or any person executing the Bonds and neither the members of the Board of Trustees nor the State Bond Commission nor officers of the University or the State nor any person executing the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 10a-109s of the General Statutes, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

502. Delegation of Authority to the Treasurer and to the Authorized Officer for Sale Purposes or the University's Chief Financial Officer

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Bonds the date or dates and maturities (provided, however, that the Bonds issued to finance equipment and collections shall mature not later than five (5) years from their dated date and the Bonds issued to finance any other purpose shall mature not later than thirty (30) years from their dated date); provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of the Bonds setting forth the details and particulars of the Bonds determined by him or her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of the Bonds.

(B) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 10a-109d(a)(5) of the General Statutes pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for the Bonds.

(C) The Authorized Officer for Sale Purposes or the University's Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission ("Rule 15c-2-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of the Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the University's Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in the Treasurer's judgment, be necessary or appropriate on or before the date of delivery of the Bonds.

(D) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Thirty-first Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions to the Thirty-first Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Thirty-first Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of the Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Thirty-first Supplemental Indenture as evidenced by approval of the Certificate of Determination and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer's duties at the University and under the Act.

503. Declaration of Official Intent. The University reasonably expects to incur expenditures (the "Expenditures") in connection with the Bond projects of which a general functional description is contained in Appendix A attached hereto (collectively, the "Project"). The University reasonably expects to reimburse itself for the cost of Expenditures with respect to the Project with the proceeds of Bonds, tax-exempt obligations to be issued by the University, not later than eighteen (18) months after the later of the date the original Expenditure is paid or the date the Project is placed in service or abandoned, but in no event more than three (3) years after the original Expenditure is paid. The maximum principal amount of such debt with respect to the Project is not expected to exceed the amount as set forth in Appendix A. This declaration of official intent is a declaration of official intent made pursuant to Section 1.150-2 of the Regulations.

504. State of Connecticut Terms and Conditions. The provisions of the State of Connecticut Terms and Conditions attached hereto as Appendix B are made a part of this Thirty-first Supplemental Indenture and for purposes thereof, "Contractor" shall refer to U.S. Bank Trust Company, National Association.

IN WITNESS WHEREOF, the University of Connecticut has caused this Thirty-first Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Thirty-first Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____

Its President

(SEAL)

ATTEST:

By: _____

Its Chief Financial Officer

U.S. BANK TRUST COMPANY, NATIONAL
ASSOCIATION,
as Trustee

Date: _____, [2024]

By: _____

Name:

Title:

THIRTY-FIRST SUPPLEMENTAL INDENTURE
UCONN 2000 INFRASTRUCTURE IMPROVEMENT PROGRAM
FISCAL YEAR 2024-2025
UCONN 2000 BOND AUTHORIZATIONS
___SERIES___BOND PROJECTS

UCONN 2000 Projects*	UCONN 2000 Phase III Total (\$) Needed -D.S.C. Bonds**	Fiscal Year 2024-2025 Bond Authorization ⁽²⁾
--Storrs and Regional Campuses		
Academic and Research Facilities	\$774,204,438.00	\$15,000,000.00
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities ⁽³⁾	1,207,943,262.83	102,000,000.00
Equipment, Library Collections & Telecommunications	234,491,656.00	5,000,000.00
Subtotal – Storrs and Regional Campuses		<u>\$122,000,000.00</u>
Total Fiscal Year 2024-2025 Bond Authorization		\$122,000,000.00

*Reflects amendments to project names by Public Act No 02-3 of the May 2002 Special Session and by Public Act No 07-108 of the 2007 Session of the Connecticut General Assembly.

**Includes only Phase III General Obligation Debt Service Commitment amounts needed from 7/1/2005 forward and does not include \$50 million of Phase II authorizations issued after 7/1/2005 pursuant to the Eleventh Supplemental Indenture.

⁽¹⁾ The Board of Trustees approved the Thirty-first Supplemental Indenture on June 26, 2024.

⁽²⁾ The amounts presented herein may vary (1) by resolution of the Board of Trustees provided that such reallocation does not result in the expenditure of proceeds in excess of the total aggregate amount approved as set forth in this supplemental indenture, and (2) by up to 5% upon a written determination by the Chief Financial Officer, as an Authorized Officer pursuant to the Master Indenture as supplemented, including Section 805 thereof, provided any reallocation shall (i) not result in the expenditure of proceeds in excess of the total aggregate amount approved by the Board of Trustees for all projects as set forth in the Master Indenture as supplemented approving such total expenditures; (ii) shall not result in any adverse tax consequences to the University; (iii) be made only that the UCONN 2000 Projects affected by the reallocation can still be completed within the reallocated amounts, together with any other amounts allocated by the Board of Trustees in subsequent supplemental indentures; and (iv) be reported to the Board of Trustees at its next scheduled meeting.

⁽³⁾ Public Act 17-2 passed October 31, 2017 and changed the UCONN 2000 named project formally known as “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”, to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities” and UConn Health’s UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Sum — Health Center” to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities – Health Center. Similarly, Public Act 16-4 effective July 1, 2016 changed the name of UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum”.


APPENDIX B

STATE OF CONNECTICUT TERMS AND CONDITIONS

[TO BE INCLUDED UPON EXECUTION]

ATTACHMENT 44

June 26, 2024

TO: Members of the Board of Trustees 

FROM: Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer

RE: Thirty-Second Supplemental Indenture Authorizing University of Connecticut
General Obligation Refunding Bonds

RECOMMENDATION:

That the Board of Trustees approves the Thirty-second Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut General Obligation Refunding Bonds secured by the State of Connecticut's (the "State") Debt Service Commitment from time to time at such expected debt service savings and terms as future market conditions may warrant at the time of the sale.

BACKGROUND:

The Thirty-second Supplemental Indenture authorizes the issuance of refunding bonds and replaces the Twenty-fourth Supplemental Indenture to make certain changes consistent with current market practices. The University of Connecticut's (the "University") General Obligation Refunding Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank Trust Company, National Association, dated as of November 1, 1995, as amended (the "Master Indenture") which provides that each refunding issue of bonds be issued pursuant to a refunding supplemental indenture.

The Thirty-second Supplemental Indenture also provides that the exact amount of the refunding bonds be determined at the time of issuance by the State Treasurer in conjunction with the University depending on the debt service savings, market conditions and other factors. This Supplemental Indenture replaces the Twenty-fourth Supplemental Indenture, which is now seven years old. The changes include updates to certain job titles and statutory references, and confirming the proceeds of refunding bonds may be deposited directly to an escrow fund pursuant to an Escrow Deposit Agreement.

This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Thirty-second Supplemental Indenture and for refunding bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

UNIVERSITY OF CONNECTICUT

as Issuer

and

U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION

as Trustee

THIRTY-SECOND SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION REFUNDING BONDS

(Secured by the State Debt Service Commitment)

Dated as of _____

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**THIRTY-SECOND SUPPLEMENTAL INDENTURE
AUTHORIZING THE ISSUANCE OF
THE UNIVERSITY OF CONNECTICUT
GENERAL OBLIGATION REFUNDING BONDS
(SECURED BY THE STATE DEBT SERVICE COMMITMENT)**

ARTICLE I

Definitions and Statutory Authority

101. **Thirty-second Supplemental Indenture.** This Thirty-second Supplemental Indenture authorizing General Obligation Refunding Bonds (the “Bonds”) is supplemental to, and constitutes a Supplemental Indenture within the meaning of, and is adopted in accordance with Article X of the General Obligation Master Indenture of Trust between the University of Connecticut (the “University”) and the Trustee dated as of November 1, 1995, the form of which was approved by the State Bond Commission as required by Section 10a-109g of the General Statutes of Connecticut as amended and supplemented to the date hereof (the “Master Indenture”).

102. **Definitions.** All terms defined, and the rules of construction set forth, in Article I of the Master Indenture shall have the same meanings in this Thirty-second Supplemental Indenture as such terms are given in such Article I except that, as used in this Thirty-second Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“**Authorized Officer’s Certificate**” means a copy of a resolution of the Board of Trustees certified by an Authorized Officer.

“**Authorized Officer for Sale Purposes**” means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

“**Bond Insurance Policy**” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on the Bonds and constitutes a Bond Facility under the Master Indenture.

“**Bond Insurer**” means a financial guaranty insurance company, if any, or any successor thereto which insures the Bonds as provided in the Certificate of Determination.

“**Bonds**” means any series of bonds issued pursuant to this Thirty-second Supplemental Indenture.

“**Certificate of Determination**” means the certificate of determination of the Treasurer required by section 502 hereof and otherwise referenced herein.

“Escrow Agent” means for purposes of the Master Indenture and this Thirty-second Supplemental Indenture, the Trustee or such other bank or trust company named as the Escrow Agent for a particular series of Bonds pursuant to the applicable Certificate of Determination.

“Escrow Deposit Agreement” means an escrow deposit agreement relating to a series of the Bonds entered into by the University, signed by an Authorized Officer, and the Escrow Agent.

“General Statutes” means the General Statutes of Connecticut, Revision of 1958, as may be amended from time to time.

“Insured Bonds” means any series or certain maturities in any series of bonds to be insured by a municipal bond new issue insurance policy to be issued simultaneously with the delivery of Bonds by the Bond Insurer.

“Official Statement” means the official statement of the University relating to the applicable series of Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to the applicable series of Bonds.

“Principal” or **“principal”** means the principal amount of each Bond payable at maturity.

“Principal Amount” means the outstanding principal of a Bond.

“Underwriters” means the initial purchasers of the applicable series of Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. **Authority for the Thirty-second Supplemental Indenture.** This Thirty-second Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Master Indenture.

ARTICLE II

Authorization, Terms and Issuance of Bonds

201. **Authorization of Bonds, Maximum Amount, Delegation, Designation and Pledge.** Bonds entitled to the benefit, protection and security of the Act and the Master Indenture, and constituting Bonds to be secured by the State Debt Service Commitment are hereby authorized to be issued in one or more series from time to time under the Master Indenture and pursuant to the Act in the aggregate principal amounts not to exceed the aggregate of the principal, redemption premium, if any, of and interest on the then Outstanding Bonds plus the amount of the Costs of Issuance to be funded from the proceeds of such series of Bonds.

The exact amount of the series of Bonds to be issued under this Thirty-second Supplemental Indenture is hereby delegated to and is to be determined by a certificate of, the Authorized Officer for Sale Purposes and the Treasurer of the State, such certificates to provide that the issuance of a series of the Bonds is reasonably expected to achieve, as a result of the sale of such series of Bonds and the investment and application of the proceeds thereof, net debt service savings.

The Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “20__ Refunding Series A” or such other designation or designations of “Refunding Series__” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the Certificate of Determination, pursuant to and subject to the terms, conditions and limitations established in the Master Indenture, this Thirty-second Supplemental Indenture, an Authorized Officer’s Certificate and the Treasurer’s Certificate of Determination. In accordance with the Act, the amount of the State Debt Service Commitment in each fiscal year is hereby pledged for the punctual payment of the Special Debt Service Requirements on the Bonds as the same arise and shall become due and payable.

202. **Purposes.** The Bonds will be issued and used to provide funds for deposit in the following funds and accounts (i) the applicable Series Account of the Redemption Fund which shall be held and maintained by the Trustee, for the payment of the Redemption Price of the bonds being refunded, or if such bonds are not then subject to redemption, may be deposited directly to an escrow fund pursuant to an Escrow Deposit Agreement (the “Escrow Fund”) and (ii) the Cost of Issuance Account of the Bond Proceeds Fund, which, pursuant to Section 602 of the Master Indenture, unless otherwise provided by a Supplemental Resolution, shall be held and maintained by the Treasurer, to pay or provide for the costs of issuance of a series of the Bonds. The Treasurer and University shall cause the proceeds from the sale of each series of the Bonds to be so deposited in the Redemption Fund or the Escrow Fund and the Bond Proceeds Fund.

203. **Interest Payments.** Each series of Bonds shall bear interest from their respective dates, payable on the date or dates, and at the rates as shall be determined by the Treasurer in the applicable Certificate of Determination. Except as otherwise may be provided in such Certificates, interest shall be computed on the basis of a 360-day year consisting of 12 months of 30 days each.

204. **Form, Denomination, Numbers and Letters.** Each series of Bonds shall be in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York (“DTC”), which will act as securities depository for the Bonds. Each series of Bonds shall be in denominations to be determined by the Treasurer in the Certificate of Determination. Each series of Bonds shall be lettered “AR-__” or such other letters provided in the Certificate of Determination. Each such letter shall be followed by the number of the Bonds. Each series of Bonds shall be numbered consecutively from one upward in order of issuance.

205. **Places of Payment and Paying Agent.** So long as all of a series of the Bonds are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, Principal, Sinking Fund Installments, if any, Redemption Price of and interest on such series of the Bonds shall be payable from the Trustee to DTC or its

successor as securities depository for such series the Bonds, as determined by the Treasurer in the applicable Certificate of Determination. If any of the Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on such Bonds shall be payable by check mailed to the registered owners of such Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of such Bonds shall be payable at the principal corporate trust office of the Paying Agent for such Bonds.

206. **Sale.** Pursuant to Sections 10a-109g(e) and 10a-109g(f) of the General Statutes, the Treasurer, on behalf of the University, is authorized to sell the Bonds by negotiation or public competitive sale, in such manner, at such price or prices, at such time or times, in one or more series, and on such terms and conditions as the Treasurer shall determine to be in the best interests of the State and University. The terms and particulars of each such sale, the receipt of each proposal and each award of a series of the Bonds and all other action appropriate or necessary in connection therewith shall be set by the Treasurer, including the selection of the Trustee pursuant to Article VIII of the Indenture, in conjunction with the Authorized Officer for Sale Purposes to whom such matters are hereby delegated and shall be recited in the Treasurer's Certificate of Determination, and confirmed by the Authorized Officer for Sale Purposes.

207. **Execution.** The Bonds shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Master Indenture.

208. **Delivery and Application of Bond Proceeds.** After their execution as provided herein and in the Master Indenture, the Bonds shall be delivered to the Trustee for authentication as provided in the Master Indenture and shall thereupon be delivered to the applicable Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Master Indenture. The proceeds of each series of the Bonds shall be deposited in the applicable Series Account of the Redemption Fund or the Escrow Fund and the Costs of Issuance Account, as more particularly set forth in the Certificate of Determination of the Treasurer.

209. **Defeasance.** Pursuant to Section 1001(1)(b) of the Master Indenture, for purposes of each series of the Bonds and any bonds issued under the Master Indenture after the date hereof, Section 1402 of the Master Indenture at the end of the second sentence, item (c) is hereby amended in its entirety as follows.

(c) in the event said Bonds are not by their terms subject to redemption within the next succeeding 60 days, the University shall have given the Trustee in form satisfactory to it irrevocable instructions to mail at least once, or to publish at least twice at an interval of not less than seven days between publications in an Authorized Newspaper, as soon as practicable, a notice to the Holders of such Bonds that the deposit required by (b) above has been made with the Trustee and that said Bonds are deemed to have been paid in accordance with this Section and stating such

maturity or redemption date upon which moneys are to be available for the payment of the principal or Redemption Price, if applicable, on said Bonds.

210. **UConn Infrastructure Improvement Program.** Pursuant to Sections 1001(1)(b) and 1001(6) of the Master Indenture, for purposes of each series of the Bonds and any bonds issued under the Master Indenture after the date hereof, Section 909(C) is deleted in its entirety.

211. **Continuing Disclosure Undertaking.** Pursuant to Sections 1001 (1) and 1512 of the Master Indenture, for purposes of the Bonds and for any bonds issued under the Master Indenture after the date hereof, Article XV is hereby deleted in its entirety.

ARTICLE III

Form of the Bonds

301. **Form of the Bonds.** The Bonds shall be substantially in the form set forth in the Master Indenture with such additions or deletions anticipated by this Thirty-second Supplemental Indenture as are set forth in the Certificate of Determination.

ARTICLE IV

Tax Covenant

401. **Tax Exemption.** In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to the Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause any of the Bonds to be “arbitrage bonds” within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to the Bonds regarding all acts necessary to satisfy and fulfill such covenants.

ARTICLE V

Miscellaneous

501. **No Recourse.** No recourse shall be had for the payment of the principal of or interest on the Bonds or for any claim based thereon or on this Thirty-second Supplemental Indenture against any member of the Board of Trustees, nor the State Bond Commission or any officer of the University or the State or any person executing any series of the Bonds and neither the members of the Board of Trustees or the State Bond Commission nor officers of the

University or the State nor any person executing any series of the Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of any series of the Bonds shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 10a-109s of the General Statutes, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UCONN 2000 Infrastructure Improvement Program.

502. Delegation of Authority to the Treasurer and to the Authorized Officer for Sale Purposes and the University's Chief Financial Officer.

(A) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Master Indenture, the authority to determine with respect to each series of Bonds the date or dates and maturities (provided, however, that such Bonds shall mature not later than the bonds being refunded); provisions for either serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium; time or times of sale (subject to the cash flow requirements of the University to cover the cost of the UCONN 2000 Infrastructure Improvement Program) and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Bonds and of the issuance and sale thereof as the Treasurer may determine to be in the best interests of the State and University. The Treasurer shall file a Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of each series of the Bonds setting forth the details and particulars of such series of Bonds determined by her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of such Bonds.

(B) The Treasurer is also delegated pursuant to this Thirty-second Supplemental Indenture, the authority to consolidate any issue of Bonds authorized hereunder with any issue of bonds authorized and issued pursuant to a Supplemental Indenture approved by the Board of Trustees. The Treasurer shall set forth the particulars of such consolidation in the applicable Certificate of Determination.

(C) The Treasurer is also delegated, pursuant to the Act and, in accordance with Section 10a-109d(a)(5) of the General Statutes and pursuant to certain provisions of Section 3-20 of the General Statutes, the authority to enter into agreements in consultation with the University (through an Authorized Officer) with respect to the issuance and sale of each series of the Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, and agreements with respect to security for each series of Bonds.

(D) The Authorized Officer for Sale Purposes or the University's Chief Financial Officer is hereby delegated and the Treasurer is further delegated, pursuant to the Act,

the authority to approve the final terms of and publication and distribution of the Official Statement in connection with the offering and sale of a series of the Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c-2-12 of the Securities and Exchange Commission (“Rule 15c-2-12”), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c-2-12. The mailing, publication and distribution of each such Preliminary Official Statement is hereby approved. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the University’s Chief Financial Officer, is further authorized and directed to sign any amendment or supplement or certificate with respect to any Official Statement or any Preliminary Official Statement that may, in the Treasurer’s judgment, be necessary or appropriate on or before the date of delivery of a series of the Bonds.

(E) Subsequent to adoption of the resolution of the Board of Trustees authorizing the Thirty-second Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions to the Thirty-second Supplemental Indenture, not materially inconsistent with the intent of the provisions of the Thirty-second Supplemental Indenture as so adopted as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of a series of Bonds, the Bond Insurer, if any, or the rating agencies with respect to the Thirty-second Supplemental Indenture as evidenced by approval of the Certificate of Determination and may rely on a Counsel’s Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the Bonds within the scope of such Authorized Officer’s duties at the University and under the Act.

503. State Contracting Provisions. Each Certificate of Determination executed and delivered in connection with the issuance of a series of Bonds, the receipt of which shall be acknowledged by the Trustee, shall set forth the provisions of the State of Connecticut Terms and Conditions then in effect, if any, and shall be deemed incorporated therein and herein.

IN WITNESS WHEREOF, the University of Connecticut has caused this Thirty-second Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Thirty-second Supplemental Indenture to be signed and sealed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____

Its President

(SEAL)

ATTEST:

By: _____

Its Chief Financial Officer

U.S. BANK TRUST COMPANY, NATIONAL
ASSOCIATION,
as Trustee

Date: _____

By: _____


Name:

Title:

ATTACHMENT 45

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance and Chief Financial Officer

RE: Seventh Supplemental Indenture Authorizing University of Connecticut
Special Obligation Student Fee Revenue Refunding Bonds

RECOMMENDATION:

That the Board of Trustees approves the Seventh Supplemental Indenture, substantially in the form attached hereto, authorizing University of Connecticut Special Obligation Refunding Bonds from time to time at such terms as future market conditions may warrant at the time of the sale.

BACKGROUND:

The Seventh Supplemental Indenture authorizes the issuance of refunding bonds and replaces the Third Supplemental Indenture to make certain changes consistent with current market practices. The University of Connecticut's (the "University") Special Obligation Refunding Bonds authorized by the UCONN 2000 Act (Sections 10a-109a to 10a-109y, inclusive, of the Connecticut General Statutes, as amended) are secured by a Master Indenture of Trust by and between the University and U.S. Bank Trust Company, National Association, dated as of January 1, 1997, as amended (the "Master Indenture") which provides that each refunding issue of bonds be issued pursuant to a refunding supplemental indenture.

The Seventh Supplemental Indenture also provides that the exact amount of the refunding bonds be determined at the time of issuance by the State Treasurer in conjunction with the University depending on the debt service savings, market conditions and other factors. This Supplemental Indenture replaces the Third Supplemental Indenture, which is now twenty-two years old. The changes include updates to certain job titles and statutory references, modifying provisions consistent with the UConn 2000 Act, and confirming the proceeds of refunding bonds may be deposited directly to an escrow fund pursuant to an Escrow Deposit Agreement.

This recommendation, if approved, will serve as the Board of Trustees' resolution for approval of the Seventh Supplemental Indenture and for refunding bonds to be issued in accordance therewith. The resolution, with supplemental information, as appropriate, will be sent to the Governor for approval. If the Governor chooses not to exercise his statutory authority to approve or disapprove the resolution within 30 days of its submission, it will be deemed approved in accordance with the Act.

UNIVERSITY OF CONNECTICUT
as Issuer

AND

U.S. BANK TRUST COMPANY, NATIONAL ASSOCIATION
as Trustee

SEVENTH SUPPLEMENTAL INDENTURE

AUTHORIZING

THE UNIVERSITY OF CONNECTICUT
SPECIAL OBLIGATION STUDENT FEE REVENUE BONDS

REFUNDING SERIES

Dated as of _____, 20__

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SEVENTH SUPPLEMENTAL INDENTURE

authorizing

THE UNIVERSITY OF CONNECTICUT SPECIAL OBLIGATION STUDENT FEE REVENUE BONDS

REFUNDING SERIES

This SEVENTH SUPPLEMENTAL INDENTURE, dated as of _____, 20__ (the "*Seventh Supplemental Indenture*"), supplements and amends the Special Obligation Indenture of Trust, dated as of January 1, 1997, as amended and supplemented to date (the "*Master Indenture*" and, collectively with the Seventh Supplemental Indenture, the "*Indenture*"), by and between the University of Connecticut (the "*University*"), a constituent unit of the State of Connecticut system of public higher education and a body politic and corporate and an instrumentality and agency of the State of Connecticut (the "*State*") and U.S. Bank Trust Company, National Association (as successor to State Street Bank and Trust Company) (the "*Trustee*"). Capitalized terms used but not defined herein shall have the meanings given such terms as set forth in the Master Indenture.

WITNESSETH:

WHEREAS, the University is empowered under Section 10a-109f of the University of Connecticut 2000 Act, being Sections 10a-109a to 10a-109g inclusive of the General Statutes of Connecticut, as amended (the "*Act*"), when directed by vote of its Board of Trustees, to borrow money and enter into financing transaction proceedings in anticipation of assured revenues, project revenues or other funding sources in the name of the University, on behalf of the State, and issue securities in connection with such proceedings to finance the cost of UConn 2000 or any one project thereof, or more than one, or any combination of projects thereof; and

WHEREAS, the University entered into the Master Indenture with the Trustee to provide for the issuance of Special Obligation Bonds of the University to finance UConn 2000 Projects; and

WHEREAS, the Master Indenture provides for the issuance of the Special Obligation Bonds of the University, payable solely from Pledged Revenues (which include certain Project Revenues and Assured Revenues, each as defined in the Master Indenture and in the Act) and other receipts, funds or monies pledged under the Master Indenture; and

WHEREAS, pursuant to Section 205 of the Master Indenture and Section 10a-109g of the Act, the University is authorized to issue one or more series of Special Obligation Bonds for the purpose of refunding any then Outstanding Special Obligation Bonds (referred to herein as "*Refunding Bonds*"); and

WHEREAS, in order to take advantage of favorable market conditions and other favorable improvements for tax-exempt bonds, on September 26, 2001, the University, by vote of its Board of Trustees approved the Third Supplemental Indenture (the "*Third Supplemental Indenture*"), to provide for the issuance from time to time of one or more series of Refunding Bonds to refund, in

whole or in part, any Outstanding Special Obligation Bonds, including Costs of Issuance of such Refunding Bonds and funding of Debt Service Reserve Fund, if any; and

WHEREAS, the University, by vote of its Board of Trustees approving this Seventh Supplemental Indenture, now desires to modify provisions and streamline the process for the issuance of its Refunding Bonds under the Master Indenture, all in accordance with the terms and provisions of the Act and the Master Indenture; and

WHEREAS, all things necessary to make any series of Refunding Bonds, when issued and authenticated by the Trustee as provided in this Seventh Supplemental Indenture, the legal, valid, and binding obligations of the University enforceable against the University according to the import thereof, and to constitute this Seventh Supplemental Indenture a valid assignment and pledge of the amounts pledged to the payment of the Principal and Redemption Price, if any, of and the interest on the Refunding Bonds have been done and performed, and the creation, execution and delivery of this Seventh Supplemental Indenture, and the creation, execution and delivery of any series of Refunding Bonds subject to the terms hereof, have in all respects been duly authorized.

ARTICLE I

Definitions and Statutory Authority

101. Seventh Supplemental Indenture. This Seventh Supplemental Indenture authorizing the Bonds in one or more series and amending the Master Indenture, is supplemental to, and constitutes a Supplemental Indenture within the meaning of and is adopted in accordance with Article X of the Master Indenture.

102. Definitions. All terms defined, and the rules of construction set forth, in Article I of the Master Indenture shall have the same meanings in this Seventh Supplemental Indenture as such terms are given in such Article I except that, as used in this Seventh Supplemental Indenture, the following terms shall have the following respective meanings, unless the context shall otherwise require:

“Authorized Officer for Sale Purposes” means the Authorized Officer, who shall be an officer, official or trustee serving on the financial affairs committee of the Board of Trustees.

“Bond Insurance Policy” means the municipal bond insurance policy, if any, issued by the Bond Insurer that guarantees payment of principal of and interest on a series of the Refunding Bonds and constituting a Bond Facility under the Indenture.

“Bond Insurer” means a financial guaranty insurance company, if any, or any successor thereto which insures a series of the Refunding Bonds as provided in the Certificate of Determination.

“Certificate of Determination” means the certificate or certificates of determination of the Treasurer relating to a particular series of Refunding Bonds required by Section 502 hereof and otherwise referenced herein.

“Escrow Agent” means for purposes of the Master Indenture and this Seventh Supplemental Indenture, the Trustee or such other bank or trust company named as the Escrow Agent for a particular series of Refunding Bonds pursuant to the applicable Certificate of Determination.

“Escrow Agreement” means an escrow deposit agreement entered into by the University and the Escrow Agent in connection with a particular series of Refunding Bonds.

“Indenture” has the meaning as set forth in the Recitals hereto.

“Master Indenture” has the meaning as set forth in the Recitals hereto.

“Official Statement” means the official statement of the University relating to a series of Refunding Bonds.

“Preliminary Official Statement” means the preliminary official statement of the University relating to a series of Refunding Bonds.

“Refunding Bonds” means for purposes of this Seventh Supplemental Indenture, the bonds or any series of bonds authorized by Article II of hereof.

“Refunded Bonds” means with respect to any series of Refunding Bonds, the then Outstanding Bonds being refunded with the proceeds thereof.

“Seventh Supplemental Indenture” has the meaning as set forth in the Recitals hereto.

“Tax-Exempt Bonds” means any Refunding Bonds the interest on which is intended to be excluded from gross income for purposes of federal income taxation.

“Third Supplemental Indenture” has the meaning as set forth in the Recitals hereto.

“Underwriters” means the initial purchasers of a particular series of the Refunding Bonds pursuant to a bond purchase agreement duly executed by the University, the Treasurer and such purchasers.

103. Authority for the Seventh Supplemental Indenture. This Seventh Supplemental Indenture is entered into by the University and the Trustee pursuant to the provisions of the Act and the Master Indenture.

ARTICLE II

Authorization of Refunding Bonds

201. Authorization. There shall be issued under and secured by the Master Indenture Bonds to be designated “The University of Connecticut Special Obligation Student Fee Revenue Bonds,” issued from time to time, in one or more series, in aggregate principal amounts not to exceed the aggregate principal, redemption premium, if any, of and interest on then Outstanding Bonds, including Bonds hereinafter issued (the **“Refunding Bonds”**), plus the amount of Cost of

Issuance to be provided from the proceeds of any such series of Refunding Bonds, the amount of any net original discount, and the amount, if any, required to fund any reserve funds, as needed.

The exact amount of each series of the Refunding Bonds is delegated to, and is to be determined by certificates of, the Authorized Officer for Sale Purposes in accordance with Section 10a-109g of the Act. In accordance with the Act, the terms and provisions of the sale of each series of the Refunding Bonds shall be determined by the Treasurer pursuant to a Certificate of Determination.

The Refunding Bonds shall be designated as and shall be distinguished from other Bonds by the additional title “Refunding Series” or such other designation or designations of “____ Refunding Series ____” inserting the applicable number and letter, respectively, reflecting the year and series issued, as provided in the applicable Certificate of Determination pursuant to and subject to the terms, conditions and limitations established in the Master Indenture, this Seventh Supplemental Indenture, the applicable certificate of the Authorized Officer for Sale Purposes and the applicable Treasurer’s Certificate of Determination.

202. Reserve Funds. Any series of Refunding Bonds may be supported by the Debt Service Reserve Fund as determined by the Chief Financial Officer of the University, all in accordance with the provisions of the Indenture, the applicable Certificate of Determination of the Treasurer and the applicable certificate of the Authorized Officer for Sale Purposes.

203. Purposes; Plan of Financing. The Refunding Bonds will be issued pursuant to the Master Indenture (i) to refund any then Outstanding Bonds, in whole or in part, (ii) to pay Costs of Issuance; (iii) to pay net original issue discount, if any; and (iv) to fund the Debt Service Reserve Fund to its Debt Service Reserve Fund Requirement, if any.

ARTICLE III

Form and Details of Refunding Bonds; Application of Proceeds

301. Registered Form, Denomination, Numbers and Letters. All Refunding Bonds shall be issued in fully registered form and shall initially be registered in the name of Cede & Co., as nominee of The Depository Trust Company, New York, New York (“DTC”), which will act as securities depository for the Refunding Bonds. Each series of the Refunding Bonds shall be in denominations to be determined by the Treasurer in the applicable Certificate of Determination. The Refunding Bonds of each series shall be lettered “AR-____” or such other letters provided in the applicable Certificate of Determination. Each such letter shall be followed by the number of the Refunding Bonds. Each series of the Refunding Bonds shall be numbered consecutively from one upward in order of issuance.

302. Places of Payment and Paying Agent. So long as all Refunding Bonds of a series are registered in the name of Cede & Co., as nominee of DTC, or any other nominee of DTC or its successor as securities depository, the Principal, Sinking Fund Installments, if any, or Redemption Price of and interest on the Refunding Bonds shall be payable from the Trustee to DTC or its successor as securities depository for the Refunding Bonds, as determined by the Treasurer in the applicable Certificate of Determination. If any of the Refunding Bonds shall no longer be registered in the name of a nominee of DTC or any successor securities depository or its nominee, interest on the Refunding Bonds shall be payable by check mailed to the registered owners of the Refunding Bonds, and Principal, Sinking Fund Installments, if any, or Redemption Price of the Refunding Bonds shall be payable at the principal

corporate trust office of the Paying Agent for the Refunding Bonds, as more particularly described in Section 309 hereof.

303. Amount and Terms. Each Series of Refunding Bonds shall be dated, shall be in the exact amount, shall mature in the years (subject to the limitations set forth in Section 502 hereof), in the respective principal amounts, shall be subject to mandatory or optional redemption and mandatory or optional tender, shall bear such interest rate or rates payable at least semiannually after the first year and may be insured by a Bond Facility as, with respect to the foregoing matters pursuant to the Act, the Master Indenture and Section 502 of this Seventh Supplemental Indenture, the State Treasurer (as set forth in the applicable Certificate of Determination) in conjunction with an Authorized Officer for Sale Purposes shall determine in the best interests of the State and University.

304. Interest. The Refunding Bonds of each series shall bear interest from their date, payable on the date or dates and at the rates specified in the applicable Treasurer's Certificate of Determination. Interest on the Refunding Bonds of each series shall be computed on the basis of a 360-day year of twelve 30-day months.

305. Delivery and Application of Bond Proceeds. Upon receipt of the proceeds of the sale of any Refunding Bonds, the Trustee shall make the following deposits, as more particularly set forth in the applicable Certificate of Determination of the Treasurer:

- (1) that amount, if any, representing the Debt Service Reserve Fund Requirement for deposit in the Debt Service Reserve Fund, such that the amount therein is equal to the Debt Service Reserve Fund Requirement, if any;
- (2) that amount for deposit in the Interest Account of the Debt Service Fund which represents the amount of accrued interest on the Refunding Bonds, if any;
- (3) that amount representing the Costs of Issuance of such series of Refunding Bonds, for deposit in the Costs of Issuance Account established therefor in the Bond Proceeds Fund; and
- (4) that amount, representing the remainder of the proceeds of the sale of such series of Refunding Bonds, for deposit into the applicable Series Account of the Redemption Fund for the payment of the Redemption Price of and interest coming due on the Refunded Bonds or, if such Refunded Bonds are not then subject to redemption, for deposit directly to an escrow fund established for such Refunded Bonds pursuant to an Escrow Agreement (each an "***Escrow Fund***").

306. Mandatory and Optional Redemption of the Refunding Bonds. The Refunding Bonds may also be subject to redemption as a whole or in part prior to maturity, as more particularly set forth in the applicable Certificate of Determination of the Treasurer, together with the terms of any such redemption.

307. Mandatory and Optional Tender of Refunding Bonds. The Refunding Bonds may also be subject to mandatory and optional tender as more particularly set forth in the applicable Certificate of Determination of the Treasurer, together with the terms of any such tender.

308. Form. The Refunding Bonds of each series shall be substantially in the form set forth in Exhibit A of this Seventh Supplemental Indenture and with such insertions, omissions and variations as may be deemed necessary or appropriate by an Authorized Officer of the University and as shall be permitted by the Indenture and the Act. Any covenants and conditions set forth in the form of the Refunding Bonds are incorporated into this Seventh Supplemental Indenture by reference and shall be binding upon the University as though set forth in full herein.

309. Provisions for Payment. The Principal or Redemption Price, if any, of each series of Refunding Bonds as they respectively become due shall be payable at the principal corporate trust office of the Trustee. Interest on such Refunding Bonds shall be payable to the person appearing on the registration books of the Trustee as the registered Owner thereof on the Record Date (1) by check or draft mailed on the Interest Payment Date to the registered Owner, or (2) by wire transfer on the Interest Payment Date to any Owner of at least \$1,000,000 in aggregate principal amount of the Refunding Bonds upon written notice provided by the Owner to the Trustee not later than the Record Date for such interest payment; except that if and to the extent there shall be a default in the payment of the interest due on any Interest Payment Date, the defaulted interest shall be paid to the Owners in whose names the Refunding Bonds are registered at the close of business on the fifth Business Day next preceding the date of payment of the defaulted interest. Interest payments made by check or draft shall be mailed to each Owner at his address as it appears on the registration books of the Trustee on the applicable Record Date or at such other address as he may have filed with the Trustee for that purpose. Wire transfer payments of interest shall be made at such wire transfer address as the owner shall specify in his notice requesting payment by wire transfer.

310. Continuing Disclosure Undertaking. Pursuant to Sections 1001(1) and 1512 of the Master Indenture, for purposes of the Refunding Bonds and for any bonds issued under the Master Indenture after the date hereof, Article XV is hereby deleted in its entirety.

ARTICLE IV

Additional Matters Applicable to the Refunding Bonds

401. Tax Exemption. In order to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Refunding Bonds issued as Tax-Exempt Bonds, the University hereby covenants to comply with the provisions of the Code, and any regulations or rulings issued thereunder, applicable to such Refunding Bonds. Further, the University covenants that it will not take any action or fail to take any action that would cause the Refunding Bonds issued as Tax-Exempt Bonds to be “arbitrage bonds” within the meaning of Section 148(a) of the Code. In fulfilling the covenants set forth in this Section, the University hereby agrees to instruct all parties acting by or on behalf of the University or in any manner with respect to said Refunding Bonds regarding all acts necessary to satisfy and fulfill such covenants.

402. Self-Sufficiency. With respect to the Refunding Bonds, the Chief Financial Officer shall deliver a certificate to the Trustee that the self-sufficiency requirements of Section 910 A(2) of the Master Indenture have been met.

403. Sale. Pursuant to Section 10a-109g(e) of the Act, the Master Indenture and Section 201 hereof, on behalf of the University, the State Treasurer is hereby authorized to sell the Refunding Bonds at public or private sale in such manner, at such price or prices, at such time or times, and on such terms and conditions as the State Treasurer shall determine to be in the best interests of

the State and University. The terms and particulars of such sale shall be recited in the State Treasurer's Certificate of Determination, to be filed with the University and the Secretary of the State Bond Commission.

404. Execution. The Refunding Bonds of each series shall be signed in the name of the University by the manual or facsimile signature of its President and the seal of the University (or a facsimile thereof) shall be affixed, imprinted, engraved or otherwise reproduced thereon and attested by an Authorized Officer. The Refunding Bonds shall be authenticated manually by the Trustee in accordance with the provisions of the Master Indenture.

405. Delivery. After their execution as provided herein and in the Master Indenture, the Refunding Bonds shall be delivered to the Trustee for authentication as provided in the Master Indenture and shall thereupon be delivered to the Underwriters upon receipt by the Trustee of the purchase price therefor in accordance with the documents of sale and upon satisfaction of the conditions contained therein and in the Indenture.

406. Other Provisions or Covenants. To the extent provisions such as purchasing bond insurance or covenants of like or different character from those contained herein or in the Master Indenture are consistent with the Act and are determined by the State Treasurer in the conjunction with an Authorized Officer of the University to be necessary, convenient or desirable in order to better secure the Refunding Bonds, or which will tend to make the Refunding Bonds marketable, and which are in the best interests of the State, may be included in the State Treasurer's Certificate of Determination.

ARTICLE V

No Recourse and Delegation of Authority

501. No Recourse. No recourse shall be had for the payment of the principal of or interest on the Refunding Bonds or for any claim based thereon or on this Seventh Supplemental Indenture against any member of the Board of Trustees or any officer of the University or the State or any person executing the Refunding Bonds and neither the members of the Board of Trustees or officers of the University or the State nor any person executing the Refunding Bonds, or with respect to execution of documents hereinafter mentioned, including the Preliminary Official Statement, the Official Statement and any Bond Purchase Agreement, Tax Regulatory Agreement or documents in connection with the authorization, issuance and sale of the Refunding Bonds, shall be liable personally thereon or be subject to any personal liability or accountability by reason of the issuance or execution thereof. Pursuant to Section 10a-109s of the Act, the provisions of Sections 4-165 and 5-141d of the General Statutes shall apply to any employee or official of the University or other State agency who is discharging his duties or acting within the scope of his employment in furtherance of the UConn 2000 Infrastructure Improvement Program.

502. Delegation of Authority to Treasurer. (a) The Treasurer is delegated, pursuant to the Act on behalf of the University and subject in all respects to the Indenture, the authority to determine with respect to the Refunding Bonds the date or dates and maturities (*provided, however*, that no Refunding Bond shall mature later than thirty (30) years from the date of issuance of the Bonds issued to provide original financing for the Project(s) being refinanced by such Refunding Bonds or five (5) years with respect to equipment and library collections); provisions for either Variable Interest Rate Bonds, serial or term bonds, sinking fund requirements, if any; due dates of interest; denominations; the terms, if any, of optional or extraordinary redemption, with or without premium;

time or times of sale and manner of sale; interest rates and limitations with respect thereto; provisions for receipt and deposit or investment of the good faith deposit pending delivery; and such other terms and conditions of the Refunding Bonds and of the issuance and sale thereof and provisions, if any, pursuant to Section 406 hereof, with respect to the foregoing, as the Treasurer may determine pursuant to this Seventh Supplemental Indenture, particularly Article III hereof, and to be in the best interests of the State and University. The Treasurer shall file the applicable Certificate of Determination with the University and Secretary of the State Bond Commission on or before the date of delivery of each series of the Refunding Bonds setting forth the details and particulars of such series of Refunding Bonds determined by him or her in accordance with this delegation. Such Certificate of Determination shall be delivered to the Trustee on or before the date of closing of each series of the Refunding Bonds.

(b) The Treasurer is also delegated pursuant to this Seventh Supplemental Indenture of Trust, the authority to consolidate any issue of Refunding Bonds authorized with any issue of Bonds that may be authorized and issued pursuant to a Supplemental Indenture approved by the Board of Trustees. The Treasurer shall set forth the particulars of such consolidation in the applicable Certificate of Determination.

(c) The Treasurer is further delegated, pursuant to the Indenture and, in accordance with Section 10a-109d(a)(5) of the Act, pursuant to certain provisions of Section 3-20 of the General Statutes of the State of Connecticut, as amended, the authority to enter into agreements in consultation with the University (through its Authorized Officer for Sale Purposes) with respect to the issuance and sale of the Refunding Bonds, including financial advisory agreements, bond purchase agreements, tax regulatory agreements, investment agreements, and agreements with respect to a Bond or Swap Facility for the Refunding Bonds.

503. Delegation of Authority. (a) The Authorized Officer for Sale Purposes or the Chief Financial Officer of the University is hereby delegated, and the Treasurer is further delegated, pursuant to the Act, the authority to approve the final terms of and publication and distribution of the Preliminary Official Statement and the Official Statement in connection with the offering and sale of any Refunding Bonds and to sign and certify that the Preliminary Official Statement is an official statement that the University deems final as of its date for purposes of Rule 15c2-12 of the Securities and Exchange Commission ("Rule 15c-12"), except for certain permitted omissions described in paragraph (b)(1) of Rule 15c2-12. The Treasurer, in conjunction with the Authorized Officer for Sale Purposes or the Chief Financial Officer of the University, is further authorized and directed to sign any amendment or supplement or certificate with respect to the Official Statement or the Preliminary Official Statement that may, in his or her judgment, be necessary or appropriate on or before the date of delivery of any Refunding Bonds.

(b) Subsequent to adoption of the resolutions of the Board of Trustees authorizing this Seventh Supplemental Indenture, the Authorized Officer for Sale Purposes is hereby authorized to make such changes, insertions, deletions or provisions thereto, respectively, not materially inconsistent with the intent of the provisions of the Master Indenture and this Seventh Supplemental Indenture as so adopted, including reasonably reducing or increasing the ratios respecting coverage and Additional Bonds as set forth in Section 903 of the Master Indenture, as may be necessary or appropriate to respond to the requirements of the Governor, the Treasurer, the Underwriters of a series of the Refunding Bonds or the rating agencies with respect to the Indenture, and may rely on a Counsel's Opinion for advice with respect to the foregoing. In addition, any Authorized Officer is authorized and directed to sign other documents ancillary to the authorization, issuance and delivery of the

Refunding Bonds, including any Escrow Agreements, within the scope of such Officer's duties at the University and under the Act.

ARTICLE VIII

Miscellaneous

801. When Effective. This Seventh Supplemental Indenture shall take effect as of the date of adoption by the Board of Trustees of the University, the acceptance of the Trustee, the approval of the Governor and its execution by the University and the Trustee.

802. Effect on Third Supplemental Indenture. The authorization to issue Refunding Bonds in one or more series pursuant to this Seventh Supplemental Indenture shall supersede the prior authorization to issue Bonds to refund Outstanding Special Obligation Bonds under and pursuant to the Third Supplemental Indenture, and the University hereby agrees that no further Bonds shall be issued under the Third Supplemental Indenture on or after the effective date of this Seventh Supplemental Indenture.

803. State Contracting Provisions. Each Certificate of Determination executed and delivered in connection with the issuance of a series of Refunding Bonds, the receipt of which shall be acknowledged by the Trustee, shall set forth the provisions of the State of Connecticut Terms and Conditions then in effect, if any, and shall be deemed incorporated therein and herein.

IN WITNESS WHEREOF, the University of Connecticut has caused this Seventh Supplemental Indenture to be signed by its President and sealed the same with its seal attested by an Authorized Officer, and the Trustee, for itself and its successor or successors, has caused this Seventh Supplemental Indenture to be signed by its duly authorized officer and has by its execution hereof signified its acceptance of the trust hereby created and imposed.

THE UNIVERSITY OF CONNECTICUT

By: _____

Its President

(SEAL)

ATTEST:

By: _____

Its Chief Financial Officer

U.S. BANK TRUST COMPANY,
NATIONAL ASSOCIATION, as Trustee

By: _____

Name:

Title:

EXHIBIT A

[BOND FORM]

NO. AR-__

\$ _____

NEITHER THE STATE OF CONNECTICUT NOR ANY POLITICAL SUBDIVISION THEREOF, OTHER THAN THE UNIVERSITY, SHALL BE OBLIGATED TO PAY THE PRINCIPAL OF OR THE INTEREST ON THE BONDS. THE UNIVERSITY IS OBLIGATED TO PAY THE PRINCIPAL OF AND INTEREST ON THE BONDS SOLELY FROM THE TRUST ESTATE. NEITHER THE FAITH AND CREDIT NOR THE TAXING POWER OF THE STATE OF CONNECTICUT OR OF ANY POLITICAL SUBDIVISION THEREOF, INCLUDING THE UNIVERSITY, IS PLEDGED TO THE PAYMENT OF THE PRINCIPAL OF OR INTEREST ON THE BONDS. THE UNIVERSITY HAS NO TAXING POWER.

UNIVERSITY OF CONNECTICUT
SPECIAL OBLIGATION STUDENT FEE REVENUE BONDS,
_____ REFUNDING SERIES _

<u>MATURITY DATE</u>	<u>INTEREST RATE</u>	<u>BOND DATE</u>	<u>CUSIP</u>
_____	_____%	_____	914225__

REGISTERED OWNER: Cede & Co.

PRINCIPAL AMOUNT: _____ Dollars (\$ _____)

The UNIVERSITY OF CONNECTICUT (the "University"), a body politic and corporate organized and existing under the laws of the State of Connecticut (the "State") for value received, hereby promises to pay to the registered owner identified above or registered assigns on the maturity date set forth above, the principal amount set forth above upon presentation and surrender hereof and in like manner to pay interest on such principal amount from the date hereof until the University's obligation with respect to payment of such principal amount shall be discharged, at the interest rate set forth above, semi-annually on the ____ day of _____ and _____ in each year, commencing _____, _____. Interest on this bond shall be paid by check or draft mailed to the person in whose name this bond is registered as of the close of business on the last day of _____ and _____ in each year or the preceding business day if such last day is not a business day (the "Record Date"), at such owner's address as shown on the registration books kept by the University or its designated agent. The principal or redemption price, if any, of this bond are payable at the corporate trust office of U.S. Bank Trust Company, National Association, Trustee and Paying Agent under the Indenture (as hereinafter defined), in Hartford, Connecticut, or at the

office designated for such payment of its respective successor as paying agent, in any coin or currency of the United States of America which, on the respective dates of payment thereof, is legal tender for the payment of public and private debts.

This bond and the issue of which it forms a part are special obligations of the University payable solely out of the receipts, funds or moneys held in and secured by a pledge of and lien upon the Trust Estate created under the Special Obligation Indenture (as hereinafter defined), subject only to any agreements permitted by the Indenture (as hereinafter defined) with the holders of particular notes or bonds pledging any particular revenues, receipts, funds or moneys. This bond does not constitute a general obligation of the University or a debt or liability issued or guaranteed by the State including within the meaning of Section 3-21 of the General Statutes of the State or any political subdivision of the State or a pledge of the faith and credit of the State, the University or any political subdivision of the State.

This bond is one of an authorized issue of Special Obligation Student Fee Revenue Bonds, _____ Refunding Series _ of the University in the aggregate principal amount of \$ _____ (the “_____ Refunding Series _ Bonds”) which are issued for the purpose of providing funds to refund bonds issued for the UConn 2000 Infrastructure Improvement Program and to pay costs of issuance of the _____ Refunding Series _ Bonds.

The _____ Refunding Series _ Bonds will be dated _____, _____. The _____ Refunding Series _ Bonds will be issued as serial bonds which will mature on _____ in each of the years and in the amounts and will bear interest payable semiannually on _____ and _____ in each year, commencing _____, _____, at the rates per annum set forth below:

	Principal	Interest		Principal	Interest
<u>Year</u>	<u>Amount</u>	<u>Rate</u>	<u>Year</u>	<u>Amount</u>	<u>Rate</u>

The _____ Refunding Series _ Bonds maturing in any one year are issuable in registered form, without coupons, in denominations of five thousand dollars (\$5,000.00) or any integral multiple thereof not exceeding the aggregate amount of _____ Refunding Series _ Bonds maturing in such year.

The _____ Refunding Series _ Bonds are authorized pursuant to The University of Connecticut 2000 Act, being Sections 10a-109a to 10a-109y, inclusive, of the General Statutes of Connecticut, as amended to date (the “Act”), and are issued pursuant to a resolution adopted by the

University's Board of Trustees on _____, a Special Obligation Indenture of Trust, dated as of January 1, 1997, as amended, (the "Special Obligation Indenture"), and the Seventh Supplemental Indenture, dated as of _____ (the "Seventh Supplemental Indenture" and, together with the Special Obligation Indenture the "Indenture"), each entered into by and between the University and U.S. Bank Trust Company, National Association, as successor trustee (such trustee and any successor thereto under the Special Obligation Indenture being herein called the "Trustee"), and other proceedings had and taken in conformity therewith, or determined pursuant thereto, including a Series Certificate of Determination relative to the _____ Refunding Series _____ Bonds dated as of _____, executed by the State Treasurer, and filed with the Secretary of the State Bond Commission and with the University. Reference to the Indenture and any and all supplements thereto and modifications and amendments thereof and to the Act is made for a description of the pledges and covenants securing the _____ Refunding Series _____ Bonds, the nature, extent and manner of enforcement of such pledges, the rights and remedies of the owners of the _____ Refunding Series _____ Bonds with respect thereto and the terms and conditions upon which the _____ Refunding Series _____ Bonds are issued and additional bonds may be issued, including for the purpose of refunding outstanding bonds. Terms not defined herein shall have the meanings set forth for such terms in the Indenture. Certified copies of the Indenture are on file in the office of the Trustee and in the office of the University.

[The _____ Refunding Series _____ Bonds are NOT subject to redemption prior to maturity.]
 [The _____ Refunding Series _____ Bonds maturing on or after _____ are subject to redemption prior to their maturity, at the election of the University, in whole or in part, at any time on or after _____ (each herein, the "Redemption Date"), from time to time, and in such maturity or maturities (by lot within a maturity among bonds bearing interest at the same rate) as the University shall determine at the respective redemption prices (expressed as percentages of the principal amount of bonds to be redeemed) set forth in the following table, together with interest accrued and unpaid to the Redemption Date.

<u>Redemption Date</u>	<u>Redemption Price</u>
_____ and thereafter	100%

In the event any of the _____ Refunding Series _____ Bonds are to be called for redemption, notice thereof shall be given, by first-class mail, postage prepaid to the registered owners of _____ Refunding Series _____ Bonds which are to be redeemed, at their last known addresses, if any, appearing on the registration books of the University at least thirty (30) days but not more than forty-five (45) days prior to the Redemption Date and a second notice of redemption shall be sent by registered or certified mail at such address to any registered owner who has not submitted his _____ Refunding Series _____ Bonds to the Trustee or Paying Agent for payment on or before the date sixty (60) days following the date fixed for redemption. Such notices shall specify the maturities of the _____ Refunding Series _____ Bonds to be redeemed, the redemption date and the place or places where amounts due upon such redemption will be payable and, if less than all of the _____ Refunding Series _____ Bonds are to be redeemed, the letters and numbers or other distinguishing marks of such _____ Refunding Series _____ Bonds so to be redeemed, in the case of registered _____ Refunding Series _____ Bonds to be redeemed in part only, such notice shall also specify the respective portions of the principal amount thereof to be redeemed, and any conditions precedent to such redemption. Such notice shall further state that, assuming the satisfaction of all conditions precedent to such

redemption, on such date there shall become due and payable upon each ____ Refunding Series _ Bond to be redeemed the Redemption Price thereof, or the Redemption Price of the specified portions of the principal thereof to be redeemed in part only, together with interest accrued to the redemption date, and that from and after such date interest thereon shall cease to accrue and be payable.

The failure of any ____ Refunding Series _ Bondowner to receive notice shall not affect the validity of the proceedings for the redemption of ____ Refunding Series _ Bonds. If, on the redemption date, moneys for the redemption of all the ____ Refunding Series _ Bonds or portions thereof, together with interest to the redemption date, shall be held by the Trustee and Paying Agents so as to be available therefor on said date and if notice of redemption shall have been given as aforesaid, and all conditions precedent to such redemption, if any, shall have been satisfied, then, from and after the redemption date, interest on the ____ Refunding Series _ Bonds or portions thereof so called for redemption shall cease to accrue. If said moneys shall not be so available on the redemption date, such ____ Refunding Series _ Bonds or portions thereof shall continue to bear interest until paid at the same rate as they would have borne had they not been called for redemption and, except with respect to any mandatory redemption, shall not be deemed to be in default hereunder.]

This ____ Refunding Series _ Bond is transferable only upon the books of the University, which shall be kept for that purpose at the principal corporate trust office of the Trustee, by the registered owner hereof in person or by his attorney duly authorized in writing, upon surrender hereof together with a written instrument of transfer satisfactory to the Trustee duly executed by the registered owner or his duly authorized attorney. Upon such transfer, the University shall issue in the name of the transferee a new ____ Refunding Series _ Bond of the same aggregate principal amount, tenor and series and maturity and interest rate as this ____ Refunding Series _ Bond.

The University, the Trustee and each Paying Agent may deem and treat the person in whose name this ____ Refunding Series _ Bond is registered upon the books of the University as the absolute owner hereof, whether this ____ Refunding Series _ Bond is overdue or not, for the purpose of receiving payment of, or on account of, the principal or Redemption Price, if any, of and interest on this ____ Refunding Series _ Bond and for all other purposes, and all such payments so made to any such registered owner or upon his order shall be valid and effective to satisfy and discharge the liability upon this ____ Refunding Series _ Bond to the extent of the sum or sums so paid, and neither the University nor any Fiduciary shall be affected by any notice to the contrary.

Anything contained herein to the contrary notwithstanding, so long as the ____ Refunding Series _ Bonds are held by The Depository Trust Company ("DTC") or its nominee Cede & Co. (or a successor depository or nominee) pursuant to a Letter of Representations between the University and DTC (or a successor depository or nominee) as the same may be amended (the "Letter of Representations") which was entered into to effect a book-entry system to evidence ownership and transfer of the ____ Refunding Series _ Bonds, if any terms of the ____ Refunding Series _ Bonds (other than the Principal Amount, Interest Rate, Maturity Date, Record Date or payment dates) are inconsistent with the terms of the Letter of Representations, the terms of the Letter of Representations shall prevail. The right of DTC to discontinue providing services as securities depository, the right of the University to discontinue the book-entry system with DTC and the terms and conditions of the obligation of the University to deliver replacement bonds to the beneficial

owners of the ____ Refunding Series _ Bonds are all set forth in the Letter of Representations, counterparts of which are on file with the University and DTC.

The Indenture permits, with certain exceptions as therein provided, the amendment thereof and the modification of the rights and obligations of the University and the rights of the holders of the ____ Refunding Series _ Bonds at any time by the University with the consent of the holders of not less than two-thirds in aggregate principal amount of the ____ Refunding Series _ Bond at the time outstanding thereunder. Any such consent shall be conclusive and binding upon each such holder and upon all future holders of each ____ Refunding Series _ Bond and of any ____ Refunding Series _ Bond issued upon the transfer or exchange thereof, whether or not notation of such consent is made thereon. The Indenture also contains provisions permitting the Trustee to waive certain past defaults and their consequences. The holder of this ____ Refunding Series _ Bond shall have no right to enforce the provisions of the Indenture, to institute action to enforce the provisions and covenants thereof or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Indenture.

This ____ Refunding Series _ Bond is issued pursuant to and in full compliance with the Constitution and laws of the State of Connecticut. It is hereby certified, recited and declared that all acts, conditions and things required to exist, happen and be performed precedent to and in the issuance of this ____ Refunding Series _ Bond do exist, have happened and have been performed in due time, form and manner as required by law and that the issuance of this ____ Refunding Series _ Bond and of the issue of which it forms a part, together with all other obligations of the University, do not exceed or violate any constitutional or statutory limitation.

Neither the officers of the University nor any person executing this ____ Refunding Series _ A Bond shall be liable personally or be subject to any personal liability or accountability by reason of the issuance hereof.

After issuance, pursuant to the Act this ____ Refunding Series _ Bond shall be conclusively presumed to be fully and duly authorized and issued under the laws of the State of Connecticut, and any person or governmental unit shall be estopped from questioning its authorization, sale, issuance, execution or delivery by the University.

This ____ Refunding Series _ Bond shall not be valid or become obligatory for any purpose or be entitled to any security or benefit under the Indenture until the certificate or authentication hereon shall have been signed by the Trustee.

IN WITNESS WHEREOF, THE UNIVERSITY OF CONNECTICUT has caused this _____
Refunding Series _ Bond to be executed in its name by the manual or facsimile signature of its
President and its official seal or a facsimile thereof to be hereunto impressed or imprinted hereon
and attested by the manual or facsimile signature of an Authorized Officer all as of the bond date
set forth above.

UNIVERSITY OF CONNECTICUT

[SEAL]

By: _____

President

Attest:

By: _____

[_____]
Authorized Officer

TRUSTEE'S CERTIFICATE OF AUTHENTICATION

This bond is one of the bonds of the issue described in the within mentioned Indenture and is one of the Special Obligation Student Fee Revenue Bonds, ____ Refunding Series __, of the University of Connecticut. The facsimile signatures of the President and the Chief Financial Officer are genuine facsimile signatures of such officers and the facsimile seal hereon has been adopted by the University of Connecticut as its genuine seal. The legal opinions delivered herewith are true and correct copies of the legal opinions approving the legality of this issue rendered by _____ of _____, _____, Lead Bond Counsel, and _____ of _____, _____, Co-Bond Counsel, as of the date hereof, copies of which are on file with the Trustee.

U.S. BANK TRUST COMPANY, NATIONAL
ASSOCIATION,
as Trustee

By _____
Authorized Representative

Date of Authentication: _____, _____

ASSIGNMENT

FOR VALUE RECEIVED, the Undersigned hereby sells, assigns and transfers unto
(PLEASE PRINT OR TYPE NAME AND ADDRESS OF ASSIGNEE)

the within Bond and does hereby irrevocably constitute and appoint
_____ Attorney to transfer the Bond on the books kept for
the registration thereof, with full power of substitution in the premises.

Dated: _____

Notice: The signature to this
assignment must correspond
with the name as it appears
upon the face of the within
bond in every particular.

In the presence of:

NOTICE: The signature must be guaranteed by an institution that is a member of one of the
following recognized signature guarantee programs:

- (1) The Securities Transfer Agents Medallion
Program (STAMP);
- (2) The New York Stock Exchange Medallion
Signature Program (MSP);
- (3) The Stock Exchange Medallion Program (SEMP)

ATTACHMENT A

(To be attached upon execution of the Seventh Supplemental Indenture)

ATTACHMENT 46

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA
EVP for Health Affairs
Chief Executive Officer for UConn Health



Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer



RE: Fiscal Year 2025 Spending Plan for UConn Health

RECOMMENDATION:

That the Board of Trustees approve a spending plan for Fiscal Year 2025 of \$1,653.8 million for UConn Health.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the Fiscal Year 2025 spending plan of \$1,653.8 million for UConn Health.”

BACKGROUND:

The FY 25 spending plan includes \$1,652.2 million of revenue, including estimated state support of \$198.8 million, to cover \$1,653.8 million in expenses.

The General Assembly has approved an FY25 budget that includes a block grant of \$118.2 million. It also includes additional one-time state operating support of \$75.5 million and \$5.1 million of fringe support for UConn Health. We are grateful to the Governor and General Assembly for their continued support of UConn Health.

UConn Health will continue to manage its budget closely monitoring State support, clinical volume and operating costs. We will also continue to focus on providing excellent patient care, protecting academic excellence, and supporting the research mission.

FY25 Proposed Spending Plan

UConn Health Center	FY25 Budget	Primary FIP	Secondary Mid Year FIP	FY25 Revised Budget	FY24 Forecast	Variance	% Variance
State Support Salary	118.2			118.2	111.6	6.6	5.9%
Additional State Support	65.5		10.0	75.5	86.5	(11.0)	(12.7%)
State Support Fringe	5.1			5.1	0.7	4.4	100.0%
Total State Support	\$ 188.8		\$ 10.0	\$ 198.8	\$ 198.8	\$ (0.0)	(0.0%)
Tuition and Fees	34.2			34.2	33.8	0.4	1.2%
Grants & Contracts	119.2			119.2	121.6	(2.4)	(2.0%)
Interns/Residents	90.2			90.2	82.8	7.4	9.0%
Net Patient Revenue	999.4	4.0		1003.4	944.0	59.3	6.3%
Other Revenue	206.4			206.4	203.1	3.3	1.6%
Total Revenues	\$ 1,638.2	\$ 4.0	\$ 10.0	\$ 1,652.2	\$ 1,584.1	\$ 68.1	4.3%
Salaries & Wages	644.2	(4.2)		640.0	600.9	39.1	6.5%
Fringe Benefits	168.3	(1.3)		166.9	154.7	12.2	7.9%
Temporary/Contractual Support	159.2			159.2	158.7	0.5	0.3%
Drugs/Medical Supplies	332.0			332.0	306.3	25.7	8.4%
Resident and Fellow house staff	77.5			77.5	68.4	9.1	13.3%
Utilities	14.8			14.8	13.5	1.2	9.1%
Interest Expense on Debt Service	8.0			8.0	8.0	0.1	0.8%
Purchased Services	149.9	(4.5)		145.4	134.8	10.5	7.8%
Other Expenses	79.0			79.0	91.8	(12.7)	(13.9%)
Capital Projects/Debt Payments	30.9			30.9	36.9	(6.0)	(16.3%)
Total Expenses	\$ 1,663.8	\$ (10.0)	-	\$ 1,653.8	\$ 1,574.0	\$ 79.8	5.1%
Prior Year Unrestricted Fund Balance	\$.0		\$ 1.6	\$ 1.6	\$.0	\$ 1.6	
Net Income/(Loss)	\$ (25.6)	\$ 14.0	\$ 11.6	\$ (.0)	\$ 10.1	\$ (10.1)	
Non-operating pension/retirement costs				\$ 196.6	\$ 211.4	\$ (14.8)	(7.0%)

ATTACHMENT 47

UConn HEALTH

June 26, 2024

TO: Members of the Board of Trustees

FROM: Andrew C. Agwunobi, MD, MBA
EVP for Health Affairs
Chief Executive Officer for UConn Health



Jeffrey P. Geoghegan, CPA
Executive Vice President for Finance and Chief Financial Officer
UConn and UConn Health



RE: Fiscal Year 2025 Capital Budget Spending Plan for UConn Health

RECOMMENDATION:

That the Board of Trustees approve a capital budget spending plan of \$80.7 million, for Fiscal Year 2025 which is comprised of \$43 million of State GO bond funds, and \$37.7 million of UConn Health Operating funds.

RESOLUTION:

“Be it resolved that the Board of Trustees approve a capital budget spending plan of \$80.7 million, for Fiscal Year 2054 for UConn Health.”

BACKGROUND:

To manage all capital fund sources in a strategic and transparent manner, UConn Health is proposing an all funds capital budget for approval.

The proposed capital budget spending plan for FY25 of \$80.7 million is comprised of \$43 million of State GO bond funds, and \$37.7 million of UConn Health Operating funds. The following chart provides the proposed capital budget spending plan.

FY25 Capital Budget Spending Plan


State GO Bonds	
Deferred maintenance, code compliance and infrastructure improvements	30.0
System telecommunications infrastructure upgrades, improvements and expansions	3.0
Equipment, library collections and telecommunications	10.0
Total State GO Bonds	\$43.0
UConn Health Operating Funds*	
Campus Renovations	5.0
Clinical Equipment	3.0
Deferred Maintenance	8.0
Information Technology Security and equipment	3.0
Revenue Growth Investment	2.0
Research Capital Use Allowance	8.0
Other/Contingency	8.7
Total UConn Health Operating Funds	\$37.7
Grand Total FY25 Capital Budget	\$80.7

*Categories/Projects may be redistributed based on UConn Health Capital Prioritization

ATTACHMENT 48

June 26, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA 
Executive Vice President for Finance & Chief Financial Officer

RE: Proposed Drainage Easement for UConn Property on Hunting Lodge Road, Storrs

RECOMMENDATION:

That the Board of Trustees approve the University Administration entering into a drainage easement with the Town of Mansfield to grant use of UConn-owned property for the purpose of providing adequate drainage for a new culvert to be installed by the Town of Mansfield. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees authorizes the University Administration to enter into a drainage easement with the Town of Mansfield for the use of UConn-owned property located along Hunting Lodge Road in Storrs.”

BACKGROUND:

This easement is to benefit the Town of Mansfield’s construction of a new culvert on Hunting Lodge Road between North Eagleville Road and Separatist Road. The proposed easement area is shown in Attachment A and is entitled “Compilation Plan, Map Showing Easement Acquired from University of Connecticut by Town of Mansfield, Drainage Easement Hunting Lodge Road,” prepared by Peter Parizo and dated March 2024. The final easement language remains subject to final negotiations. The University will not seek consideration for this easement given the public benefit the new culvert provides to the Mansfield community.

SUMMARY

The Board of Trustees approval of this Resolution will serve to authorize the University Administration to enter into a drainage easement for the use of UConn-owned property by the Town of Mansfield.

-END-

INFORMATIONAL ITEMS

University of Connecticut Department of Human Resources
Hires Processed from March 31, 2024 to May 31, 2024
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Aaronson, Bryce J	Educational Program Manager 1	Ntl Inst Undersea Vehicle Tech	4/19/2024
Akbaba, Hasan	Postdoctoral Research Assoc	Materials Science Institute	5/17/2024
Al-Arshani, Sarah	Editor 2	CLAS Administration	4/19/2024
Albee, Amber M	Admin Program Support 2	Nursing	5/17/2024
Anika, Jannatul	Academic Advisor 1	ISS Cntr for Access PScnd Succ	5/3/2024
Arnold, Scott William	Ed Program Administrator	CT Small Bus Development Ctr	5/3/2024
Ashey, Laura Joan	Dining Serv Area Asst Manager	Dining Services	4/5/2024
Bressette, Ashley Ruth	Admin Program Support 3	Education	5/17/2024
Childers, Jessica	Grants and Contracts Spec	SPS Adminstration	4/19/2024
Corti-Hunt, Carrie Alyse	Sr Grants & Contracts Spclist	SPS Adminstration	5/31/2024
D'Ambrosio, Carson Anthony	Specialist IA	Athletics WI Soccer	4/5/2024
De Alwis Goonatilleke, Manisha Shenali	Postdoctoral Research Assoc	Materials Science Institute	5/17/2024
Erel Akbaba, Gulsah	Postdoctoral Research Assoc	Materials Science Institute	5/17/2024
Escobar, Erica	Ed Program Assistant 2	Public Policy	4/5/2024
Frueh, Samuel John	Asst Research Professor	Materials Science Institute	5/3/2024
Fulton, Luke Aaron	Academic Asst 3	Ctr Open Research Resrcs/Equip	4/5/2024
Gardiner, Jordan	Applications Administrator 2	Enterprise Applications	4/5/2024
Gessay, Ryan Mark	Software Developer 2	Enterprise Applications	5/3/2024
Gleicher, Raquel Allena	Student Services Prog Coord	Experiential Global Learning	5/31/2024
Hazan, Billie Hannah	Academic Advisor 1	ISS Cntr for Access PScnd Succ	4/5/2024
Herlihy, Anne	Admin Program Support 3	Waterbury Campus	5/17/2024
Hernandez de Alvarez, Fanny Jeannette	Asst Extension Professor	Cooperative Extension System	4/5/2024
Itsou, Tiffanie May	Admin Program Support 2	Enrichment Programs	5/31/2024
Johnston, Phoebe Isabel	Research Asst 1	Systems Genomics Institute	5/31/2024
Joyce, Brooke Elizabeth	Sr Student Services Prog Admin	Students with Disabilities Ctr	5/31/2024

University of Connecticut Department of Human Resources
Hires Processed from March 31, 2024 to May 31, 2024
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Knight,Katherine Ruth	Sr Grants & Contracts Spclist	SPS Adminstration	4/5/2024
Koirala,Nabin	Facility Scientist 4	Brain Imaging Research Center	5/17/2024
Kornas,Victoria Patricia	Research Asst 1	CT Transportation Institute	5/31/2024
Kouatly,Kimberly	Admin Program Support 3	Engineering	4/19/2024
Larocque,Sarah Catherine	Ed Program Coordinator	Educational Leadership	4/5/2024
LaRosa,Jodie A	Ed Program Assistant 2	Engineering	5/3/2024
LeDuc,Sarah Elizabeth	Admin Program Support 1	Agriculture,Health,Natural Rcs	5/31/2024
Lemire,Tyler	Information Security Analyst 2	Information Services Admin	5/17/2024
Leplongeon,Alice	Postdoctoral Research Assoc	Anthropology	5/17/2024
Lind,Brandon	Postdoctoral Research Assoc	Ecology and Evolutionary Bio	4/5/2024
MacLachlan,Hillary Elizabeth	Financial Assistant 2	Clean Energy Engineering Ctr	4/19/2024
Magistrali,Amelia Jo	Assoc Coop Ext Edu	Department of Extension	5/3/2024
Mahatha,David Roosevelt	U Staff Professional 3	Law	5/31/2024
Manka,Abigail Gracie	Research Asst 1	Environmental Sci and Engr Ctr	4/19/2024
Mansfield,Sarah Jane	Specialist IA	Athletics WI Field Hockey	5/1/2024
Marina,Drew Leon	Computer Support Specialist 2	Business	4/5/2024
McMillian,Ryan	U Staff Professional 1	Vice President for Research	5/3/2024
Nagarsheth,Shailesh P.	Ed Program Administrator	CT Small Bus Development Ctr	5/31/2024
Nouri Gharajalar,Sahar	Research Assoc 1	Pathobiology	5/31/2024
Nyame,Sita Baaba	Ed Program Administrator	Global Communications and Mktg	5/17/2024
O'Connor,Giselle	Research Asst 2	InCHIP	5/3/2024
Pahal,Suman	Postdoctoral Research Assoc	Materials Science Institute	4/5/2024
Perez,Lizbeth	Academic Advisor 2	Stamford Campus	5/31/2024
Persaud,Kamela G	Admin Program Support 2	Business Undergrad Programs	4/5/2024
Peruzzi,Nicholas Michael	Specialist IA	Athletics MI Hockey	5/23/2024
Rendeiro,John Charles	Librarian 1	UConn Library	5/31/2024

University of Connecticut Department of Human Resources
Hires Processed from March 31, 2024 to May 31, 2024
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATE
Ruesta Schliphack, Kiara Vanessa	Academic Advisor 1	ISS Cntr for Access PScnd Succ	5/17/2024
Sakhrie, Aseno	Postdoctoral Research Assoc	Materials Science Institute	5/31/2024
Shelton, Herman	U Staff Professional 3	ISS Cntr for Access PScnd Succ	5/3/2024
Thirupadhiripuliyur Sridharan, Upasana	Postdoctoral Research Assoc	Molecular and Cell Biology	4/19/2024
Toms, Rebecca	Ed Program Assistant 2	Dept of Extension Tolland	5/31/2024
Twiddy, Anna Kathryn	Librarian 1	UConn Library	4/19/2024
Watt, Noam Lev	Media Producer 1	Athletics Business	5/17/2024
Wdowiak, Marzena	Dining Serv Area Asst Manager	Dining Services	4/5/2024
Weinberger, Jordana Marci	Ed Program Assistant 1	Psychological Sciences	5/17/2024
Wilson, Lucie Lee	Admin Program Support 3	Engineering	5/3/2024
Young, Chauntenay	Financial Assistant 2	Sea Grant College Program	5/31/2024
Yuan, Jingjing	Postdoctoral Research Assoc	Marine Sciences	4/5/2024

**University of Connecticut Department of Human Resources
Separations Processed from March 31, 2024 to May 31, 2024
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Amarante,Zachary J.	Admin Program Support 1	Separation	Agriculture,Health,Natural Rcs	3/6/2024
Arundel,Amanda Kathryn	Sr Grants & Contracts Spclist	Separation	SPS Adminstration	4/19/2024
Aslan,Fatma	Postdoctoral Research Assoc	Separation	Physics	4/1/2024
Bangham,Ryan T	U Staff Professional 3	Separation	Human Resources Operations	3/13/2024
Banks,Carter David	Asst Mngr Ath Equip Facilities	Separation	Athletics Equipment Center	5/14/2024
Boucher,Melissa Nicole	Asst Research Professor	Separation	Physiology and Neurobiology	3/29/2024
Cambridge,Taswya H	Student Services Program Admin	Separation	ISS Cntr for Access PScnd Succ	5/17/2024
Castillo Romero,Cristian R	Financial Assistant 1	Separation	SPS Adminstration	4/3/2024
Chudnow,David	Software Developer 3	Retirement	Enterprise Applications	5/1/2024
Cong,Xiaomei	Professor 11 Mo	Separation	Nursing Instruct and Research	9/1/2022
Dowd,John	University Director	Separation	Procurement Services	5/17/2024
Eugenio Jr,Paul Myles	Postdoctoral Research Assoc	Separation	Physics	5/5/2024
Fernandes,Denise	Research Asst 2	Separation	InCHIP	5/1/2024
Gitterman,Alex	Professor	Death	Social Work Instruct and Rsrch	3/25/2024
Godino Loring,Patricia L	Student Services Prog Assist 2	Separation	Residential Life	3/30/2024
GONZALEZ,JUBENAL	Business Ops Specialist 2	Separation	Emergency Management	4/20/2024
Hinch,Madeleine Claire	Specialist IA	Separation	Athletics WI Field Hockey	5/2/2024
Howard,Deborah	Student Services Program Admin	Separation	UConn Recreation	5/3/2024
Keating,Michael C	Software Developer 2	Retirement	IT Student Life and Enrollment	4/1/2024
Khan,Md Azizur Rahman	Postdoctoral Research Assoc	Separation	Materials Science Institute	4/1/2024
King,Matthew	Specialist IIA	Separation	Athletics MI Football	4/10/2024
Kortyna,Cullen David	Postdoctoral Research Assoc	Separation	Earth Sciences	5/3/2024
Li,Celine	Research Asst 1	Separation	Psychological Sciences	4/15/2024
Majkut,Paul S.	Information Security Analyst 3	Separation	Info Technology Security	5/2/2024
McCann,Christine	Research Asst 3	Separation	Molecular and Cell Biology	5/3/2024
Meisner,Gayle	Functional Analyst	Separation	Dining Services	4/2/2024
Morris,Grace Katherine	Academic Asst 3	Separation	InCHIP	5/1/2024

**University of Connecticut Department of Human Resources
Separations Processed from March 31, 2024 to May 31, 2024
Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	SEPARATION REASON	DEPARTMENT	DATE
Morrissey, Tyler Ryan	Managing Editor	Separation	University Communications	4/18/2024
Newman-Savino, Emily Grace	Asst Mngr Ath Equip Facilities	Separation	Athletics	4/3/2024
Ng, Emery Lan	Academic Asst 1	Separation	Ctr Open Research Resrcs/Equip	3/22/2024
Palliaridi, Jessica Elaine	Ed Program Administrator	Separation	CETL	5/14/2024
Parikh, Jhanvi Mehul	Research Asst 1	Separation	Psychological Sciences	5/16/2024
Pereira, Michael	Specialist IA	Separation	Athletics MI Hockey	5/16/2024
Poonam Gopika Vinayamohan, FNU	Asst Research Professor	Separation	Animal Science	3/16/2024
Reid, Letissa Carver	Assoc Vice President	Separation	Institutional Equity	5/17/2024
Rossi, Alexandra June	Admin Program Support 3	Separation	Werth Institute	5/11/2024
Schoonderwoerd, Kristel Marleen	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	4/22/2024
Smith, Nicole	Research Asst 2	Separation	Social Work Instruct and Rsrch	4/5/2024
Soucy, Michael Norman	Facilities Professional	Separation	CLAS Facility Services	5/17/2024
St. Laurent, Lisa	Financial Assistant 1	Separation	Fine Arts	4/5/2024
Stinnett, Melica Lynn	Museum Exhibit Designer	Separation	Museum of Natural History	4/2/2024
Turgeon, Natalia Andrea	Admin Program Support 2	Separation	Intl Student and Scholar Svcs	4/5/2024
Twarz, Gena M	Admin Program Support 4	Retirement	Payroll	4/1/2024
Vallario, Emily	Media Producer 1	Separation	Athletics Sport Communication	4/13/2024
Viens, Michael P	Dining Serv Area Asst Manager	Separation	Dining Services	5/4/2024
Zhang, Wuxia	Postdoctoral Research Assoc	Separation	Biomedical Engineering	4/26/2024

University of Connecticut Department of Human Resources
Leaves of Absence Processed through 6/11/24
Presented to the Board of Trustees for Information on Professional Employees

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY
			START	END		STATUS
Joergensen, Gitte	Research Assoc 1	Inst Brain & Cognitive Sciences	2/6/2024	3/15/2024	Caregiver	Unpaid
Joergensen, Gitte	Research Assoc 1	Inst Brain & Cognitive Sciences	3/16/2024	4/14/2024	Medical	Unpaid
Robelski, Kimberly	Clinical Instructor	Nursing Instruct and Research	1/8/2024	4/12/2024	Bonding	Unpaid
Vaze,Abhay S	Lecturer	Chemistry	5/13/2024	5/21/2024	Personal	Unpaid

COMMITTEE AGENDAS

AGENDA

University of Connecticut Board of Trustees

Buildings, Grounds and Environment Committee June 11, 2024, at 10:00 a.m.

University of Connecticut
Avery Point Campus
Branford House – Gallery Conference Room
1084 Shennecossett Road
Groton, Connecticut

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, June 11, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, June 10) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

- | | <u>TAB</u> |
|---|------------|
| 2. Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of April 10, 2024 | 1 |
| 3. Proposed Drainage Easement for UConn Property on Hunting Lodge Road, Storrs
➤ Presenter: Robert Corbett, Interim Associate Vice President of University Planning, Design & Construction | 2 |
| 4. FY25 Capital Budget Presentation
➤ Presenter: Reka Wrynn, Associate Vice President for Budget, Planning and Institutional Research | 3 |
| 5. Construction Assurance Office Report – June 2024
➤ Presenter: Angelo Quaresima
Associate Vice President and Chief Audit Executive | 4 |
| 6. Project Updates ~ Storrs Based Programs
➤ Presenter: Robert Corbett, Interim Associate Vice President of University Planning, Design & Construction
➤ Presenter: Stanley Nolan, Interim Associate Vice President for Facilities Operations | 5 |

7. UConn Health Updates, Facilities Development and Operations

- Presenter: Eric Kruger, Vice President for UConn Health
Facilities Development & Operations

8. **Projects Reviewed by BGE and to be presented to Financial Affairs on 06/25/24:**

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
Mirror Lake Improvements	Final	\$11,500,000	7
PBB Research Support Expansion	Design	\$1,000,000	8
Werth Residence Tower High Humidity Mitigation	Final	\$8,500,000	9
Babbidge Library Stairs and Doors	Final	\$771,760	10
Innovation Partnership Building (IPB) Renovations for the Center for Clean Energy Engineering (C2E2)	Final	\$24,000,000	11
Andover Infrastructure and Software Upgrade Phases I Through V	Revised Final	\$4,355,439	12
Wired Access Layer Cabling	Final	\$2,000,000	13
<u>UCONN HEALTH</u>			
CT-7 Inpatient & Research Renovations	Planning	\$1,675,000	14
Main Building Lab (L) Area Renovations – 1 st Floor	Planning	\$11,900,000	15
Surgery Center Operating #6 Renovation	Planning	\$1,750,000	16
ASB Data Center Generator and Power Improvements	Planning	\$3,150,000	17
IT Disaster Recovery Room	Planning	\$1,370,000	18
Parking Lots L1 & A5 Repavement	Design	\$1,020,000	19
Building F & Building M Roof Replacement	Final	\$1,615,000	20
Cryo Electron Microscope Installation	Final	TBD	21
KB034-36 Research Lab Renovation	Revised Final	TBD	22

INFORMATION ITEMS:

9. Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects) 23

10. Status of Code Correction Projects

- Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report 24
- Quarterly Construction Status Report, Period Ending March 31, 2024
https://updc.uconn.edu/wp-content/uploads/sites/1525/2023/05/UConn-Quarterly-Construction-Report_03312024web.pdf

11. Construction Projects Status Report

- <https://bpir.media.uconn.edu/wp-content/uploads/sites/3452/2024/04/Construction-Status-Report-4.17.24.xlsx.pdf>

12. University Senate Representative Report

- Presenter: Alexander Agrios, P.E.

13. Other Business

14. Executive Session (*As Needed*)

15. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA

University of Connecticut Board of Trustees

Construction Management Oversight Committee June 11, 2024, at 10:00 a.m. University of Connecticut

**University of Connecticut
Avery Point Campus
Branford House – Gallery Conference Room
1084 Shennecossett Road
Groton, Connecticut**

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **10:00 a.m.**

1. **Public Participation***

*Individuals who wish to speak during the Public Participation portion of the Tuesday, June 11, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, June 10) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. **Construction Management Oversight Committee Membership**

3. **Executive Session (*As Needed*)**

4. **Adjournment**

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA

University of Connecticut Board of Trustees

Institutional Advancement Committee June 4, 2024, at 1:00 p.m. Virtual Meeting

Public Streaming Link (with live captioning upon request): <http://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **1:00 p.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Portion of the Tuesday, June 4, meeting must do so 24 hours in advance of the meeting's start time (1:00 p.m. on Monday, June 3) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes from the February 6, 2024, Meeting

Dr. Dennis-LaVigne

Attachment 1

3. Naming Resolutions

Ms. Yancey

Attachment 2

Naming Recommendations

Wells Fargo Center for Neurodiversity and Employment Innovation
National Laboratory for Occupational Heat Safety at UConn's Korey Stringer Institute
Modification of Naming Recommendation for RTX Technology Research Center
East Road Observatory as the Cynthia Wyeth Peterson Memorial Observatory and the
Planetarium as the Cynthia Wyeth Peterson Memorial Planetarium

Named Gift Opportunities

School of Nursing Building
Bailey Student Success Center

4. Proposed Statement of Work for the UConn Foundation for Fiscal Year 2025

Mr. Geoghegan

Attachment 3

5. Legislative Update

Ms. Lombardo

6. UConn Foundation / Alumni Update

Ms. Cotton Kelley /
Ms. Yancey

7. University Communications Update

Mr. Kendig

Attachment 4

8. Other Business
9. Executive Session (as needed)
10. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA
SPECIAL MEETING

**University of Connecticut
Board of Trustees**

**Institutional Advancement Committee
June 18, 2024, at 11:30 a.m.
Virtual Meeting**

Public Streaming Link (with live captioning upon request): <http://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **11:30 a.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Portion of the Tuesday, June 18, meeting, limited to agenda items, must do so 24 hours in advance of the meeting's start time (11:30 a.m. on Monday, June 17) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Naming Resolutions
Attachment 1

Ms. Yancey

Naming Recommendations

Matthew and Margarethe Mashikian Entrepreneurship Hub
Professor Emeritus John E. Morral Conference Room.

3. Executive Session (as needed)

4. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

University of Connecticut & UConn Health
Joint Audit & Compliance Committee Meeting

June 13, 2024

Agenda

10:00 am – 10:30 am - Executive Session / 10:30 am – 12:00 pm - Public Session

Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Topic	Proposed Action	Attachment
Executive Session Anticipated	Review	None
1. GENERAL		
• Public Comment*		None
• Minutes of the March 7, 2024, Meeting	Approval	1.1
2. EXTERNAL AUDIT ACTIVITIES		
• Status of External Audit Engagements	Update	2.1
• 340B Compliance Partners - UH John Dempsey Hospital (JDH) 340B Program Audit for the period of June 1, 2023 – November 30, 2023	Summary Findings	2.2 2.3
• 340B Compliance Partners - UH Ryan White Part A (RWI) 340B Program Audit for the period of April 1, 2023 – September 30, 2023	Summary Findings	2.4 2.5
• 340B Compliance Partners - UH Hemophilia Treatment Center (HTC) 340B Program Audit for the period of June 1, 2023 – November 30, 2023	Summary Findings	2.6 2.7
• Auditors of Public Accounts – State of Connecticut Single Audit Report for the Fiscal Year Ended June 30, 2023	Presentation	2.8
3. SIGNIFICANT INTERNAL AUDIT ACTIVITIES		
• Status of Audit Assignments	Update	3.1
• Status of Audit Observations	Update	3.2
4. COMPLIANCE ACTIVITIES		
• Significant Compliance Activities	Update	4.1
• Office of University Compliance Charter	Approval	4.2
• University Compliance Data Summary Annual Report	Presentation	4.3
• Healthcare Compliance and Privacy Dashboard	Presentation	4.4
• Informational/Educational Items	Informational	4.5
5. INFORMATION TECHNOLOGY		
• UConn	Update	5.1
• UConn Health	Update	5.2
6. OTHER BUSINESS		
7. ADJOURNMENT		

PLEASE NOTE: If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.

* Individuals who wish to speak during the Public Participation portion of the Thursday, June 13, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Wednesday, June 12) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comments to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

AGENDA

University of Connecticut Board of Trustees

Committee for Research, Entrepreneurship and Innovation April 25, 2024, at 1:00 p.m.

Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **1:00 p.m.**

1. Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Thursday, April 25, meeting must do so 24 hours in advance of the meeting's start time (i.e., 1:00 p.m. on Wednesday, April 24) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of the public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments via BoardCommittees@uconn.edu, and all comments will be transmitted to the Committee.

2. Minutes from the December 7, 2023, Meeting

3. Early Results from Revised SPARK Technology Commercialization Fund Program

- Presentation by:
Dr. Matthew Mroz, Manager, Research Development Services
Dr. Vivek Ramakrishnan, Director, Venture Development
Dr. Lindsay DeStefano, Associate Vice President for Research Development

4. Presentation by SPARK Grant Awardee: Dr. Raman Bahal, Pharmaceutical Sciences

5. Presentation by SPARK Grant Awardee: Dr. Ali Tamayol, Biomedical Engineering

6. Other Business

7. Executive Session (as needed)

8. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

AGENDA

University of Connecticut Board of Trustees

Trustee-Administration-Faculty-Student (TAFS) Committee May 3, 2024, at 2:00 p.m.

Special Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **2:00 p.m.**

1) Public Participation (limited to agenda items)*

*Individuals who wish to speak during the Public Participation portion of the Friday, May 3, meeting, limited to agenda items, must do so 24 hours in advance of the meeting's start time (i.e., 2:00 p.m. on Thursday, May 2) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2) Election of Trustee-Administration-Faculty-Student (TAFS) Committee Chair

3) Minutes from the April 24, 2023, Special Meeting

4) Metanoia Presentation

5) Open Discussion

6) Executive Session (*as needed*)

7) Adjournment

PLEASE NOTE: If you are an individual with a disability and require accommodation, please call the Office of the Provost at (860) 486-4037 prior to the meeting.

Academic Affairs

AGENDA
SPECIAL MEETING

**University of Connecticut
Board of Trustees**

**Academic Affairs Committee
June 18, 2024, at 12:00 p.m.
Virtual Meeting**

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website, <https://boardoftrustees.uconn.edu/>, within seven days of the meeting.)

Call to order at **12:00 p.m.**

1. Public Participation*

* Individuals who wish to speak during the Public Participation portion, limited to the agenda items, of the Tuesday, June 18, meeting, limited to agenda items, must do so 24 hours in advance of the meeting's start time (i.e., 12:00 p.m. on Monday, June 17) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

ACTION ITEMS

- | | |
|---|----------------|
| 2. Minutes from the April 16, 2024, Meeting | (Attachment 1) |
| 3. Recommendations for Designation as Board of Trustees Distinguished Professors, Academic Year 2023-2024 | (Attachment 2) |
| 4. Promotion & Tenure | (Attachment 3) |
| 5. Tenure at Hire | (Attachment 4) |
| 6. Designation of Emeritus Status | (Attachment 5) |
| 7. Sabbatical Leave Recommendations | (Attachment 6) |
| 8. Appointment of Professor Jiong Tang to the Pratt & Whitney Chair in Design and Manufacturing in the College of Engineering | (Attachment 7) |

- | | |
|---|-----------------|
| 9. Appointment of Professor Horea Ilies to the Pratt & Whitney Professor of Advanced Materials and Processing in the College of Engineering | (Attachment 8) |
| 10. Reappointment of Professor Morgaen Donaldson to the Philip E. Austin Endowed Chair | (Attachment 9) |
| 11. Reappointment of Professor Robert Bird to the Eversource Chair in Business Ethics in the School of Business | (Attachment 10) |
| 12. Reappointment of Professor Evan Rawley to the Eversource Energy Scholar in Technological Entrepreneurship in the School of Business | (Attachment 11) |
| 13. Reappointment of Professor Joseph Golec to the Finance Department Distinguished Scholar in the School of Business | (Attachment 12) |
| 14. Reappointment of Professor Chinmoy Ghosh to the Gladstein Professor of Business and Innovation in the School of Business | (Attachment 13) |
| 15. Reappointment of Professor Michael Willenborg to the Richard F. Kochanek Professorship in Accounting in the School of Business | (Attachment 14) |
| 16. Reappointment of Professor Yiming Qian to the Toscano Family Chair in Finance in the School of Business | (Attachment 15) |
| 17. Naming Recommendation for the Wells Fargo Center for Neurodiversity and Employment Innovation | (Attachment 16) |
| 18. Naming Recommendation for the Matthew and Margarethe Mashikian Entrepreneurship Hub | (Attachment 17) |

INFORMATIONAL ITEMS

- | | |
|---|----------------|
| 19. School of Pharmacy Reappointments | (Attachment A) |
| 20. Academic Centers & Institutes | (Attachment B) |
| 21. University Senate Representative Report | |
| 22. Executive Session (as needed) | |
| 23. Adjournment | |

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

ATTACHMENT A

UNIVERSITY OF CONNECTICUT
TENURE TRACK REAPPOINTMENTS
PRESENTED TO THE BOARD OF TRUSTEES FOR INFORMATION - June 26, 2024

<u>NAME</u>	<u>TITLE</u>	<u>DEPARTMENT</u>	<u>SCHOOL/COLLEGE</u>
Gernant, Stephanie	Assistant Professor	Pharmacy Practice	School of Pharmacy
Sartor, Gregory	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Li, Na	Assistant Profeessor	Pharmaceutical Sciences	School of Pharmacy
Hunmin, Jung	Assistant Profeessor	Pharmaceutical Sciences	School of Pharmacy
Smith, L. Cody	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy
Abeywickrama, Chathura	Assistant Professor	Pharmaceutical Sciences	School of Pharmacy

ATTACHMENT B

June 18, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.
Provost and Executive Vice President for Academic Affairs



RE: Academic Centers and Institutes

The *By-Laws of the University of Connecticut*, Article XII, state that “All centers and institutes will be reviewed on a five-year cycle to determine their continued contribution to the University’s mission,” and that the “Provost will routinely inform the Board of Trustees ... of the establishment and discontinuation of all centers and institutes.” This document represents such notice.

BACKGROUND:

Following review and deliberation, the following Academic Centers and Institutes are being established, renewed or discontinued:

Established

- Center for Biomedical and Bioengineering Innovation, College of Engineering
- Center for Neurodiversity and Employment Innovation, Werth Institute

Renewed for five-year term

- Connecticut Information Technology Institute, School of Business
- Center for the Study of Popular Music College of Liberal Arts & Sciences
- Center of Biological Risk, College of Liberal Arts & Sciences
- Connecticut Advanced Computing Center, College of Engineering
- Connecticut Center for Entrepreneurship and Innovation, School of Business
- Korey Stringer Institute, College of Agriculture, Health and Natural Resources
- Pratt & Whitney Institute for Advanced Systems Engineering, College of Engineering

Renewed for one-year term

- Connecticut Manufacturing Simulation Center, UConn Tech Park

Discontinued

- Center for International Business Education and Research, School of Business

Mission statements for established and renewed Academic Centers and Institutes are available on the following pages.

Center for Biomedical and Bioengineering Innovation, College of Engineering

The Mission of CBBI is to empower application-agnostic innovation at the intersection of biodevices, bio-computation, and bio-systems technologies.

Center for Neurodiversity and Employment Innovation, Werth Institute

To improve employment outcomes for neurodivergent individuals at the college level, both current students and alumni, by developing and disseminating work and services that provide employers and universities with leadership, education, information sharing, activities, and innovative solutions needed to close the gap on employment inequity for ND individuals with college-level aptitude.

Connecticut Information Technology Institute, School of Business

Our mission is to empower individuals and organizations by providing comprehensive training in IT, business analytics, and emerging technologies. We strive to foster a culture of continuous learning and innovation, offering a blend of academic excellence, professional development opportunities, and experiential learning to cultivate the next generation of leaders, entrepreneurs, and innovators.

Center for the Study of Popular Music College of Liberal Arts & Sciences

The CSPM seeks to expand its scope to forge more collaborative ventures across campus. Conferences, collaboration with the Jorgensen and more visible thought leader engagement are goals for the next five years. The Center aims to draw upon intersecting interests with law, cognitive science, engineering, and business for future programming. Financially, the Center will broaden its scope of development, by applying for federal, state and private grants and donations.

Center of Biological Risk, College of Liberal Arts & Sciences

To improve the capacity to assess, manage, and communicate biological risks of global change through research, teaching, and community outreach.

Connecticut Advanced Computing Center, College of Engineering

Their mission is to expand theoretical models and the boundary of cybersecurity technology to enable organizations to protect and safe keep the digital assets under their purview as well as enable safe, private, reliable and trustworthy computing in adversarial settings.

Connecticut Center for Entrepreneurship and Innovation, School of Business

At CCEI, we aim to empower entrepreneurs and innovators, create a vibrant startup ecosystem, and contribute to economic development in Connecticut. We strive to provide the necessary resources, mentorship, and networking opportunities to help entrepreneurs build sustainable businesses and make a positive impact on society. Our commitment to fostering a culture of collaboration and knowledge exchange further enhances the foundation for success in our vibrant startup community at CCEI.

Korey Stringer Institute, College of Agriculture, Health and Natural Resources

The mission of the Korey Stringer Institute is to provide research, education, advocacy and consultation to maximize performance, optimize safety and prevent sudden death for the athlete, warfighter and laborer.

Pratt & Whitney Institute for Advanced Systems Engineering, College of Engineering

Motivated by the increasing complexity of advanced products and the digital revolution, the Institute trains engineers in urgently needed CPS-related disciplines that are pivotal to innovation and product enhancement in the globally competitive economy. The Institute is positioned to advance the science base of CPS and to accelerate its technological translation into sustained industrial growth.

Connecticut Manufacturing Simulation Center, UConn Tech Park

To promote innovation & economic development through modeling & simulation and develop the next-generation workforce with computing and simulation skills.

Financial Affairs

AGENDA

University of Connecticut Board of Trustees

Financial Affairs Committee ANNUAL BUDGET WORKSHOP June 25, 2024, at 10:00 a.m. Virtual Meeting

Public Streaming Link (with live captioning upon request): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **10:00 a.m.**

1) Public Participation*

*Individuals who wish to speak during the Public Participation portion of the Tuesday, June 25, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Monday, June 24) by emailing BoardCommittees@uconn.edu. Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email (BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

ACTION/PRESENTATION ITEMS:

TAB

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| 2) Minutes of the May 21, 2024, Meeting | 1 |
| 3) Contracts and Agreements for Approval | 2 |
| 4) FY25 UConn and UConn Health Budget Presentation | 3 |
| 5) Fiscal Year 2025 Spending Plan for the University of Connecticut,
Storrs & Regional Campuses | 4 |
| 6) Fiscal Year 2025 Capital Budget for the University of Connecticut, Storrs and
Regional Campuses | 5 |
| 7) Thirty-first Supplemental Indenture Authorizing University of Connecticut General
Obligation Bonds | 6 |
| 8) Thirty-second Supplemental Indenture Authorizing University of Connecticut General
Obligation Refunding Bonds | 7 |
| 9) Seventh Supplemental Indenture Authorizing University of Connecticut Special
Obligation Student Fee Revenue Refunding Bonds | 8 |

ACTION/PRESENTATION ITEMS:**TAB**

10) Fiscal Year 2025 Spending Plan for UConn Health	9
11) Fiscal Year 2025 Capital Budget Spending Plan for UConn Health	10
12) Statement of Work for the UConn Foundation for Fiscal Year 2025	11

CAPITAL PROJECT BUDGETS FOR APPROVAL:

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	
13) Mirror Lake Improvements	Final	\$11,500,000	12
14) PBB Research Support Expansion	Design	\$1,000,000	13
15) Werth Residence Tower High Humidity Mitigation	Final	\$8,500,000	14
16) Babbidge Library Stairs and Doors	Final	\$771,760	15
17) Innovation Partnership Building (IPB) Renovations for the Center for Clean Energy Engineering (C2E2)	Final	\$24,000,000	16
18) Andover Infrastructure and Software Upgrade Phases I Through V	Revised Final	\$4,355,439	17
19) Wired Access Layer Cabling	Final	\$2,000,000	18
<u>UConn Health</u>			
20) CT-7 Inpatient & Research Renovations	Planning	\$1,675,000	19
21) Main Building Lab (L) Area Renovations – 1 st Floor	Planning	\$11,900,000	20
22) Surgery Center Operating Room #6 Renovations	Planning	\$1,750,000	21
23) ASB Data Center Generator and Power Improvements	Planning	\$3,150,000	22
24) IT Disaster Recovery Room	Planning	\$1,370,000	23
25) Parking Lots L1 & A5 Repavement	Design	\$1,020,000	24
26) Building F & Building M Roof Replacement	Final	\$1,615,000	25
27) KB034-36 Research Lab Renovation	Revised Final	\$1,975,000	26

INFORMATION ITEMS:

28) Construction Project Status Report https://bpir.media.uconn.edu/wp-content/uploads/sites/3452/2024/06/Construction-Status-Report-6.26.24.pdf	
29) University Senate Representative Report	
30) Other Business	

31) Executive Session (*as needed*)

32) Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at boardoftrustees@uconn.edu prior to the meeting.*

ATTACHMENT A

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 26, 2024

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/14/24)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Planning Budget Phase								
Energy Services Performance Contract	FO502072	\$500,000	\$0	P	University Funds	12/06/23		
Design Budget Phase								
PBB Research Support Expansion	300249	\$1,000,000	\$259,130	D-\$5.4M	UConn 2000 Bond Funds	06/26/24-pending		
School of Nursing Building	300260	\$5,400,000	\$2,223,447	P-\$2M	UConn 2000 Bond Funds	06/28/23		
				D-\$5.4M		10/25/23		

Final Budget Phase								
Academic and Research Facilities - STEM Research Center	901802	\$220,000,000	\$172,664,927	P-\$1M RP-\$5M RP-\$15M D-\$15M F-\$220M	UConn 2000 Bond Funds	11/13/13 06/29/16 09/27/17 06/26/19 04/29/20	Summer 2024	99%
Avery Point Seawall Exigent Improvements	300253	\$3,063,069	\$2,535,611	F	UConn 2000 Bond Funds	12/07/22	July 2024	99%
Branford House Exterior Repairs, Phases 1 & 2	FO500106	\$800,000	\$798,615	F	University Funds	02/22/23	July 2024	99%
Babbidge Library Stairs and Doors	FO502282	\$771,760	\$63,600	F	University Funds	06/26/24-pending	Fall 2024	0%
Buckley Hall Abatement	FO502330	\$624,000	\$392,532	F	University Funds	04/17/24	Summer 2024	75%
Buckley Hall Refurbishment-Flooring	FO502339	\$499,000	\$476,797	F	University Funds	04/17/24	Summer 2024	
Buckley Hall Refurbishment - Painting	FO502341	\$99,000	\$91,800	F	University Funds	04/17/24	Summer 2024	
Buckley Hall LED Lighting Upgrade	FO502343	\$278,000	\$258,268	F	University Funds	04/17/24	Summer 2024	
IPB Renovations for Center for Clean Energy Engineering (C2E2)	300264/	\$24,000,000	\$1,628,924	P-\$1.4M F-\$5.4M F - \$24.0M	UConn 2000 Bond Funds	10/25/23 12/06/23 06/26/24-pending	October 2025	5%
Dining Hall Facilities Ventilation Upgrades	FO500010	\$892,700	\$446,980	F	University Funds	02/21/18	December 2024	25%
Electric Vehicle Charging Infrastructure & Service Upgrades	FO502039	\$957,200	\$293,368	F	University Funds	09/27/23	September 2024	0%
University 2nd Electrical Feed	300136	\$15,000,000	\$12,682,752	D-\$3M F-\$15M	UConn 2000 Bond Funds	12/08/21 09/27/23	Fall 2026	0%
Field House- Old Rec Center Renovation	300209	\$15,500,000	\$8,492,189	RP-\$3M D-\$4.5M RD-\$8.5M F-\$15.5M	UConn 2000 Bond Funds University Funds	06/29/22 06/28/23 12/06/23 04/17/24	Summer 2027	0%
Gampel Pavilion Court Replacement	300255	\$688,480	\$671,686	F	University Funds	12/07/22	July 2024	99%
Gampel Pavilion Enhancements	300271	\$10,000,000	\$171,700	F	State GO Bonds	04/17/24		
Gilbert Road Site Preparation	300235	\$6,600,000	\$4,749,915	RD-\$1.25M F-\$6.6M	UConn 2000 Bond Funds	06/29/22	Fall 2024	Phase 1: 100% Phase 2: 40%
Greer Field House Indoor Track Resurfacing	FO500159	\$807,092	\$801,774	F	University Funds	12/07/22	July 2024	99%
Hydrogen Fuel Dispenser	FO502031	\$835,500	\$643,499	F	University Funds	09/27/23	November 2024	0%
Jones Annex Renovation	300203/ TL2540	\$4,940,000	\$1,302,382	D-\$940k F-\$4.94M	UConn 2000 Bond Funds	09/28/22 12/06/23	July 2025	2%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 26, 2024

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/14/24)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Final Budget Phase - continued								
Mirror Lake Improvements	300174	\$11,500,000	\$2,992,748	D-\$1.6M RD-\$2.6M RD- \$4.0M F- \$11.5M	UCONN 2000 Bond Funds	10/27/21 03/30/22 04/19/23 06/26/24-pending	Fall 2025	0%
Northwest Quad: Science 1-Site Improvements	300050	\$56,000,000	\$53,034,512	RP-\$1M D-\$7.5M F-\$56M	UCONN 2000 Bond Funds	02/21/18 06/27/18 04/29/20	July 2024	99%
Residential Life Facilities: South Campus Residence Hall	300200	\$215,000,000	\$194,676,618	P-\$800k D-\$6.5M F-\$215M	UCONN 2000 Bond Funds	12/08/21 12/09/20 08/03/22	Fall 2024	88%
Sprague Hall Abatement	FO502344	\$318,000	\$220,386	F	University Funds	04/17/24	Summer 2024	0%
Sprague Hall Refurbishment - Painting	FO502345	\$260,000	\$153,288	F	University Funds	04/17/24	Summer 2024	0%
Sprague Hall Refurbishment - Flooring	FO502351	\$517,478	\$498,592	F	University Funds	04/17/24	Summer 2024	0%
Stamford Abutting Property Remediation	300149	\$2,500,000	\$1,978,879	F	UCONN 2000 Bond Funds	04/24/19	Summer 2024	99%
Von Der Mehden Recital Hall Roof Restoration	FO501881	\$720,000	\$588,868	P-1.01M F-\$720k	University Funds	10/25/23 04/17/24	Summer 2024	0%
Wilbur Cross Cupola Repair	FO500161	\$932,000	\$846,900	F	University Funds	10/25/23	August 2024	0%
Wired Access Layer Infrastructure Refresh - Phase III	Various	\$4,000,000	\$3,988,422	F	UCONN 2000 Bond Funds	09/29/21	July 2024	99%
Wired Access Layer Infrastructure Refresh - Phase IV	Various	\$2,000,000	\$1,989,917	F	UCONN 2000 Bond Funds	06/29/22	July 2024	99%
Wired Access Layer Infrastructure - Cabling Phase V	Various	\$2,000,000	\$1,801,851	F	UCONN 2000 Bond Funds	06/28/23	September 2024	39%
Wired Access Layer Infrastructure - Cabling FY24	Various	\$2,000,000		F	UCONN 2000 Bond Funds	06/26/24-pending		0%
Werth Residence Hall High Humidity Mitigation	300251	\$8,500,000	\$933,606	RP-\$925 D-\$1.5M F-\$8.5M	UCONN 2000 Bond Funds University Funds	12/06/23 02/28/24 06/26/24-pending	Fall 2025	0%
XL Center-Academic Space Renovation	300270	\$1,039,000	\$118,961	F	State GO Bond Funds	04/17/24	Fall 2024	0%
Revised Final Phase								
Academic & Research Facilities - Gant Building Renovations - STEM	901803	\$268,000,000	\$154,028,148	P-\$1M RP-\$5M D-\$15M F -\$85M RF-\$170M	UCONN 2000 Bond Funds University Funds	11/13/13 09/30/15 08/10/16 02/22/17 06/26/19	TBD	MOB-100% Phase 1-100% Phase 2-100% Phase 3-0%
Andover Infrastructure and Software Upgrade- Phase I, II, III	FO500073	\$4,355,439	\$3,814,157	F-\$2.6M F-\$3.346M RF-\$3.48M RF-3.48M RF - 4.355M	University Funds	09/30/20 02/24/21 04/27/22 06/28/23 10/25/23 06/26/24-pending	COMPLETE COMPLETE COMPLETE July 2024	Phase - 1 100% Phase - 2 100% Phase -3 100% Phase - 4 30%

CONSTRUCTION PROJECTS STATUS REPORT
BOARD OF TRUSTEES MEETING -June 26, 2024

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 6/14/24)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
Revised Final Budget Phase - continued								
Boiler Plant Equipment Replacement and Utility Tunnel Connection	300151	\$43,000,000	\$38,799,167	RP-\$1.25M D-\$2.3M F-\$40M RF-\$43M	UConn 2000 Bond Funds	04/24/19 08/14/19 02/26/20 06/28/23	December 2024	94%
Freitas Renovation	300252	\$3,000,000	\$2,711,653	F-\$2.5M RF-\$3.0M	Gift Funds	04/19/23 02/28/24	Fall 2024	Phase 1: 100% Phase 2: 40%
Garrigus Suites Environmental Systems Upgrade	FO502396	\$620,000	\$586,490	F-\$620k	University Funds	04/17/24	Fall 2024	0%
North & South Parking Garages Restoration, Phase I & II	FO500056	\$4,000,000	\$3,171,175	F-\$1.0M RF-\$2.0M RF\$3.0M RF-\$4.0M	University Funds	10/28/20 06/30/21 12/07/22 12/06/23	July 2023 May 2024 May 2024 August 2024	Phase 1- 100% Phase 2- 98% Phase 3-95% Phase 4 - 0%
North Eagleville Road and Discovery Drive Intersection Improvements	300169	\$3,000,000	\$2,236,665	D-\$750k F-\$800k RF-\$2.0M	UConn 2000 Bond Funds	09/30/20 10/27/21 04/27/22	Summer 2024	95%
South Campus Infrastructure-Phase I, II, III	300241	\$89,500,000	\$82,616,138	RP-\$1.25M D-\$1.750M RD- \$4.5M F-\$11.0M RF-\$44M RF-\$82M RF-\$89.5M	UConn 2000 Bond Funds	03/30/22 06/29/22 09/28/22 12/07/22 04/19/23 06/28/23 09/27/23	Winter 2026	40%
Storrs LED (SLED) Lighting Upgrade	FO500025	\$7,850,000	\$7,849,226	F-\$5.35M RF-\$7.85M	University Funds	12/09/20	July 2024	95%
Recently Completed Projects ⁽¹⁾								
Atwater Laboratory Emergency Power Installation	FO500058	\$682,700	\$674,851	F-\$535k RF-\$603k RF-\$682k	University funds	04/28/21 10/27/21 06/29/22	Complete	100%
B4 Steam Vault and Line Exigent Repair	300247	\$7,700,000	\$7,447,889	F-\$5.5M RF-\$7.7M	UConn 2000 Bond Funds University Funds	03/30/22 06/29/22	Complete	100%
Chemistry Building Roof Snow Guard Replacement	FO500093	\$916,000	\$730,275	F	University Funds	09/30/20	Complete	100%
Fenton River Well Field and Road Replacement	901653	\$1,700,000	\$1,550,117	F	UConn 2000 Bond Funds	04/19/23	Complete	100%
George J. Sherman Family Sports Complex Turf Replacement	FO500156	\$1,640,997	\$1,491,815	F	University Funds	06/26/22	Complete	100%
UConn Hockey Arena	300133	\$70,000,000	\$69,235,447	RP- \$0.85M D-\$2.85M RD-\$4.6M F-\$70M	University Funds Revenue Bonds Gift Funds	08/14/19 01/29/20 09/03/20 04/28/21	Complete	98%
Kinesiology Bldg. Human Anatomy Learning Laboratory Renovation	300188	\$753,500	\$517,055	F	UConn 2000 Bond Funds	12/09/20	Complete	100%

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Recently Completed Projects -continued ⁽¹⁾								
Main Campus SubStation Switchgear Relay Replacement AET 5P-14G SCADA	FO500090	\$640,000	\$614,796	F-\$565k RF-\$592k RF-\$640k	University Funds	02/26/20 04/27/22 06/29/22	Complete	100%
Public Safety Building Improvements	201703	\$7,750,000	\$7,475,155	F-\$4.55M RF-\$7.0M RF-\$7.45M RF-\$7.75M	UConn 2000 Bond Funds University Funds	03/27/19 12/09/20 09/29/21 06/29/22	Complete	100%
Residential Life Facilities: Mansfield Apartments Redevelopment - Part 1	300234	\$12,000,000	\$10,505,128	P-\$850k D-\$4.6M F-\$12.0M	UConn 2000 Bond Funds	09/29/21 03/30/22 06/29/22	Complete	Demo: 100%
School of Engineering Backfill Renovations	TL2405	\$1,771,410	\$1,740,907	F	University Funds	06/29/22	Complete	100%
Supplemental Utility Plant	300025	\$67,000,000	\$63,500,750	P-\$5M D -\$5M	UConn 2000 Bond Funds	09/27/17 06/26/19	Complete	100%
UConn 2000 Code Remediation: Stamford Downtown Relocation	201523	\$22,000,000	\$19,864,265	P-\$2M RP-\$2.5M F-\$4M RF-\$22M	University Funds UConn 2000 Bond Funds	10/28/15 10/23/19 02/26/20 02/24/21	Complete Complete	Phase 1: 100% Phase 2: 100%
White Building Roof and Drainage System Replacement	FO500127	\$945,800	\$938,488	F	University Funds	06/29/22	Complete Complete	Phase 1 - 100% Phase 2 - 100%
Planning Budget Phase								
UCH - ASB Data Center Generator and Power Improvements	23-601.04	\$3,150,000		P	UConn 2000 Bond Funds	(06/10/24)* 06/26/24-pending		
UCH - CT-7 Inpatient and Research Renovations	23-007	\$1,675,000		P	UConn 2000 Bond Funds UConn Health Capital Funds UConn Health Operating Funds UConn Foundation	(06/10/24)* 06/26/24-pending		
UCH - Hybrid OR#2 Fit-Out	23-035	\$7,100,000	\$198,000	P	UConn Health Capital Funds	(06/12/23)* 06/28/23		
UCH - Interventional Radiology Equipment Replacement & Renovation	20-001	\$4,700,000	\$14,643	P	UConn Health Capital Funds	(06/12/23)* 06/28/23		
UCH - IT Disaster Recovery Room	23-601.06	\$1,370,000	\$12,500	P	UConn 2000 Bond Funds FY23 State GO Bond Funds	(06/10/24)* 06/26/24-pending		
UCH- Labor & Delivery Infant Protection System Replacement	21-022	\$760,000		P	UConn Health Capital Funds	(03/11/24)* 02/28/24		
UCH-University Tower (UT) 7th Floor Pharmacy Fit-Out	23-024	\$10,250,000	\$114,308	P	UConn 2000 Bond Funds UConn Health Capital Funds	(09/11/23)* 09/27/23		
Design Budget Phase								
UCH - Cryo Electron Microscope Installation	22-055	\$960,000	\$158,560	P-\$960k D-\$960k	UConn Health Research IDC Capital	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23		

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Design Budget Phase - continued								
UCH - Main Building (L) Lab Renovations - 1st Floor	24-035	\$11,900,000		D	FY23 State GO Bond Funds UConn Health Research IDC Capital UConn Health Operating Funds	(06/10/24)* 06/26/24-pending		
UCH - Parking Lots L1 & A5 Repavement	22-601.08	\$1,020,000	\$117,445	D- \$1.02M	FY23 State GO Bond Funds	(06/10/24)* 06/26/24-pending		
UCH - Southington Clinic Expansion	23-045	\$1,325,000		D	UConn Health Capital Funds	(03/11/24)* 02/28/24		
UCH - Surgery Center Operating Room #6 Renovations	24-018	\$1,750,000		D	UConn Health Capital Funds	(06/10/24)* 06/26/24-pending		
UCH-Tomotherapy Unit Replacement	20-058	\$4,985,000	\$734,564	P-\$5.22M D-\$4.985M	UConn Health Capital Funds	(06/12/23)* 04/19/23 (03/11/24)* 02/28/24		
Final Budget Phase								
UCH - Anatomic Pathology & Autopsy Renovation	21-059	\$1,175,000	\$92,170	F-\$1.175M	UConn Health Capital Funds	(03/13/23)* 12/06/23	December 2024	5%
UCH - Building E & Building K Roof Replacement	22-601.01	\$2,160,000	\$1,091,463	P-\$630K D-\$2.16M F-\$2.16M	UConn 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23	May 2025 Bldg.K Only	99%
UCH- Building F & Building M Roof Replacement	22-601.05	\$1,615,000	\$42,136	D F-\$1.615M	UConn 2000 Bond Funds	(03/11/24)* 02/28/24 (06/10/24)* 06/26/24-pending	December 2024	0%
UCH - Cadaver Lab Renovation & Air Handling Unit Replacement	23-601.12	\$2,960,000	\$79,250	D-\$1.8M F-\$2.96	UConn 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23	August 2024	30%
UCH - Cardio Catheterization (Cath) & Electro Physiology (EP) Lap Renovation	22-017	\$6,430,000	\$5,457,651	P-\$3.7M D-\$6.166M F-\$6.430M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	December 2024	60%
UCH -Cell & Genome Science Building Data Center Cooling Upgrades	23-601.07	\$840,000	\$758,555	D-\$650k F-\$840k	UConn 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23	September 2024	10%
UCH-CGSB & ARB Autoclave and Washer Replacement	22-012	\$1,200,000	\$1,108,582	F-\$1.2M	UConn Health Research IDC Capital	(12/05/22)* 12/07/22	July 2024	99%
UCH - Connecticut Tower (CT) 7th Floor Med-Surg/Observation Unit Renovation	23-027	\$2,500,000	\$1,916,762	P-\$2.5M F-\$2.5M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (03/11/24)* 02/28/24	July 2024	90%

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Final Budget Phase - continued								
UCH-Fluoroscopy Equipment Replacement and Renovation	21-009	\$745,000	\$658,392	F	UConn Health Capital Funds	(09/11/23)* 09/27/23	August 2024	30%
UCH - Garage 1, 2 & 3 Electric Vehicle Charger Installation	21-066	\$550,000	\$74,218	F	UConn Health Energy Conservation Pool CT DEEP Grant Eversource Rebate	(03/11/24)* 02/28/24	December 2024	0%
UCH-Main Building (L) Lab Renovations - 2nd Floor	22-013	\$10,200,000	\$5,640,136	P-\$7.8M D-\$8.972M F-\$10.2M	UCONN 2000 Bond Funds UConn Health Research IDC Capital UCH School of Medicine Operating Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (12/05/22)* 12/07/22	July 2024	80%
UCH - 16 Munson Road Emergency Lighting & Egress Upgrades	21-010	\$1,900,000	\$38,500	D-\$935k F-\$1.9M	UCONN 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (09/11/23)* 09/27/23	TBD	0%
UCH - Musculoskeletal Institute Rehabilitation Expansion	23-029	\$1,010,000	\$670,771	P-\$640k D-\$640k F-\$1.01M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24	August 2024	15%
UCH - New England Sickle Cell Institute Renovation	21-063	\$4,865,000	\$142,150	P-\$3M D-\$4.865M F-\$4.865M	UCONN 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23	September 2024	30%
UCH - Outpatient Pavilion 3rd Floor Backfill	22-060	\$4,250,000	\$332,581	P-\$3.3M D-\$3.3M F-\$4.25M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24	June 2025	0%
UCH - Outpatient Pavilion X-Ray & Blood Draw Relocation	22-046	\$1,925,000	\$196,430	P-\$650k D-\$850k F-\$1.925M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24	March 2025	0%
UCH-Transitional Nursery Renovation	21-016	\$1,800,000	\$897,452	D-\$1.8M F-\$1.8M	UConn Health Capital Funds	(03/13/23)* 02/22/23 (06/12/23)* 06/28/23	June 2024	99%

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Revised Final Phase								
UCH - Central Sterile Washer & Sterilizer Replacement	21-034	\$2,835,000	\$2,766,115	P-\$1.9M D-\$3.985M F-\$4.97M RF-\$2.835M	UConn 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/23	January 2025	5%
UCH - KB034-036 Research Lab Renovation	24-011	\$1,975,000	\$62,500	F RF-\$1.975	UConn 2000 Bond Funds UConn Health Operating Funds	(03/11/24)* 02/28/24 (06/10/24)* 06/26/24-pending	February 2025	0%
UCH - Psychiatry Seclusion Suite & Nurse Station Security Renovation	21-050	\$1,197,000	\$976,904	D-\$790k F-\$1.042M RF-\$1.197M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	July 2024	99%
UCH - TB-121 Blood Bank Relocation	23-010	\$1,075,000	\$43,000	F-\$765k RF-\$880k RF-\$1.075M	UConn Health Capital Funds CIRP	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/23	August 2024	30%
Recently Completed Projects ⁽¹⁾								
UCH - Building D & Building N Roof Replacement	22-601.02	\$2,500,000	\$2,180,615	P-\$1.1M D-\$2.565M F-\$2.5M	UConn 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23	Complete	100%
UCH - Canzonetti (F) Building Wound Care Center Renovation	22-019	\$1,225,000	\$0	D-\$850k F-\$1.225M	UConn Health Capital Funds Restorix Health Inc Design & Construction Allowance	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23	Complete	100%
UCH - 5 Munson Road Clinical Fit-Out	22-042	\$9,344,000	\$5,762,078	F-\$8.4M RF-\$9.3M	UConn Health Capital Funds Landlord Tenant Fit-out Allowance	(06/13/22)* 06/29/22 (09/11/23)* 09/27/23	Complete	100%

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Recently Completed Projects-continued ⁽¹⁾								
UCH-16 Munson Road Parking Lot Paving	22-601.03	\$2,075,000	\$1,384,680	P-\$1.16M D-\$1.445M F-\$1.93M RF-\$1.61M RF-\$2.1M	UConn 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (09/12/22)* 09/28/22 (09/12/22)* 09/28/22 (03/13/23)* 02/22/23 (09/11/23)* 09/27/23	Complete	100%
UCH - Muscular Skeletal Institute Chiller Replacement	23-601.20	\$570,000	\$445,636	F	UConn 2000 Bond Funds	(09/11/23)* 09/27/23	Complete	100%
UCH-HIM Workplace Improvements	21-019	\$763,000	\$662,275	F	UConn 2000 Bond Funds	(03/13/23)* 02/22/23	Complete	100%
UCH-836 Hopmeadow Street, Simsbury Clinical Practice Relocation	21-036	\$4,729,000	\$4,662,866	P-\$3.875M D-\$3.875M F-\$4.297M RF-\$47.3	UConn Health Capital Funds Landlord Tenant Fit Out Allowance	(09/20/21)* 09/29/21 (12/06/21)* 12/08/21 (06/13/22)* 04/27/22 (09/11/23)* 09/27/23	Complete	100%
UCH - Replace Building F & H Hot Water Tanks	19-603.11	\$845,000	\$433,636	F-547k RF-\$845k	UConn 2000 Bond Funds	(12/06/21)* 12/08/21 (09/12/22)* 09/28/22	Complete	100%
UCH - Replace Chilled Water Pump CHWP#4	21-018	\$642,000	\$295,090	F	UConn 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21	Complete	100%
P = Planning Budget RP = Revised Planning Budget D = Design Budget * UConn Health Board of Directors Reviewed and Recommended for Board of Trustees Approval ⁽¹⁾ Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.								