



*Board of*



TRUSTEES

VOL. 241 FEBRUARY 28, 2024

**MEETING OF THE BOARD OF TRUSTEES  
UNIVERSITY OF CONNECTICUT**

**AGENDA**

University of Connecticut  
Wilbur Cross Building  
North Reading Room (109)  
233 Glenbrook Road  
Storrs, Connecticut

February 28, 2024

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **9:00 a.m.**

1. Public Participation\*

\* Individuals who wish to speak during the Public Participation portion of the Wednesday, February 28, meeting must do so in person and sign up 24 hours in advance of the meeting's start time (i.e., 9:00 a.m. on Tuesday, February 27) by emailing [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu). Speaking requests must include a name, topic, and affiliation with the University (i.e., student, employee, member of the public). Per the University By-Laws, the Board may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Board via [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu), and all comments will be transmitted to the Board.

2. Chairman's Report

(a) Matters Outstanding

(b) Minutes of the Meeting of December 6, 2023

(c) Consent Agenda Items:

- |   |                |
|---|----------------|
| (1) Contracts and Agreements  | (Attachment 1) |
| (2) Project Budget (Design) for Werth Residence Tower<br>High Humidity Mitigation                           | (Attachment 2) |
| (3) Project Budget (Revised Final) for Freitas Renovation   | (Attachment 3) |
| (4) Project Budget (Planning) for the UConn Health Labor &<br>Delivery Infant Protection System Replacement | (Attachment 4) |
| (5) Project Budget (Design) for the UConn Health Building F &<br>Building M Roof Replacement                | (Attachment 5) |
| (6) Project Budget (Design) for the UConn Health<br>Southington Clinic Expansion                            | (Attachment 6) |

- (7) Project Budget (Design) for the UConn Health LINAC Unit Replacement (Attachment 7)
- (8) Project Budget (Final) for the UConn Health KB034-036 Research Lab Renovation (Attachment 8)
- (9) Project Budget (Final) for the UConn Health Garage 1, 2 & 3 Electric Vehicle Charger Installation (Attachment 9)
- (10) Project Budget (Final) for the UConn Health Connecticut Tower (CT) 7<sup>th</sup> Floor Med-Surg/Observation Unit Renovation (Attachment 10)
- (11) Project Budget (Final) for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill (Attachment 11)
- (12) Project Budget (Final) for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation (Attachment 12)
- (13) Project Budget (Final) for the UConn Health Musculoskeletal Institute Rehabilitation Expansion (Attachment 13)
- (14) Designation of Emeritus Status (Attachment 14)
- (15) Sabbatical Leave Recommendations (Attachment 15)
- (16) Establishment of the Department of Geography, Sustainability, Community and Urban Studies in the College of Liberal Arts and Sciences (Attachment 16)
- (17) Establishment of the Department of Social and Critical Inquiry in the College of Liberal Arts and Sciences (Attachment 17)
- (18) Appointment of Professor Bahram Javidi to the SNET Professor of Communications and Information Technology in the College of Engineering (Attachment 18)
- (19) Appointment of Professor Stephany Santos to the Vergnano Endowed Chair for Inclusion in the College of Engineering (Attachment 19)
- (20) Appointment of Professor Cristina Wilson to the Judith M. and Henry M. Zachs Chair in Social Work (Attachment 20)
- (21) Naming Recommendation for the Marlene L. Cohen and Jerome H. Fleisch Chair in Vascular Biology in the School of Medicine (Attachment 21)
- (22) Naming Recommendation for the RTX Technology Research Center (Science 1 Building) (Attachment 22)

3. President's Report

4. University Senate Report  
Senate Executive Committee Chair, Dr. Laura Burton

5. Academic Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Presentation: Community Engagement  
Presenter: Kazem Kazerounian, Dean  
College of Engineering
  - (c) Informational Item:
    - (1) Faculty Consulting Program (Attachment 23)
      - The University of Connecticut Consulting Program  
FY 23 Annual Report
      - Report on the University of Connecticut's Compliance  
with CGS 1-84(r) Faculty Consulting Program: Report  
Issued by the Faculty Consulting Oversight Committee
6. Financial Affairs Committee Report
  - (a) Report on Committee activities
  - (b) Presentation: Budget Update (Attachment 24)  
Presenter: Jeffrey Geoghegan, Executive Vice President  
for Finance and Chief Financial Officer
7. UConn Health Report
  - (a) Report on UConn Health activities
8. Joint Audit and Compliance Committee Report
  - (a) Report on Committee activities
9. Buildings, Grounds and Environment Committee Report
  - (a) Report on Committee activities
10. Construction Management Oversight Committee Report
  - (a) Report on Committee activities
11. Student Life Committee Report
  - (a) Report on Committee activities
  - (b) Item requiring Board discussion and approval:
    - (1) University Adoption of Okanagan Charter (Attachment 25)
  - (c) Informational Item:
    - (1) Student Health and Wellness Reproductive Health Plan (Attachment 26)  
(Public Act No. 23-41, Access to Reproductive Health  
Care by Students at Public Institutions of Higher Education)
12. Institutional Advancement Committee Report
  - (a) Report on Committee activities



13. Committee for Diversity, Equity and Inclusion Report
  - (a) Report on Committee activities
14. Committee on Compensation Report
  - (a) Report on Committee activities
15. Committee for Research, Entrepreneurship and Innovation Report
  - (a) Report on Committee activities
16. Other Business
17. Executive Session anticipated
18. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

# **ATTACHMENT 1**

CONTRACT AGREEMENTS  
FOR APPROVAL  
FEBRUARY 28, 2024

**PROCUREMENT - NEW\* FOR APPROVAL**

\*The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.

FUEL CELLS												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose				CT Based	S/MBE
1	FuelCell Energy (by and through IPB Fuel Cell 2, LLC)	LM090121-1-1A	\$6,500,000	03/01/24-02/28/32	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	Vendor is the manufacturer and service provider of fuel cell units (each a "Unit") that services the Innovative Partnership Building ("IPB") by generating electrical and thermal energy. Each Unit consists of two nominally rated 250kW solid oxide fuel cells. An initial agreement (LM090121-1-1) was presented to, and approved by, the BOT on 09/27/23 for one Unit to service the IPB. This request is to add an additional Unit to this location in order to provide additional power to meet IPB's energy consumption needs. The term of this agreement is for eight years.					X

IT HARDWARE AND SOFTWARE LICENSE AGREEMENTS												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose				CT Based	S/MBE
2	Paciolan, Inc	GLAG179597182	\$4,000,000	11/17/23-06/30/32	Auxiliary Services	David Benedict, Director of Athletics	An online and digital ticket sales platform, an integrated customer data management system for ticket buyers and donors, and tools for marketing/sales analytics for the Division of Athletics. This includes hosting fees, back-office fees on ticket sales, and the addition of Ballena (a digital virtual seat visualization software). Term is for eight years. Sourced under General Letter 71 (GL71). Zero extensions remain.					

PARKING MANAGEMENT SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Purpose				CT Based	S/MBE
3	LAZ Karp Assoc. (aka LAZ Parking Ltd., LLC)	UC-24-KA100623	\$2,000,000	Upon Execution-06/30/2027	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	Vendor provides parking management, maintenance, events and enforcement services for the University's North and South Garages on the Storrs campus and parking lots, as well as special events parking services. This is the result of a formal public solicitation. Initial term is for three year with two extensions of one year each available.					

**PROCUREMENT - AMENDMENTS\* FOR APPROVAL**

CARPET, HARD SURFACES AND RELATED SURFACES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
4	Northeast Flooring and Kitchens LLC	20PSX0088	\$1,100,000; [Contract Value Previously \$900,000; Total New Contract Value \$2,000,000]	06/01/21-05/31/26	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$736,682	\$297,841	\$210,906	Carpet, hard surface flooring and related services for all University campuses. <b>Amend to increase contract value \$1,100,000, for a new contract value of \$2,000,000</b> . Contract term remains the same. Sourced through the Connecticut Department of Administrative Services (CT DAS). Contract increase is requested to cover the continued purchase of carpet, hard surface flooring and related services for all University campuses through the remainder of the contract term. Future extensions may be exercised at the discretion of the State.	X	X

CONSTRUCTION SERVICES - SET ASIDE TRADE LABOR SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
5	Mattern Construction, Inc.	CA052323	\$2,000,000 (Previous Contract Value \$1,000,000; Total New Contract Value \$3,000,000)	09/01/23-08/31/26	Multiple Sources	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$140,149	\$0	\$0	Set-Aside trade labor services agreement to provide trade labor services for Academic Renovations for all University campuses. <b>Amend to increase contract value \$2,000,000, for a total new contract value of \$3,000,000</b> . Contract term remains the same. This agreement is used by Academic Renovations to provide trade labor services and support, as needed, for renovation projects including carpentry, flooring, painting, and electrical. Contract increase is requested to provide ongoing trade labor services in support of Academic Renovations.	X	X

**CONTRACT AGREEMENTS  
FOR APPROVAL  
FEBRUARY 28, 2024**

<b>EMPLOYMENT SERVICES, TEMPORARY</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
6	Robert Half International Inc.	TS06-21	\$600,000; [Contract Value Previously \$2,600,000; Total New Contract Value \$3,200,000]	06/01/21-05/31/24	Multiple Sources	Joseph Thompson, AVP and Chief Procurement Officer	\$2,335,736	\$1,529,928	\$42,401	Temporary staffing, direct-hire, and other employer services. <b>Amend to increase contract value \$600,000, for total new contract value of \$3,200,000.</b> Contract term remains the same. Sourced through Houston-Galveston Area Council (HGACBuy) Cooperative Purchasing Program. Future extensions may be exercised at the discretion of the Cooperative. This agreement is unitized by various departments including Admissions, Human Resources, Nursing, School of Fine Arts, and University Business Services. Increase is requested to accommodate requests for temporary staffing.		

<b>EVENT STAFFING SERVICES</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
7	Contemporary Services Corporation	UC-22-KA071321-8	\$300,000; [Contract Value Previously \$1,550,000; Total New Contract Value \$1,850,000]	03/14/22-08/31/24	Operating Funds	David Benedict, Director of Athletics	\$1,262,440	\$586,250	\$268,063	Management and staffing for events on the Storrs campus, regional campuses (if needed), inclusive of athletic events and commencement. <b>Amend to increase contract value by \$300,000, for total new contract value of \$1,850,000.</b> Contract term remains the same. Two extensions of one year each remain. Contract increase is requested to cover anticipated costs and any unforeseen needs that arise.		

<b>IT HARDWARE AND SOFTWARE LICENSE AGREEMENTS</b>												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
8	Anixter, Inc.	R192008	\$501,000; (Previous Contract Value \$899,000; Total New Contract Value \$1,400,000)	04/01/20-03/30/25	Multiple Sources	Michael Mundrane Vice President and Chief Information Officer	\$881,611	\$383,956	\$1,929	Cabling and network hardware, supplies and services for all University campuses. <b>Amend to increase contract value \$501,000, for total new contract value of \$1,400,000.</b> Contract term remains the same. Sourced through an OMNIA Partners consortia agreement. Future extensions may be exercised at the discretion of the consortia. The requested contract increase will cover anticipated renewal expenditures for the upcoming fiscal year. Zero extensions remain.		
9	DLT Solutions, LLC	180233-001	\$0; [Contract Value Previously \$1,000,000; Contract Value Remains the Same]	12/12/18-11/30/25	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$649,777	\$228,592	\$156,692	Purchase and maintenance of Oracle products, as well as additional cloud services for other operational platforms for all University campuses. <b>Amend to extend contract term one year, through 11/30/25.</b> Sourced through an OMNIA Partners consortium agreement. Three extensions of one year each remain. Contract being extended to cover annual maintenance renewals for ITS.		

**CONTRACT AGREEMENTS  
FOR APPROVAL  
FEBRUARY 28, 2024**

ON-CALL CONSTRUCTION SERVICES - TELECOMMUNICATIONS SYSTEMS												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
10	A/Z Corporation	159-1-NV-022823	\$1,100,000; [Contract Value Previously \$1,900,000; Total New Contract Value \$3,000,000]	02/26/20-12/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$1,484,573	\$151,438	\$493,067	On-call trade contractor services, telecommunications systems to be used at all University campuses to provide project support, as needed. <b>Amend to increase contract value \$1,100,000, for total new contract value of \$3,000,000. Amend to extend contract term one year, through 12/31/24.</b> This contract is used for bidding of projects under \$500k for telecommunications services and for on-call assignments for projects under \$500k, as needed, included Project # IT1252 UConn Law School - Hosmer Hall Wireless Access Layer Refresh (WALR) project. Contract increase and extension are requested to allow agreement remain active for potential assignments in on-call program category group. Zero extensions remain.		X
11	Fibre Optic Plus, LLC	159-3-NV-022823	\$1,050,000; [Contract Value Previously \$950,000; Total New Contract Value: \$2,000,000]	02/24/20-12/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$634,917	\$132,347	\$264,800	On-Call Trade Contractor Services, Telecommunications Systems to be used at all University campuses to provide project support as needed. <b>Amend to increase contract value \$1,050,000, for total new contract value of \$2,000,000. Amend to extend term one year, through 12/31/24.</b> One extension of one year remains. This contract is used for bidding of projects under \$500k for telecommunications services and for on-call assignments for projects under \$500k, as needed, included Project # IT1252 UConn Law School - Hosmer Hall Wireless Access Layer Refresh (WALR) project. Contract increase is requested to allow agreement to remain active for potential assignments in on-call program category group. Zero extensions remain.	X	X

**PRINT SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
12	GHP Media Inc.	MF010621	\$700,000; [Contract Value Previously \$1,550,000; Total New Contract Value \$2,250,000]	03/30/21-03/29/25	Multiple Sources	Joseph Thompson, AVP and Chief Procurement Officer	\$1,348,873	\$531,535	\$415,785	Print provider for student recruitment publications. <b>Amend to increase contract value \$700,000, for total new contract value of \$2,250,000. Amend to extend contract term one year, through 03/29/25.</b> Zero extensions remain. Contract value increase and extension are requested to cover additional anticipated printing projects through the extended term to allow for sufficient time for completion of a new solicitation and resulting agreement.		

**RELOCATION MANAGEMENT SERVICES**

No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
13	Signature Relocation Inc.	CNR01503	\$480,000; Contract Value Previously \$990,000; Total New Contract Value \$1,500,000	05/01/20-04/30/27	Multiple Sources	Joseph Thompson, AVP, University Business Services and Chief Procurement Officer	951,307	383,490	337,763	Provide relocation management services for new faculty and staff as needed to include, but not limited to, household goods moves, vehicle transport, etc. Sourced through E&I Cooperative Purchasing Program. Initial term is for seven years. Future extensions may be exercised at the discretion of the E&I Cooperative.		

**CONTRACT AGREEMENTS  
FOR APPROVAL  
FEBRUARY 28, 2024**

SECURITY SYSTEM INFRASTRUCTURE HARDWARE AND RELATED SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
14	Environmental Systems Corp	LM110818-4	\$0; [Contract Value Previously \$3,850,000; Contract Value Remains the Same]	04/01/19 -02/28/25	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$644,966	\$31,888	\$275,851	Security System Infrastructure Hardware and Related Services for all University campuses. <b>Amend to extend contract term one year, through 02/28/25.</b> Contract value remains the same. Contract extension is requested to allow for sufficient time for completion of a new solicitation and resulting agreement.		
15	Security Technologies Inc.	LM110818-2	\$0; [Contract Value Previously \$1,800,000; Contract Value Remains the Same]	04/01/19-02/28/25	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$2,727,843	\$595,916	\$980,782	Security System Infrastructure Hardware and Related Services for all University campuses. <b>Amend to extend contract term one year, through 02/28/25.</b> Contract value remains the same. Contract extension is requested to allow for sufficient time for completion of a new solicitation and resulting agreement.	X	X
16	Siemens Industry, Inc.	LM110818-3	\$0; [Contract Value Previously \$1,450,000; Contract Value Remains the Same]	04/01/19 -02/28/25	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$799,964	\$2,763	\$75,331	Security System Infrastructure Hardware and Related Services for all University campuses. <b>Amend to extend contract term one year, through 02/28/25.</b> Contract value remains the same. Contract extension is requested to allow for sufficient time for completion of a new solicitation and resulting agreement.		

SOLAR TURBINE												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
17	Solar Turbines Inc.	28486-2021	\$4,000,000; [Contract Value Previously \$13,000,000; Total New Contract Value \$17,000,000]	05/01/21-04/30/26	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$4,706,186	\$2,123,134	\$1,687,773	Vendor is the manufacturer and service provider of the three (3) turbine/generator packages in the Co-generation (Cogen) facility. <b>Amend to increase contract value by \$4,000,000 for a new total of \$17,000,000.</b> Contract term remains the same. Contract increase is requested to provide funding for Solar Turbine to complete an overhaul and upgrade to the University's existing turbines.		

SPRINKLER ALTERATION SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
18	Encore Holdings dba Encore Fire Protection	LM110518	\$0; [Contract Value Previously \$1,000,000; Contract Value Remains the Same]	03/01/19-06/30/24	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$623,909	\$10,661	\$229,609	Sprinkler Alteration Services for all University campuses. <b>Amend to extend contract term four months, through 06/30/24.</b> Contract value remains the same. Contract extension is requested to allow for sufficient time for completion of a new solicitation and resulting agreement that will combine sprinkler alteration services and sprinkler system testing and inspection under one new agreement.		

TRAVEL SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
19	Anthony Travel	UC-18-KA021617-8	\$0 [Contract Value Previously \$25,000,000; Contract Value Remains the Same]	07/01/17-06/30/26	Multiple Sources	Joseph Thompson AVP for University Business Services and CPO	\$17,650,908	\$4,170,899	\$2,226,520	Full range of travel services provided to the University including, but not limited to, airline bookings, hotel bookings, group travel and after-hour services. Vendor is paid the salary for five dedicated agents (3 for Athletics and 2 for non-Athletics) and any applicable pass-through charges to airline and/or hotels. <b>Amend to extend contract term two years, through 06/30/26.</b> Contract value remains the same. Contract extension is requested to exercise final option under existing agreement.		

**CONTRACT AGREEMENTS  
FOR APPROVAL  
FEBRUARY 28, 2024**

**REVENUE - AMENDMENT\***

*\*Not all provisions of all Agreements appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.*

No.	Contractor	Contract No.	Approval Amount	Term	Revenue Recipient	Program Director	Revenues FY24	Revenues FY23	Revenues FY22	Purpose	CT Based S/MBE
20	CT Office of Early Childhood	23OECSLRM1UCE	\$5,464,400; [Contract Value Previously \$4,900,000; Total New Contract Value \$10,364,400]	03/01/24-12/31/26	Institute for Collaboration on Health, Intervention and Policy (InCHIP)	Rachel Cohen, Associate Professor & Director of Early Childhood Program	\$1,500,000	\$0	\$0	This revenue generating Research Contract is a partnership between the Office of Early Childhood (OEC or Agency) and The UConn College of Liberal Arts and Sciences, Department of Human Development and Family Sciences, Start Early, Early Start Program (UConn, or HDF5, or Contractor) is to advance OEC's vision of "all Connecticut children are healthy, learning and thriving," by providing OEC with the capacity to conduct a research study in infant and toddler classrooms, focused in low and mixed income communities in Hartford and New Haven. The study shall examine the effects of collaboration between the existing federal and state models for the Early Head Start and Head Start Programs and the Models for Maternal Infant and Early Childhood Home Visiting (MIECHV) programs, to determine if enhanced collaboration among programs, through the work of a parent consultant, yields better outcomes especially in the social emotional and language/communication domains for approximately 300 of the 600 studied children at age 3 and if these two generational systems offers enhanced support for the children's families. Amend to increase contract value by \$5,464,400, for total new contract value of \$10,364,400. Contract term remains the same.	

**REAL ESTATE AGREEMENTS FOR APPROVAL \***

*\*Not all provisions of all Agreements appearing below have been 100% completed, but the Administration is seeking approval to proceed to execution based on the material terms described below.*


**UNIVERSITY AS LESSEE - LEASE AGREEMENTS**

No.	Lessor	Annual Amount Payable	Term	Fund Source	Program Director	Purpose
1	EDR Storrs LLC (dba The Oaks on the Square)	\$2,850,000	1 year	Operating Funds	Nathan Fuerst, Vice President of Student Life and Enrollment	A lease of up to 60 units occupied by approximately 150 students for one year to accommodate unusually high single-year demand for UConn-provided housing. The lease includes all operating expenses for the housing including electric, heat/hot water and internet connectivity. Residential Life plans on subleasing the units to students for a period of 12 months and anticipates these units will operate at financial breakeven.
2	Northland Trumbull Block, LLC	\$970,470 annual base rent for Year 1 with 2.5% annual increases for Years 2-5, plus a prorata share of any increases in common area operating costs	5 years	Operating Funds	Amy Gorin, Vice Provost	A new agreement for lease of approximately 51,077 rentable square feet located at 229 Trumbull Street in Hartford in the building commonly known as the XL Center. The space consists of approximately 49,359 square feet on the third floor and 1,718 square feet on the first floor for use as classrooms and research space. \$5.0 million in state funding will pay for relocation costs, minor tenant fit-out, and approximately the first three years of the lease cost. Years 4 and 5 will be paid wholly by the University. The lease has an option to renew for an additional two-five year terms at set rates. No parking is included in the base lease cost, but spaces are available for rent on a monthly basis and several will be leased for visitor use.

## **ATTACHMENT 2**



February 28, 2024

TO: Members of the Board of Trustees 

FROM: Jeffrey P. Geoghegan, CPA  
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Werth Residence Tower High Humidity Mitigation  
(Design: \$1,500,000)

RECOMMENDATION:

That the Board of Trustees approve the Design Budget of \$1,500,000, as detailed in the attached project budget, for the Werth Residence Tower High Humidity Mitigation project. This reflects an increase of \$575,000 to the previously approved Revised Planning budget of \$925,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$1,500,000 in University Funds for the Design Phase of the Werth Residence Tower High Humidity Mitigation project.”

BACKGROUND:

Werth Residence Tower is an approximately 350 room, 8-story residence hall, which opened in 2016, and was constructed using the design/build method. Since opening, UConn has reported concerns of condensation on the windows and ceilings immediately adjacent to the in-room HVAC system. Approximately 40% of the rooms have issues with excessive humidity and condensation, with no apparent pattern identified from semester-to-semester. In order to provide a better student success journey for our students in Werth Tower, we propose to mitigate the humidity conditions in the building.

A forensic MEP engineering firm was hired to investigate the HVAC system and develop a plan to remedy this situation. Multiple mockups of potential solutions were installed in student rooms in the summer 2023 and data from sensors is collected bi-weekly. Although the data collection will continue through the heating season, all indications to date are that increasing dry air flow directly to the rooms is the only solution that reduces the humidity significantly.

An engineering firm has been hired to design a long-term solution that introduces dry air into the rooms. Due to long lead times for procurement of equipment, the final modifications to the HVAC system cannot be completed until the summer 2025. As an interim measure, to help lower the

humidity level in the building for this upcoming year, temporary dehumidifiers will be installed in the corridors of the seven floors housing students and will remain in place for the 2024 – 2025 academic year.

This increase of \$575,000 is to purchase and install temporary dehumidification equipment in the corridors of Werth Residence Tower.

The Design Phase Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:**      **DESIGN**

**PROJECT NAME:**      **WERTH RESIDENCE HALL HIGH HUMIDITY MITIGATION**


	<b>APPROVED PLANNING 5/21/2021</b>	<b>APPROVED REVISED PLANNING 6/6/2023</b>	<b>APPROVED REVISED PLANNING 9/14/2023</b>	<b>APPROVED REVISED PLANNING 12/6/2023</b>	<b>PROPOSED DESIGN 2/24/2024</b>
<b><u>BUDGETED EXPENDITURES</u></b>					
	PRC	PRC	PRC		
CONSTRUCTION	\$ -	\$ 100,000	\$ 185,000	\$ 285,000	\$ 845,000
DESIGN SERVICES	60,000	150,000	200,000	500,000	500,000
TELECOMMUNICATIONS	-	-	-	-	-
FURNITURE, FIXTURES AND EQUIPMENT	-	-	-	-	-
CONSTRUCTION ADMINISTRATION	-	-	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-	-	-
ART	-	-	-	-	-
RELOCATION	-	-	-	-	-
ENVIRONMENTAL	-	-	-	-	-
INSURANCE AND LEGAL	-	5,000	5,000	5,000	5,000
MISCELLANEOUS	10,000	20,000	25,000	25,000	25,000
OTHER SOFT COSTS	-	-	-	-	-
<b>SUBTOTAL</b>	<b>\$ 70,000</b>	<b>\$ 275,000</b>	<b>\$ 415,000</b>	<b>\$ 815,000</b>	<b>\$ 1,375,000</b>
PROJECT CONTINGENCY	10,000	35,000	50,000	110,000	125,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 80,000</b>	<b>\$ 310,000</b>	<b>\$ 465,000</b>	<b>\$ 925,000</b>	<b>\$ 1,500,000</b>
<b><u>SOURCE(S) OF FUNDING</u></b>					
UNIVERSITY FUNDS	\$ 80,000	\$ 310,000	\$ 465,000	\$ 925,000	\$ 1,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 80,000</b>	<b>\$ 310,000</b>	<b>\$ 465,000</b>	<b>\$ 925,000</b>	<b>\$ 1,500,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

## **ATTACHMENT 3**

February 28, 2024

TO: Members of the Board of Trustees

FROM: Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for Freitas Renovation (Revised Final: \$3,000,000)

RECOMMENDATION:

That the Board of Trustees approve the Revised Final Budget of \$3,000,000 for the Freitas Renovation project, as detailed in the attached project budget. This represents an increase of \$500,000 to the previously approved Final Budget of \$2,500,000. The Administration recommends that the Board of Trustees adopt the Resolution below.

RESOLUTION:

“Be it resolved that the Board of Trustees approve the use of \$3,000,000 in Gift funds for design and construction for the Freitas Renovation.”

BACKGROUND:

The Mark Freitas Ice Forum opened in the fall of 1998. Prior to the opening of the Toscano Family Ice Forum, the Mark Freitas Ice Forum was the home of the University of Connecticut Men’s and Women’s ice hockey teams. To foster Husky Pride and a stronger and more inclusive University, this project will renovate the facility to support the Women’s Volleyball program and bring the facilities in line with Title IX requirements.

The previous request provided funding for Phase 1 construction services which included the decommissioning of the ice system, demo of the existing dasher boards, installation of a new volleyball floor and installation of new bleachers. Phase 1 was completed in summer 2023. The previous request also provided funding for the Phase II design of the Women’s Volleyball locker room, as well as the procuring of long lead items for Phase II.

This request provides funding to complete the renovation of the current locker room and bathroom, as well as minor renovations to the strength and training space, a new scoreboard and audio-visual equipment and connections to tie the broadcast of the games to the Rizza Performance Center production facility. If the project budget allows, desired scope including additional finish upgrades to the arena such as lighting, painting and branding will take place.

Since the majority of the scope of work is minimal and only on the interior of the building, the project will not be registered with LEED and will not meet Connecticut High Performance Buildings requirements.

The Revised Final Budget is attached for your information.

Attachment

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET:** REVISED FINAL

**PROJECT NAME:** FREITAS RENOVATION

	APPROVED DESIGN 11/18/2022	APPROVED FINAL 4/19/2023	PROPOSED REVISD FINAL 2/28/2024
<b><u>BUDGETED EXPENDITURES</u></b>			
	PRC		
CONSTRUCTION	\$ -	\$ 1,700,000	\$ 1,875,000
DESIGN SERVICES	385,000	425,000	320,000
TELECOMMUNICATIONS	-	-	100,000
FURNITURE, FIXTURES AND EQUIPMENT	-	-	550,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	-	-	-
RELOCATION	-	-	-
ENVIRONMENTAL	-	100,000	-
INSURANCE AND LEGAL	5,000	5,000	3,000
MISCELLANEOUS	15,000	20,000	2,000
OTHER SOFT COSTS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 405,000</b>	<b>\$ 2,250,000</b>	<b>\$ 2,850,000</b>
PROJECT CONTINGENCY	45,000	250,000	150,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 450,000</b>	<b>\$ 2,500,000</b>	<b>\$ 3,000,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
GIFT FUNDS	\$ 450,000	\$ 2,500,000	\$ 3,000,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 450,000</b>	<b>\$ 2,500,000</b>	<b>\$ 3,000,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

FREITAS RENOVATION  
Project Budget (REVISED FINAL)  
FEBRUARY 28, 2024



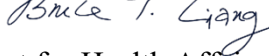


# **ATTACHMENT 4**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Labor & Delivery Infant Protection System Replacement (Planning: \$760,000)

## RECOMMENDATION:

That the Board of Trustees approves the Planning Budget in the amount of \$760,000 for the UConn Health Labor & Delivery Infant Protection System Replacement project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$760,000 from UConn Health Capital for the UConn Health Labor & Delivery Infant Protection System Replacement project.”

## BACKGROUND:

UConn Health is required, per federal and state regulations, to provide an infant protection system within the Labor & Delivery department to protect infants from abduction, elopement and discharges to the wrong family. The original infant protection system installed on the unit is being “sunsetting” and will no longer be supported by the vendor in the near future. This project will improve the Wellness of People and Planet by replacing the current system with an updated and compliant infant protection system.

The Planning Budget is attached for your consideration. The Planning Budget is based on conceptual estimates and may change as the design is developed. This Planning Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: PLANNING**

**PROJECT NAME: UCONN HEALTH - LABOR & DELIVERY INFANT PROTECTION  
SYSTEM REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED PLANNING 2/28/2024</u>
CONSTRUCTION	\$ 300,000
DESIGN SERVICES	50,000
TELECOMMUNICATIONS	300,000
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	10,000
<b>SUBTOTAL</b>	<b>\$ 660,000</b>
PROJECT CONTINGENCY	100,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 760,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN HEALTH CAPITAL FUNDS	\$ 760,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 760,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Labor & Delivery Infant Protection System  
Replacement  
Project Budget (Planning) \$760,000  
February 28, 2024



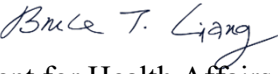
**TYPICAL PROTECTION SYSTEM TAG FOR AN INFANT**


# **ATTACHMENT 5**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Building F & Building M Roof  
Replacement (Design: \$1,615,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,615,000 for the UConn Health Building F & Building M Roof Replacement project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,615,000 from UCONN 2000 Phase III Deferred Maintenance Funds for the UConn Health Building F & Building M Roof Replacement project and; approve the request for a waiver of the three-stage budget approval process, to allow bidding to occur as soon as project design work is completed.”

## BACKGROUND:

The Canzonetti Building (Building F) and the Daycare Center (Building M) roofs have reached the end of their service life. The roofing membrane has deteriorated beyond the scope of normal maintenance and a full replacement is required. In order to maintain Farmington as part of our Seven World-Class Campuses, One Flagship University and improve the Wellness of People and Planet; these roofs will be replaced with a sustainable / high solar reflectance EPDM membrane system over the Summer/Fall of 2024.

The Design Budget is attached for your consideration. The Design Budget is based on estimates and may change based on actual bids received. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - BUILDING F & BUILDING M ROOF  
REPLACEMENT**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED DESIGN 2/28/2024</u>
CONSTRUCTION	\$ 1,302,000
DESIGN SERVICES	163,000
TELECOMMUNICATIONS	-
FURNITURE, FIXTURES AND EQUIPMENT	-
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 1,465,000</b>
PROJECT CONTINGENCY	150,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,615,000</b>
<u><b>SOURCE(S) OF FUNDING*</b></u>	
UCONN 2000 PHASE III DM	\$ 1,615,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,615,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Building F & Building M Roof  
Replacement  
Project Budget (Design) \$1,615,000  
February 28, 2024



**BUILDING F (CANZONETTI BUILDING) ROOF**



**BUILDING M (DAYCARE CENTER) ROOF**

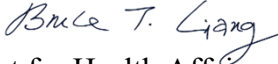



# **ATTACHMENT 6**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Southington Clinic Expansion  
(Design: \$1,325,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$1,325,000 for the UConn Health Southington Clinic Expansion project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,325,000 from UConn Health Capital and a Fit-out Allowance from 1137- 1145 West Street, LLC (the “Landlord”) for the UConn Health Southington Clinic Expansion project and; approve the request for a waiver of the three-stage budget approval process, to allow bidding to occur as soon as the lease is signed and project design work is completed.”

## BACKGROUND:

UConn Health plans to expand the Internal Medicine and Multispecialty clinical practices at the 1115 West St Southington site. This will be accomplished by moving the Dermatology, Women’s Health & Endocrinology practices from the 2<sup>nd</sup> floor into newly renovated space on the ground floor (LL) and expanding Internal Medicine into the vacated space. The Multispecialty clinics will grow from 8 to 12 examination rooms and the Internal Medicine practice will double in size, increasing to 16 examination rooms. The expansion will promote the Wellness of People and Planet and a Stronger, More Inclusive University by increasing community access to the Internal Medicine and extremely busy Multispecialty practices as well as offering Neurology and Nephrology services for the first time at this location.

UConn Health is in the process of negotiating the lease terms. UConn Health is requesting the Landlord develop the plans and complete the tenant fit-out of the space. The Landlord has provided an allowance to UConn Health of \$20/square feet of useable space (approximately

\$94,280) to be used for the tenant fit-out. UConn Health is responsible for all construction costs in excess of the allowance.

The Design Budget is attached for your consideration. The Design Budget is based on estimates and may change based on actual bids received. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

Attachments

# CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - SOUTHTON CLINIC EXPANSION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED DESIGN 2/28/2024</u>
CONSTRUCTION	\$ 920,000
DESIGN SERVICES	-
TELECOMMUNICATIONS	77,000
FURNITURE, FIXTURES AND EQUIPMENT	145,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	8,000
RELOCATION	2,000
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 1,152,000</b>
PROJECT CONTINGENCY	173,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 1,325,000</b>
<u>SOURCE(S) OF FUNDING*</u>	
UCONN HEALTH CAPITAL FUNDS	1,231,720
LANDLORD TENNANT ALLOWANCE	\$ 93,280
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 1,325,000</b>

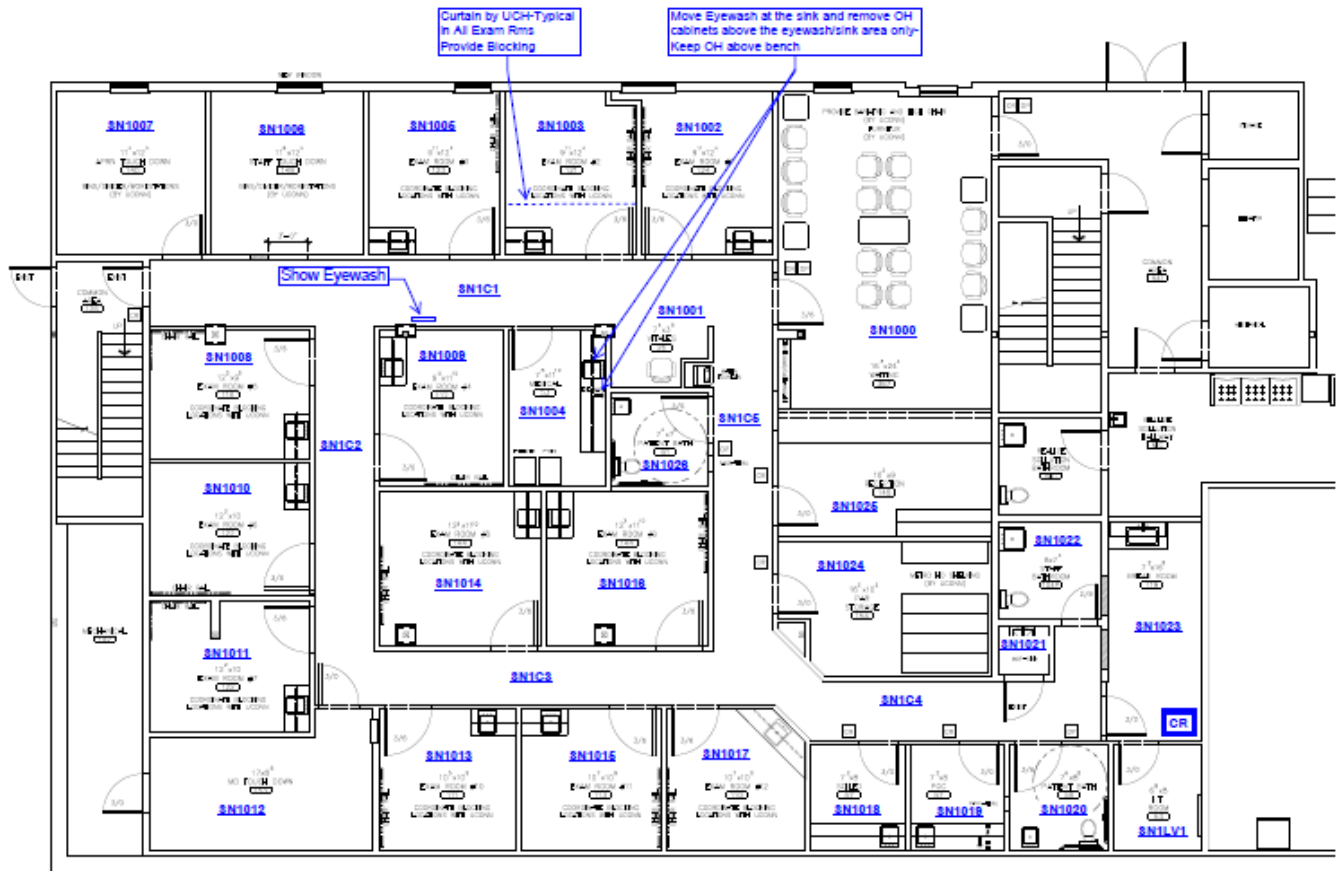
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health Southington Clinic Expansion

### Project Budget (Design) \$1,325,000

### February 28, 2024



4,268 SQUARE FEET BUILD-OUT  
396 SQUARE FEET COMMON

SEE THE PLAN BY THE DATE  
 PROPOSED FLOOR PLAN  
 1/4" = 1'-0"

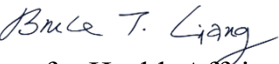
### CONCEPTUAL FLOOR PLAN

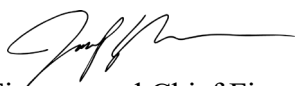
# **ATTACHMENT 7**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health LINAC Unit Replacement  
(Design: \$4,985,000)

## RECOMMENDATION:

That the Board of Trustees approve the Design Budget in the amount of \$4,985,000 for the UConn Health LINAC Unit Replacement project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$4,985,000 from UConn Health Capital for the LINAC Unit Replacement project.”

## BACKGROUND:

The UConn Health Neag Comprehensive Cancer Center offers many innovative programs, such as radiation therapy using a linear accelerator (LINAC) unit. The LINAC treatment is tailored to the specific type and location of the cancer and provides precise delivery of the radiation while sparing healthy surrounding tissue. The LINAC unit acquires 3D images of tumors before every treatment and uses rotating narrow “pencil” beams of radiation with variable intensity to deliver precise treatments customized to the exact size and shape of the tumor. It targets large, small and multiple lesions and minimizes radiation to healthy tissue.

The current LINAC unit was installed in 2007 and has reached the end of service life and needs to be replaced. This project will improve the Wellness of People & Planet by fitting-out a shelled vault within the Neag Comprehensive Cancer Center to accommodate the new LINAC unit.

The Design Budget is attached for your consideration. The Design Budget is based upon consultant estimates and approved vendor quotes and may change as the design is developed. This Design Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: DESIGN**

**PROJECT NAME: UCONN HEALTH - LINAC UNIT REPLACEMENT**

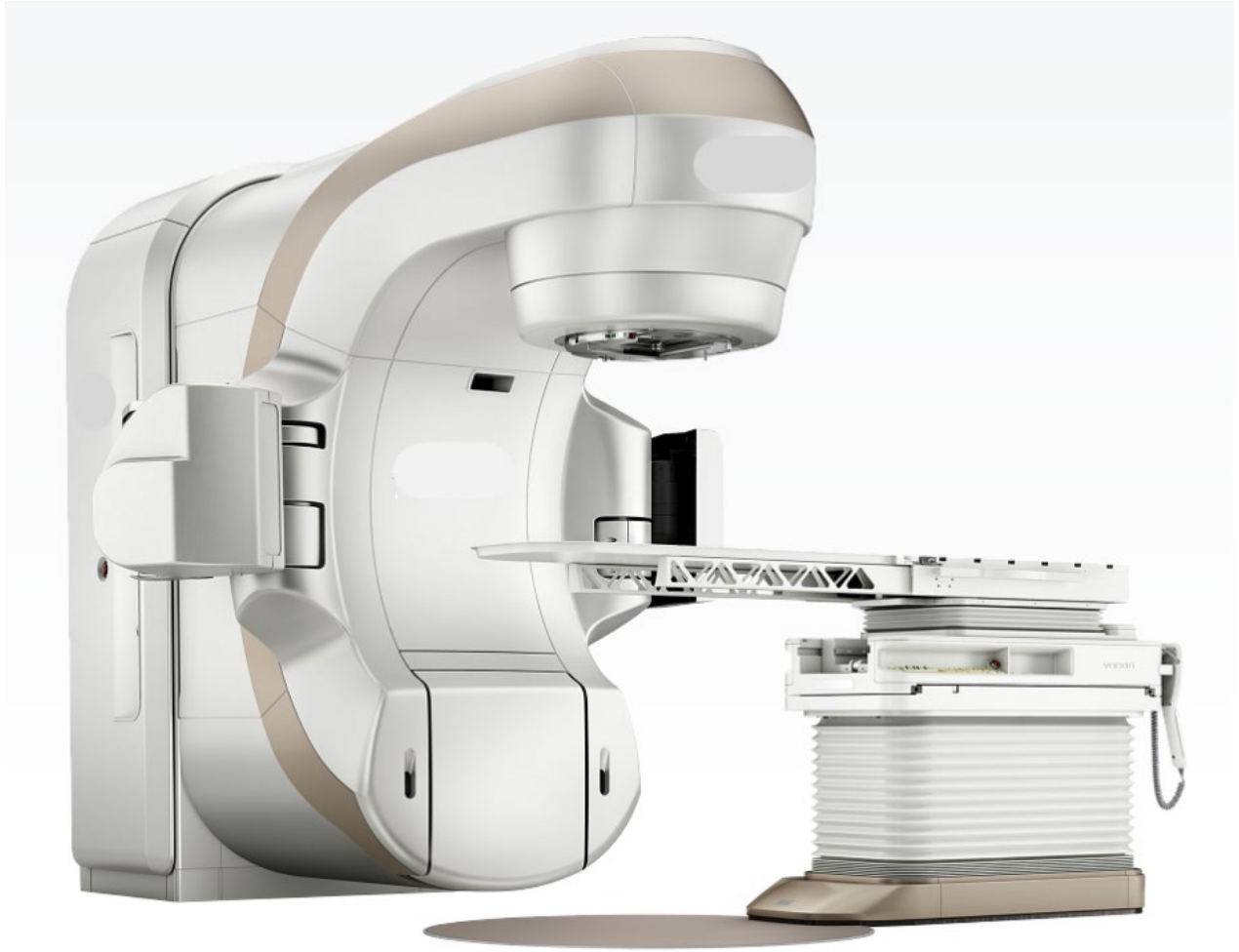
<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 4/19/2023</u>	<u>PROPOSED DESIGN 2/28/2024</u>
CONSTRUCTION	\$ 1,230,000	\$ 865,000
DESIGN SERVICES	185,000	195,000
TELECOMMUNICATIONS	10,000	12,000
FURNITURE, FIXTURES AND EQUIPMENT	3,465,000	3,730,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	34,000	10,000
ART	-	1,000
RELOCATION	-	5,000
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	-	2,000
<b>SUBTOTAL</b>	<b>\$ 4,924,000</b>	<b>\$ 4,820,000</b>
PROJECT CONTINGENCY **	296,000	165,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 5,220,000</b>	<b>\$ 4,985,000</b>
<u>SOURCE(S) OF FUNDING*</u>		
UCONN HEALTH CAPITAL FUNDS	\$ 5,220,000	\$ 4,985,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 5,220,000</b>	<b>\$ 4,985,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

\*\* LINAC Equipment purchase not included in Project Contingency calculation



UCONN HEALTH/IMPROVEMENTS  
UConn Health LINAC Unit Replacement  
Project Budget (Design) \$4,985,000  
February 28, 2024




LINAC Unit


## **ATTACHMENT 8**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health KB034-036 Research Lab Renovation  
(Final: \$760,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$760,000 as detailed in the attached project budget for the UConn Health KB034-036 Research Lab Renovation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$760,000 from UConn Health School of Medicine Operating Fund for the UConn Health KB034-036 Research Lab Renovation Project and; approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

## BACKGROUND:

This project will promote Excellence in Research, Innovation and Engagement by renovating approximately 2,500 sf of animal research / holding space located within the basement of the Transgenic Animal Facility (building K) to create a flexible/open wet research laboratory area for the new head of the Department of Surgery.

The Final Budget is attached for your consideration. The Final Budget is based on conceptual estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

Attachments

# CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - KB034-036 RESEARCH LAB RENOVATION**

<b><u>BUDGETED EXPENDITURES</u></b>	<b>PROPOSED FINAL 2/28/2024</b>
CONSTRUCTION	\$ 450,000
DESIGN SERVICES	65,000
TELECOMMUNICATIONS	35,000
FURNITURE, FIXTURES AND EQUIPMENT	100,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	10,000
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 660,000</b>
PROJECT CONTINGENCY	100,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 760,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>	
UCH OPERATING FUNDS	760,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 760,000</b>

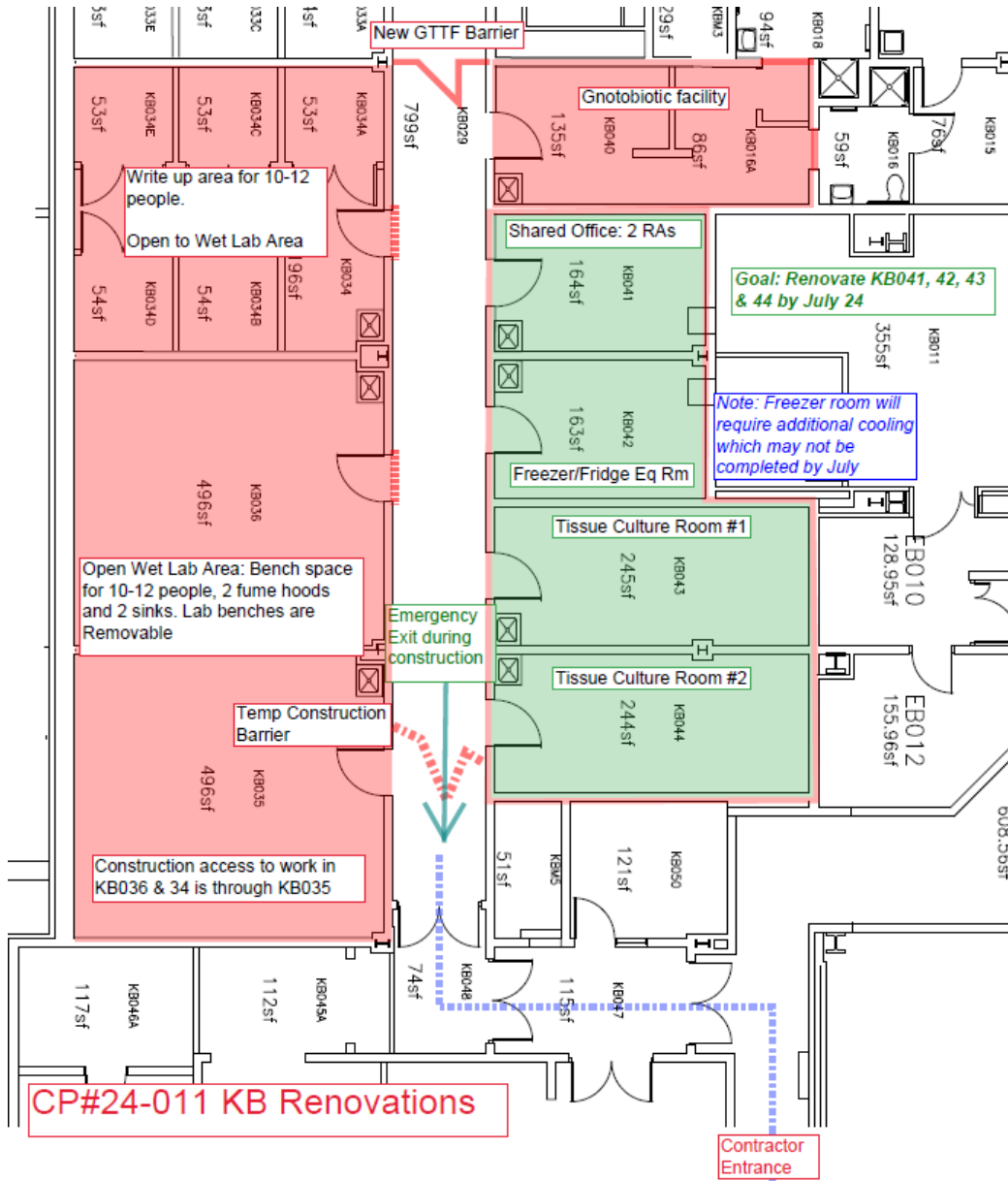
\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health KB034-036 Research Lab Renovation

### Project Budget (Final) \$760,000

### February 28, 2024



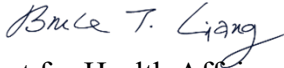
**Conceptual Floor Plan**

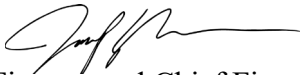
## **ATTACHMENT 9**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Garage 1, 2 & 3 Electric Vehicle Charger Installation (Final: \$550,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$550,000 as detailed in the attached project budget for the UConn Health Garage 1, 2 & 3 Electric Vehicle Charger Installation Project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$550,000 from UConn Health Energy Conservation Pool for the UConn Health Garage 1, 2 & 3 Electric Vehicle Charger Installation Project with partial reimbursement for CT DEEP and Eversource and; approve the request for a waiver of the three-stage budget approval process to allow construction to proceed after bids have been received and evaluated for conformance with the project scope and budget.”

## BACKGROUND:

UConn Health recently applied for and received reimbursement grants from CT DEEP (\$229,437) and Eversource (\$120,000) to install Level 2 electric vehicle (EV) charger stations in the three parking garages located on the Farmington Campus. This project will improve Wellness of People and Planet through the installation of 28 Level 2 electric vehicle charger stations. The grants will cover the costs for labor and materials for 24 EV stations including any required electrical upgrades. The project will also be replacing four (4) outdated level 1 EV charging stations in Garage 1. The replacement stations are not covered by the grants. Twenty (20) stations will be located in Garage 1 will be accessible to the public and staff. The remaining 8 stations will be located in garages 2 & 3 and are accessible to staff only.

The Final Budget is attached for your consideration. The Final budget is based on consultant estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - GARAGE 1, 2 & 3 ELECTRIC VEHICLE CHARGER  
INSTALLATION**

<u>BUDGETED EXPENDITURES</u>	<u>PROPOSED FINAL 2/28/2024</u>
CONSTRUCTION	\$ 260,000
DESIGN SERVICES	35,000
TELECOMMUNICATIONS	50,000
FURNITURE, FIXTURES AND EQUIPMENT	130,000
CONSTRUCTION ADMINISTRATION	-
OTHER AE SERVICES (including Project Management)	-
ART	-
RELOCATION	-
ENVIRONMENTAL	-
INSURANCE AND LEGAL	-
MISCELLANEOUS	-
<b>SUBTOTAL</b>	<b>\$ 475,000</b>
PROJECT CONTINGENCY	75,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 550,000</b>
 <u>SOURCE(S) OF FUNDING*</u>	
UCONN HEALTH ENERGY CONSERVATION POOL	200,563
CT DEEP VW GRANT	229,437
EVERSOURCE REBATE	120,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 550,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



UCONN HEALTH/IMPROVEMENTS  
UConn Health Garage 1, 2 & 3  
Electric Vehicle Charger Installation  
Project Budget (Final) \$550,000  
February 28, 2024



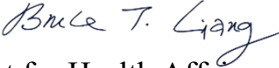
Example Overhead Level 2 EV Charger


# **ATTACHMENT 10**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Connecticut Tower (CT) 7<sup>th</sup> Floor Med-Surg/Observation Unit Renovation (Final: \$2,500,000)

## RECOMMENDATION:

That the Board of Trustees approves the Final Budget in the amount of \$2,500,000 for the UConn Health Connecticut Tower (CT) 7<sup>th</sup> Floor Med-Surg/Observation Unit Renovation.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$2,500,000 from UConn Health Capital for the UConn Health Connecticut Tower (CT) 7<sup>th</sup> Floor Med-Surg/Observation Unit Renovation and; approve the request for a waiver of the three-stage budget approval process, given the minimal construction costs associated with this project.”

## BACKGROUND:

With the end of the COVID pandemic, the UConn Health John Dempsey Hospital has seen an increased demand for inpatient beds. The John Dempsey Hospital is consistently at full capacity which is putting strains on other areas such as the Emergency Department. Prior to the construction of the new hospital tower under Bioscience CT, the 7<sup>th</sup> floor of the Connecticut Tower was an inpatient unit. This project will improve the Wellness of People and Planet through the implementation of upgrades and purchases of the necessary equipment and furniture required to reactivate the 7<sup>th</sup> floor as an inpatient/observation unit to address the demand/shortage of patient beds.

The Final Budget is attached for your consideration. The Final Budget is based on quotes received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

## CAPITAL PROJECT BUDGET REPORTING FORM

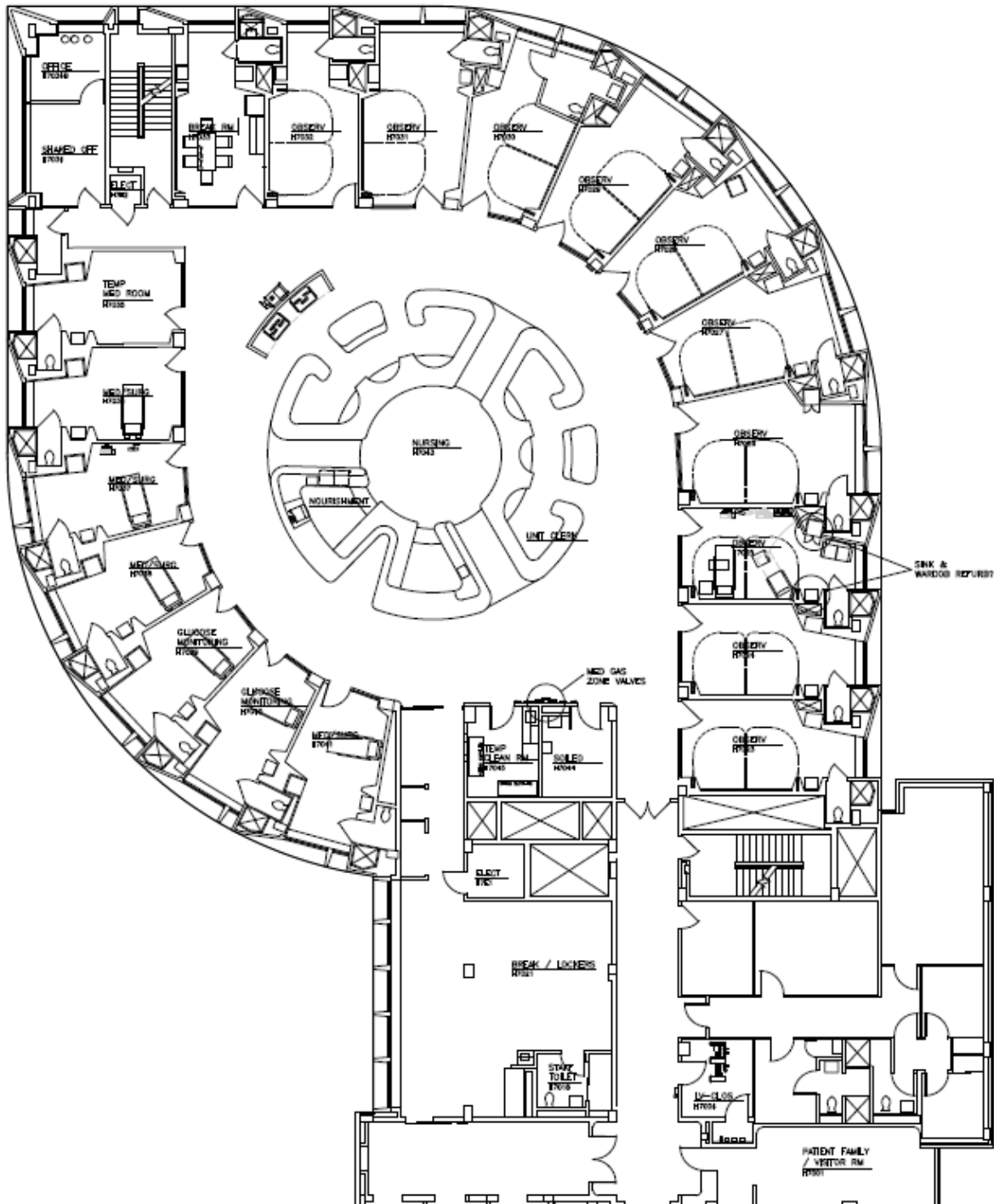
**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - CONNECTICUT TOWER (CT) 7th FLOOR MED-SURG/OBSERVATION UNIT RENOVATION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>PROPOSED FINAL 2/28/2024</u>
CONSTRUCTION	\$ 300,000	\$ 90,000
DESIGN SERVICES	43,000	-
TELECOMMUNICATIONS	700,000	700,000
FURNITURE, FIXTURES AND EQUIPMENT	1,020,000	1,300,000
CONSTRUCTION ADMINISTRATION	-	-
OTHER AE SERVICES (including Project Management)	-	-
ART	-	-
RELOCATION	10,000	-
ENVIRONMENTAL	-	-
INSURANCE AND LEGAL	-	-
MISCELLANEOUS	10,000	10,000
<b>SUBTOTAL</b>	<b>\$ 2,083,000</b>	<b>\$ 2,100,000</b>
PROJECT CONTINGENCY	417,000	400,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$ 2,500,000</u></b>	<b><u>\$ 2,500,000</u></b>
<b><u>SOURCE(S) OF FUNDING*</u></b>		
UCONN HEALTH CAPITAL FUNDS	\$ 2,500,000	\$ 2,500,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$ 2,500,000</u></b>	<b><u>\$ 2,500,000</u></b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

UCONN HEALTH/IMPROVEMENTS  
UConn Health Connecticut Tower (CT) 7th Floor Med-  
Surg/Observation Unit Renovation  
Project Budget (Final) \$2,500,000  
February 28, 2024




**CT-7 FLOOR PLAN**


# **ATTACHMENT 11**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill  
(Final: \$4,250,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$4,250,000 for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$4,250,000 from UConn Health Capital for the UConn Health Outpatient Pavilion 3<sup>rd</sup> Floor Backfill project.”

## BACKGROUND:

The Women’s OB/GYN, Maternal Fetal Medicine (MFM) & Minimally Invasive Gynecologic Surgery (MIGS) clinical practices located in the Outpatient Pavilion need to expand to address the increased demand for services. This project will renovate portions of the 3<sup>rd</sup> floor of the Outpatient Pavilion to allow for the relocation and expansion of these clinical practices and promote the Wellness of People and Planet and a Stronger, More Inclusive University by increasing community access to clinical services.

The Final Budget is attached for your consideration. The Final Budget is based on consultant estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

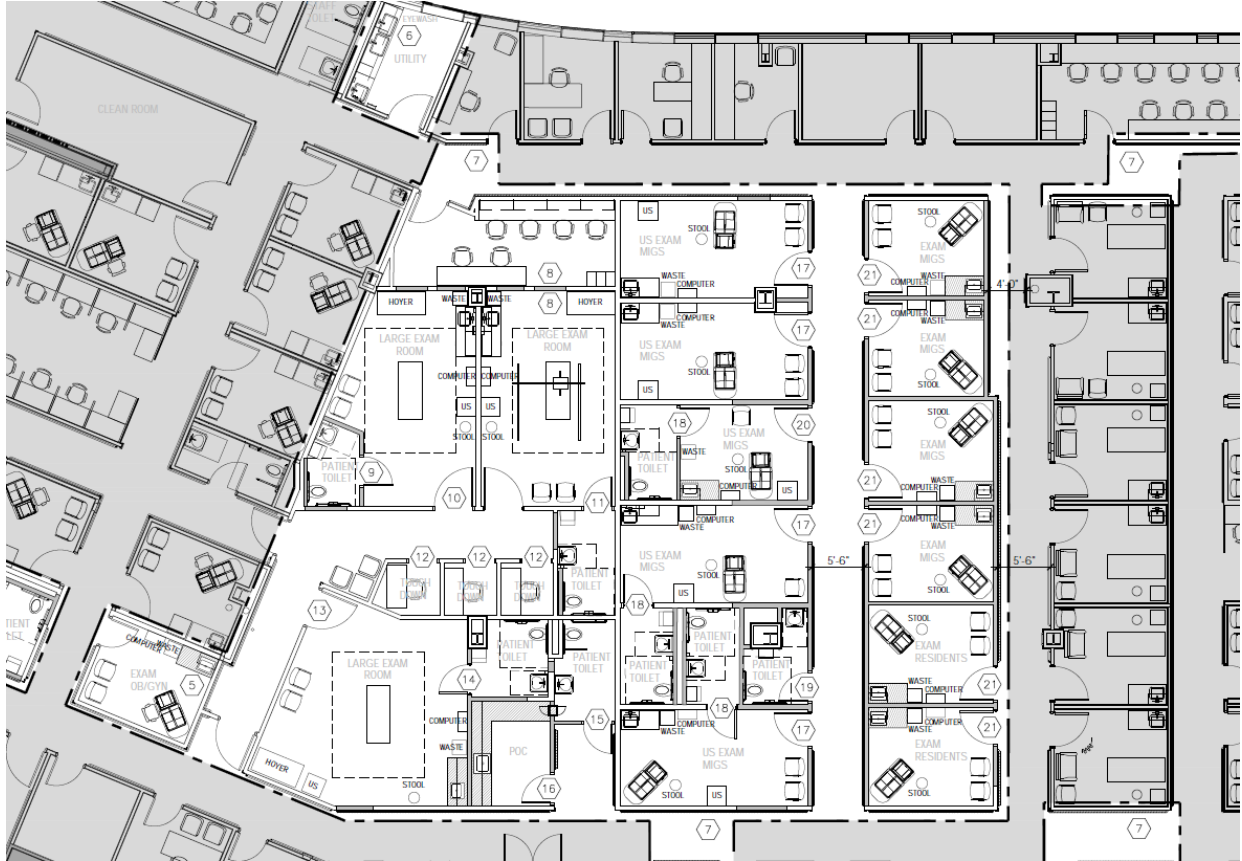
**PROJECT NAME: UCONN HEALTH - OUTPATIENT PAVILION 3rd FLOOR BACKFILL**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>APPROVED DESIGN 9/27/2023</u>	<u>PROPOSED FINAL 2/28/2024</u>
CONSTRUCTION	\$1,650,000	\$ 1,650,000	\$ 2,500,000
DESIGN SERVICES	290,000	290,000	245,000
TELECOMMUNICATIONS	120,000	120,000	120,000
FURNITURE, FIXTURES AND EQUIPMENT	757,000	757,000	790,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	32,000	32,000	20,000
RELOCATION	10,000	10,000	10,000
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	9,000	9,000	10,000
<b>SUBTOTAL</b>	<b>\$2,868,000</b>	<b>\$ 2,868,000</b>	<b>\$ 3,695,000</b>
PROJECT CONTINGENCY	432,000	432,000	555,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b><u>\$3,300,000</u></b>	<b><u>\$ 3,300,000</u></b>	<b><u>\$ 4,250,000</u></b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UCONN HEALTH CAPITAL FUNDS	\$3,300,000	\$ 3,300,000	\$ 4,250,000
<b>TOTAL BUDGETED FUNDING</b>	<b><u>\$3,300,000</u></b>	<b><u>\$ 3,300,000</u></b>	<b><u>\$ 4,250,000</u></b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



UCONN HEALTH/IMPROVEMENTS  
UConn Health Outpatient Pavilion 3rd Floor Backfill  
Project Budget (Final: \$4,250,000)  
February 28, 2024



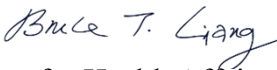
**Conceptual Floor Plan**

## **ATTACHMENT 12**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation (Final: \$1,925,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,925,000 for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,925,000 from UConn Health Capital for the UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation project.”

## BACKGROUND:

This project will renovate vacant space on the Outpatient Pavilion 1<sup>st</sup> floor to accommodate the relocation of X-Ray and Blood Draw services from the 3<sup>rd</sup> floor of the Outpatient Pavilion to allow for the renovations required for the expansion of the Women’s OB/GYN, Maternal Fetal Medicine (MFM) & Minimally Invasive Gynecologic Surgery (MIGS) clinical practices. The expansion of these clinical practices will promote the Wellness of People and Planet and a Stronger, More Inclusive University.

The Final Budget is attached for your consideration. The Final Budget is based on consultant estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

**PROJECT NAME: UCONN HEALTH - OUTPATIENT PAVILION X-RAY & BLOOD DRAW RELOCATION**

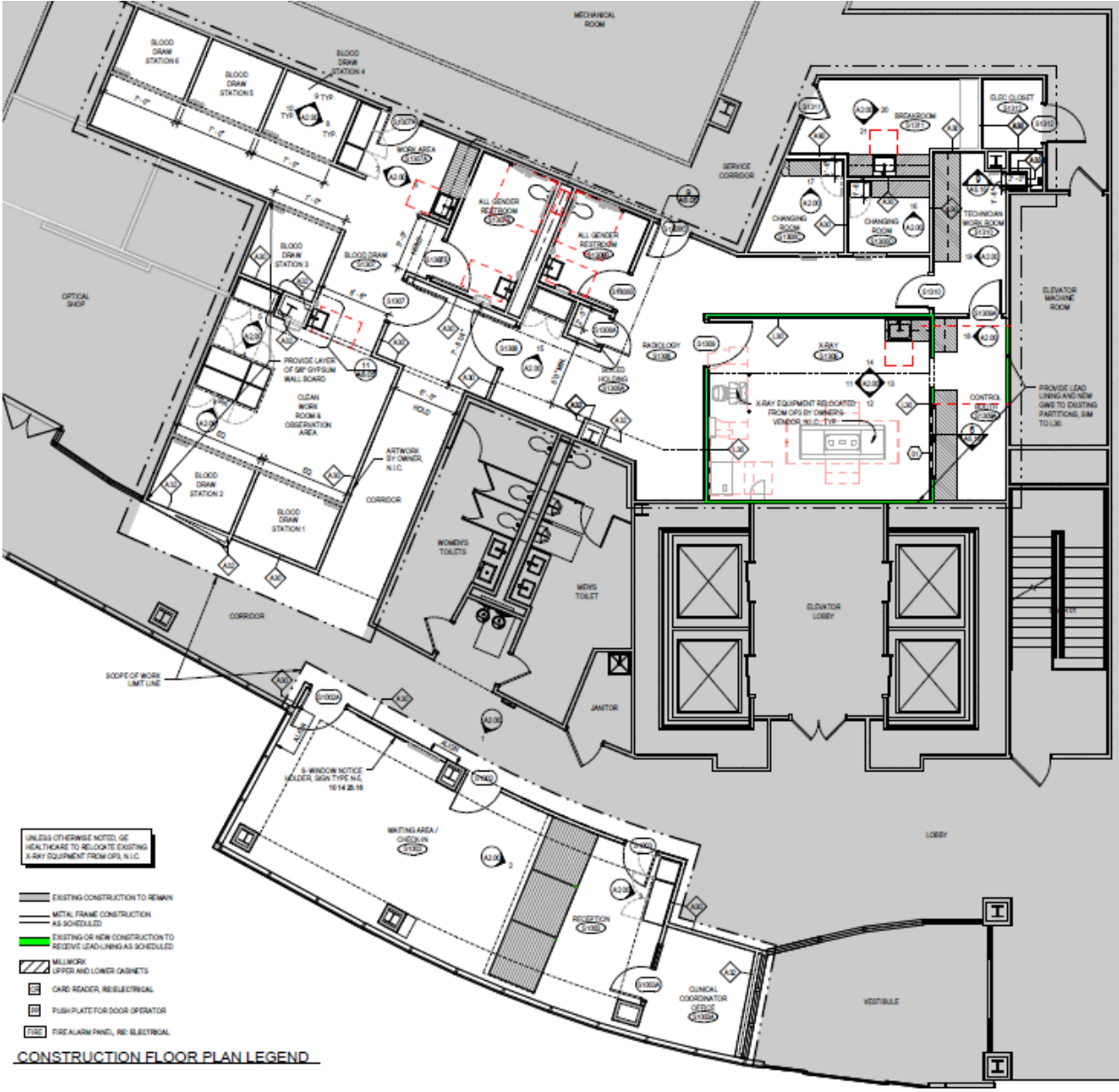
<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>APPROVED DESIGN 9/27/2023</u>	<u>PROPOSED FINAL 2/28/2024</u>
CONSTRUCTION	\$ 375,000	\$ 500,000	\$ 1,240,000
DESIGN SERVICES	90,000	115,000	115,000
TELECOMMUNICATIONS	47,000	60,000	100,000
FURNITURE, FIXTURES AND EQUIPMENT	48,000	75,000	200,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	1,500
ART	-	-	5,000
RELOCATION	15,000	15,000	3,500
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	5,000	5,000	5,000
<b>SUBTOTAL</b>	<b>\$ 580,000</b>	<b>\$ 770,000</b>	<b>\$ 1,670,000</b>
PROJECT CONTINGENCY	70,000	80,000	255,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 650,000</b>	<b>\$ 850,000</b>	<b>\$ 1,925,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UCONN HEALTH CAPITAL FUNDS	\$ 650,000	\$ 850,000	\$ 1,925,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 650,000</b>	<b>\$ 850,000</b>	<b>\$ 1,925,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.

# UCONN HEALTH/IMPROVEMENTS

## UConn Health Outpatient Pavilion X-Ray & Blood Draw Relocation

Project Budget (Final) \$1,925,000  
February 28, 2024



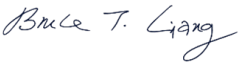
**Floor Plan**


# **ATTACHMENT 13**

# UConn HEALTH

February 28, 2024

TO: Members of the Board of Trustees

FROM: Bruce T. Liang, MD, FACC   
Interim Executive Vice President for Health Affairs  
Dean, UConn School of Medicine

Jeffrey P. Geoghegan, CPA   
Executive Vice President for Finance and Chief Financial Officer

RE: Project Budget for the UConn Health Musculoskeletal Institute Rehabilitation Expansion (Final: \$1,010,000)

## RECOMMENDATION:

That the Board of Trustees approve the Final Budget in the amount of \$1,010,000 for the UConn Health Musculoskeletal Institute Rehabilitation Expansion project.

## RESOLUTION:

“Be it resolved that the Board of Trustees approve of the use of \$1,010,000 from UConn Health Capital for the UConn Health Musculoskeletal Institute Rehabilitation Expansion.”

## BACKGROUND:

This project will remove an in-ground pool that is no longer used for water therapy located on the ground floor of the Musculoskeletal Institute (MSI) to create the additional physical therapy treatment rooms needed for the consolidation of the Outpatient Pavilion Physical Therapy /Rehabilitation program located on the 3<sup>rd</sup> floor with the MSI program. The consolidation will promote the Wellness of People and Planet and a Stronger, More Inclusive University by allowing for the renovations required for the expansion of the Women’s OB/GYN, Maternal Fetal Medicine (MFM) & Minimally Invasive Gynecologic Surgery (MIGS) clinical practices on the 3<sup>rd</sup> floor of the Outpatient Pavilion.

The Final Budget is attached for your consideration. The Final Budget is based on consultant estimates and may change based on actual bids received. This Final Budget is anticipated to be approved by the UConn Health Board of Directors at their meeting on March 11, 2024.

Attachments

## CAPITAL PROJECT BUDGET REPORTING FORM

**TYPE BUDGET: FINAL**

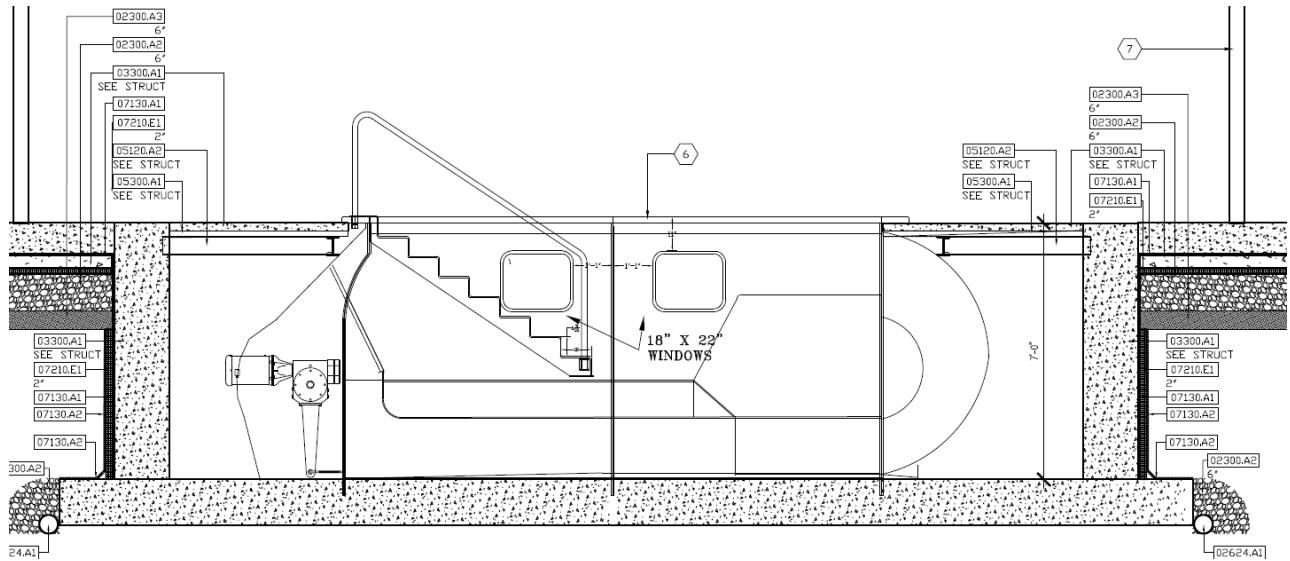
**PROJECT NAME: UCONN HEALTH - MUSCULOSKELETAL INSTITUTE REHABILITATION  
EXPANSION**

<u>BUDGETED EXPENDITURES</u>	<u>APPROVED PLANNING 6/28/2023</u>	<u>APPROVED DESIGN 9/27/2023</u>	<u>PROPOSED FINAL 2/28/2024</u>
CONSTRUCTION	\$ 460,000	\$ 460,000	\$ 715,000
DESIGN SERVICES	65,000	65,000	90,000
TELECOMMUNICATIONS	5,000	5,000	10,000
FURNITURE, FIXTURES AND EQUIPMENT	32,000	32,000	55,000
CONSTRUCTION ADMINISTRATION	-	-	-
OTHER AE SERVICES (including Project Management)	-	-	-
ART	-	-	-
RELOCATION	10,000	10,000	10,000
ENVIRONMENTAL	-	-	-
INSURANCE AND LEGAL	-	-	-
MISCELLANEOUS	-	-	-
<b>SUBTOTAL</b>	<b>\$ 572,000</b>	<b>\$ 572,000</b>	<b>\$ 880,000</b>
PROJECT CONTINGENCY	68,000	68,000	130,000
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$ 640,000</b>	<b>\$ 640,000</b>	<b>\$ 1,010,000</b>
<b><u>SOURCE(S) OF FUNDING*</u></b>			
UCONN HEALTH CAPITAL FUNDS	\$ 640,000	\$ 640,000	\$ 1,010,000
<b>TOTAL BUDGETED FUNDING</b>	<b>\$ 640,000</b>	<b>\$ 640,000</b>	<b>\$ 1,010,000</b>

\* This budget reflects the University's current intended source(s) of funding for the specified project. The University may adjust this funding plan in order to ensure compliance with applicable federal and state law(s) or to strategically utilize all fund sources, within the approved budget amount, as appropriate.



UCONN HEALTH/IMPROVEMENTS  
UConn Health Transitional Musculoskeletal Institute  
Rehabilitation Expansion  
Project Budget (Final: \$1,010,000)  
February 28, 2024



**Existing Therapy Pool Section**

# **ATTACHMENT 14**

**University of Connecticut Department of Human Resources**

**Emeritus Retirees**

**February 28, 2024 Board of Trustees Meeting**

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>DEPARTMENT</u></b>	<b><u>SCHOOL/COLLEGE*</u></b>	<b><u>RETIRED</u></b>	<b><u>HIRED</u></b>
<i>Faculty meeting “automatic” criteria for emeritus status</i>					
Cormier, Vernon F.	Professor	Physics	College of Liberal Arts and Sciences	1/1/2024	9/1/1987
Deibler, Cora Lynn	Professor	Art and Art History	School of Fine Arts	2/1/2024	8/23/1997
Hogan, Patrick C.	Distinguished Professor	English	College of Liberal Arts and Sciences	1/1/2024	9/1/1987
McManus, George B.	Professor	Marine Sciences	College of Liberal Arts and Sciences	2/1/2024	9/1/1995

# **ATTACHMENT 15**

University of Connecticut Office of the Provost

Sabbatical Leave Recommendations Requiring Board of Trustees Approval

February 28, 2024 Board of Trustees Meeting

**SABBATICAL MODIFICATION REQUESTS**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>PAY</b>	<b>PERIOD</b>
Deans, Tom	Professor & Director	English	Liberal Arts and Sciences	Full Change to	Fall 2024 Faculty Request Fall 2024 Administrative Requirement
Dixon, James	Professor	Psychological Sciences	Liberal Arts and Sciences	Half Change to Full	AY 2024-2025 Spring 2025
Huang, Ling	Assoc Professor	Economics	Liberal Arts and Sciences	Half Change to Full	AY 2024-2025 Fall 2024
Ghosh, Chinmoy	Department Head & Professor	Finance	Business	Full Change to	Spring 2013 Fall 2024

**SABBATICAL LEAVE REQUESTS**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>PAY</b>	<b>PERIOD</b>
Beck, Cheryl	Distinguished Professor	Nursing Instruct and Research	Nursing	Full	Spring 2025
Best, Samuel	Professor	Political Science	Liberal Arts and Sciences	Full	Spring 2025
Bock, Monica M	Professor	Art and Art History	Fine Arts	Full	Spring 2025
Chen, Chi-Ming	Assoc Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Spring 2025
Cienkowski, Kathleen	Assoc Professor	Speech, Lang and Hearing Sci	Liberal Arts and Sciences	Full	Spring 2025
Coyne, Michael	Department Head & Professor	Educational Psychology	Education	Full	Fall 2024
Cuevas, Kimberly S	Assoc Professor	Psychological Sciences	Liberal Arts and Sciences	Full	Spring 2025

University of Connecticut Office of the Provost  
Sabbatical Leave Recommendations Requiring Board of Trustees Approval  
February 28, 2024 Board of Trustees Meeting

**SABBATICAL LEAVE REQUESTS CONTINUED**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>SCHOOL/COLLEGE</b>	<b>PAY</b>	<b>PERIOD</b>
Dayton, Cornelia	Professor	History	Liberal Arts and Sciences	Half	AY 2024-2025
Elphick, Christopher	Professor	Ecology and Evolutionary Bio	Liberal Arts and Sciences	Half	CY 2025
Elliott-Famularo, Heather	Department Head & Professor	Digital Media Design	Fine Arts	Full	Fall 2024
Heaslip, Aoife	Asst Professor	Molecular and Cell Biology	Liberal Arts and Sciences	Full	Spring 2025
Kim, Heejoo	Assoc Professor	Digital Media Design	Fine Arts	Full	Fall 2024
Nienhusser, H. Kenny	Assoc Professor	Educational Leadership	Education	Full	Spring 2025
Rice, Eric	Department Head & Professor	Music	Fine Arts	Full	Spring 2025
Rouge, Jessica	Assoc Professor	Chemistry	Liberal Arts and Sciences	Full	Spring 2025
Settlage, John	Professor	Ed Curriculum and Instruction	Education	Full	Fall 2024
Sinkovic, Boris	Assoc Professor	Physics	Liberal Arts and Sciences	Full	Spring 2025
Shenoy, Jaideep	Assoc Professor	Finance	Business	Full	Fall 2024

# **ATTACHMENT 16**

February 28, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.



Provost and Executive Vice President for Academic Affairs

RE: Establishment of the Department of Geography, Sustainability, Community and Urban Studies

RECOMMENDATION:

That the Board of Trustees establish the Department of Geography, Sustainability, Community and Urban Studies in the College of Liberal Arts and Sciences.

BACKGROUND:

The proposed Department of Geography, Sustainability, Community and Urban Studies (GSCU) leverages the considerable synergies that exist between the Department of Geography (GEOG) and the Urban and Community Studies (UCS) program. Additionally, it will strengthen the cross-college Environmental Studies (EVST) program by providing it with an administrative home.

The GSCU Department will continue commitments to training Connecticut's workforce and increasing diversity. Together, the Geography, Urban and Community Studies, and Environmental Studies programs currently serve approximately 200 student majors, 100 student minors, and 25 graduate students. Geography's online and entrepreneurial graduate programs in Geographic Information Sciences (GIS) and Master's in Energy and Environmental Management (MEEM, in collaboration with NRE and SoL) serve another 100 students and professionals in Connecticut and across the country.

The new GSCU department will be formed from existing faculty and staff. This includes 16 faculty lines currently in Geography and UCS. The department will be led by a department head and deputy department head. The new department will be supported by existing administrative staff from the combined units: administrative staff (1.5 FTE), IT support (1.0 FTE), advising (0.5 FTE).

The Department of Geography, Sustainability, Community and Urban Studies will be effective as an administrative unit from July 1, with a launch of the new department in the fall semester of academic year 24/25.



# Department of Geography, Sustainability, Community and Urban Studies (GSCU)

Proposal Prepared for: University of Connecticut Board of Trustees

February 2024

## TABLE OF CONTENTS

1. EXECUTIVE SUMMARY .....	2
2. RATIONALE & VISION .....	3
3. IMPACTFUL RESEARCH .....	5
4. TRANSFORMATIVE EDUCATION .....	6
5. GOVERNANCE .....	7
6. STAFFING .....	8
7. TIMELINE .....	8
8. REFERENCES .....	9

## 1. EXECUTIVE SUMMARY

### **What society, the State of Connecticut, and UConn need from the Department of Geography, Sustainability, Community and Urban Studies**

A new UNESCO Report on higher education identifies three key transformations needed to address the compounding challenges of climate change, social & racial justice, and human destruction of natural systems: (1) using interdisciplinary approaches, especially those that integrate across social -political-economic and ecologic issues; (2) fostering open dialogue and integrating diverse ways of knowing; and (3) proactively engaging and partnership with diverse societal actors (UNESCO, 2022). The centering of environmental and social justice in research and education programs acts to shift the paradigm toward systemic changes required for public good and well-being for all (Kinol et al., 2023; Sultana 2022).

UConn's Department of Geography, Sustainability, Community and Urban Studies will act as a living laboratory for the transformations needed. We will create a hub for interdisciplinary research and engaged education. We will remain open and explore synergies across disciplines and with alternative ways of knowing. Our educational programs will be interwoven with research and community engagement to create a capable and adaptable workforce. And our bedrock values of justice, equity, diversity, and inclusion will underlie everything we do.

There is a passion for this work among the faculty, staff, and students. The Department of Geography, Sustainability, Community and Urban Studies will attract exceptional faculty and train the leaders of the future. We will work in and with communities to enable the urgent transformations called for by scientific evidence and community insight.

## 2. RATIONALE & VISION

Two and a half years of an inclusive and collaborative dialogue among stakeholders has yielded the proposed Department of Geography, Sustainability, Community and Urban Studies. This collective endeavor leverages the considerable synergies that exist between the Department of Geography (GEOG), and the Urban and Community Studies (UCS) program, and will strengthen the cross-college Environmental Studies (EVST) program by providing it with an administrative home.

### Geography

The Geography Department has successfully built an undergraduate Geographic Information Science (GIS) major and has implemented recommendations from its last external review (in 2018) by refocusing research and educational programs on integrative themes, with research clusters on Spatial Analysis of Social Issues, Human Environment Dynamics, Geographic Information Sciences, and Earth Systems Science. Our faculty has transitioned (due to retirements) to a new wave of stellar researchers who are now focused on health disparities, sustainable cities, renewable energy, climate change, and social resilience to hazards, all of which involve issues of equity and environmental justice. Our graduate programs include a strong PhD program and two entrepreneurial degree programs (a graduate certificate in GIS, and the Masters in Energy and Environmental Management (MEEM) in partnership with the School of Law and the College of Agriculture, Health & Natural Resources (CAHNR). Geography Departments across the country are in the process of rebranding/renaming and merging with related programs such as Environmental Studies and/or Urban Planning (Frazier and Wilke 2017) to better communicate what we do as a discipline and to highlight career opportunities.

### Urban and Community Studies (UCS)

The idea of creating a new department was strongly endorsed by the external reviewers for the UCS program in January 2022. As they state: “we believe this is an appropriate time to take a calculated risk, one with the promise of a substantial payoff that would benefit many stakeholders—the Urban and Community Studies Program, the Environmental Studies Program, and the Geography Department—as well as students, the University, and the region more broadly. Producing a collaborative partnership in the building of a new academic department between Urban and Community Studies, Environmental Studies, and Geography would support the organizational sustainability and intellectual growth of all units while preserving the status of each as distinctive fields of study and majors in the social sciences” (Duck, Joassart-Marcelli, and Minnite 2022, 10).

### Environmental Studies

Environmental Studies is a strong interdisciplinary-cross college program. The units involved include Geography, Anthropology, Political Sciences, Economics, Sociology, History, Public Policy, Communications, English, Journalism, Philosophy, and Psychology (College of Liberal Arts & Sciences, CLAS) and Natural Resources & the Environment and Agriculture & Resources Economics (College of Agriculture Health & Natural Resources, CAHNR). The program is overseen by an excellent and devoted faculty advisory board. Because the program has

no faculty of its own there is currently considerable uncertainty in program planning as EVST's two required classes are taught by faculty borrowed from other academic units, often creating staffing challenges. Administratively housing this program in the GSCU Department will permit CLAS resourcing of the program and will enable the program to evolve to better meet the needs specified by the students.

### **Vision for the Department of Geography, Sustainability, Community and Urban Studies**

The Department of Geography, Sustainability, Community and Urban Studies (GSCU) conducts community-engaged research and teaching on the urgent environmental, social, and geographical challenges and opportunities faced by communities around the globe in the twenty-first century. Our world class faculty address questions related to sustainability, resilience, health, and social inequities from local to global scales under the converging impacts of rapid climate change and increasing global urbanization.

Research, educational programs, and advocacy are essential components of our work to understand and address the needs of communities. An understanding of community across various spatial designations (urban, suburban, and rural) and social dimensions (cultural, religious, virtual, sexual, political, etc.) will create a more legible context for students in determining how the social can shape the spatial and how the spatial shapes the social.

The GSCU Department is deeply committed to cultivating an inclusive environment for our diverse community of faculty, staff, and students. As part of this commitment, our vision and initiatives are centered around values of justice, equity, diversity, and inclusion (JEDI). The JEDI lens helps to identify pressing environmental and social justice issues facing societies today (National Academies of Sciences 2021, a, b, c) and can help to create meaningful and lasting solutions to these challenges. We believe it is essential to recognize JEDI in all facets of the work we do (e.g., teaching, mentoring, research, and service) here at UConn and in our external, professional activities. The GSCU Department faculty work closely with DEI Initiatives of the College of Liberal Arts and Sciences and the Office for Diversity and Inclusion at UConn.

The department will house a community of natural scientists, social scientists, and humanists working together to:

- Address problems at the intersections of climate change, urbanization, communities, globalization, just transitions, social capabilities, well-being, and equity.
- Promote collaborative, multi-disciplinary grant writing teams towards expanding the impact of our research and the development of entrepreneurial programs.
- Promote innovative teaching and programs that involve students in their communities through engaged and experiential learning, and field studies, that enable students to become creative thinkers, leaders, and educators for the critical issues of our time.
- Provide a place for collaboration across unit boundaries and campuses, including creating spaces of shared intentions in research, teaching, and community engagement.
- Expand our considerable contributions to the State of Connecticut by training a skilled and diverse workforce for DEEP, CIRCA, DOT, municipal planning agencies, Connecticut businesses and non-profit organizations.

### 3. IMPACTFUL RESEARCH

There are exciting opportunities for expanding existing innovative, cross-cutting, and integrative research in a department with meaningful connections across programs and strong community ties. The research goals of GSCU are motivated by current trends and future trajectories of the fields of Geography, Community Engagement, Urban Planning, and Environmental Studies. Crucially, our research is aligned with substantial potential for external funding and the development of entrepreneurial programs.

#### Research Goals

- Generate engaged and interdisciplinary research that empowers leaders to be effective stewards of communities at all spatial scales.
- Build strong, justice-oriented theoretical foundations of climate/environment and society, and critical skill sets toward the development of early career researchers, community practitioners, and policymakers.
- Collaborate with communities to co-produce knowledge and facilitate the translation of this knowledge to policymakers in Connecticut, nationally, and at global levels.
- Expose social and environmental inequities, their causes, and consequences, and identify policy responses.
- Generate innovative interdisciplinary methodological approaches in spatial analysis, mobility analysis, community-based participatory techniques, urban planning, climate and environmental modeling, and health disparities.
- Generate foundational research in the physical, environmental, and social sciences, as well as humanistic approaches, that informs policy-making and social change and provides the most current knowledge to support instruction and praxis.

These goals also align with CLAS's strategic plan and specifically with five of the six academic themes: The Earth and Its Future, Sustainable Systems; Global Resources, and World Cultures; Big Data, Science Policy, and Ethics; Inequalities, Social Justice, Truth, and Belief; Health Disease, and Well-Being.

Faculty involved in UCS and EVST represent a range of expertise including urban and workforce development, environmental humanities, social and political ecology, economics, and environmental policy. These, together with Geography faculty expertise in global health and health disparities, renewable energy, climate science, and social vulnerability and resilience to hazards, can be assembled into research themes that connect across multiple areas of study, and will draw from a common pool of research approaches.

Partnerships are of particular importance for creative and multi-disciplinary external funding opportunities aligned with our objectives. The GSCU Department will maintain existing partnerships including faculty research collaborations within CLAS (SOC, POLS, ANTH, ECON, SPP, EARTH, STAT, CES, EEB, MARN, and others), across colleges CAHNR (NRE, ARE, LSA), and CoE (CE, ENVE, CBE), and SoB, SoL, and with UConn Centers and Institutes (InCHIP, CESE, UCHI, HRI, IoE, Eversource Energy Center). The Department's educational programs at both the graduate and undergraduate level will benefit from these partnerships through course offerings across disciplines and PhD advisory committees.

## 4. TRANSFORMATIVE EDUCATION

The GSCU Department will continue in our commitment to training Connecticut's workforce and increasing diversity. Geography, Urban and Community Studies, and Environmental Studies programs together currently serve approximately 200 student majors, 100 student minors, and 25 graduate students. Geography's online and entrepreneurial graduate programs in Geographic Information Sciences (GIS) and Master's in Energy and Environmental Management (MEEM, in collaboration with NRE and SoL) serve another 100 students and professionals in Connecticut and across the country. To prepare our students to be innovative and critical thinkers in facing the challenges ahead, we stress interdisciplinary research and learning which incorporate research projects and course work concentrated in the social and environmental sciences and the humanities. Community-engaged research and teaching, and active and experiential learning, will be stressed at both the undergraduate and graduate levels in a diverse, inclusive, and collaborative environment as synergies among the three programs are enabled.

### **Reconfiguring Undergraduate Education**

The GSCU Department will better support existing interdisciplinary majors and minors. Its open and collaborative structure will enable the configuration of programs responsive to the needs of our students and communities. Over time, it will be possible to develop the popular concentrations into new majors. Upon completing a degree, undergraduate students will be well-qualified for graduate study as well as for positions as leaders in municipal and state government agencies, new start-ups, and non-profit, community and educational organizations. An inclusive collaboration to review existing courses and synergies across all involved departments yielded the following examples of integrative concentrations that can be developed in the context of the GSCU Department. The sample concentrations include: Urban Planning (new), Justice and Community Development (new), Environmental Humanities (new), Social dimensions of environment/human health (new), Environmental law, policy and governance (new), and Climate Studies (new).

### **Graduate Education**

The Department of Geography, Sustainability, Community and Urban Studies is designed to provide fertile space for integrative graduate training programs, both entrepreneurial and federally funded. These problem-based, interdisciplinary programs will give graduate students the knowledge and skills they will need to take on these challenges and create a more just, sustainable society with resilient cities and communities in a thriving environment. Graduate programs will continue to expand on the existing, externally-funded PhD program in the Department of Geography. Unique strengths of the GSCU Department include the expansion of research into urban planning and environmental studies with faculty-student collaborations and community partnerships. Students will have opportunities for internships, community-based-participatory research and will learn strategies that allow all residents, especially the most marginalized, to participate in the development of their communities.

## 5. GOVERNANCE PLAN

### **A Model for the Department**

The Department of Geography, Sustainability, Community and Urban Studies brings together the Department of Geography, and the existing program of Urban and Community Studies. The Department will also administratively house the cross-college Environmental Studies program.

This model has several advantages. It will allow us to develop a hiring strategy that would strengthen the key areas of overlap within the existing programs. This approach would help to promote research advances that integrate across social and physical sciences and build strong undergraduate and graduate programs based in experiential learning. In these ways the Department would provide a focus for interdisciplinary innovation across the college and university.

### **By-Laws approved by Faculty**

The faculty in the GSCU Department have collectively written and voted unanimously to accept the by-laws. These documents account for the differing roles of faculty and staff within these units and address the differing concerns of in-residence and tenure-track faculty, as well as issues revolving around joint hires and affiliate faculty.

The attached By-Law documents include:

- The Department of Geography Sustainability Community and Urban Studies By-Laws
- Appendix 1: Tenure-track Faculty Promotion, Tenure, and Reappointment
- Appendix 2: CIRE Faculty Promotion and Reappointment
- Appendix 3: Tenure-track Faculty Merit and Annual Review Procedures and Standards
- Appendix 4: CIRE Faculty Merit and Annual Review Process and Rating System
- Appendix 5: Workload Policy
- Appendix 6: Mentoring Guidelines

For the EVST Program this would mean that the existing advisory board structure will remain with a program director that is rotating among the constituent units in CLAS. The current cross-college arrangement that supports both EVST and ENVS would be sustained; both degrees would be offered in both colleges. Courses shared between these programs and with other CLAS departments would be sustained and any changes made would be in collaboration with all affected units.

## 6. STRUCTURE

### **Current Faculty and Staff involved in GEOG, EVST, and UCS**

At present the Geography Department has 12 faculty (including 2 in-residence) and 2 full-time staff. The UCS program has 4 in-residence faculty and one half-time staff. The EVST program currently has no assigned faculty, and one half-time staff, shared with the ENVS program. Both UCS and EVST programs have a faculty Director, each with a one-month summer appointment.

### **Faculty and Staff in the GSCU Department**

The Department staffing would begin by bringing together the faculty with merit and promotion homes in Geography and UCS, including 16 people. There would be a department head, a deputy department head, and the current administrative staff of the programs included: administrative staff (1.5 FTE), IT support (1.0 FTE), advising (0.5). Community engagement and student internships will require time allocation from supporting faculty.

## 7. TIMELINE

### **Launch in Fall of 2024**

With approval of the GSCU Department in Spring 2024, we will work with the Provost's Office the CLAS Dean's Office, and Human Resources to move UCS core faculty into the GSCU Department, and for implementation, rebranding, and launch of the Department in Fall 2024.

### **Years 1 and 2: Visioning, Transforming, and Streamlining Programs**

In the first two years we would leave undergraduate and graduate degrees and certificates as they stand and would continue to support existing plans of study. At the same time introductory courses could be made integrative with an aim of revising 1000 and capstone courses and developing a new 2000-level course that together will showcase the cross-cutting themes and syntheses across the Department.

At the graduate level, we will explore new integrative entrepreneurial programs, a planning degree, and an NSF National Research Traineeship proposal.



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## **ATTACHMENT 17**

February 28, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Establishment of the Department of Social and Critical Inquiry

RECOMMENDATION:

That the Board of Trustees establish the Department of Social and Critical Inquiry in the College of Liberal Arts and Sciences.

BACKGROUND:

The new Department of Social and Critical Inquiry joins four non-departmentally organized units into a single department. The existing units that are forming the new department are: the American Studies Program (AMST), Asian and Asian American Studies Institute (AAASI), Native American and Indigenous Studies Initiative (NAIS), and Women's, Gender, and Sexuality Studies Program (WGSS).

The units offer large courses that serve a significant percentage of UConn's general education population alongside degree programs that allow students to engage deeply with these fields of study. Several existing academic programs will be housed in the new Department: a major, minor, and Graduate Certificate in American Studies; minors in Asian, Asian American, and India Studies; the Native American and Indigenous Studies minor; the major and minor in Women's, Gender, and Sexuality Studies, and the Women's, Gender and Sexuality Studies Graduate Certificate. This work is crucial, as our state and nation are engaging in dialogues about the value of a pluralistic society; the Department will equip students with the tools to understand and engage such discussions as informed, ethical, invested citizens.

A total of 31 existing faculty are expected to form the Department of Social and Critical Inquiry. The formation of a single department from multiple separate units will provide more efficient administrative and curricular processes. This includes the ability to tenure faculty within the new Department, reduced administrative burden in areas such as course scheduling, improved sustainability in leadership, and to develop new programmatic synergies across all areas of research, teaching, service, and community engagement. The new Department is expected to increase the recruitment and retention of historically excluded and marginalized students, faculty, and staff.

The Department of Social and Critical Inquiry will be effective as of July 1, 2024.

# **Department of Social and Critical Inquiry**

## **Board of Trustees Proposal**

### *Rationale*

The new Department of Social and Critical Inquiry activates the synergistic strengths and resources of four non-departmentally organized units. Specifically, the American Studies Program (AMST), Asian and Asian American Studies Institute (AAASI), Native American and Indigenous Studies Initiative (NAIS), and Women's, Gender, and Sexuality Studies Program (WGSS) will join to form the Department. These units and programs of study have long advocated for greater institutional presence and commitment. The new Department generates University-wide transformation by providing continuity and increased capacity to meet the original intent of the constitution of the institute of Asian and Asian American Studies; the two programs of Women, Gender, and Sexuality Studies and American Studies; and the Native American and Indigenous Studies Initiative. As the Hartford, Stamford, and Waterbury campuses are designated federally recognized Minority Serving Institutions, this Department improves the ability of the university to meet its mission of offering equitable and transformative education.

The Department of Social and Critical Inquiry builds on decades of generative impact in teaching, research, and community engagement, and is primed to advance deep, wide-ranging advances for UConn's student population and the State of Connecticut. The units forming the Department have responded to student desire for curricular and programmatic offerings that are responsive to the distinctiveness of culture and identity, and forward-thinking by envisioning new collaborative pathways to knowledge, recognition, recuperation, and healing. Serving a significant percentage of UConn's general education population, the units have offered large, capacious courses in their areas as well as degree programs that allow students to engage deeply and specifically with issues of social justice, the nature and formation of U.S. society, systemic racism, settler colonialism, oppression, militarism, war, historically excluded and racially othered communities, and social privilege. Departmentalization also affords stronger collaboration across the overlapping geographies of gender and sexuality, Indigeneity, U.S. empire, and the emergent field of Global Asias and Transpacific Studies found among the constituent units. In addition, the units have expanded their impact even further by spearheading the pop-up courses *Confronting Anti-Asian Racism* and *Transformation Now*, which have reached thousands of undergraduate students. In terms of the State of Connecticut, the units have and will continue to support the development of K-12 teaching and curricula in the areas of Asian American and Pacific Islander studies, Indigenous and Native American studies, lesbian, gay, bisexual, transgender, queer and other sexual orientations and gender identities studies, and racism. The units under the new Department have evidenced sustained commitment to UConn's students, communities, and the State of Connecticut.

As both the demographics of the State and of UConn's undergraduate population have become increasingly diverse, the Department will enable a multifaceted transformative impact, offering responsive and innovative curriculum, community engagement, and groundbreaking research. This work is crucial, as our state and nation are engaging in dialogues about the value of a pluralistic society; the Department will equip students with the tools to understand and engage

such discussions as informed, ethical, invested citizens. Further, the sites of knowledge and critical thinking skills offered through the Department's curriculum and programming are cornerstone to a liberal arts education. The Department will also enable more focalized work across UConn's regional campuses, serving both the diverse student population and the communities in which they live. The work of the Department will build on UConn's strengths and commitments, partnering with such entities as the Human Rights Institute, and will advance important ethical obligations to the State's tribal nations by developing curriculum and serving Indigenous students. The Department's community-facing, justice-oriented initiatives will expand upon UConn's record of leadership in service to the state, the nation, and the world, open transformative partnerships, and leverage additional philanthropic and external grant-based resources.

The Department will unite existing programs and institutes to continue and amplify their work at a critical time in the history of the University, the State, and the world. This new Department will support the University, as one of the nation's oldest land grant institutions, in teaching, conducting research, and providing service and outreach to communities. The Department will not only leverage the collective expertise and ethical commitments of its faculty to produce ground-breaking interdisciplinary research and scholarship but also more sustainable, equitable, and just ways of life. It is our goal to gain a global reputation for research, teaching, and community engagement that distinguishes the University at the same time it enhances the quality of life in the state of Connecticut and beyond. The Department also addresses our commitments to the State of Connecticut for enhanced K-12 education on the subjects engaged by the Department. Finally, through all of the above, the Department will create an institutional hub for race, transnational, Indigenous, gender, and sexuality studies at the University. This vibrant intellectual community will advance efforts to recruit and retain historically excluded and marginalized students, faculty, and staff.

The Department will serve as the institutional home for several existing academic programs: a major, minor, and Graduate Certificate in American Studies; minors in Asian, Asian American, and India Studies; the Native American and Indigenous Studies minor; the major and minor in Women's, Gender, and Sexuality Studies, and the Women's, Gender and Sexuality Studies Graduate Certificate.

### *Mission*

The primary aim of the Department is to support multi-, trans-, and interdisciplinary, and publicly-engaged faculty whose work spans national and worldwide contexts. The Department promotes scholarly production and pedagogies about historical and contemporary economic, social, and political processes, including community partnerships. Department faculty emerge from current (2024) CLAS units, advancing several decades of pedagogical, scholarly, and social justice oriented research, teaching, and programmatic agendas. The Department scaffolds these established programs using new, innovative configurations to advance academic and scholarly production on past and present intellectual, cultural, economic, and political contributions in the U.S. and specific regions of the world, and across disciplines focused on various gender-based, transnational, diasporic, and Indigenous communities; and to provide innovative, cross-disciplinary teaching practices and resources.

### *Structure*

The proposed Department will be housed in CLAS and report to CLAS, functioning with institutional powers and purview as an academic department. This institutional shift from a separate institute and programs enables the following new capacities: (1) to hire and recommend tenure, promotions, and salary increases for faculty housed within the Department; (2) to centralize and reduce labor demands on tasks such as course scheduling; (3) to develop new programmatic synergies across all areas of research, teaching, service, and community engagement; (4) to cultivate and expand a sustainable pool of faculty to serve in leadership positions; (5) and to increase the recruitment and retention of historically excluded and marginalized students, faculty, and staff.

At present, we anticipate more than 25 tenure system faculty members to have at least partial appointments in the new Department. Many of these individuals are currently jointly appointed between one of the institutes/programs and a CLAS department. In addition, 6 in-residence faculty members are fully appointed in one of the existing units and will transition their appointments to the Department once it is formed. There are no plans to shift or reduce staff in the transition from independent units into the Department.

### *Bylaws:*

A bylaws document has been prepared for ratification upon the formal creation of the Department. This document includes an introduction followed by articles on the following topics:

- Article 1: Department Approval
- Article 2: Department Structure
- Article 3: Leadership and Governance
- Article 4: Committees
- Article 5: Additional Department Policies
- Article 6: Definitions
- Article 7: Grievances
- Article 8: Review of and Amending Bylaws

With the establishment of the new Department, the following will cease to exist in their current form: American Studies Program, Asian and Asian American Studies Institute, Native American and Indigenous Studies Initiative, and Women's, Gender, and Sexuality Studies Program.

### *Timeline:*

The process of developing the Department began in Spring 2021 through year-long process led by a working group including directors of the units and senior faculty members with affiliations across units. During the 2021-2022 academic year, large meetings and topic-specific focus groups were held to discuss various aspects of governance and to construct possible organization models for the new Department. Further discussions took place during academic year 2022-2023. In Fall 2023 the faculty voted on whether to join a new Department, and 93% voted in favor. In


February 2024 they voted to accept the name of “Department of Social and Critical Inquiry.” The bylaws of the Department have been fully drafted and will be ratified upon approval of the departmental proposal. The Department will be effective as of July 1 2024.

# **ATTACHMENT 18**



February 28, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.   
Provost and Executive Vice President for Academic Affairs

RE: Appointment of Professor Bahram Javidi to the SNET Professor of  
Communications and Information Technology in the College of Engineering

RECOMMENDATION:

That the Board of Trustees appoint Professor Bahram Javidi as the SNET Professor of Communications and Information Technology in the College of Engineering.

BACKGROUND:

The SNET Professorship was established in 1999 with a gift from Southern New England Telephone (SNET) to support a nationally or internationally recognized researcher, scholar and teacher who has made significant contributions to the field of information and communication technologies.

This recommendation is made by Dean Kazem Kazerounian and was unanimously approved by the Electrical & Computer Engineering Department Head and Committee for PT&R. Professor Javidi's appointment to the Professorship will be for a five-year term from August 23, 2024, through August 22, 2029.

Professor Javidi has published over 570 peer reviewed journal publications and over 540 conference proceedings papers in areas spanning optics and photonics, optical sensing, cyber physical security, multi-dimensional imaging in degraded environments, and biophotonic sensors. He has authored 9 books and over 55 book chapters, and has presented over 250 Plenary Address, Keynote Address, and invited conference papers. He also holds 35 patents some of which have been licensed by industry.

His scientific contributions have led him to be recognized as fellow of nine scientific societies, including the American Association for Advancement of Science, the Optica Society, the Institute of Electrical and Electronics Engineers (IEEE), the Institute of Physics, the American Institute for Medical and Biological Engineering, International Society for Optics and Photonics, the European Optical Society, National Academy of Inventors, and the Society for Imaging Science and Technology.

Soon after Professor Javidi joined UConn, he received the NSF Presidential Investigator Award as well as the Engineering Foundation and IEEE Faculty Initiation Awards. He has also been awarded the Optica Emmett Leith Medal (2021); Optica C. E. K. Mees Medal (2019); IEEE Photonics Society William Streifer Scientific Achievement Award (2019); Optica Joseph Fraunhofer Award / Robert M. Burley Prize (2018); European Physical Society Prize for Applied Aspects of Quantum Electronics and Optics (2015), IEEE Donald G. Fink Paper Prize (2008), SPIE Technology Achievement Award (2008); and SPIE Dennis Gabor Award in Diffractive Wave Technologies (2005). Professor Javidi has been recognized with distinctions awarded to scholars from *all* fields including the George Washington University's Distinguished Alumni Scholar Award, the John Simon Guggenheim Fellowship and the Humboldt Prize by the Alexander von Humboldt Foundation.

As an educator, Professor Javidi has supervised 180 graduate students, post-doctoral students, and visiting professors and scholars who hold positions in academia, industry, and government organizations. He has served the community on the Editorial Boards of the *Proceedings of the IEEE*, *IEEE Access*, *IEEE Photonics*, *IEEE/OSA Journal of Display Technology*, and *Optical Engineering*. He is the founding chair of several conferences in optics & photonics sponsored by Optica, IEEE, and SPIE, and has chaired dozens of international conferences.

## **ATTACHMENT 19**

February 28, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.

Provost and Executive Vice President for Academic Affairs



RE: Appointment of Professor Stephany Santos as the Vergnano Endowed Chair for Inclusion in the College of Engineering

RECOMMENDATION:

That the Board of Trustees appoint Professor Stephany Santos to the Vergnano Endowed Chair for Inclusion in the College of Engineering.

BACKGROUND:

The Vergnano Endowed Chair for Inclusion was established on September 5, 2023, with a commitment from Mark P. and Elizabeth R. Vergnano to support the Director of the Vergnano Institute for Inclusion who has demonstrated and fostered excellence in inclusive instruction, research, and outreach, and possesses a record of advancing diversity, integrity and leadership within the College of Engineering.

As the Executive Director of the Vergnano Institute for Inclusion in the College of Engineering Professor Santos promotes diversity, equity and inclusion across the College of Engineering and the University, serving on the University Diversity (JEDI) Committee, the Provost's Award for Community Engagement, and on the Institutional Review Board (IRB).

Professor Santos was a leader in applying for, receiving, and implementing the \$3M Synchrony award for Equity Focused Student Success to support the Hartford, Stamford, and Waterbury campuses. She is a core member of the Northeast Louis Stokes Alliance for Minority Participation (NE-LSAMP) and the Howard Hughes Medical Institute (HHMI) Inclusive Excellence 3 Initiative (IE3). Dr. Santos is a Co-PI on a grant from the National Science Foundation (NSF) titled The Influence of Climate, Social Networks, and Cultural Models on the Retention of Women and Racially/Ethnically Marginalized Engineers in Graduate School and the Workforce.

Professor Santos' dedication and commitment to broadening diversity, equity and inclusion makes her uniquely qualified for this prestigious Chair Professorship appointment.

This recommendation is made by Dean Kazem Kazerounian. Professor Santos' appointment to the chair will be for a five-year term from August 23, 2024, through August 22, 2029.


## **ATTACHMENT 20**

February 28, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.

Provost and Executive Vice President for Academic Affairs



RE: Appointment of Professor Cristina Wilson to the Judith M. and Henry M. Zachs  
in the School of Social Work

RECOMMENDATION:

That the Board of Trustees appoint Professor Cristina Wilson to the Judith M. and Henry M. Zachs  
in the School of Social Work.

BACKGROUND:

The Judith M. and Henry M. Zachs Chair in Social Work was created through a gift from the  
Zachs Family Foundation in 1999 to provide leadership of the School of Social Work's doctoral  
program.

Dr. Cristina Wilson is a nationally and internationally recognized researcher in health disparities,  
with a specific emphasis on Latino communities. Dr. Wilson served as Research Director at the  
UConn University Center for Excellence in Developmental Disabilities (UCEDD) from 2019  
through 2021 where she oversaw a staff of emerging scholars and a \$10 million dollar research  
portfolio. In this role, she led research and the evaluation of interventions designed to promote  
the full inclusion of people with disabilities in education, work, and community life. Dr. Wilson  
is the author of 45 peer-reviewed articles as well as numerous book chapters and editorials. Over  
the course of her career, she has presented over 100 conference papers. Her research has received  
significant external funding support totaling over \$23 million dollars.

Dr. Wilson teaches classes in the social work research sequence in the School's master's and  
doctoral programs. Dr. Wilson has played a leadership role in the School's research curriculum  
and has generously mentored early career faculty in their pedagogy. She has advised and  
mentored numerous doctoral students and candidates.


Dr. Wilson is a leader within academic social work. She was recently named Editor-in-Chief of *Families in Society*, a preeminent social work journal. She has served on several committees of the Council of Social Work Education, the profession's educational accreditation body, as well as multiple committees of the National Hispanic Science Network.

This recommendation is made by a committee convened by Dean Laura Curran which unanimously recommended Professor Wilson's appointment. The appointment will be for a three-year term from August 23, 2024, through August 22, 2027.

# **ATTACHMENT 21**



February 28, 2024

TO: Members of the Board of Trustees  
FROM: Dr. Radenka Maric   
RE: Naming Recommendation for the Marlene L. Cohen and Jerome H. Fleisch Chair in Vascular Biology

RECOMMENDATION:

That the Board of Trustees establish the Marlene L. Cohen and Jerome H. Fleisch Chair in Vascular Biology in the Center for Vascular Biology within the School of Medicine.

BACKGROUND:

Marlene L. Cohen graduated from the UConn School of Pharmacy in 1968. Her husband, Jerome H. Fleisch, RPh, Ph.D., graduated from Columbia University, College of Pharmacy, in 1963. After completing her pharmacy internship at Yale New Haven Hospital, Marlene received a Ph.D. in Pharmacology and Toxicology from The University of California Medical Center and completed postdoctoral research at the Roche Institute of Molecular Biology. Jerome completed his Ph.D. at Georgetown University, followed by a postdoctoral Fellowship at Harvard Medical School in Pharmacology.

In 1974, Dr. Fleisch joined the Lilly Research Laboratories, and Dr. Cohen was recruited there in 1975. They married in 1976 and rose through the research ranks at Eli Lilly to Distinguished Lilly Research Fellows. Dr. Fleisch led the Pulmonary Research group in the Lilly Research Labs and authored multiple publications. Dr. Cohen co-authored over 200 peer-reviewed publications, 14 book chapters, and co-invented over 25 patents. They both retired from Eli Lilly and Company in 2002.

The individual appointed to the Chair will be a recognized researcher, scholar, and teacher with significant contributions to the field of medicine. The Chair's spending allocation amount will be used to support the Chair and their related academic activities.


This gift is consistent with the University's *Named Gift Guidelines*.

The Institutional Advancement Committee and the Academic Affairs Committee recommended establishment to the full Board at their meetings on February 6, and February 27, 2024, respectively.

## **ATTACHMENT 22**

February 28, 2024

TO: Members of the Board of Trustees

FROM: Dr. Radenka Maric 

RE: Naming Recommendation for RTX Technology Research Center

RECOMMENDATION:

That the Board of Trustees name the Level G cleanroom located in the Science 1 building on the Storrs campus as the “RTX Technology Research Center.”

BACKGROUND:

Science 1 is one of UConn’s largest and most technologically advanced research buildings on the Storrs Campus. Dedicated to the interdisciplinary fields of materials science and engineering, the signature building is the centerpiece of the University’s new Northwest Science Quad “Science District.” The existing cleanroom is located on the Ground floor (Level G) of the Science 1 building.

RTX Corporation and its business units are strategic corporate partners of the University. With a focus on diversity, equity and inclusion, they have provided scholarship support to underserved students within the College of Engineering. In October 2023, UConn held its first ever RTX-UConn Research Day with the aim of building a stronger, longer-term, and broader research strategic partnership.

RTX, an American aerospace and defense conglomerate, formed by the merger of United Technology Corporation and Raytheon that includes three subsidiaries: Collins Aerospace, Pratt and Whitney, and Raytheon. RTX serves customers in commercial aerospace and defense industries.

This gift is consistent with the University’s *Named Gift Guidelines*.

The Institutional Advancement Committee approved this recommendation on February 6, 2024.

## **ATTACHMENT 23**

# The University of Connecticut Faculty Consulting Program

## Fiscal Year 2023 Annual Report

### SUMMARY

Fiscal Year 2023 (FY23) represented the fifteenth full year of operation of the University's consulting program<sup>1</sup>. At UConn Health Center this report covers the standard fiscal year of the institution, with FY23 covering the period from July 1, 2022 to June 30, 2023. At Storrs and regional campuses, the reporting year has been adjusted to better align with the faculty contractual year so that faculty on nine-month contracts do not have to split summer activities into two requests in different reporting years. From FY24 the reporting year runs from May 23 in one year through to May 22 in the following year. As a transitional year moving to this new structure, the Storrs / regional year reported in this report covered July 1, 2022 through to May 22, 2023. Reconciliation deadlines remain the same for all campuses.

The Faculty Consulting Oversight Committee (including a member of the Citizen's Ethics Advisory Board and members appointed by the Legislature), in accordance with Connecticut statutory requirements, filed its fourteenth annual report to the UConn Board of Trustees and to the Connecticut Legislature in the winter of 2023. This report found the consulting program fully compliant with the Legislature's intent and did not issue any recommendations for improvement. The Oversight Committee continues to monitor all audit reports related to the program and will issue its next report in early 2024.

As discussed in detail later in this report, an active program of continuous improvement is conducted by the Faculty Consulting Offices (FCOs) in collaboration with other relevant offices at the University of Connecticut. These offices include the Office of the Vice President for Research, University Compliance, and, at UConn Health, the Clinical Conflict of Interest Committee. Regulatory issues for active researchers continue to be an area on which the FCOs collaborate closely with these offices, including elements such as consulting requests with high-risk countries and procedures related to faculty affiliated companies.

The FCOs provide extensive support to faculty across the University. They provide training sessions at different points through the year, including those for new faculty at the University. The FCOs continue to improve content and training materials available on the faculty consulting website. As indicated in the data from FY23, the FCOs support over 700 unique faculty in any given year. Whenever needed, support for these faculty includes individual meetings, sometimes in partnership with other relevant offices, which allow faculty to receive advice tailored to the context of their activities.

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<sup>1</sup> The consulting program was first implemented mid-way through fiscal year 2008.

The University of Connecticut is a leading research university with national and international connections. External activities are a vital route through which faculty bolster connections outside the institution, whether that be for purposes of research, professional practice, or connections with industry. These types of activities are vital for a thriving research university. Policy and procedures related to faculty consulting at the University of Connecticut are structured to ensure adherence to relevant Connecticut State Statutes. However, benchmarking of policy and procedures against peer and aspirant institutions in spring 2022 also demonstrated that the policy and procedures at the University of Connecticut are in alignment with those of comparable public research universities.

## **FY23 INFOED AND UPDATED FACULTY CONSULTING POLICY AND PROCEDURE IMPLEMENTATION**

Updates to the Policy and Procedures on Consulting for Faculty and Members of the Faculty Bargaining Unit were approved by the Board of Trustees on June 29, 2022. FY23 represented the first year in which these updates were in effect. These changes were implemented at the same time as launching the faculty consulting approval process in the InfoEd platform.

In the long term, the move to InfoEd will serve the faculty consulting program well. InfoEd is the software program used across OVPR for research-related forms and processes. This means that the structures are now in place to support better integration with financial conflicts of interest reporting (including those related to faculty-affiliated companies), and with information related to consulting efforts required to develop grant applications or needed for compliance associated with grant funding and research activities.

The workflow software that had been used for consulting approvals through FY 22 is no longer in use at the University. This created some time pressure for InfoEd implementation. The annual cycle of the faculty consulting approval process, and the difficulty of moving data from one platform to another, means that significant work in spring 2022 was undertaken in partnership with OVPR to allow the form to be ready for use in June 2022. Training materials were developed and shared in presentations and via the faculty consulting website prior to the implementation of the system in early June 2022.

Integrated with the launch of InfoEd, updates were made to the Policy and Procedures on Consulting for Faculty and Members of the Bargaining Unit, as approved by the Board of Trustees on June 29, 2022. The key changes for FY23 that differ from FY22 include:

- The procedures provide greater flexibility for exceptions to sanctions for late requests. This expanded flexibility relates to consulting requests that are judged to be low conflict of interest risk, as long as these are reported promptly after they take place and are otherwise in compliance with the policy. This change to the procedures better aligned with our peers and aspirants, where straightforward academic activities (e.g., talks at other institutions, grant panels, peer reviews) are able to be reported after the fact.

- The Storrs and regional campus reporting year changed, transitioning into a year that runs from May 23 in one year to May 22 in the following year. May 23 is the first open day of effort in the summer for faculty on nine-month contracts. This change in reporting year eliminates a previous issue where summer consulting activities had to be split across two fiscal years. At the Health Center, where this contract year is not relevant, the fiscal year will remain July 1 through June 30 the following year.
- The procedures now state that all faculty members with identified faculty affiliated companies (FACs) are required to submit a request for each entity each fiscal year. In cases where no consulting effort is associated with a FAC in that reporting year, faculty are able to mark that they have no effort with the entity on the consulting form. Forms related to companies with no effort have an end stage of “FAC no effort.” This new requirement was intended to close ambiguity in the procedures where faculty were only required to submit consulting requests in relation to FACs where they had effort in a given year.

## **FACULTY CONSULTING OFFICE STAFFING AND SUPPORT**

The departure of Dr. Jody Terranova as UCHC FCO resulted in an interim appointment of Dr. Carla Rash to the UConn Health FCO role. During FY23, the appointment of Dr. Rash to the FCO role was made permanent. The UConn Health Center Faculty Consulting Office consists of 0.25 FTE for the FCO role and 0.4 FTE for administrative support.<sup>2</sup> Together, they review, approve, or otherwise process over 700 consulting requests each year and support over 200 faculty.

For Storrs and regional campuses, the FCO role sits within the Office of the Provost and forms a portion of the Assistant Vice Provost for Academic Affairs role. The Storrs FCO reviews and processes almost 1,100 consulting requests each year and supports close to 500 unique faculty who are engaged in consulting activities.

The FCOs collaborate on wider projects related to continuous improvement of the consulting program, including the implementation of InfoEd, training materials for faculty, and the faculty consulting website.

The Office of the Provost and OVPR provided joint funding for the development of the faculty consulting form in InfoEd. Now that faculty consulting uses the InfoEd platform for all consulting requests, the FCOs also work with research IT services through the Electronic Research Support (eRA) help desk. The FCOs access eRA support to assist with individual faculty issues (e.g., routing, issues with a form submission for a faculty member) and on any ongoing updates or issues that arise more widely with the faculty consulting form.

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<sup>2</sup> Although support is in place for 0.25 FTE for the FCO role, the FCO only currently has 0.1 FTE for their faculty consulting related work.

## **CONSULTING MANAGEMENT COMMITTEE**

The Consulting Management Committee (CMC) has not been convened since the updating of the policy and procedures. Consulting approvals and oversight are highly technical. Complex issues are generally resolved through close work between multiple offices, including units in the Office for the Vice President of Research (Financial Conflicts of Interest in Research (FCOIR), Export Control, and Sponsored Program Services) and University Compliance. The issues addressed through this collaborative work require specialist support and are not appropriate for review by a non-specialist body.

The increasing regulatory compliance aspects and technical elements of support for faculty consulting, coupled with the maturity of the program from a day-to-day perspective, means that a general faculty committee is no longer appropriate to support the program. Approval of faculty consulting requests is an administrative process and there is nothing obvious that a faculty committee would currently contribute to the process. The committee did not meet in FY23 and there are no plans anticipated for the committee to meet in FY24. No report will be provided on this committee in future annual reports unless there is reason to reconvene the committee.

## **PERFORMANCE NUMBERS: STORRS AND REGIONAL CAMPUS FACULTY**

In FY23, the UConn Storrs FCO received 1,084 consulting requests from 486 individuals, accounting for approximately 31% of the full-time faculty eligible to consult<sup>3</sup> (Table 1). Of these, 771 were approved and reconciled (71% of the total), 182 were delayed in processing at the FCO stage due to administrative capacity, and received exceptions for slow processing (17%), 79 (7%) were provided exceptions as low conflict of interest risk activities submitted after the fact or for slow processing as they worked through the approval process, 25 (2%) were withdrawn,<sup>4</sup> three requests related to FACs with no effort (0.3%), 10 received sanctions (1%) and 14 requests were stuck in the consulting system (1%).<sup>5</sup> Sanctions were recorded in the consulting system and sanction log. Sanctions included seven requests that were not reconciled on time where faculty did not engage with FCO reminder emails and were not attempting reconciliation by the deadline.<sup>6</sup> There were no other known cases related to noncompliance with the consulting policy.

The mean amount of time faculty spent consulting during normal work time was 2.75 days. Twenty-three faculty members (5% of those who consulted) indicated in reconciliation that they used more time during the normal work hours than originally estimated (Table 2), with a

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<sup>3</sup> The total number eligible faculty was obtained from the UConn 2023 Fact Sheet.

<sup>4</sup> A withdrawal is usually at the request of the faculty member (e.g., duplicates, something has changed), or a request is withdrawn after discussion with a faculty member makes clear that the work is not consulting. For instance, faculty sometimes submit requests where there is no remuneration and they may be directed to report necessary expenses or gifts to the State as the appropriate pathway for their activity.

<sup>5</sup> InfoEd now sends automated reminders when requests are awaiting approval. However, some requests remain with an approver or returned to the faculty for modification and then not resubmitted.

<sup>6</sup> During the first year of operation of InfoEd, exceptions were granted for faculty who were attempting reconciliation by the deadline, were engaging with the FCO and encountered a technical issue or other problem with the first InfoEd reconciliation cycle.



mean of 3.8 days. Of reconciled requests indicating additional time, 18 used two days or less additional time, 12 used between 3 and 9 additional days, and two used additional days in the range of 14-16 days. In no request was the variance sufficient that the original request would not have been approved. Faculty who indicate more than two days of additional “normal work time” spent consulting than originally estimated are counseled upon reconciliation. This process ensures they are aware the information they should provide to correctly estimate time on initial requests and that an additional request should be submitted if they anticipate significant variance in time for their consulting activity than that approved in the original request.

## **PERFORMANCE NUMBERS: UCONN HEALTH FACULTY**

In FY23, the UConn Health FCO received 707 consulting requests from 227 individuals (Table 3): 655 of these were approved (92.8% of the total), 25 (3.5%) were withdrawn or system errors, 2 (0.3%) were Provost Exceptions, and 18 (2.5%) Faculty Affiliated Companies with No Effort, one (0.1%) was denied, and six (0.8%) were sanctioned. In addition, two requests were test submissions. In FY22, the FCO received 752 requests from 224 individuals, with 719 (95.6%) approved and 15 (2.0%) denied.

Reconciliation reports were received on time from all those who engaged in consulting activities and who remained on the payroll when reconciliation reports were due. Three faculty individuals left employment with UConn Health and therefore six approved activities were withdrawn.

Of the 657 approved activities (Approved and Provost Exceptions), 615 occurred (93.6%) and 42 (6.4%) did not occur. As noted above, five (0.7%) approved activities from three separate faculty were not reconciled (and withdrawn from the system) due to faculty leaving UConn employment. All other consulting requests were reconciled. There were 227 unique members who performed at least one consulting activity during the fiscal year.

The mean amount of time spent consulting during normal work time per faculty member was 2.95 days with a maximum of 26 days and a median of one day. The UConn Health FCO issued verbal or written counseling (sanctions) to six faculty members. These were for late submissions or unanticipated compensation received after the activity occurred. The FCO determined that five of these requests would have been approved had they been submitted on time. In addition, one request was denied as the faculty did not have control of the content of his presentation.

Nine faculty members used more time during the normal work hours than originally estimated and approved (Table 4). Two of these faculty were contacted and clarified that the information was an entry error; however, the entries could not be corrected in the system.

Excluding these two, the maximum number of additional days of normal work time was 3 days and the mean was 1.4.

## **AUDIT FINDINGS**

By State statute, the consulting program must be audited by the internal audit office of each constituent unit which is currently the Office of Audit and Management Advisory Services. The original audit schedule was twice a year but starting in FY 13 the requirement was revised to once each year<sup>7</sup>.

The audit covering FY22 (July 1, 2021 - June 30, 2022) was issued on March 23, 2023. The auditors' overall conclusions were that the University is in compliance with CGS 1-84(r) and the University's Policy on Consulting. The auditors also concluded that the FY22 Annual Report of the Faculty Consulting Office was materially correct.

The auditors rated all of their observations as low risk. The auditors identified a small number of cases in which faculty submitted requests after the expected start date or with insufficient lead time for review and timely approval, and approvals received after the expected start date. Now that transition to InfoEd is complete, while addressing issues from the new consulting form, the FCOs continue to work on ensuring the accuracy of the approval process and that late requests are handled with appropriate sanctions, or exceptions where this is allowable under the low conflict of interest risk procedural steps.

Consulting with foreign entities was raised as an area of potential risk, with the audit report noting that these requests may, "require additional information from faculty members beyond the data disclosed." During FY 23 and into FY 24 the FCOs have worked closely with Export Control and have a procedural step to identify any consulting requests that involve entities in high-risk countries. These requests are sent to Export Control for review and approval, and this step will be embedded in the InfoEd workflow to ensure such requests are routed through this additional approval.

The audit also raised a query as to whether any faculty were overlapping any university-supported travel and consulting without clearly identifying any consulting time as personal travel. The FCOs have been highlighting this issue to faculty in training sessions and are revising the question related to the use of University resources on the consulting form. This question will be updated to specify that University resources include support for travel.

## **ISSUES FOR FY 25**

- In our continued efforts to approach faculty consulting in a risk-based manner, we will continue to work with OVPR to better delineate responsibility for FACs so that the FCOs can appropriately support the consulting request process for these faculty.

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<sup>7</sup> All finalized audit reports, FCO annual reports, and Oversight Committee annual reports are posted on the University's consulting web site: <https://consulting.uconn.edu/>

- The FCOs will work with eRA support in OVPR to continue to improve the faculty consulting form. Planned updates include routing requests with high-risk countries to Export Control within the workflow, clarifying the question related to resources so that it is clear such resources include travel support, ensuring the date of approval for requests submitted as “start on approval” for the next fiscal year is set as the first date in that next year, and adding a question to indicate when a request is late that ensures late requests that are eligible for low conflict of interest routing are moved to the FCO to evaluate for sanctions or exceptions.

G Kersaint  
S. Croucher  
C. Rash  
January 24, 2024

**Table 1: Storrs and Regional Campuses, Total Number of Submitting Consulting Requests, FY23**

<b>Requests</b>	<b>Total</b>	<b>ATHL</b>	<b>BUS</b>	<b>CAHNR</b>	<b>CLAS</b>	<b>ED</b>	<b>ENG</b>	<b>FA</b>	<b>LAW</b>	<b>NURS</b>	<b>PHARM</b>	<b>SW</b>	<b>Other<sup>8</sup></b>
<b>#</b>	<b>1084</b>	10	52	76	344	104	130	142	21	65	77	9	54
<b>%</b>	<b>100%</b>	0.9%	4.8%	7.0%	31.7%	9.6%	12.0%	13.1%	1.9%	6.0%	7.1%	0.8%	5.0%
<b>Unique Faculty</b>	<b>Total</b>	<b>ATHL</b>	<b>BUS</b>	<b>CAHNR</b>	<b>CLAS</b>	<b>ED</b>	<b>ENG</b>	<b>FA</b>	<b>LAW</b>	<b>NURS</b>	<b>PHARM</b>	<b>SW</b>	<b>Other</b>
<b>#</b>	<b>486</b>	2	33	28	167	41	61	44	17	27	24	6	36
<b>%</b>	<b>100%</b>	0.4%	6.8%	5.8%	34.4%	8.4%	12.6%	9.1%	3.5%	5.6%	4.9%	1.2%	7.4%
<b>Requests/faculty</b>	<b>Total</b>	<b>ATHL</b>	<b>BUS</b>	<b>CAHNR</b>	<b>CLAS</b>	<b>ED</b>	<b>ENG</b>	<b>FA</b>	<b>LAW</b>	<b>NURS</b>	<b>PHARM</b>	<b>SW</b>	<b>Other</b>
<b>mean</b>	2.2	5.0	1.6	2.7	2.1	2.5	2.1	3.2	1.2	2.4	3.2	1.5	1.5
<b>10 or more requests</b>	<b>6</b>	0	0	1	1	0	1	3	0	0	0	0	0

**Table 2: Storrs and Regional Campuses Reconciliation Report Variance for Time During “Normal Work Time”**

<b>Effort During “Normal Work Time”</b>	
# of faculty with extra days	23
% of faculty with extra days	5%
Minimum variance	0.1
Maximum variance	16

<sup>8</sup> “Other” includes faculty affiliated with the Provost’s Office, the Center for Excellence in Teaching and Learning, and the Vice President for Research. Four UConn Health employees who report through Storrs are not included on this table, as InfoEd holds data separately for each campus.

**Table 3: UConn Health Requests to Consult**

<b>Requests</b>	Total	SoDM	SoM	Storrs <sup>9*</sup>
#	706	87	614	5
%		12.32%	86.97%	0.71%
<b>Individual faculty</b>	Total	SoDM	SoM	Storrs
#	226	34	189	3
%		15.04%	83.63%	1.33%
<b>Requests/faculty</b>	Total	SoDM	SoM	Storrs
mean	3.1	2.6	3.2	1.7
median	2	2	2	1
10 or more requests	9	1	8	0

**Table 4: UConn Health Reconciliation Report Variance for Time During “Normal Work Time”**

<b>Effort During “Normal Work Time”</b>	
# of faculty with extra days	9
% of faculty with extra days	4%
Minimum variance	0.1
Maximum variance	3

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<sup>9</sup> These Storrs cases (n = 5) indicate requests that were withdrawn; faculty were directed to resubmit in the Storrs portal

**REPORT ON THE UNIVERSITY OF CONNECTICUT'S  
COMPLIANCE WITH CGS 1-84(r)  
FACULTY CONSULTING PROGRAM**

**February 20, 2024**

**Report Issued by the Faculty Consulting Oversight Committee**

**SUMMARY**

Pursuant to a change in the Connecticut State Statutes and action by the University of Connecticut Board of Trustees, new policies and procedures for approving consulting activities for the Faculty and members of the AAUP bargaining unit were implemented in December 2007. The Board of Trustees approved the latest revisions to these policies in June 2022.

Faculty Consulting Offices (FCOs) were established in Storrs and at UConn Health to oversee the consulting request and approval process and provide training to those who consult and for those who must participate in the consulting approval process, such as department heads and deans. A University-wide Consulting Management Committee (CMC) was convened to provide recommendations regarding the identification and management of potential Conflicts of Interest arising from consulting activities.

As required by CGS 1-84(r), the Faculty Consulting Oversight Committee was convened including members appointed by the Legislature/Executive branch and from the Citizens' Ethics Advisory Board. This Committee has met on a regular basis to review the implementation of the consulting program and to review audits of the program conducted by the University's Office of Audit, Compliance and Ethics.

Implementing this program required development of policies, procedures, forms and databases; identification and training of staff; and awareness and training of faculty, department heads, and deans. The Office of Audit and Management Advisory Services has carried out annual (and previously semiannual) audits as required and as expected, have identified areas in which clarifications and improvements have been recommended. The University has developed procedures to address all identified issues in a timely and appropriate manner.

**The Faculty Consulting Oversight Committee has determined that the University of Connecticut complies with CGS 1-84(r).** The oversight required by the Act, including the Faculty Consulting Oversight Committee itself and the audits, has and will continue to perform ongoing review, assessment and improvements to the program.

The Oversight Committee has no explicit recommendations for improvement of the program at the current time and has concluded that the University has made a committed effort to oversee the process and implement improvements, as necessary.

To date, the program, with its policies, procedures, and implementation, have resulted in a system that proactively identifies and manages potential conflicts of interest. Any individual who does not

participate with the program is subject to sanctions by the University and may also be subject to additional sanctions by the Office of State Ethics.

## **BACKGROUND**

Public Act (PA) 07-166 (Section 12)<sup>1</sup>, approved on June 19, 2007, created a carve-out from the portion of the State Ethics Code dealing with consulting. Participating in appropriate consulting activities is viewed as being mutually beneficial for the University and its faculty and the intent of the Act is to enable such activities.

This carve-out applies to faculty and members of the faculty bargaining unit (herein fore referenced as “faculty”) of a constituent unit of the State system of higher education. In the context of the Act, “consulting” represents situations in which faculty are compensated for services rendered while not acting as a State employee. The request to consult must be based on the faculty member’s expertise in a field or prominence in such field and not due to the State position held. Faculty must receive prior approval before such consulting begins. No other State agency requires prior approval or any such mandated disclosure of outside employment activities.

The Act transfers final authority for approval of such activities to the University and it allows management plans to be implemented for addressing perceived conflicts of interest. Specifically, the legislation allowed these individuals to enter into a consulting agreement with a public or private entity, provided such agreement or project does not conflict with the individual’s employment as determined by policies established by the Board of Trustees for such constituent unit.

This carve-out from the State Ethics Code is predicated on a set of requirements being met including significant institutional oversight. The University of Connecticut Board of Trustees (BOT) approved the University’s “Policy on Consulting for Faculty and Members of the Faculty Bargaining Unit,” and reviewed the operational procedures for implementation, on September 25, 2007. The Policy and Procedures define a consistent set of rules for consulting for all the faculty of the University. These documents have been reviewed and revised by the BOT several times since 2007 in order to make improvements to the program.<sup>2</sup> The most recent updates to the policy and procedures were approved on June 29, 2022. These changes ensured that the language is clear and easy to read and coincided with the implementation of the InfoEd system for consulting approvals.

The new consulting system became fully operational on December 15, 2007. Since that time, both the Storrs Campus and UConn Health campus have each established a Faculty Consulting Office (FCO) with reporting lines and staffing. All faculty consulting requests are submitted through an online request system, which is updated regularly. Beginning in fiscal year 2023, the system has moved to the same system used by the Office for the Vice President for Research (InfoEd), with the intent of continued improvements for data sharing.

Requests to consult must be reviewed and approved by each faculty member’s department head, dean, and the provost’s designees (one for each campus). A subset of consulting activities with very

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<sup>1</sup> See <http://consulting.uconn.edu/state-statutes/>

<sup>2</sup> The policy and procedures governing consulting may be found at: <http://consulting.uconn.edu/consulting-policies-procedures/>

low risk of conflict of interest are eligible for an accelerated approval process that only requires the approval of the department head.

At the end of the fiscal year, each faculty member must submit a reconciliation report indicating variances from the requested time spent consulting during the normal work time and confirming any and all appropriate reimbursements for use of University resources, if any.

If a faculty member does not adhere to the provisions described in the Act or the University’s Consulting Policy and Procedures, the Office of State Ethics retains jurisdiction over the activity and has the responsibility for assessing compliance with the State Code of Ethics and whether additional sanctions are justified. Violations of the University’s Consulting Policy and Procedures will in addition be subject to sanctions issued by the University, the most serious of which may result in termination.

### **AUDITS**

As required in CGS 1-84(r), the University’s Office of Audit and Management Advisory Services has conducted audits on the University’s faculty consulting program<sup>3</sup>. Per standard practice, management has had the opportunity to provide responses to each audit finding and recommendation. These audits are reviewed by the Joint Audit and Compliance Committee of the Board of Trustees as well as by the Faculty Consulting Oversight Committee.<sup>4</sup>

### **FACULTY CONSULTING OVERSIGHT COMMITTEE**

As required in CGS 1-84(r), the Faculty Consulting Oversight committee meets on an on-going basis to review the University’s compliance with CGS 1-84(r), and to file annual reports regarding such compliance with the University’s Board of Trustees and to the Legislature. This document is the fourteenth in a series of such reports.<sup>5</sup> The Committee’s responsibility is to ensure that the University complies with the provisions of the Act and the University’s policies and procedures on consulting. It may also make recommendation for improvements to the consulting program.

The membership of the Faculty Consulting Oversight Committee is:

<b>Name</b>	<b>Background</b>
Cobb, C.	Professor, School of Education
Dennis-Lavigne, A	Member, Board of Trustees
Chiusano, C.	Citizen’s Ethics Advisory Board Member
Fox, K.	Professor Emerita, School of Business
Freedman, J. (chair)	Former Legislator
Krist, I.	Former UConn Administrator
Siegle, D.	Professor, School of Education

<sup>3</sup> Initially, audits were required twice a year but the legislature changed this to a once a year audit schedule starting in FY 12.

<sup>4</sup> Copies of past audits may be found at: <https://consulting.uconn.edu/policy-oversight-and-archives/audits/>

<sup>5</sup> Past reports may be found at: <https://consulting.uconn.edu/policy-oversight-and-archives/audits/>



The Committee last met on January 31, 2024 and reviewed and approved this annual report by email on February 20, 2024. It has reviewed the FY 22 final internal audit report and the FY 2023 annual report of the Faculty Consulting Offices (attached<sup>6</sup>). This report from the Oversight Committee will be reviewed by the Board of Trustees.

The Committee believes the program was effectively initiated and through ongoing revisions has been improved. In fact, in many regards the program is more rigorous than those governing other State employees not covered by CGS 1-84(r), especially through the requirement for approval prior to the consulting activities taking place.

Annual audits have led to improvements to the Consulting Program including revisions to the consulting request form, enhancements to the training program, improving the clarity and predictability of decision making, and assuring sufficient information is available to inform the decision making of the approvers. As described above, an online request form/approval process has been operational since FY 12. This was updated in FY 13, FY 14, FY 15, FY 20 and moved to the InfoEd system in June 2022. This system has successfully addressed its objectives. All these actions assure compliance with CGS 1-84(r).

Implementation of the consulting program, both in terms of logistics and compliance, has consistently met or exceeded expectations. During the 16 years that the faculty consulting program has been in place, there have been occasional differences in interpretations regarding the requirements of CGS 1-84(r) and the University's Policy and Procedures. However, the audit processes in place have consistently identified any such areas and have reported them to senior management and to the Faculty Consulting Oversight Committee. Audit findings have always been willingly addressed by management, consistent with their full support and keen awareness of the need to fully comply with CGS 1-84(r). Proactive continuous improvements are in place to the extent that only low risk issues, to be monitored in the new implementation of the InfoEd system, were issued from the FY22 audit.

The Committee takes note that the number and severity of audit finding has decreased over time and this demonstrates management's commitment to operate the program optimally and in full compliance with the Legislature's intent for the program. The Committee also takes notes that the two Faculty Consulting Offices (Storrs/regional campuses and at UConn Health) work together exceedingly well and thus present a consistent and reliable approach to consulting across all the units of the University.

The Oversight Committee did not issue recommendations for improvement based on the audit from FY 2022.

## **VOLUME OF CONSULTING ACTIVITIES**

In FY 23, the fifteenth full year of operations, the Faculty Consulting Office on the Storrs Campus received 1,084 consulting requests from 486 individuals. The UConn Health office received 707 consulting requests from 227 individuals. The FY 23 annual report of the University's Faculty Consulting Program is attached.

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<sup>6</sup> The Office of Audit and Managerial Services has found this report to be materially correct.

## **ATTACHMENT 24**



# UConn and UConn Health Fiscal Year 2024 Second Quarter Financial Review

# UConn: FY24 Q2 Actual vs Budget

	FY24 Q2 Actuals	FY24 Q2 Budget	FY24 Forecast	FY24 Revised Budget	Variance Favorable / (Unfavorable)	Percent of Budget
State Appropriations	\$ 224.5	\$ 224.5	\$ 298.4	298.4	\$ -	75.2%
Tuition	280.5	276.6	516.4	515.0	\$ 1.4	54.3%
Course/Mandatory Fees	83.3	80.7	163.4	162.1	\$ 1.3	51.0%
Grants & Contracts - Financial Aid	32.3	32.3	78.8	78.8	\$ -	41.0%
Grants & Contracts - Other	137.3	140.0	206.7	206.7	\$ -	66.4%
Auxiliary Revenue	116.6	115.2	231.6	231.2	\$ 0.4	50.3%
Other Revenues	42.3	40.4	80.9	80.9	\$ -	52.3%
<b>Total Revenues</b>	<b>\$ 916.8</b>	<b>\$ 909.7</b>	<b>\$ 1,576.2</b>	<b>\$ 1,573.0</b>	<b>\$ 3.1</b>	<b>58.2%</b>
Salary/Benefits	\$ 528.5	\$ 524.4	\$ 852.1	850.4	\$ (1.7)	62.0%
Financial Aid - Tuition Funded	91.8	90.6	181.2	181.2	\$ -	50.7%
Financial Aid - Other	55.9	51.0	101.9	101.9	\$ -	54.9%
Energy	7.7	7.5	32.5	30.2	\$ (2.3)	23.7%
Equipment	20.4	20.0	24.6	24.7	\$ 0.1	83.0%
Capital Projects/Debt Payments	38.2	44.3	83.9	83.9	\$ -	45.5%
Other Expenses	144.6	148.0	299.9	300.7	\$ 0.8	48.2%
<b>Total Expense</b>	<b>\$ 887.2</b>	<b>\$ 885.8</b>	<b>\$ 1,576.2</b>	<b>\$ 1,573.0</b>	<b>\$ (3.1)</b>	<b>56.3%</b>
<b>Net Income/(Loss)</b>	<b>\$ 29.7</b>	<b>\$ 23.9</b>	<b>\$ 0.0</b>	<b>\$ 0.0</b>	<b>\$ -</b>	

After reviewing Q2 results, we are on track to come in on budget for FY24.



# UConn: FY24 Financial Improvement Plan

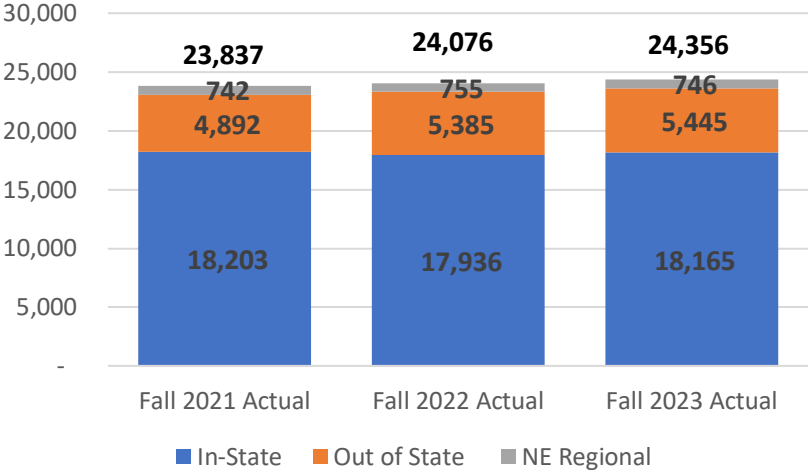
We have recognized the full financial improvement plan of \$16.1M as indicated below and plan to end the year on budget.

## Financial Improvement Plan Tracker through FY24 Quarter 2

Financial Improvement Plan Initiatives	Original Target	Implemented	% Implemented	Comment
Salary and Fringe	\$4.1	\$0.0	0%	Faculty/Staff hiring on budget; Some temp spend trending higher
Equipment-bond fund swap	-	5.0	100%	Additional \$5M from State in bond funds
Slower Operating Spend	3.0	4.1	137%	Slightly favorable in several service and supply categories
Capital Projects	4.0	4.7	118%	
Other revenue lines/fin aid offsets	5.0	2.3	46%	Higher tuition, fees, aux revenue than budgeted
<b>Net Result</b>	<b>\$16.1</b>	<b>\$16.1</b>		

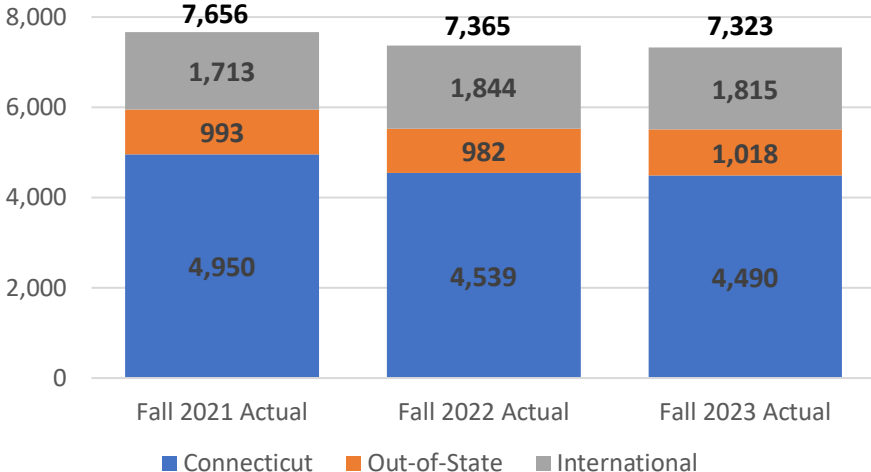
# UConn: FY24 Data points

**Undergraduate Enrollment by Residency**



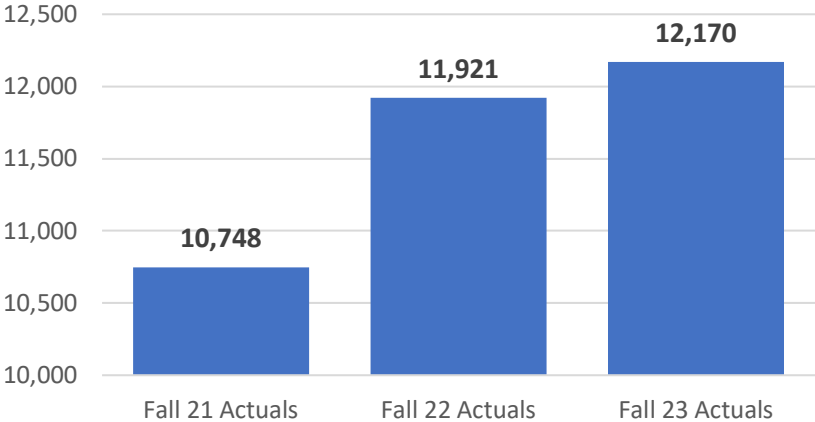
Although Fall 23 enrollment is slightly below budget, favorable Spring retention offsets lost revenues.

**Graduate Enrollment by Residency**



Graduate Enrollment is down slightly from Budget.

**Fall Housing Occupancy**



Student Housing is running on budget, reflecting full occupancy.



# UConn Health: FY24 Q2 Actual vs Budget

	FY24 Q2 Actuals	FY24 Q2 Budget	FY24 Q2 Forecast	FY24 Revised Budget	Variance Favorable / (Unfavorable)	Percent of Budget
State Appropriations	\$ 104.4	\$ 106.7	\$ 198.8	\$ 203.3	\$ (4.5)	52.5%
Tuition and Fees	16.9	16.7	33.6	33.4	0.2	50.7%
Grants & Contracts	54.5	56.6	120.4	122.5	(2.1)	44.5%
Interns/Residents	41.4	41.4	82.7	82.8	(0.1)	50.0%
Net Patient Revenue	456.8	450.3	919.3	918.5	0.8	49.7%
Other Revenue	98.1	94.6	189.3	188.3	1.0	52.1%
<b>Total Revenues</b>	<b>\$ 772.1</b>	<b>\$ 766.3</b>	<b>\$ 1,544.1</b>	<b>\$ 1,548.7</b>	<b>\$ (4.6)</b>	<b>49.9%</b>
Salary/Benefits	\$ 373.4	\$ 380.2	\$ 752.4	\$ 762.3	\$ (9.9)	49.0%
Drugs/Medical Supplies	150.2	145.3	299.0	294.1	4.9	51.1%
Resident and Fellow house staff	34.0	34.4	68.2	68.6	(0.4)	49.6%
Other Expenses	191.2	192.9	394.0	396.7	(2.7)	48.2%
Capital Projects/Lease and Debt Payments	13.0	13.5	26.5	27.0	(0.5)	48.1%
<b>Total Expense</b>	<b>\$ 761.8</b>	<b>\$ 766.3</b>	<b>\$ 1,540.1</b>	<b>\$ 1,548.7</b>	<b>\$ (8.6)</b>	<b>49.2%</b>
<b>Net Income/(Loss)</b>	<b>\$ 10.3</b>	<b>\$ -</b>	<b>\$ 4.0</b>	<b>\$ -</b>	<b>\$ 4.0</b>	

After reviewing Q2 results, we are on track to come in favorable to budget for FY24.

# UConn Health: FY24 Financial Improvement Plan

We have recognized the full financial improvement plan of \$22.1M as indicated below and plan to end the year ahead of budget.

Financial Improvement Plan Tracker through FY24 Quarter 2

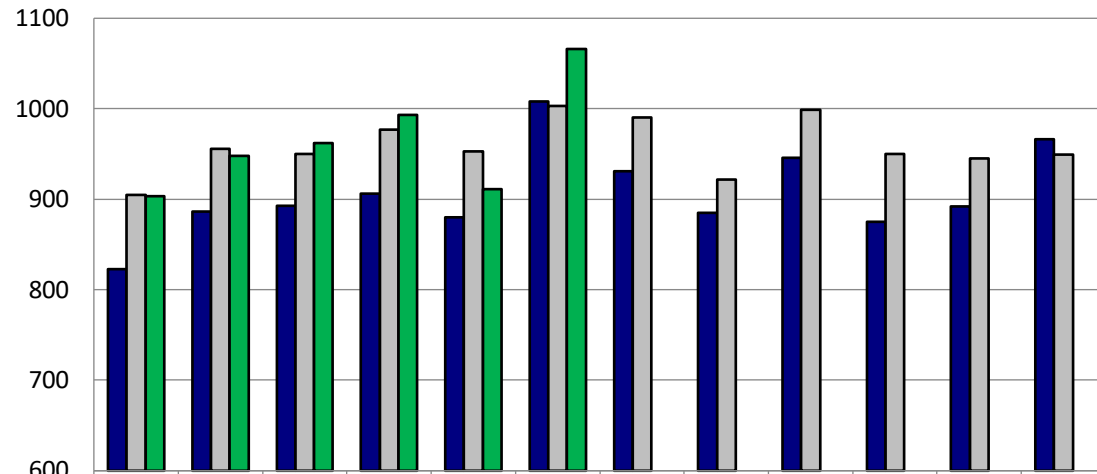
Financial Improvement Plan Initiatives	Original Target	Implemented	% Implemented	Comment
Use of Fund Balance	\$ 22.1	\$ -	100%	Use of operating fund balance
Patient Revenue growth	-	17.0	100%	Volume increases in JDH, UMG and Pharmacy services
Investment Income		5.1	100%	Rates and cash balance greater than budgeted
<b>Net Result</b>	<b>\$ 22.1</b>	<b>\$ 22.1</b>	<b>100%</b>	





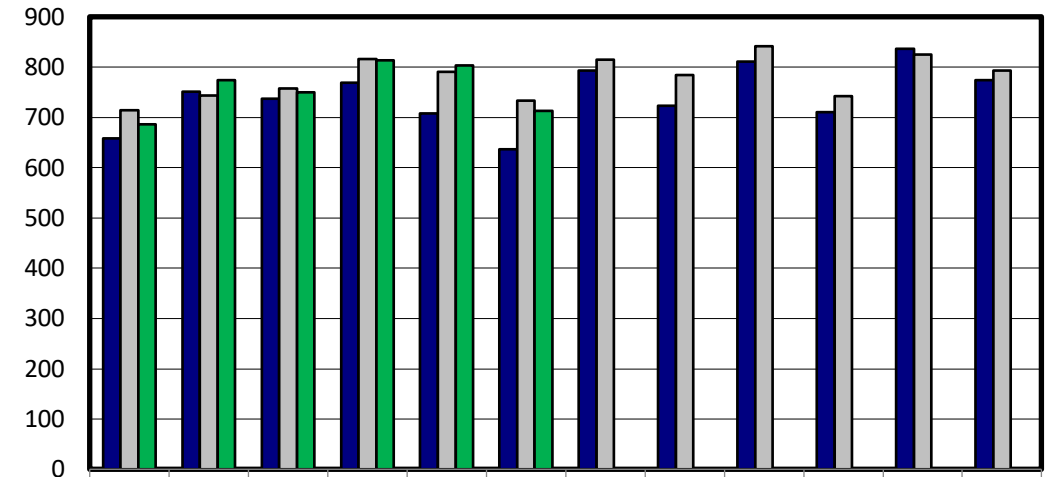
# UConn Health: FY24 Data points

## JDH Discharges



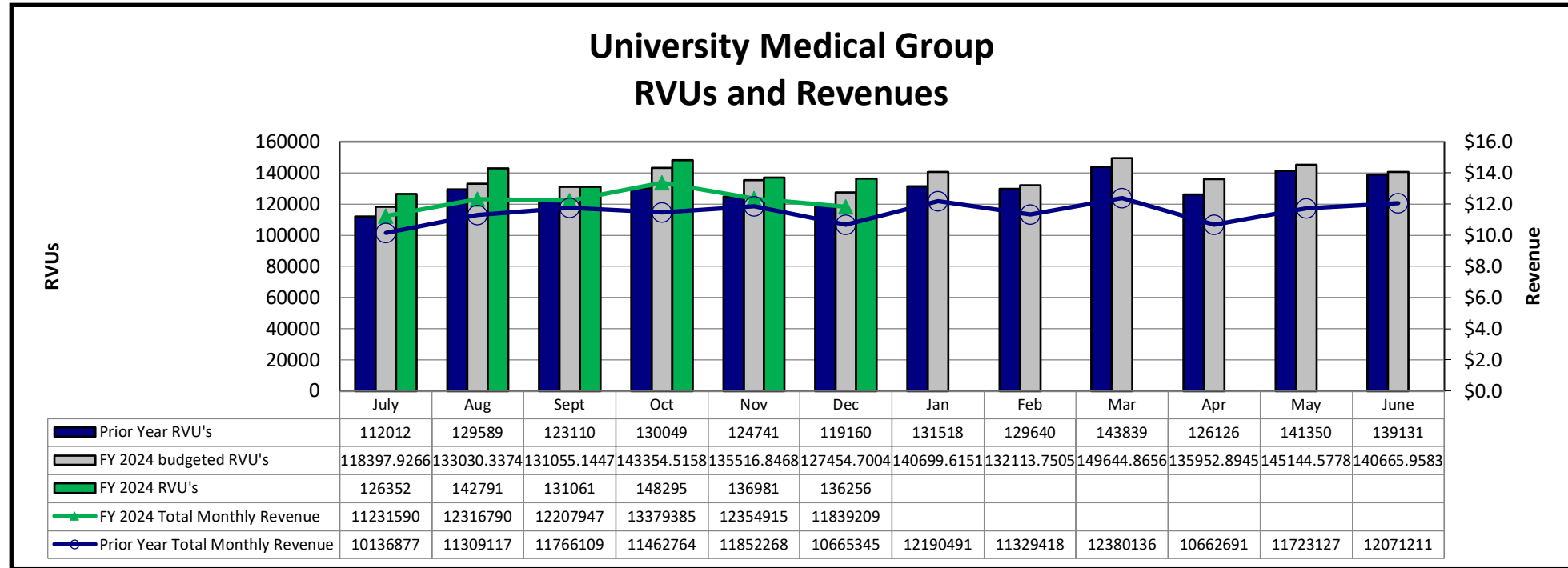
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
■ Prior Year	823	886	893	906	880	1008	931	885	946	875	892	966
■ Budget FY24	905	956	950	977	953	1003	990	922	999	950	945	949
■ Actual FY24	903	948	962	993	911	1066						

## JDH Surgeries (Inpatient and UHSC)



	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
■ Prior Year	659	751	737	769	708	637	793	724	811	711	837	774
■ Budget FY24	715	744	758	816	791	733	815	784	842	743	825	794
■ Actual FY24	686	774	750	814	804	713	0	0	0	0	0	0

# UConn Health: FY24 Data points



## School of Medicine - Student Count

	FY2023 Actual	FY2024 Budget	FY2024 Forecast	Variance
Tuition In State	391	412	390	(22)
Tuition Out of State	41	23	39	16
Tuition Regional	10	9	7	(2)
	<b>442</b>	<b>444</b>	<b>436</b>	<b>(8)</b>

## School of Dental Medicine - Student Count

	FY2023 Actual	FY2024 Budget	FY2024 Forecast	Variance
Tuition In State	177	182	177	(5)
Tuition Out of State	14	12	14	2
Tuition Regional	12	10	12	2
	<b>203</b>	<b>204</b>	<b>203</b>	<b>(1)</b>



# UConn and UConn Health Fiscal Year 2025 Budget Development Update

# Fiscal Year 2025 Forecast

(In Millions)

	UConn	UConn Health
Forecasted Loss	(70.0)	(29.0)
Reduction in State Support		(4.3)
Additional State Support	47.3	16.9
Additional Revenues	4.7	10.0
Operating Support Reductions	18.0	6.4
Forecasted income / (loss)	0	0

As we start the detailed budget development the forecasted mitigation efforts align with a balanced budget.

# FY25 Operating Budget: Additional State Funds Requested

## State Support (\$M)

	UConn			UConn Health		
	Approved		Governor Proposed	Approved		Governor Proposed
	FY24	FY25	FY25	FY24	FY25	FY25
Block Grant	\$216.2	\$219.6	\$219.6	\$111.6	\$114.1	\$114.1
One-Time Support for Permanent Salary Increases & Fringe	82.2	31.1	31.1	86.5	43.2	43.2
JDH Fringe Benefit Differential (PA 23-205)				4.5	4.3	-
<b>Total</b>	<b>\$298.4</b>	<b>\$250.7</b>	<b>\$250.7</b>	<b>\$202.6</b>	<b>\$161.6</b>	<b>\$157.3</b>

- In FY25, the Governor proposed \$250.7M for UConn and \$157.3M for UConn Health, **which is \$4.3M less than previously approved.**
- The FY25 proposed levels provide less one-time funds and leave UConn and UConn Health with a significant shortfall.

## Additional State Funds Requested (\$M)


	UConn		UConn Health	
Orig. Add'l Perm Block Grant Request (Oct '23)		47.3		46.0
Additional Permanent Block Grant Request (Jan '24)		47.3		12.6
JDH Fringe Benefit Differential				4.3
FY25 Wage Reopener		TBD		TBD
<b>Additional Request Subtotal</b>		<b>47.3</b>		<b>16.9</b>
<b>Total Revised Block Grant</b>		<b>\$298.0</b>		<b>\$174.2</b>

**\$64.2M Revised Additional Request**  
 UConn \$47.3M  
 UConn Health \$16.9M



## **ATTACHMENT 25**

February 28, 2024

TO: Members of the Board of Trustees  
FROM: Dr. Radenka Maric   
RE: University Adoption of the Okanagan Charter

RECOMMENDATION:

That the Board of Trustees endorse the adoption of the Okanagan Charter: An International Charter for Health Promoting Universities.

BACKGROUND:

In the last 5 years, UConn has demonstrated significant momentum in community action aimed at improving well-being. More than ever before, stakeholders see the interconnectedness of equity, sustainability, health, and student success.

By adopting the Okanagan Charter: An International Charter for Health Promoting Universities and committing to become a health promoting university, UConn has an opportunity to facilitate alignment across well-being efforts and support interdisciplinary collaboration in pursuit of better outcomes for our campuses as well as the well-being of communities across Connecticut. As a premier research university, UConn can lead innovation and scholarship in the promotion of human and planetary health.

Once adopted, the University will endeavor to embed health into all aspects of university life, including across the administration, operations, and academic mandates. The University will strive to lead health promotion action and collaboration both locally and globally. To do so, the University will charge a steering committee to formulate commitments for my consideration, charge action teams to advance progress toward adopted commitments, and make plans to sustain ongoing efforts to enhance the success of our university by continuously improving the well-being of everyone who learns and works on our campuses.

The Student Life Committee endorsed the adoption of the Charter on February 7, 2024.



# UConn

## Becoming a Health Promoting University Executive Summary

In the last 5 years, the University of Connecticut has demonstrated significant momentum in community action aimed at improving well-being. Current community efforts include the Bicycle Working Group, the JED Campus partnership, the Mindfulness for Earth initiative, the Recovery Friendly Campus effort, and the Truth, Racial Healing, & Transformation initiative, to name merely a few. If there is one thing UConn has in abundance, it is students, faculty, and staff invested in a future in which all members of the community flourish.

This moment in time is also unique in its opportunities to bridge the many “silos of excellence” at UConn. More than ever before, stakeholders are seeing the interconnectedness of equity, sustainability, health, and student success. The University has an opportunity to facilitate alignment across well-being efforts and support interdisciplinary collaboration in pursuit of better outcomes for all, outcomes that will benefit not only our campuses but also the health and well-being of communities across Connecticut. As a premier research university, UConn also has the unique opportunity to lead innovation and scholarship in the promotion of human and planetary health.

As outlined below, becoming a health promoting university enables UConn to act on its potential to be a leader in higher education and across Connecticut by sustaining coordinated, institution-wide actions to promote well-being in person, place, and planet. The work ahead is not about adding to the pile of priorities and needed resources, but rather about creating connection among existing initiatives to achieve a greater collective impact. Our moment is now, to bring the vision of UConn as a health promoting university to life!

### What is a Health Promoting University?

A health promoting university is an institution of higher education that has made an active commitment to integrating well-being into every facet of campus life. The global movement advocating for transformational change to promote health in university settings was catalyzed by the Okanagan Charter: An International Charter for Health Promoting Universities. An outcome of the 2015 International Conference on Health Promoting Universities and Colleges, the Okanagan Charter was developed by health promotion scholars, researchers, and practitioners from 45 countries around the world.

The Charter is an aspirational document, urging higher education institutions to leverage their unique positions and roles in research, teaching, and service to their communities, to be leaders for the world in developing and modeling health-promoting strategies in their campus settings. The Okanagan Charter calls on universities to work [upstream](#), looking beyond individual behavior, at the systems and settings that influence the health of people as well as our planet.



Two overarching calls to action are described in the Okanagan Charter:

1. Embed health into all aspects of campus culture, across the administration, operations, and academic mandates.
2. Lead health promotion action and collaboration locally and globally.



The Charter also provides guiding principles for how to mobilize systemic and whole-system action to promote health in higher education settings:

- Use settings and whole system approaches
- Ensure comprehensive and campus-wide approaches
- Use participatory approaches and engage the voices of students and others
- Develop trans-disciplinary collaborations and cross-sector partnerships
- Promote research, innovation and evidence-informed action
- Build on strengths
- Value local and indigenous communities' contexts and priorities
- Act on an existing universal responsibility

### **The Health Promoting University Movement**

The Okanagan Charter was formally discussed in the United States at the 2018 NASPA Strategies Conference in Portland, Oregon by Dr. Mark Dooris (U.K.) and Dr. Matt Dolf (Canada) who shared the work they were doing to advance systems-level work in health promotion on campuses across their countries. In January 2020 at a NASPA Strategies Pre-Conference session, representatives from 40 universities gathered in New Orleans, Louisiana to discuss the Okanagan Charter and the movement to create a national network. By March 2020, more than 40 campuses were actively involved in what became known as the U.S. Health Promoting Campuses Network, of which the University of Connecticut is a member.

The primary goal of the [U.S. Health Promoting Campuses Network](#) (USHPCN) is to support universities as they navigate the process of adopting the Okanagan Charter as an aspirational document to guide their institution's well-being efforts utilizing a comprehensive systems and settings approach. Specifically, the USHPCN helps higher education institutions develop strategies and define metrics for what it means to be a health promoting university based on their unique circumstances, resources, and needs.

[Twenty-one institutions of higher education](#) have formally adopted the Okanagan Charter at this time, with over 100 colleges and universities engaged as network members. Seven universities have already adopted in 2023, with five more intending to adopt the charter in fall 2023.

### **Benefits of Becoming a Health Promoting University**

Adopting the Okanagan Charter and sustaining a coordinated, whole-system and settings approach to promote health at UConn has many benefits:

- **Demonstrate leadership**—Formal adoption of the Okanagan Charter by senior leadership reaffirms UConn's commitment to furthering health, well-being, and sustainability and sends a powerful message to the broader community.
- **Engage our community**—Becoming a health promoting university is a way to generate dialogue and research to inform health and well-being initiatives on our campuses.
- **Support the well-being of our community**—Guide and inspire action to help faculty, staff, and students achieve their full potential in teaching, learning, research, and engagement. Evidence shows that people who are well are more productive, better able to engage in deep learning, have a greater sense of belonging, and a stronger sense of community.
- **Join the movement**—UConn is currently an active participant in the U.S. Health Promoting Campuses Network, a network of institutions actively promoting health and well-being in higher education across the United States and internationally.

## Next Steps

Senior leadership has expressed support for this work, as it would advance existing efforts, continue the progress made by the 2020 President’s Task Force on Student Mental Health and Well-being, and create a sustainable infrastructure for building on improvements in community well-being over time. To actualize the vision of UConn as a health promoting university, the next pressing step is to formalize senior leadership support and designate two key leaders to serve as co-chairs to a steering committee who will shepherd this effort forward.

A steering committee, comprised of influential well-being champions, serves a critical role in ensuring the successful adoption of the Okanagan Charter at UConn, as well as the implementation of highly coordinated, institution-wide action to make progress toward our commitments over time. The table below outlines a proposed structure for a Steering Committee, which must be formed prior to the University formally adopting the Okanagan Charter.

### *Proposed Steering Committee Structure*

<b>Role</b>	<b>Title/Unit Represented</b>
<i>Co-Chair</i>	<i>Senior Leader from Student Life &amp; Enrollment</i>
<i>Co-Chair</i>	<i>Senior Leader from Human Resources</i>
<i>Member</i>	<i>Vice Provost for Health Sciences and Interdisciplinary Initiatives</i>
<i>Member</i>	<i>Senior Leader from Budget, Planning and Institutional Research</i>
<i>Member</i>	<i>Leadership from the Institute for Collaboration on Health, Intervention, and Policy (InCHIP)</i>
<i>Member</i>	<i>University Senate Leadership</i>
<i>Member</i>	<i>Co-Chair, Student Advisory Board, SHaW Community Impact Initiatives</i>
<i>Member</i>	<i>Undergraduate Student Government Leadership</i>
<i>Member</i>	<i>Graduate Student Senate Leadership</i>
<i>Member</i>	<i>Graduate Employee Union Leadership</i>
<i>Member</i>	<i>UCPEA Leadership</i>
<i>Member</i>	<i>AAUP Leadership</i>

With the strategic guidance and support of a Steering Committee, UConn will then need to focus on developing the commitments<sup>1</sup> we wish to make as part of the formal Okanagan Charter adoption process. Institutions committing to becoming health promoting universities typically outline goals and action steps for the first 2-3 years post adoption. Importantly, these commitments should include ongoing well-being initiatives, articulating the ways in which the University will sustain, and advance that existing work.

Of note, there is no financial burden associated with adopting the Okanagan Charter and becoming formally recognized by the U.S. Health Promoting Campuses Network as a health promoting university. Institutions are encouraged to develop commitments that aspire to improve well-being outcomes while remaining cognizant of the university’s unique landscape and identifying opportunities to build upon the institution’s existing assets. Again, leveraging a systems and settings approach is primarily about facilitating coordination and alignment across units, to generate a greater impact by working toward shared goals.

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<sup>1</sup> For an example of a university committing to the five actions of health promotion outlined in the Okanagan Charter, see: [https://uhs.berkeley.edu/sites/default/files/okanagan\\_charter\\_one-pager.pdf](https://uhs.berkeley.edu/sites/default/files/okanagan_charter_one-pager.pdf)

As UConn pursues next steps in becoming a health promoting university, the community will also need operational support as we identify our university commitments, formally adopt the Okanagan Charter, and launch implementation teams to maintain momentum over time. Student Health and Wellness has been connected to the U.S. Health Promoting Campuses Network for many years and has skilled health promotion staff to support this type of collaborative community change work.

UConn has everything it needs to successfully lead and grow as a Health Promoting University thereby improving student success outcomes at our campuses and, in turn, across Connecticut. Those interested in learning more and/or joining the movement can connect with SHaW at [shawhealthpromotion@uconn.edu](mailto:shawhealthpromotion@uconn.edu).

**Resolution of Support for Adopting the Okanagan Charter  
by the University of Connecticut  
Board of Trustees Student Life Committee**

**February 7, 2024**

**WHEREAS**, in support of the University of Connecticut (UConn) formalizing its commitment to become a health promoting university, embedding health into every facet of our university life; and

**WHEREAS**, UConn is a university committed to well-being, campus leadership will adopt the Okanagan Charter, using its unique role in research, teaching, and service to develop health-promoting strategies throughout our campus settings; and

**WHEREAS**, we aspire to uphold the principles outlined in the Okanagan Charter, UConn can leverage its strengths in innovation and scholarship to promote both human and planetary health throughout our campuses and beyond; and

**WHEREAS**, UConn has made well-being a priority in its recently established strategic plan, articulating the specific goal of supporting the Wellness of People and Planet by fostering the physical, mental, and environmental wellness of our students, faculty, staff, and the world at large; and

**WHEREAS**, the Student Life Committee of the Board of Trustees recognizes that the pursuit of community well-being is best approached through intentional, participatory, collaborative institution-wide strategies. By using whole-systems and settings approaches in all aspects of campus culture and operations, we can influence the health and well-being of every member of the UConn community.

**NOW, BE IT RESOLVED:**

The Student Life Committee of the Board of Trustees commits to supporting UConn's journey to become a health promoting university which includes several initial steps:

1. The UConn Administration shall form a steering committee to lead health promoting university efforts at UConn, and
2. Charge the steering committee to develop key university commitments to facilitate embedding health into all aspects of UConn's campus culture, across the administration, operations, and academic mandates, and
3. Present the university commitments to the President who adopts the Okanagan Charter, formalizing UConn's specific commitments to promoting health on our campuses by the end of the spring 2024 semester, and
4. Form implementation teams to advance the university's progress towards its stated commitments.





# **OKANAGAN CHARTER**

## **AN INTERNATIONAL CHARTER FOR HEALTH PROMOTING UNIVERSITIES & COLLEGES**

An outcome of the 2015 International Conference on Health Promoting Universities and Colleges / VII International Congress

Kelowna, British Columbia, Canada





# ACKNOWLEDGEMENT

We acknowledge this Charter was developed on the territory of the Okanagan Nation.

*This land doesn't belong to us. This land belongs to seven generations down the road. I pray that the water that we drink, the water that we swim in, will be there for our great great great grandchildren. As well as all over the world. I pray that the land that we walk on, the trees that we enjoy, will be there for our generations to come. These things, they all come together with health. Health of humans. Health of the animals. And health of the Mother Earth.*

- Closing Prayer by Okanagan Nation Elder, Grouse Barnes, at the 2015 International Conference on Health Promoting Universities and Colleges

This Charter should be cited as:  
Okanagan Charter: An International Charter for Health Promoting Universities and Colleges (2015).

Photos in this document represent the Charter values about people, places and planet (mentally and physically active people, "green" buildings, biodiversity), and also, the university and valley where the conference was hosted.





# **A TRANSFORMATIVE VISION FOR HEALTH PROMOTING UNIVERSITIES & COLLEGES**

Health promoting universities and colleges<sup>1</sup> transform the health and sustainability of our current and future societies, strengthen communities and contribute to the well-being of people, places and the planet.

## **SHARED ASPIRATIONS**

Health promoting universities and colleges infuse health into everyday operations, business practices and academic mandates. By doing so, health promoting universities and colleges enhance the success of our institutions; create campus cultures of compassion, well-being, equity and social justice; improve the health of the people who live, learn, work, play and love on our campuses; and strengthen the ecological, social and economic sustainability of our communities and wider society.

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1. This Charter includes universities, colleges, institutes and so forth, that is, all organizations that comprise the higher education or post-secondary sector. It is important to note that terminology for health promotion in higher education varies depending on regional context. In South America and Europe, Health Promoting Universities is the common phrase; in the United Kingdom and Spain, Healthy Universities; and in North America, Healthy Campuses or Healthy Campus Communities.



## PURPOSE OF THE OKANAGAN CHARTER

The purpose of the Charter is threefold:

1. Guide and inspire action by providing a framework that reflects the latest concepts, processes and principles relevant to the Health Promoting Universities and Colleges movement, building upon advances since the 2005 Edmonton Charter.<sup>2</sup>
2. Generate dialogue and research that expands local, regional, national and international networks<sup>3</sup> and accelerates action on, off and between campuses.
3. Mobilize international, cross-sector action for the integration of health in all policies and practices, thus advancing the continued development of health promoting universities and colleges.

## TWO CALLS TO ACTION

The Charter has two Calls to Action for higher education institutions:

1. Embed health into all aspects of campus culture, across the administration, operations and academic mandates.
2. Lead health promotion action and collaboration locally and globally.

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2. Edmonton Charter for Health Promoting Universities and Institutions of Higher Education.  
3. We acknowledge that the terms local, regional, national, international and global are used differently in different contexts around the world. For brevity, the terms local and global will be used throughout this document, but understood to include regions and nations.





Cyclists in the Okanagan Valley. Photo Credit: tourismkelowna.com

## HEALTH PROMOTION

Health promotion action builds upon the Ottawa Charter for Health Promotion, which emphasizes the interconnectedness between individuals and their environments, and recognizes that “health is created and lived by people within the settings of their everyday life: where they learn, work, play and love.”<sup>4</sup> Health is viewed holistically, reflecting “physical, mental and social well-being and not merely the absence of disease or infirmity.”<sup>5</sup>

Health promotion requires a positive, proactive approach, moving “beyond a focus on individual behaviour towards a wide range of social and environmental interventions”<sup>6</sup> that create and enhance health in settings, organizations and systems, and address health determinants. As such, health promotion is not just the responsibility of the health sector, but must engage all sectors to take an explicit stance in favour of health, equity, social justice and sustainability for all, while recognizing that the well-being of people, places and the planet are interdependent.

Health is understood as an expanding concept defined through an emergent conversation around health, well-being and wellness.

Health promotion is understood as “the process of enabling people to increase control over their health and its determinants, and thereby improve their health.”<sup>7</sup>

Health of people depends on the life supporting ecosystems of the planet “made possible by biodiversity and the products and services derived” such as oxygen, clean water, food, habitable climate, aesthetic and spiritual experience, livelihoods and recreation.<sup>8</sup>

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4. World Health Organization (WHO), 1986 Ottawa Charter for Health Promotion
  5. WHO, 1946 One health definition example is the understanding of health (salud) as living life with autonomy, solidarity and pleasure.
  6. WHO, 2015 [http://www.who.int/topics/health\\_promotion/en/](http://www.who.int/topics/health_promotion/en/)
  7. WHO, 2005 Bangkok Charter for Health Promotion in a Globalized World
  8. 2012 Our Planet, Our Health, Our Future | Human health and the Rio Conventions: biological diversity, climate change and desertification [http://www.who.int/globalchange/publications/reports/health\\_rioconventions.pdf](http://www.who.int/globalchange/publications/reports/health_rioconventions.pdf) and Canadian Public Health Association 2015 report: Ecological Determinants of Health <http://www.cpha.ca/uploads/policy/edh-brief.pdf>



Four Presidents from the province of British Columbia begin the Charter signing (The University of British Columbia, Simon Fraser Student Society, Okanagan College and UBCO Student Union) followed by Conference delegates from around the world.

## THE UNIQUE ROLE FOR HIGHER EDUCATION

Higher education plays a central role in all aspects of the development of individuals, communities, societies and cultures – locally and globally.

Higher education has a unique opportunity and responsibility to provide transformative education, engage the student voice, develop new knowledge and understanding, lead by example and advocate to decision-makers for the benefit of society. In the emergent knowledge society, higher education institutions are positioned to generate, share and implement knowledge and research findings to enhance health of citizens and communities both now and in the future.

A University or College is, by its very nature, an essential part of any systemic health promotion strategy, working collaboratively in trans-disciplinary and cross-sector ways. This Charter calls upon higher education institutions to incorporate health promotion values and principles into their mission, vision and strategic plans, and model and test approaches for the wider community and society.



The following opportunities exist on campuses:

- Advance the core mandate of higher education by improving human and environmental health and well-being, which are determinants of learning, productivity and engagement.
- Lead and influence by embedding health in knowledge production, student development, institutional policies and campus cultures, thus benefiting competencies of campus communities and setting an example for health promoting settings more broadly.
- Align with global agendas such as World Health Organization's Cross Sector Action and Health in All Policies and United Nations' Post-2015 Development Agenda, thus addressing social, environmental and economic determinants of health and improving equity, mental and physical well-being, social justice, respect for diversity, sustainability and food security.
- Provide transformational teaching and learning environments that enable and inspire students, faculty and staff<sup>9</sup> to become healthy and engaged citizens and leaders locally and globally.

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9. Members of campus communities are described in various ways around the world (e.g., the term staff may or may not include faculty and administrators). In this document, the term campus community is inclusive of everyone on campus. However, occasionally students, faculty, staff, administrators and others will be emphasized for clarity.





Centre for Interactive Research on Sustainability at the University of British Columbia Vancouver

# AN ACTION FRAMEWORK FOR HIGHER EDUCATION

The following framework provides two Calls to Action with key action areas and overall principles that together guide the development of Health Promoting Universities and Colleges.

## **Call to Action 1: Embed health into all aspects of campus culture, across the administration, operations and academic mandates**

- 1.1 Embed health in all campus policies.** Review, create and coordinate campus policies and practices with attention to health, well-being and sustainability, so that all planning and decision-making takes account of and supports the flourishing of people, campuses, communities and our planet.
- 1.2 Create supportive campus environments.** Enhance the campus environment as a living laboratory, identifying opportunities to study and support health and well-being, as well as sustainability and resilience in the built, natural, social, economic, cultural, academic, organizational and learning environments.
- 1.3 Generate thriving communities and a culture of well-being.** Be proactive and intentional in creating empowered, connected and resilient campus communities that foster an ethic of care, compassion, collaboration and community action.
- 1.4 Support personal development.** Develop and create opportunities to build student, staff and faculty resilience, competence, personal capacity and life enhancing skills – and so support them to thrive and achieve their full potential and become engaged local and global citizens while respecting the environment.
- 1.5 Create or re-orient campus services.** Coordinate and design campus services to support equitable access, enhance health and well-being, optimize human and ecosystem potential and promote a supportive organizational culture.



Avocets (species at risk) at Robert Lake wetlands on Okanagan campus. The marsh provides habitat for over 100 species of birds and filters and purifies water. Photo credit: Dr. Robert Lalonde

## **Call to Action 2: Lead health promotion action and collaboration locally and globally**

- 2.1 Integrate health, well-being and sustainability in multiple disciplines to develop change agents.** Use cross-cutting approaches to embed an understanding and commitment to health, well-being and sustainability across all disciplines and curricula, thus ensuring the development of future citizens with the capacity to act as agents for health promoting change beyond campuses.
- 2.2 Advance research, teaching and training for health promotion knowledge and action.** Contribute to health promoting knowledge production, application, standard setting and evaluation that advance multi-disciplinary and trans-disciplinary research agendas relevant to real world outcomes, and also, ensure training, learning, teaching and knowledge exchange that will benefit the future well-being of our communities, societies and planet.
- 2.3 Lead and partner towards local and global action for health promotion.** Build and support inspiring and effective relationships and collaborations on and off campus to develop, harness and mobilize knowledge and action for health promotion locally and globally.





## KEY PRINCIPLES FOR ACTION

The following are guiding principles for *how* to mobilize systemic and whole campus action.<sup>10</sup>

- **Use settings and whole system approaches**  
Use holistic settings and systems as the foci for inquiry and intervention, effectively drawing attention to the opportunities to create conditions for health in higher education. Set an example for health promotion action in other settings.
- **Ensure comprehensive and campus-wide approaches**  
Develop and implement multiple interconnected strategies that focus on everyone in the campus community.
- **Use participatory approaches and engage the voice of students and others**  
Set ambitious goals and allow for solutions and strategies to emerge through use of participatory approaches to engage broad, meaningful involvement from all stakeholders, including students, staff, faculty, administrators and other decision makers. Set priorities and build multilevel commitments to action.
- **Develop trans-disciplinary collaborations and cross-sector partnerships**  
Develop collaborations and partnerships across disciplines and sectors, both within the campus community and with local and global partners, to support the development of whole campus action for health and the creation of knowledge and action for health promotion in communities more broadly.

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10. Higher education settings and contexts differ greatly around the world. These principles can and should be tailored accordingly.



- **Promote research, innovation and evidence-informed action**  
Ensure that research and innovation contribute evidence to guide the formulation of health enhancing policies and practices, thereby strengthening health and sustainability in campus communities and wider society. Based on evidence, revise action over time.
- **Build on strengths**  
Use an asset-based and salutogenic approach to recognize strengths, understand problems, celebrate successes and share lessons learned, creating opportunities for the continual enhancement of health and well-being on campus.
- **Value local and indigenous communities' contexts and priorities**  
Advance health promotion through engagement and an informed understanding of local and indigenous communities' contexts and priorities, and consideration of vulnerable and transitioning<sup>11</sup> populations' perspectives and experiences.
- **Act on an existing universal responsibility**  
Act on the "right to health" enshrined in the Universal Declaration of Human Rights to ensure health promotion action embodies principles of social justice, equity dignity and respect for diversity while recognizing the interconnectedness between people's health and health determinants, including social and economic systems and global ecological change.

The words local and indigenous are used with intention to recognize social and cultural diversity, inclusive of history, traditions, values and knowledge.

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11. Transitioning populations refers to the local and global movement of people, including immigrants and refugees of war, political oppression, environmental changes and disasters.





## ABOUT THE DEVELOPMENT OF THE OKANAGAN CHARTER

This international Charter was an outcome of the 2015 International Conference on Health Promoting Universities and Colleges<sup>12</sup> held on the University of British Columbia's Okanagan campus in Kelowna, Canada on June 22-25. The Charter development process engaged researchers, practitioners, administrators, students and policy makers from 45 countries.<sup>13</sup> The first draft of the Charter was based on input from 225 people through a pre-conference survey and expert interviews as well as a review of existing Charters and Declarations.

At the Conference, with the support of a writing team, 380 delegates critiqued and refined the Charter in a design lab and development sessions. Delegates were invited to bring forward into the Charter development, ideas from the multiple plenaries and concurrent sessions that comprised the scientific program.<sup>14</sup> On the final Conference day, higher education leaders and delegates, including network and organization representatives, signed a Pledge to bring the Charter back to their settings to inspire and catalyze further action towards the creation of health promoting universities and colleges. Representatives from the World Health Organization, Pan American Health Organization and the United Nations Educational, Scientific and Cultural Organization joined in the Pledge.

Through dissemination and use of the Charter in higher education, network building and future conferences, our hope is that health promotion will be advanced internationally.

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12. The idea for the conference originated with colleagues from the University of British Columbia, Simon Fraser University, the University of Victoria and the Canadian Mental Health Association (a national non-governmental organization)
  13. Andorra, Argentina, Australia, Austria, Barbados, Bolivia, Brazil, Canada, Central African Republic, Chile, China, Columbia, Costa Rica, Cuba, Denmark, Ecuador, Finland, France, Germany, Hungary, Italy, Lebanon, Lithuania, Malta, Mexico, New Zealand, Nicaragua, Nigeria, Norway, Panama, Peru, Philippines, Portugal, Puerto Rico, Qatar, Republic of Ireland, Spain, Switzerland, Thailand, The Netherlands, United Kingdom (England, Scotland), United States, Uruguay, Venezuela
  14. Documents and videos about the Okanagan Charter development and Conference, including videos of plenaries, are available at: <https://open.library.ubc.ca/cIRcle/collections/53926>



## **ATTACHMENT 26**

**Public Act No. 23-41**

**An Act Concerning Access to Reproductive Health Care by Students at Public Institutions of Higher Education**

**Requirement**

*This Act requires the Board of Regents for Higher Education (BOR) and the UConn Board of Trustees (BOT), by January 1, 2024, to establish and update as needed a plan to provide reproductive health care services by a licensed health care provider to students who live on residential campuses (i.e., any public higher education institution campus with school-owned dorms or fraternities and sororities) under the boards' jurisdiction. The plan must address:*

- the availability of equipment and licensed health care providers to provide reproductive health care services on the residential campus or in the surrounding community;*
- opportunities for providing reproductive health care or other associated services, including telehealth counseling services; means for ensuring continuity of care during holiday and vacation periods and between semesters; and*
- an estimate of the costs associated with plan implementation and the availability of public and private funding sources to cover the costs.*

*The plan must also cover how the school will provide:*

- 1. referrals for, and transportation services to, access reproductive health care services at any off-campus location;*
- 2. information and materials about pregnancy being a qualifying life event for health insurance coverage in the state; and*
- 3. educational materials on maternal mental health care and resources for maternal mental health screenings.*

*Reproductive Health Services as defined by Section 52-571 includes all medical, surgical, counseling or referral services relating to the human reproductive system, including, but not limited to, services relating to pregnancy, contraception or the termination of a pregnancy and all medical care relating to treatment of gender dysphoria.*

*Not later than thirty days after establishing or updating a plan for each residential campus, the institutions shall submit such plan to the standing committee of the General Assembly having cognizance of matters relating to higher education and employment advancement and post such plan on its Internet web site and the website of the public institution of higher education associated with each residential campus.*

**Student Health and Wellness (SHaW) Plan  
January 2024**

- 1) **Availability of SHaW Services:** SHaW offers a suite of services applicable to the act on both residential campuses.
  - a. The SHaW practice at the Storrs campus is staffed by trained and licensed doctors, advanced practice registered nurses (APRN) and registered nurses (RN) who provide counseling, evaluation, and treatment. Among the services provided on the Storrs campus are:
    - Primary care gynecological services including preventative gynecological services
    - Gender affirming care including hormone therapy
    - Sexually transmitted infection screening, testing and treatment including expedited partner therapy (EPT)
    - An on-site pharmacy and phlebotomy station
    - Contraceptive care including emergency contraception options
    - A Nurse Advice Line which is available 24 hours a day, seven days a week during the academic year, and during business hours on breaks
    - Free period products available at the Hilda May Williams Building and at other designated sites on campus
    - GloveBox, an online ordering and delivery service of safer sex supplies which are also available at designated sites on campus
    - Education about sexual health including the peer education program, UConn Sexperts
    - A peer support group for expecting and parenting students
  - b. The SHaW practice at the Stamford campus is currently undergoing an expansion of services. Beginning in the spring 2024 semester, a registered nurse will be present on the Stamford campus to serve as a Nurse Navigator. The Nurse Navigator will support students by facilitating the coordination of care with providers in the community appropriate to the student's needs. Currently available to Stamford campus students is the Nurse Advice Line which is available 24 hours a day, seven days a week during the academic year, and during business hours on breaks. Also provided on the Stamford campus are:
    - Free period products
    - Safer sex supplies which are also available at designated sites on campus
    - Education about sexual health
    - A peer support group for expecting and parenting students
- 2) **Access to Off-Campus Services:** When a student at the Storrs or Stamford campus seeks a service not available at the on-campus facility, SHaW will work with the student to assess the student's clinical needs, preferences, and insurance coverage and support them in matching these with appropriate local providers.

- a. The Storrs campus facility offers most primary care services. The above pathway applies for certain students needing services not provided by SHaW. In addition, SHaW is collaborating with providers at UConn Health to assess the need and increase the availability of reproductive healthcare services in Storrs.
  - b. The Stamford campus primarily offers the Nurse Navigator services as detailed above as a pathway for students needing access to services not provided by SHaW.
- 3) **Continuity of Care:** SHaW will continue to account for continuity of care during holiday and vacation periods, and between semesters, in the care plans they develop with students on the Storrs and Stamford campuses. The Nurse Advice Line is available to all students 24/7 during the academic year, and during business hours when classes are not in session. The Storrs practice provides services to students year-round, and there is on-call coverage outside of business hours during the academic year. SHaW currently provides limited telehealth services in compliance with prevailing regulations and standards of care.
- 4) **Transportation:** For purposes of advancing this plan, SHaW has defined the service region to be within a 50-mile radius of the residential campuses in Storrs and Stamford. This includes a network of licensed providers in the metropolitan areas of Springfield and Hartford for the Storrs campus, and New Haven and New York for the Stamford campus. SHaW will encourage students requiring transportation within this region to use SHaW taxi vouchers or a rideshare service. SHaW will provide related financial support to cover transportation fees to qualifying appointments. SHaW estimates the annual transportation costs will be \$10,000. SHaW will investigate whether transportation costs may be covered by an individual student's insurance, however this is otherwise unfunded.
- 5) **Qualifying Life Event for Insurance Coverage:** While all UConn students are required to have health insurance, SHaW recognizes that certain plans may not cover reproductive health services. The diagnosis of pregnancy is a qualifying life event for insurance coverage purposes, which allows for students to change to a different insurance plan outside of the open enrollment period. SHaW will provide students with information and materials about pregnancy being a qualifying life event for a change of health insurance coverage in the state. The information provided by SHaW may include, for example, that regardless of a student's permanent address, a student may apply for HUSKY Health coverage based upon a school residential address. Additionally, SHaW has been informed that HUSKY Health recognizes gender affirming care as a qualifying life event and will share that information with students as appropriate. SHaW may also provide information about the UConn Student Health Insurance Plan (SHIP) and other potential insurance options. SHaW recognizes that some students may not meet the HUSKY Health eligibility criteria and has not identified a funding source for other insurance options or for the cost of reproductive services not covered by existing insurance.

- 6) **Maternal Mental Health Care:** SHaW has a mental health clinical case management team on both the Storrs and Stamford campuses that specifically focuses on identifying specialized mental health needs including, potentially, maternal mental health needs and connecting students to community-based resources. Students may also be referred to the SHaW website to access a range of mental health self-assessments and educational materials on maternal mental health. The SHaW team is in the process of examining a range of additional maternal mental health tools to determine those best suited to the demographics of student populations. Such assessments may be added to the existing suite of tools.

# INFORMATIONAL ITEMS

**University of Connecticut Department of Human Resources  
Hires Processed from October 31, 2023 to January 31, 2024  
Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Abreu Jiminian,Yeny	Business Ops Specialist 1	Agriculture,Health,Natural Rcs	12/29/2023
Adiram,Melisa Anastasia	U Ed Asst 2	Diversity and Inclusion	12/15/2023
Agyei,Akosua	Student Services Prog Coord	Enrichment Programs	11/17/2023
Albayati,Mohammed G	Postdoctoral Research Assoc	PW Inst Advanced Systems Engr	11/17/2023
Alfano,Zoe Elizabeth	Systems Administrator 2	Campus Technology Services	11/3/2023
Andalib,Payam	Asst Clinical Professor	Physiology and Neurobiology	1/12/2024
Barangan,Rhonda Leigh	Research Asst 3	CT Transportation Institute	12/1/2023
Bartlett,Shana	Admin Program Support 1	Humanities Institute	12/1/2023
Berghoff,Kristin Louise	Asst Clinical Professor	Nursing Instruct and Research	1/26/2024
Born,Heather Kathryn	U Ed Asst 2	Provost Academic Affairs	1/12/2024
Breeden,Amanda Leigh	Postdoctoral Research Assoc	UConn Library	12/1/2023
Breton,Paige	Ed Program Assistant 1	Economics	12/15/2023
Brown,Tyson David	Specialist IIA	Athletics MI Football	1/2/2024
Carvalho,Daniell L	Academic Asst 1	Agriculture,Health,Natural Rcs	1/12/2024
Cassetti,Sara Linton	Library Assistant 2	UConn Library	1/12/2024
Castellano,Kate Rose	Asst Research Professor	Systems Genomics Institute	1/12/2024
Cathcart,Scott Fraser	Computer Support Technician 1	Computing	11/17/2023
Chen,Xiaoling	Research Asst 3	Pharmaceutical Science	11/17/2023
Cheng,Gang	Postdoctoral Research Assoc	Eversource Energy Center	1/26/2024
Colon,Joseph	Systems Administrator 2	Enterprise Systems	1/12/2024
Constant,Charles David	U Staff Professional 2	Audit and Mgmt Advisory Svcs	1/12/2024
Cresci Callahan,Maureen	Librarian 4 - Manager	UConn Library	12/15/2023
Dagilis,Andrius Jonas	Asst Professor	Ecology and Evolutionary Bio	1/1/2024
Darling,Keri Lyn	Admin Program Support 3	Students with Disabilities Ctr	12/29/2023
Doocy,Victoria Rose	Research Asst 1	Physiology and Neurobiology	12/15/2023

**University of Connecticut Department of Human Resources  
Hires Processed from October 31, 2023 to January 31, 2024  
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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Doty,Emily	Laboratory Technician 2	Pathobiology	12/15/2023
Ellis,Jordan	Specialist IA	Athletics Strength Center	1/17/2024
Enyart,Rosellen Ferol	Ed Program Coordinator	Public Policy	1/26/2024
Fagon,Sherene N	Clinical Instructor	Nursing Instruct and Research	1/1/2024
Ferguson,Caroline	Postdoctoral Research Assoc	Materials Science Institute	1/12/2024
Ferraro,Joseph James	Computer Support Technician 1	CLAS Information Technology	12/15/2023
FitzGerald,Shawn Thomas	Dining Serv Area Asst Manager	Dining Services	1/12/2024
Friedeberg,Laura Anne	Ed Program Assistant 1	Law	12/29/2023
Gannuscio,Alexander	Admin Program Support 2	Environmental Sci and Engr Ctr	12/1/2023
Gianni,Vincent Anthony	Computer Support Specialist 2	Htfd Information Technology	1/26/2024
Gournaris,Kara Sue	Asst Professor in Res	Linguistics	1/1/2024
Gournaris,Vasiliki	Grants and Contracts Spec	Social Work	12/15/2023
Green,Conan Aram	Senior Admin Program Support	Innovations Institute	12/1/2023
Gu,Shengyun	Postdoctoral Research Assoc	Linguistics	12/29/2023
Gunter,Courtney Lee	Grants and Contracts Associate	CLAS Grant Management	12/1/2023
Habiba,Ummay	Postdoctoral Research Assoc	Tech Park	1/12/2024
Hamilton,Ciara Monique Danelle	Student Services Prog Assist 2	One Stop Student Services	12/1/2023
Hernon,Edward Joseph	Specialist IA	Athletics MI Football	1/22/2024
Hopkins,Sherri J	Clinical Instructor	Nursing Instruct and Research	1/1/2024
Huett,Briana Marie	Sen Ed Program Administrator	Outreach and Engagement Office	12/1/2023
Kingsbury,Julianna Marie	Assistant Teacher	Human Development Child Labs	11/3/2023
Klimek,Erica Laura	Sr Student Services Prog Admin	Students with Disabilities Ctr	12/1/2023
Knight,Nathan L.	Housing Coordinator	Residential Life	1/12/2024
Kocum,Heather Lynn	Mental Health Clinician	Student Health and Wellness	11/17/2023
Korb,Kyle R	Ed Program Assistant 1	UConn Marching Band	1/12/2024
Krynicky,Travis Jacob	Computer Support Technician 1	CLAS Information Technology	12/1/2023



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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Kurtz,Lauren Elizabeth	Research Asst 1	Plant Sci and Landscape Arch	1/12/2024
LaFleche,Beth P	Nurse	Student Health and Wellness	1/12/2024
Lamoureux,Matthew Joseph	Asst Professor in Res	Computing	1/1/2024
Landrigan,Jahn Paul	Laboratory Technician 2	Physiology and Neurobiology	1/12/2024
Levesque,Eric	Student Services Prog Assist 2	One Stop Student Services	12/1/2023
Lewis,Andrea	Dining Serv Area Asst Manager	Dining Services	11/3/2023
Leyden,Elizabeth George	Admin Program Support 2	University Safety	11/17/2023
Lightbringer,Phoenix Sylva	Research Asst 3	CT Advanced Computing Center	11/17/2023
Lin,Yi	Ed Program Administrator	Business Graduate Programs	11/17/2023
Love,Ashley	Postdoctoral Research Assoc	Ecology and Evolutionary Bio	2/1/2024
Lozinak,Gillian Fay	Sen Ed Program Administrator	Social Work Instruct and Rsrch	12/29/2023
Lucas,John	Senior Graphic Designer	Vice President for Research	11/3/2023
Mannella,Sarah Marie	Research Asst 1	Psychological Sciences	1/12/2024
Mara,Arlind	Research Assoc 1	Pathobiology	12/29/2023
Massarelli,Nicole Sara	Visiting Asst Professor	Mathematics	1/1/2024
Mazzanti,Dana Marie	U Staff Professional 2	Audit and Mgmt Advisory Svcs	1/12/2024
Melnik,Michael Jeremy	Ed Program Assistant 2	Communication	1/26/2024
Murphy,Mackenzie Rhaea	Ed Program Coordinator	Educational Psychology	12/1/2023
Naina Mohamed Basil,Seyad Shefrin	Postdoctoral Research Assoc	Molecular and Cell Biology	1/26/2024
Nitchke,Erich Mark	Research Asst 1	Marine Sciences	12/1/2023
Noonan,Thomas	Finance Manager 1	Fine Arts	1/26/2024
O'Brien,Nora E	Admin Program Support 1	Experiential Global Learning	11/17/2023
Ocasio,Ana Maria	Academic Advisor 1	ISS Cntr for Access PScnd Succ	1/12/2024
Ofodile,Vanessa Patrice	Senior Admin Program Support	Innovations Institute	11/3/2023
Ortiz,Brittney Louise	Student Services Prog Coord	Stamford Campus	1/12/2024

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Oryspayev,Dossay	Assoc Professor in Res	Computing	1/1/2024
Owusu,Lucy Okensema	Research Asst 1	Anthropology	1/12/2024
Papacoda,Patricia	Clinical Instructor	Nursing Instruct and Research	1/1/2024
Pfaffle,Kai Hope	Admin Program Support 1	Engineering	12/1/2023
Pruneau,Skyler	Research Asst 2	Social Work Instruct and Rsrch	12/29/2023
Qin,Hongchen	Postdoctoral Research Assoc	Civil and Environ Engineering	1/12/2024
Rich,Scott Benjamin	Asst Professor	Physiology and Neurobiology	1/1/2024
Richard,Darcy Dale	Admin Program Support 1	Biomedical Engineering	11/17/2023
Rizzo,Rosa Maria	Admin Program Support 2	Stamford Campus	12/1/2023
Rodrigues,Clarisa	Postdoctoral Research Assoc	Educational Psychology	1/17/2024
Rodriguez,Angela	Ed Program Coordinator	CT Small Bus Development Ctr	12/1/2023
Rowe,Armi Sevilla	Ed Program Assistant 1	Judaic Studies Center	11/17/2023
Rowlson,Jenna Melissa	U Ed Asst 2	Provost Academic Affairs	1/12/2024
Salvana,Mary Lai	Asst Professor	Statistics	12/15/2023
Santiago,Jubaldyzac	Computer Support Specialist 2	Htfd Information Technology	1/12/2024
Schremser,Brian P	Ed Program Coordinator	CETL	12/29/2023
Smiley,Joanna Fay	Ed Program Coordinator	Tech Comm and Industry Rltns	12/15/2023
Smith,Christianne Allison	Ed Program Assistant 2	Dramatic Arts	1/26/2024
Son,Changhee	Postdoctoral Research Assoc	Materials Science Institute	1/26/2024
Soto,Reynaldo Ramos	Build Inspec and Compl Offic	Fire Marshal and Bldg Inspect	11/17/2023
Stefanelli Jr,Andrew Paul	Specialist IA	Athletics Strength Center	1/17/2024
Stephenson,Lori	Dining Serv Area Asst Manager	Dining Services	1/12/2024
Tamrakar,Sonam	Research Asst 3	Ctr Open Research Resrcs/Equip	1/26/2024
Tatiwong,Kittichai	Dining Serv Area Asst Manager	Dining Services	1/12/2024
Tauro,Peter M	Media Producer 1	Athletics Business	11/3/2023

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<b>NAME</b>	<b>TITLE</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Tavousi,Pouya	Asst Research Professor	Materials Science Institute	1/26/2024
Thompson,Donna Maria	Academic Asst 3	Provost Academic Affairs	12/1/2023
Trosko,Katarzyna	Sen Ed Program Administrator	Social Work Instruct and Rsrch	12/29/2023
Tucker,Jaron Donte	Student Services Prog Mngr 3	Financial Aid	12/1/2023
Vallejo,Isaias	Postdoctoral Research Assoc	Physics	12/1/2023
van Feggelen,Barbara	Visiting Asst Professor	Lit, Cultures and Languages	1/1/2024
Van Lange,Sherry D	Research Asst 3	Nursing Instruct and Research	12/29/2023
Welz,Natasha Cherie-Owens	Mental Health Clinician	Student Health and Wellness	11/17/2023
Wenthen,Amanda Rae	Student Services Prog Coord	Orientation Services	1/26/2024
Xu,Nengneng	Asst Research Professor	Clean Energy Engineering Ctr	1/12/2024
Zlotnick,Kristine Lynn	Research Asst 1	Physiology and Neurobiology	12/15/2023

**University of Connecticut Department of Human Resources  
 Separations Processed from October 31, 2023 to January 31, 2024  
 Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Baudoin,Fabrice	Professor	Separation	Mathematics	1/2/2024
Breen,Kristen Rose	Student Services Prog Assist 2	Separation	UConn Recreation	12/10/2023
Bress,Keith Robert	Publicity/Marketing Coord	Separation	Liberal Arts and Sciences	12/2/2023
Brodbeck,Christian Markus	Asst Research Professor	Separation	Psychological Sciences	11/6/2023
Brown,Kevin Robert	IT Team Lead 2	Separation	Enterprise Systems	12/1/2023
Byers,David Seth	Assoc Professor	Separation	Social Work Instruct and Rsrch	12/31/2023
Carlson,Troy Larry	Nurse	Separation	Student Health and Wellness	10/27/2023
Casey,Catherine	Admin Program Support 3	Retirement	Waterbury Campus	1/1/2024
Changizi,Navid	Postdoctoral Research Assoc	Separation	Materials Science Institute	1/1/2024
Claybaugh,Zachariah Henry	Librarian 2	Separation	UConn Library	1/12/2024
Cobb,Hagen Lee	Audio Visual Technician 1	Separation	Student Union	12/12/2023
Coble,Denis A	Assoc Professor	Retirement	Allied Health Sciences	11/1/2023
Cormier,Vernon F	Professor	Retirement	Physics	1/1/2024
de Flumere,Amy	Admin Program Support 4	Retirement	Liberal Arts and Sciences	1/1/2024
Deng,Limin	Postdoctoral Research Assoc	Separation	Chemistry	12/19/2023
Drobney,Mark	Technical Operations Manager	Retirement	Ctr Open Research Resrcs/Equip	12/1/2023
Fischl,Pamela L	Ed Program Administrator	Separation	ISS 1st Yr Prog and Learn Cmty	12/15/2023
Fournier,Andrew T	U Staff Professional 3	Retirement	Police Department	1/1/2024
Freeman,Catharine H	Visiting Asst Professor - LAW	Separation	Law Instruction and Research	1/1/2024
Friis,Sarah Elizabeth	Sr Grants & Contracts Spclist	Separation	Sponsored Programs	12/16/2023
Fullerton,Joseph	U Staff Professional 2	Separation	Office of Sustainability	11/7/2023
Gan,Huijie	Asst Research Professor	Separation	Plant Sci and Landscape Arch	12/25/2023
Gaston,Phoebe Elizabeth	Postdoctoral Research Assoc	Separation	Psychological Sciences	11/3/2023
Gorbatyuk,Vitaliy	Academic Asst 5	Retirement	Ctr Open Research Resrcs/Equip	1/1/2024
Gossett,Jessika	Student Services Prog Assist 2	Separation	Veteran Military Prog and Svcs	12/30/2023
Greene,Owen	Research Asst 1	Separation	Physiology and Neurobiology	1/6/2024

**University of Connecticut Department of Human Resources**  
**Separations Processed from October 31, 2023 to January 31, 2024**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Harbison,John Christopher	Admin Program Support 2	Separation	Enrichment Programs	12/30/2023
Hardnett,Nisha Joshee	U Ed Asst 2	Separation	Provost Academic Affairs	1/1/2024
Hawkins,Rachel Morgan	Admin Program Support 1	Separation	UConn Library	1/12/2024
Hayes,Stacia B	Functional Analyst	Retirement	Registrar	1/1/2024
Heiner,Kylie Nikole	Grants and Contracts Associate	Separation	CT Transportation Institute	1/1/2024
Henderson,Wendy	Professor	Separation	Nursing Instruct and Research	12/31/2023
Hinton,Ryan Patrick	Sr Student Services Prog Admin	Separation	Veteran Military Prog and Svcs	11/3/2023
Hogan,Patrick C	DISTINGUISHED PROFESSOR	Retirement	English	1/1/2024
Hossain,Khondker Shourov	Visiting Instructor - LAW	Separation	Law Instruction and Research	1/1/2024
Kawaida,Yu	Postdoctoral Research Assoc	Separation	InCHIP	1/6/2024
Kayode,Oyindamola	Postdoctoral Research Assoc	Separation	Tech Park	1/2/2024
Kazel,Cameron	Applications Administrator 2	Separation	Enterprise Applications	12/26/2023
Kinnie,Brianna M	Research Asst 2	Separation	Psychological Sciences	11/1/2023
Kraus,Hilary	Librarian 2	Separation	UConn Library	12/15/2023
Larson,Matthew	Publicity/Marketing Admin	Separation	Global Communications and Mktg	1/6/2024
Li,Han	Data Administrator 3	Separation	Enterprise Systems	11/7/2023
Lin,Feng	Postdoctoral Research Assoc	Separation	Clean Energy Engineering Ctr	1/2/2024
Liu,Xiaoyang	Academic Asst 3	Retirement	Ctr Open Research Resrcs/Equip	11/1/2023
Lopez,Jillian	Contract Specialist 2	Separation	Sponsored Programs	1/3/2024
Makarska,Kora Maria	Research Asst 1	Separation	Psychological Sciences	11/4/2023
Mancarella,Stacey A	Publicity/Marketing Coord	Separation	University Communications	12/15/2023
Marsalisi,Jessica Nicole	Admin Program Support 1	Separation	Allied Health Sciences	1/5/2024
McGuire,Caroline E	U Staff Professional 3	Separation	Enrichment Programs	1/12/2024
McManus,Erin Marie	Admin Program Support 3	Separation	Students with Disabilities Ctr	10/27/2023
Merker,Samuel Alfred	Postdoctoral Research Assoc	Separation	Ecology and Evolutionary Bio	1/1/2024
Murphy,David	Research Assoc 1	Separation	CT Inst Resilience and Adapt	12/15/2023

**University of Connecticut Department of Human Resources**  
**Separations Processed from October 31, 2023 to January 31, 2024**  
**Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Murray,Matilda	Business Ops Specialist 1	Separation	Plant Sci and Landscape Arch	11/3/2023
Nerbonne,Beatrice	Admin Program Support 2	Retirement	Dining Services	1/1/2024
Nigro,Lisa M	Asst Research Professor	Separation	Systems Genomics Institute	1/12/2024
Ochoteco,Tania Y	Financial Analyst 1	Separation	Engineering	11/10/2023
Pareek,Vikas	Postdoctoral Research Assoc	Separation	Psychological Sciences	12/1/2023
Prather,Marisol V	Dining Serv Area Asst Manager	Separation	Dining Services	11/17/2023
Pryma,Jane Elizabeth	Asst Professor	Separation	Sociology	1/1/2024
Quasem,Nusaybah	Ed Program Assistant 1	Separation	Engineering	1/1/2024
Rathbun,Molly Elizabeth	Specialist IA	Separation	Athletics WI Softball	12/1/2023
Reynolds,Elizabeth Mulvihill	U Staff Professional 2	Separation	Dodd Human Rights Impact Pgms	12/21/2023
Robitaille,John P	Plan Dsgn Const Project S Mgr	Retirement	Planning, Design and Construct	11/1/2023
Rodriguez,Milca E	Admin Program Support 1	Separation	Social Work Instruct and Rsrch	12/1/2023
Sandy,Ashley Halpin	Finance Manager 2	Separation	UConn Library	12/1/2023
Santone Jr,Gregory Scott	Software Developer 2	Separation	CT Transportation Institute	1/1/2024
Schipani,Pamela D	Asst Vice President	Retirement	Student Life and Enrollment	12/1/2023
Shamirian,Robert James	Financial Assistant 2	Separation	Physics	1/1/2024
Shay,Christopher Wiley	Postdoctoral Research Assoc	Separation	Gladstein Fam Human Rights Ins	8/23/2023
Shoulson,Jeffrey	Professor	Separation	Lit, Cultures and Languages	11/13/2023
Stebbins,Kathryn	Computer Support Specialist 2	Separation	Business	10/20/2023
Sundt,John Michael	University Director	Separation	Procurement Services	10/21/2023
Thibodeau,Tiffany	Ed Program Coordinator	Separation	Chem and Biomolecular Engr	1/26/2024
Tocchi, Christine	Asst Professor	Separation	Nursing Instruct and Research	1/1/2024
Troisi,Daphne	Dining Serv Area Asst Manager	Separation	Dining Services	1/6/2024
Veninger-Robert,Jacqueline	Academic Asst 1	Separation	Museum of Natural History	11/2/2023
Volpe,Mary Concetta	Admin Program Support 1	Separation	Humanities Institute	11/7/2023
Walker,Desiree	Financial Assistant 2	Separation	Sponsored Programs	11/8/2023

**University of Connecticut Department of Human Resources  
 Separations Processed from October 31, 2023 to January 31, 2024  
 Presented to the Board of Trustees for Information on Professional Employees**

<b>NAME</b>	<b>TITLE</b>	<b>SEPARATION REASON</b>	<b>DEPARTMENT</b>	<b>DATE</b>
Wang,Xiaoyi N/A	Asst Research Professor	Separation	Pharmaceutical Science	11/19/2023
Watson,Jessica Marie	Clinical Instructor	Separation	Nursing Instruct and Research	12/27/2023
Wood,Renee Kristen	Admin Program Support 1	Separation	Innovations Institute	12/23/2023
Wright,Cheslea Nicole	Institutional Resrch Analyst 1	Separation	Budget, Plan and Inst Research	11/14/2023
Wu,Guangfu	Postdoctoral Research Assoc	Separation	Materials Science Institute	11/18/2023
Zhang,Lingyi	Postdoctoral Research Assoc	Separation	Electrical and Computer Engr	10/21/2023
Zott,Karen A	Ad Practice Registered Nurse	Separation	Student Health and Wellness	10/25/2023

**University of Connecticut Department of Human Resources**  
**Leaves of Absence Processed through 2/9/24**  
**Presented to the Board of Trustees for Information on Professional Employees**

NAME	TITLE	DEPARTMENT	DATES		REASON FOR LEAVE	PAY
			START	END		STATUS
Balatsky, Alexander	Professor	Physics	1/1/2024	12/31/2024	Research Leave	Partial Pay - 50%
McDowell, Paula	Lecturer 11 Mo	Allied Health Sciences	12/25/2023	2/4/2024	Bonding	Unpaid
Magnuson, James	Professor	Psychological Sciences	1/7/2024	5/21/2024	Research Leave	Partial Pay - 53%
Olinghouse, Natalie	Assoc Professor	Educational Psychology	8/23/2023	11/14/2023	Medical	Unpaid
Shoulson, Jeffrey	Professor	Lit, Cultures and Languages	8/1/2023	11/12/2023	Personal	Unpaid
Vaze,Abhay S	Lecturer	Chemistry	12/9/2023	1/8/2024	Personal Leave	Unpaid



# **COMMITTEE AGENDAS**

# AGENDA

Meeting of the  
**BUILDINGS, GROUNDS AND ENVIRONMENT COMMITTEE**  
February 22, 2024, at 12:00 p.m.  
University of Connecticut

Meeting held by Telephone

Public Call In Number:

(415) 655-0002 US Toll

Access Code: 2633 215 9103

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **12:00 p.m.**

1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Thursday, February 22, meeting must do so 24 hours in advance of the meeting's start time (i.e., 12:00 p.m. on Wednesday, February 21) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

- |   | <u>TAB</u> |
|---|------------|
| 2. Approval of the Minutes of the Buildings, Grounds and Environment Committee Meeting of November 28, 2023, as circulated  | 1          |
| 3. Construction Assurance Office Report – February 2024<br>➤ Presenter: Angelo Quaresima<br>Associate Vice President and Chief Audit Executive  | 2          |
| 4. New Annual Prequalification Program Review for Construction Managers and General Contractors<br>➤ Presenter: Joseph Thompson, Associate Vice President of University Business Services and Chief Procurement Officer                             | 3          |
| 5. Project Updates ~ Storrs Based Programs<br>➤ Presenter: Robert Corbett, Interim Associate Vice President of University Planning, Design & Construction<br>➤ Presenter: Stanley Nolan, Interim Associate Vice President for Facilities Operations | 4          |
| 6. UConn Health Updates, Facilities Development and Operations<br>➤ Presenter: George Karsanow, Associate Vice President for UConn Health Campus Planning, Design & Construction  | 5          |

7. **Projects Reviewed by BGE and to be presented to Financial Affairs on 02/28/24:**

<u>STORRS BASED PROGRAMS</u>	<u>Phase</u>	<u>Budget</u>	<u>Tab</u>
Werth Residence Tower High Humidity Mitigation	Design	\$1,500,000	6
Freitas Renovation	Revised Final	\$3,000,000	7
 <u>UCONN HEALTH</u>			
Labor & Delivery Infant Protection System Replacement	Planning	\$760,000	8
Building F & Building M Roof Replacement	Design	\$1,615,000	9
Southington Clinic Expansion	Design	\$1,325,000	10
LINAC Unit Replacement	Design	\$4,985,000	11
KB034-036 Research Lab Renovation	Final	\$760,000	12
Garage 1, 2 & 3 Electric Vehicle Charger Installation	Final	\$550,000	13
Connecticut Tower (CT) 7 <sup>th</sup> Floor Med-Surg/Observation Unit Renovation	Final	\$2,500,000	14
Outpatient Pavilion 3 <sup>rd</sup> Floor Backfill	Final	\$4,250,000	15
Outpatient Pavilion X-Ray & Blood Draw Relocation	Final	\$1,925,000	16
Musculoskeletal Institute Rehabilitation Expansion	Final	\$1,010,000	17

**INFORMATION ITEMS:**

8. Summary of Individual Change Orders Greater Than 3% of Project Cost (Storrs based projects) 18
9. Status of Code Correction Projects 19
  - Construction Management Oversight Committee Quarterly Code Correction Status Report – Code Exception Report
  - Quarterly Construction Status Report, Period Ending December 31, 2023
  - [https://updc.media.uconn.edu/wp-content/uploads/sites/1525/2024/02/UConn-Quarterly-Construction-Report\\_12312023\\_web.pdf](https://updc.media.uconn.edu/wp-content/uploads/sites/1525/2024/02/UConn-Quarterly-Construction-Report_12312023_web.pdf)
10. Construction Projects Status Report  
<https://bpir.media.uconn.edu/wp-content/uploads/sites/3452/2024/02/Construction-Status-Report-2.28.24.pdf>
11. Other Business
12. Executive Session (*As Needed*)
13. Adjournment

## SPECIAL TELEPHONE MEETING

### UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

#### COMMITTEE ON COMPENSATION

#### AGENDA

Meeting held by Telephone

December 22, 2023

Public Call In Number:  
(415) 655-0002 US Toll  
Access Code: 2630 942 7224

Public Access Link (with live captioning):  
<http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

#### **Call to order at 10:30 a.m.**

1. Public Participation (limited to agenda items)\*

\*Individuals who wish to address the Committee during the Public Participation portion of the Friday, December 22, 2023, meeting, limited to agenda items, must submit a request in writing 24 hours in advance of the start of the meeting (by Thursday, December 21 at 10:30 a.m.) to the following email address: [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

2. Minutes from the August 18, 2023, Special Meeting

3. Executive Session

4. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

## AGENDA

Meeting of the  
**CONSTRUCTION MANAGEMENT OVERSIGHT COMMITTEE**  
February 22, 2024, at 12:00 p.m.  
University of Connecticut

Meeting held by Telephone

Public Call In Number:  
(415) 655-0002 US Toll  
Access Code: 2633 215 9103

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **12:00 p.m.**

1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Thursday, February 22, meeting must do so 24 hours in advance of the meeting's start time (i.e., 12:00 p.m. on Wednesday, February 21) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

2. Construction Management Oversight Committee Membership

3. Executive Session (*As Needed*)

4. Adjournment

## SPECIAL TELEPHONE MEETING

### UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES COMMITTEE FOR DIVERSITY, EQUITY and INCLUSION

#### AGENDA

Meeting held by Telephone

December 11, 2023

Public Call-in Number: (415) 655-0002 US Toll

Access Code: 2633 530 3805

Public Streaming Link (with live captioning): <http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **3:30 p.m.**

1. Public Participation (limited to agenda items)\*

\*Individuals who wish to speak during the Public Participation portion of the Monday, December 11, meeting must do so 24 hours in advance of the meeting's start time (i.e., 3:30 p.m. on Friday, December 8) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of the public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments via [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes from the May 23, 2023, Special Meeting

3. Welcome and Introductions of Committee Members – Dr. Andrea Dennis-LaVigne, Vice-Chair

4. Bias-Incident Updates – Dr. Fany Hannon, Interim Dean of Students

5. ODI Updates – Dr. Franklin Tuitt, Vice President and Chief Diversity Officer,  
Office for Diversity and Inclusion

a. DEIJ at UConn Health – Dr. Jeffrey Hines, UConn Health Associate Vice President and  
Chief Diversity Officer

6. Recent Grants Received by UConn that Benefit Students from Underrepresented Communities

a. Synchrony's Equity-focused Student Support Initiative Grant – Dr. Tadarrayl Starke,  
Associate Vice Provost

b. Hispanic Serving Institution Grant at UConn Waterbury – Dr. Tadarrayl Starke

c. Asian American and Native American Pacific Islander – Serving Institution Grant  
at UConn Hartford – Angela Rola, Director, Asian American Cultural Center

d. Impact of these grants on the Regional Campuses – Dr. Mark Overmyer-Velazquez,  
Campus Dean and Chief Administrative Officer at UConn Hartford

7. Multiple Campus Ad Hoc Committee – Angela Rola

8. Executive Session (as needed)

9. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

**SPECIAL TELEPHONE MEETING**  
**UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES**  
**HONORS AND AWARDS COMMITTEE**

**AGENDA**

Meeting held by Telephone  
Public Call In Number:  
(415) 655-0002 US Toll  
Access Code: 2630 641 4699

February 7, 2024

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **3:00 p.m.**

1. Public Participation (limited to agenda items)\*  
\* Individuals who wish to speak during the Public Participation portion of the Wednesday, February 7, meeting, limited to agenda items, must do so 24 hours in advance of the meeting's start time (i.e., 3:00 p.m. on Tuesday, February 6) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.
2. Minutes of the November 27, 2023, Special Meeting
3. Executive Session
4. Honorary Degree Nominees
5. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

## Telephone Meeting

University of Connecticut Board of Trustees  
Institutional Advancement Committee  
Tuesday, February 6, 2024, at 1:00 p.m.

### AGENDA

Meeting held by Telephone

Join By Phone: +1-415-655-0002

Access Code: 2631 985 3087

Public Streaming Link (with live captioning): <http://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

1. Public Participation\*

\*Individuals who wish to speak during the Public Portion of the Tuesday, February 6, meeting must do so 24 hours in advance of the meeting's start time (1:00 p.m. on Monday, February 5) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

2. Minutes from the October 10, 2023, Meeting  
**Attachment 1**

Dr. Dennis-LaVigne

3. Naming Resolutions  
**Attachment 2**

Ms. Yancey

Marlene L. Cohen and Jerome H. Fleisch Chair in Vascular Biology  
RTX Technology Research Center

4. Legislative Update

Ms. Lombardo

5. UConn Foundation / Alumni Update

Ms. Cotton Kelley /  
Ms. Yancey

6. University Communications Update

Mr. Kendig

7. Other Business

8. Executive Session (as needed)

9. Adjournment



**University of Connecticut & UConn Health  
Joint Audit & Compliance Committee Meeting**

**December 21, 2023**

**Agenda**

10:00 am – 10:30 am - Executive Session / 10:30 am – 12:00 pm - Public Session

**Meeting held by Telephone**

Public Call in # +1-415-655-0002 US Toll

Access code: 2630 190 4269

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Topic	Proposed Action	Attachment
Executive Session Anticipated	Review	None
<b>1. GENERAL</b>		
<ul style="list-style-type: none"> <li>• Public Comment*</li> </ul>		None
<ul style="list-style-type: none"> <li>• Minutes from the September 21, 2023, Meeting</li> </ul>	Approval	1.1
<b>2. EXTERNAL AUDIT ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Status of External Audit Engagements</li> </ul>	Update	2.1
<ul style="list-style-type: none"> <li>• James Moore &amp; Co (JMCO) – Approval to Extend the Appointment of James Moore &amp; Company for the fiscal years ended June 30, 2024 and 2025</li> </ul>	Approval	2.2
<ul style="list-style-type: none"> <li>• James Moore &amp; Co (JMCO) – Independent Accountant's Report on Applying Agreed-Upon Procedures Performed on the Division of Athletics as Required by NCAA Bylaw 3.2.4.17.1 for Fiscal Year Ended June 30, 2023</li> </ul>	Presentation Report	2.3 2.4
<ul style="list-style-type: none"> <li>• CliftonLarsonAllen LLP (CLA) – Audits of Financial Statements for the Fiscal Year Ended June 30, 2023, of UConn Health's John Dempsey Hospital, University Medical Group &amp; Finance Corporation</li> </ul>	Presentation JDH Report UMG Report FC Report	2.5 2.6 2.7 2.8
<ul style="list-style-type: none"> <li>• Auditors of Public Accounts - Annual Comprehensive Financial Reports for UConn and UConn Health for the Year Ended June 30, 2023</li> </ul>	Presentation UC Report UH Report	2.9 2.10 2.11
<ul style="list-style-type: none"> <li>• Auditors of Public Accounts - UConn Health Departmental Audit for Fiscal Years Ended June 30, 2021 and 2022 <a href="#">University of Connecticut Health Center FULL 20231109 FY2021,2022.pdf</a></li> </ul>	Presentation	2.12
<b>3. SIGNIFICANT INTERNAL AUDIT ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Status of Audit Assignments</li> </ul>	Update	3.1
<ul style="list-style-type: none"> <li>• Status of Audit Findings</li> </ul>	Update	3.2
<b>4. COMPLIANCE ACTIVITIES</b>		
<ul style="list-style-type: none"> <li>• Mandatory Workforce COVID-19 Vaccination Policy -Storrs and Regionals</li> </ul>	Approval	4.1
<ul style="list-style-type: none"> <li>• Significant Compliance Activities</li> </ul>	Update	4.2
<ul style="list-style-type: none"> <li>• University Compliance Data and Trends - 2023 Annual Report</li> </ul>	Presentation	4.3
<ul style="list-style-type: none"> <li>• Healthcare Compliance and Privacy Dashboard</li> </ul>	Presentation	4.4
<ul style="list-style-type: none"> <li>• Informational/Educational Items</li> </ul>	Informational	4.5
<b>5. INFORMATION TECHNOLOGY</b>		
<ul style="list-style-type: none"> <li>• UConn</li> </ul>	Update	5.1
<ul style="list-style-type: none"> <li>• UConn Health</li> </ul>	Update	5.2

University of Connecticut & UConn Health  
**Joint Audit & Compliance Committee Meeting**  
December 21, 2023

**Agenda**

10:00 am – 10:30 am - Executive Session / 10:30 am – 12:00 pm - Public Session

**Meeting held by Telephone**

Public Call in # +1-415-655-0002 US Toll  
Access code: 2630 190 4269

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

<b>6. OTHER BUSINESS</b>		
• JACC Meeting Schedule for Calendar Year 2024	Informational	6.1
<b>7. ADJOURNMENT</b>		

\* Individuals who wish to speak during the Public Participation portion of the Thursday, December 21, meeting must do so 24 hours in advance of the meeting's start time (i.e., 10:00 a.m. on Wednesday, December 20) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comments to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

## Telephone Meeting

### University of Connecticut Board of Trustees Committee for Research, Entrepreneurship and Innovation

Thursday, February 15, 2024

#### AGENDA

Meeting held by Telephone:

Public Call in #: (415) 655-0002 US Toll

Access Code: 2632 880 1864

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)

Call to order at **1:00 p.m.**

1. Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Thursday, February 15, meeting must do so 24 hours in advance of the meeting's start time (i.e., 1:00 p.m. on Wednesday, February 14) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of the public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments via [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes from the December 7, 2023, Meeting

3. Research Updates – Pamir Alpay, Vice President for Research, Innovation, & Entrepreneurship

4. Technology Commercialization Services | UConn Start-up Companies

Diant Pharma

Dr. Diane Burgess, Founder | Board of Trustees Distinguished Professor

Dr. Antonio Costa, Founder and CEO | Assistant Research Professor

Shrinking Tumors, Expanding Hope: The Big Impact of Encapsulate's Tiny Tech

Dr. Armin T. Rad, Co-founder and CEO

Dr. Leila Daneshmandi, Co-founder and COO | Assistant Professor-in-Residence

5. Other Business

6. Executive Session (as needed)

7. Adjournment

PLEASE NOTE: *If you are an individual with a disability and require accommodations, please e-mail the Board of Trustees Office at [boardoftrustees@uconn.edu](mailto:boardoftrustees@uconn.edu) prior to the meeting.*

## TELEPHONE MEETING

### UNIVERSITY OF CONNECTICUT BOARD OF TRUSTEES

#### STUDENT LIFE COMMITTEE

February 7, 2024, 4:00 p.m.

#### AGENDA

##### Meeting held by Telephone

Public Call-In Number:  
(415) 655-0002 US Toll  
Access Code: 2632 542 3880

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

1. Public Participation\*

\* Individuals who wish to speak during the Public Participation portion of the Wednesday, February 7, meeting must sign up 24 hours in advance of the meeting's start time (i.e., 4:00 p.m. on Tuesday, February 6) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu), and all comments will be transmitted to the Committee.

2. Minutes from the November 29, 2023, Meeting

3. Standing Updates:

- Student Life and Enrollment Update
- Provost and Dean of Students Update

4. Okanagan Charter and Resolution

5. JED Campus Site Visit

6. Family and Parent Communications

7. Informational Item:

- Public Act No. 23-41  
(an act concerning access to reproductive health care by students  
at public institutions of higher education)

8. Other Business

9. Executive Session (as needed)

10. Adjournment

# Academic Affairs

# TELEPHONE MEETING

## Board of Trustees ACADEMIC AFFAIRS COMMITTEE

University of Connecticut

February 27, 2024

8:30 a.m.

### AGENDA

#### Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2634 435 5753

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website <https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **8:30 a.m.**

#### 1. Public Participation\*

\* Individuals who wish to speak during the Public Participation portion of the Tuesday, February 27, meeting must do so 24 hours in advance of the meeting's start time (i.e., 8:30 a.m. on Monday, February 26) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

#### ACTION ITEMS

2. Minutes from the December 5, 2023, Meeting (Attachment 1)
3. Designation of Emeritus Status (Attachment 2)
4. Sabbatical Leave Recommendations (Attachment 3)
5. Establishment of the Department of Geography, Sustainability, Community and Urban Studies in the College of Liberal Arts and Sciences (Attachment 4)
6. Establishment of the Department of Social and Critical Inquiry in the College of Liberal Arts and Sciences (Attachment 5)

7. Appointment of Professor Bahram Javidi to the SNET Professor of Communications and Information Technology in the College of Engineering (Attachment 6)
8. Appointment of Professor Stephany Santos to the Vergnano Endowed Chair for Inclusion in the College of Engineering (Attachment 7)
9. Appointment of Professor Cristina Wilson to the Judith M. and Henry M. Zachs Chair in Social Work (Attachment 8)
10. Naming Recommendation for the Marlene L. Cohen and Jerome H. Fleisch Chair in Vascular Biology in the School of Medicine (Attachment 9)

### **INFORMATIONAL ITEMS**

11. Academic Program Inventory (Attachment A)
12. Faculty Consulting Program (Attachment B)
  - The University of Connecticut Consulting Program FY23 Annual Report
  - Report on the University of Connecticut's compliance with CGS 1-84(r) Faculty Consulting Program: Report Issued by the Faculty Consulting Oversight Committee
13. U.S. News Reporting for UConn Law
14. Executive Session
15. Other Business
16. Adjournment

**ATTACHMENT A**



February 27, 2024

TO: Members of the Board of Trustees

FROM: Anne D'Alleva, Ph.D.  
Provost and Executive Vice President for Academic Affairs



RE: Academic Program Inventory

**BACKGROUND:**

In accordance with Connecticut General Statutes § 185-10a-35a, authority over establishment of new academic programs, the the Board of Trustees for The University of Connecticut is provided with the authority, “to review and approve recommendations for the establishment of new academic programs at the university.” These programs are reported to the Office of Higher Education, which maintains an inventory of approved academic programs offered by public and independent colleges and universities in Connecticut.

Core information provided on these program listings (credential type, locations and modalities in which programs are offered, program names, and CIP (Classification of Instructional Programs) codes) is vital for students and for external reporting.

The Provost presents changes to the name or CIP code of programs, the addition or removal of locations or modalities, and the termination of programs are all provided to the Board for informational purposes. Such changes have already been approved through appropriate shared governance bodies in schools and colleges, and by the Council of Deans.

**ACADEMIC INVENTORY CHANGES:**

College of Agriculture, Health, and Natural Resources:

- Undergraduate major in Sustainable Plant and Soil Systems CIP code change *from* 01.0308 (Agroecology and Sustainable Agriculture) *to* 01.1101 (Plant Sciences, General). Effective term: summer 2024
- Undergraduate major name change *from* Sustainable Plant and Soil Systems to Plant Science major name change. Effective term: summer 2025.

College of Liberal Arts and Sciences:

- Undergraduate major name change *from* French *to* French and Francophone Studies. Effective term: summer 2024.

Neag School of Education:

- Program termination of the following BA degree options in the Integrated Bachelors/Masters teacher preparation program (no BA degrees have been awarded in these programs in many years): ASL Education Biology; Education Chemistry; Education English; Education French Education; Earth Science Education; German Language Education; History/Social Studies; Education Mathematics Education; Spanish Education; Physics Education. Effective term: summer 2024.

School of Business:

- Program termination of BS in Digital Marketing and Analytics (this was replaced by a BS in Marketing Management, which has been offered since AY20/21). Effective term: summer 2024.

The Graduate School:

- Master of Science (MS) degree name change *from* Natural Resources: Land, Water, and Air *to* Natural Resources and the Environment. Effective term: fall 2024.
- Doctor of Philosophy (PhD) degree name change *from* Natural Resources: Land, Water, and Air *to* Natural Resources and the Environment. Effective term: fall 2024.
- Program termination: Graduate Certificate in Applied Behavior Analysis. Effective term: fall 2024
- Graduate Certificate in Power Grid Modernization, CIP code change *from* 15.0503 (Energy Management and Systems Technology/Technician) *to* 14.4801 (Energy Systems Engineering, General). Effective term: summer 2024.
- MS in Nursing, concentration name change *from* Nurse Leader *to* Nursing Administration & Leadership. Effective term: fall 2024.
- Graduate Certificate, Nurse Leader name change *to* Graduate Certificate, Nursing Administration & Leadership. Effective term: fall 2024.

# Financial Affairs

# AGENDA

Meeting of the  
**FINANCIAL AFFAIRS COMMITTEE**  
**February 27, 2024, at 4:00 p.m.**  
**University of Connecticut**

Meeting held by Telephone

Public Call-In Number:

(415) 655-0002 US Toll

Access Code: 2633 198 4060

Public Streaming Link (with live captioning): <https://ait.uconn.edu/bot>

*(A recording of the meeting will be posted on the Board website  
<https://boardoftrustees.uconn.edu/> within seven days of the meeting.)*

Call to order at **4:00 p.m.**

1) Public Participation\*

\*Individuals who wish to speak during the Public Participation portion of the Tuesday, February 27, meeting must do so 24 hours in advance of the meeting's start time (i.e., 4:00 p.m. on Monday, February 26) by emailing [BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu). Speaking requests must include a name, telephone number, topic, and affiliation with the University (i.e., student, employee, member of the public). The Committee may limit the entirety of public comment to a maximum of 30 minutes. As an alternative, individuals may submit written comments to the Committee via email ([BoardCommittees@uconn.edu](mailto:BoardCommittees@uconn.edu)), and all comments will be transmitted to the Committee.

**ACTION ITEMS:**

- |   |   |
|---|---|
| 2) Minutes of the December 5, 2023, Meeting | 1 |
| 3) Contracts and Agreements for Approval    | 2 |

**CAPITAL PROJECT BUDGETS FOR APPROVAL:**

<b><u>STORRS BASED PROGRAMS</u></b>		<u>Phase</u>	<u>Budget</u>	
4) Werth Residence Tower High Humidity Mitigation		Design	\$1,500,000	3
5) Freitas Renovation		Revised Final	\$3,000,000	4
<b><u>UCONN HEALTH</u></b>				
6) Labor & Delivery Infant Protection System Replacement		Planning	\$760,000	5
7) Building F & Building M Roof Replacement		Design	\$1,615,000	6
8) Southington Clinic Expansion		Design	\$1,325,000	7
9) LINAC Unit Replacement		Design	\$4,985,000	8
10) KB034-036 Research Lab Renovation		Final	\$760,000	9

	<u>Phase</u>	<u>Budget</u>	
11) Garage 1, 2 & 3 Electric Vehicle Charger Installation	Final	\$550,000	10
12) Connecticut Tower (CT) 7 <sup>th</sup> Floor Med-Surg/ Observation Unit Renovation	Final	\$2,500,000	11
13) Outpatient Pavilion 3 <sup>rd</sup> Floor Backfill	Final	\$4,250,000	12
14) Outpatient Pavilion X-Ray & Blood Draw Relocatio	Final	\$1,925,000	13
15) Musculoskeletal Institute Rehabilitation Expansion	Final	\$1,010,000	14

**PRESENTATION ITEM:**

16) Budget Update 15

**INFORMATION ITEMS:**

17) Contracts and Agreements for Information 16

18) UCONN 2000 Book 57

<https://bpir.media.uconn.edu/wp-content/uploads/sites/3452/2024/02/UCONN-2000-BOOK-57-FINAL.pdf>

19) Construction Project Status Report

<https://bpir.media.uconn.edu/wp-content/uploads/sites/3452/2024/02/Construction-Status-Report-2.28.24.pdf>

20) FY24 Capital Project Expenditure Report as of 12/31/23

<https://bpir.media.uconn.edu/wp-content/uploads/sites/3452/2024/02/Cap-Proj-Exp-Report-12-31-23.pdf>

21) Other Business

22) Executive Session (*as needed*)

23) Adjournment

**ATTACHMENT A**

AGREEMENTS  
FOR INFORMATION  
FEBRUARY 28, 2024

**PROCUREMENT - NEW\* FOR INFORMATION**

*\*The Administration is seeking approval to enter into contracts based on the material terms and conditions identified below, subject to final legal review.*

CONSTRUCTION SERVICES - GENERAL CONTRACTOR												
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Purpose				CT Based	S/MBE
1	G.L. Capasso, Inc.	FO500161	\$805,300	11/16/23-05/13/24	Operating Funds	Robert Corbett, Interim AVP, Planning, Design & Construction	General contracting services to provide exterior repairs to the Wilbur Cross Building Cupola located on the Storrs Campus. Project No. FO500161 Wilbur Cross Cupola Repair. <b>(Final Budget approved by the BOT on 10/25/23-\$932,000)</b>				X	X

**PROCUREMENT - AMENDMENTS\* FOR INFORMATION**

CONSTRUCTION SERVICES - CONSTRUCTION MANAGER												
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
2	The Whiting-Turner Contracting Company	300136	\$10,332,800 [Contract Value Previously \$199,900; Total New Contract Value \$10,532,800]	02/09/23-07/15/25	UCONN 2000	Robert Corbett, Interim AVP, Planning, Design & Construction	\$10,532,800	\$0	\$0	Project No. 300136 Eversource Second Electrical Feed to provide construction manager services to complete electrical infrastructure upgrades located on the Storrs Campus. Contract value increase requested to support ongoing project. <b>Amend to increase contract value \$10,332,900, for total new contract value of \$10,532,800.</b> Contract term remains the same. <b>(Final Budget approved by the BOT for project 300136 - 09/27/23 - \$15,000,000)</b> Contract increase is requested to provide funding for a GMP amendment for Phase 1 scope of work to allow for the purchase of electrical equipment and labor required to prep and install the equipment upon arrival.		

**EQUIPMENT RENTAL**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
3	United Rentals	NJPA 062320-URI	\$400,000 [Contract Value Previously \$500,000; Total New Contract Value \$900,000]	08/28/20 - 08/27/24	Multiple Sources	Joseph M. Thompson AVP University Business Services and Chief Procurement Officer	\$568,226	\$216,194	\$165,513	Equipment rentals (including but not limited to scissor lifts, temporary boilers, skid steers, and tables and chairs) to meet a wide variety of needs at all of the University's campus locations. <b>Amend to increase contract value \$400,000, for a new contract value of \$900,000.</b> Contract term remains the same. Increase request is to cover the continued equipment rental for the remainder of the contract term. Sourced through the Sourcwell consortium. Future extensions may be exercised at the discretion of the consortium.		

**HVAC FILTERS**

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
4	Filter Sales & Service	G19-MC14	\$400,000 [Contract Value Previously \$500,000; Total New Contract Value \$900,000]	10/10/18 - 05/31/24	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$596,525	\$437,328	\$65,223	HVAC filtration products and services to meet the needs at all of the University's campus locations. <b>Amend to increase contract value \$400,000, for a new contract value of \$900,000.</b> Contract term remains the same. Increase request is to cover the continued service for the remainder of the contract term. Sourced through MHEC (Massachusetts Higher Education) consortium. Future extensions may be exercised at the discretion of the consortium.		

AGREEMENTS  
FOR INFORMATION  
FEBRUARY 28, 2024

INTERNET SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
5	University Corporation for Advanced Internet Development (aka Internet2)	SO41119	\$0 [Contract Value Previously \$950,000; Contract Value Remains the Same]	01/01/20-12/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$615,506	\$104,988	\$101,931	Purchase and use of Abilene Internet Protocol (IP) packets to be utilized by Connecticut Education Network (CEN) and also available to all University campuses. Contract value remains the same. <b>Amend to extend contract term one year, through 12/31/24.</b> Contract value remains the same. Sourced through a Membership Agreement with University Corporation for Advanced Internet Development (UCAID). Contract term is being extended in accordance with current agreement to provide continuity of service. Six extensions of one year each remain.		
MOTOR VEHICLES MAINTENANCE REPAIR AND OEM PARTS												
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
6	Columbia Ford	KS040519-3	\$250,000 [Contract Value Previously \$250,000; Total New Contract Value \$500,000]	08/15/19 - 06/30/24	Multiple Sources	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$261,141	\$127,119	\$61,024	Motor vehicle maintenance, repair services and OEM parts for the University's fleet. <b>Amend to increase contract value \$250,000, for a new contract value of \$500,000.</b> Contract term remains the same. Increase is requested to cover the continued needs of the University through the remainder of the contract term.	X	
ON-CALL CONSULTANT SERVICES - GENERAL ARCHITECTURAL SERVICES												
No.	Contractor	Contract No.	New Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
7	Mitchell Giurgola Architects, LLP	009.2-12-NV-043024	No Value	05/01/21-04/30/24	Multiple Sources	Robert Corbett, Interim AVP, Planning, Design & Construction	\$1,072,940	\$72,700	\$99,800	On-call consultant general architectural services to be used at all UConn campuses to provide project support, as needed. This "No Value" contract is being presented for informational purposes to report spend to date. Zero extensions remain.	X	
ON-CALL TRADE SERVICES - TELECOMMUNICATIONS												
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
8	Mercury Cabling Systems, LLC d/b/a The Mercury Group	159-4-NV-022823	No Value	02/26/20-12/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$469,543	\$83,498	\$102,573	Telecommunications systems services to be used at all UConn campuses to provide project support, as needed. <b>Amend to extend contract term one year, through 12/31/24</b> and to report spend to date. This contract is used for bidding of projects under \$500k for telecommunications services and for on-call assignments for projects under \$500k, as needed, included Project # IT1252 UConn Law School - Hosmer Hall Wireless Access Layer Refresh (WALR) project. Contract to remain active for potential assignments in on-call program category group. Zero extensions remain.	X	X



AGREEMENTS  
FOR INFORMATION  
FEBRUARY 28, 2024

ON-CALL TRADE SERVICES - TELECOMMUNICATIONS (Cont'd)												
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
9	Northeastern Communications & Electrical, LLC	159-5-NV-022823	No Value	02/26/20-12/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$668,667	\$48,907	\$463,942	Telecommunications systems services to be used at all UConn campuses to provide project support, as needed. <b>Amend to extend contract term one year, through 12/31/24</b> and to report spend to date. This contract is used for bidding of projects under \$500k for telecommunications services and for on-call assignments for projects under \$500k, as needed, included Project # IT1252 UConn Law School - Hosmer Hall Wireless Access Layer Refresh (WALR) project. Contract to remain active for potential assignments in on-call program category group. Zero extensions remain.	X	X
10	SystemOne, LLC	159-6-NV-022823	No Value	02/26/20-12/31/24	Multiple Sources	Michael Mundrane, Vice President and Chief Information Officer	\$302,233	\$85,457	\$104,435	Telecommunications systems services to be used at all UConn campuses to provide project support, as needed. <b>Amend to extend contract term one year, through 12/31/24</b> and to report spend to date. This contract is used for bidding of projects under \$500k for telecommunications services and for on-call assignments for projects under \$500k, as needed, included Project # IT1252 UConn Law School - Hosmer Hall Wireless Access Layer Refresh (WALR) project. Contract to remain active for potential assignments in on-call program category group. Zero extensions remain.	X	X

RECREATION FIELDS MAINTENANCE

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
11	Flowers Landscape Development Inc.	SK112219	\$200,000 [Contract Value Previously \$500,000; Total New Contract Value \$700,000]	05/01/20 - 10/31/25	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$473,819	\$135,442	\$138,378	Vendor provides services to supplies and applies fertilizer and weed control treatments, supply spring clean-up, perform start-up and winterization of field irrigations systems, including repairs as needed, and supply weekly maintenance and line-stripping to the designated fields at the Storrs and Depot Campus. <b>Amend to increase contract value \$200,000, for total new contract value of \$700,000.</b> Contract term remains the same. Increase request is to cover the continued needs for the remainder of the contract term.	X	X

SLUDGE, CAKE HAULING AND DISPOSAL SERVICES

No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose	CT Based	S/MBE
12	Willimantic Waste	KS012521	\$300,000 [Contract Value Previously \$500,000; Total New Contract Value \$800,000]	07/01/21-06/30/26	Operating Funds	Stanley Nolan, Interim AVP Facilities Operations & Building Services	\$540,944	\$250,668	\$174,076	Hauling and disposal service required for the removal and disposal of by-products generated from the University wastewater treatment plant. <b>Amend to increase contract value \$300,000, for total new contract value of \$800,000.</b> Contract term remains the same. Contract increase is requested to cover the continued needs for the remainder of the contract term.		

AGREEMENTS  
FOR INFORMATION  
FEBRUARY 28, 2024

STANDARD FIXED-FEE ARCHITECTS' CONTRACTS											CT Based	S/MBE
No.	Contractor	Contract No.	Approval Amount	Term	Fund Source	Program Director	Total Expenditures as of 01/01/24	Expenditures FY23	Expenditures FY22	Purpose		
13	Asplundh Engineering Services, LLC	300136	\$79,360 <i>(Contract Value Previously \$1,122,719; Total New Contract Value \$1,202,079)</i>	06/06/22-12/31/25	UCONN 2000	Robert Corbett, Interim AVP, Planning, Design & Construction	\$1,202,079	\$196,672	\$0	Provide additional design services for Project No. 300136 Eversource Second Electrical Feed located at the Storrs Campus. <b>Amend to increase contract value \$79,360, for total new contract value of \$1,202,079.</b> Contract term remains the same. <b>(Final Budget approved by the BOT for Project 300136 - 09/27/23 - \$15,000,000).</b> Contract increase is requested to allow for additional design services.		
14	Goody Clancy	901803	\$160,000 <i>(Contract Value Previously \$14,525,313; Total New Contract Value \$14,685,313)</i>	08/03/15-12/31/26	Multiple	Robert Corbett, Interim AVP, Planning, Design & Construction	\$14,685,313	\$0	\$735,535	Provide additional design services for Project 901803 Gant Building Renovations located at Storrs Campus. <b>Amend to increase contract value \$160,000, for total new contract value of \$14,685,313.</b> Contract term remains the same. <b>(Revised Final Budget approved by the BOT 06/26/19 - \$170,000,000).</b> Contract increase is requested to allow for additional design services.		

**ATTACHMENT B**

UConn  
2000

57 REPORT



**UConn**  
UNIVERSITY OF CONNECTICUT



Thanks in good measure to UConn 2000, the University continues to attract scores of the state and nation's best and brightest students to Connecticut, most of whom live and work in the Nutmeg State after commencement.

# **UCONN 2000**

## **REBUILDING, RENEWING AND ENHANCING THE UNIVERSITY OF CONNECTICUT**

**January 2024**

The **Fifty-Seventh**  
in a series of reports to

Governor Ned Lamont  
and the  
Connecticut General Assembly

UNIVERSITY OF CONNECTICUT

## **UCONN 2000: THE UPDATE**

This is the fifty-seventh in a series of semi-annual reports to the Governor and the General Assembly pursuant to the provisions of Sections 10a-109 through 10a-109y of the Connecticut General Statutes, originally An Act to Enhance the Infrastructure of the University of Connecticut, now known as UCONN 2000. These reports have been issued biannually since passage of UCONN 2000 on June 7, 1995. The law also requires a four-year progress report, which was filed on January 15, 1999, as well as two five-year performance reviews, which were submitted January 15, 2006 and January 15, 2011. The Act further provides that not later than December 31, 2019 and every 5 years thereafter, the University shall conduct an assessment of the University's progress in meeting the purposes set forth and incorporated in the Act by Next Generation Connecticut.

## **UCONN 2000: PROGRAM IMPROVEMENT**

During this reporting period, the requirements concerning UCONN 2000 contained in Public Act 06-134 continue to be implemented. In 2008, the Construction Management Oversight Committee (CMOC) concluded its most significant and major undertaking, approving the policies and procedures developed by the University. In December 2014, the CMOC unanimously voted that the CMOC had successfully concluded its work as defined in statute and the four members appointed by the Governor and legislative leaders resigned from the committee. Despite requests to refill vacancies, no new appointments have been made and the committee has not been able to meet due to the lack of a quorum. Absent the appointments being made, the UConn Board of Trustees voted to transfer the CMOC's responsibilities to the Board's Buildings, Grounds and Environment Committee (BGE). BGE meets on a regular basis to review reports from the Office of Audit and Management Advisory Services (AMAS), which has taken on the statutory responsibilities of the Office of Construction Assurance. The office reviews the University's management of projects for conformance with the applicable policies and procedures governing construction, and is headed by the Chief Audit Executive, who reports to the Joint Audit & Compliance Committee, UConn Board of Trustees and the President. BGE also reviews the status of major projects and remaining code correction programs.

Pursuant to Chapter 185b, Section 10a-109z of the Connecticut General Statutes (the Statute), the Board of Trustees for the University of Connecticut appointed the independent accounting firms of Marcum LLP (formerly UHY LLP), and RSM US LLP (formerly McGladrey LLP), to perform audits of the UCONN 2000 project expenditures for the Fiscal Years 2005 through 2010 and 2011 through 2015, respectively. Marcum and RSM issued an unmodified or clean audit opinion for each year of the engagement. With the exception of Fiscal Year 2013, no material weaknesses or significant deficiencies in internal controls were reported to management in connection with the audits of UCONN 2000 project expenditures for Fiscal Years 2005 through 2015. In connection with the audit of UCONN 2000 project expenditures for the 2013 Fiscal Year, RSM identified a deficiency in internal control that they determined constituted a significant deficiency related to the application of certain markups on change orders and base contracts. University management has taken steps to enhance the effectiveness of internal control to ensure that markup calculations are accurate and in accordance with contract terms. CohnReznick was appointed to perform audits of the UCONN 2000 project expenditures for the Fiscal Years 2016 through 2020. CohnReznick issued unmodified audit opinions with no material weakness or significant deficiencies in internal controls reported to management for each year of the engagement.

Mayer Hoffman McCann P.C. (MHM) has been appointed to perform audits of the UCONN 2000 project expenditures for the Fiscal Years 2021 through 2023. For the 2022 Fiscal Year, MHM issued a report with no material weaknesses or significant deficiencies in internal controls as related to the statutory requirements.

The University employs building and life safety inspectors who review and sign off on all University construction plans and conduct field inspections to ensure that construction work meets all state building and life safety codes. The University inspectors work closely and effectively with the State Building Inspectors to ensure projects are code compliant.

## **RECENT CAPITAL FUNDING HISTORY: UNPARALLELED STATE COMMITMENT**

In 2011, the State of Connecticut made strategic investments in the University of Connecticut and the University of Connecticut Health Center (UConn Health) to position Connecticut at the forefront of the growing bioscience industry. The investments were made with the promise that they would leverage other bioscience initiatives.

In 2011, the General Assembly approved the Bioscience Connecticut Initiative (Public Act 11-75), a bold \$864 million plan to reinvigorate all three areas of the UConn Health mission – research, education and clinical care. These investments aimed to generate long term sustainable economic growth based on bioscience research, innovation, entrepreneurship and commercialization. The project also called for short-term economic activity through a surge of construction-related jobs attributable to the expansion and renovation of infrastructure at UConn Health.

Construction components of Bioscience Connecticut on the UConn Health campus included:

- Renovating and modernizing, for the first time in its history, UConn Health’s original research facilities on the Farmington campus. This included expanding space for start-up bioscience businesses at the Cell and Genome Sciences Building.
- Constructing a new hospital tower.
- Renovating portions of the John Dempsey Hospital and the Clinic Building.
- Constructing, with private financing, a new UConn Health Outpatient Pavilion on the lower campus.
- Construction of an addition to the Academic Building to support an increase in Medical and Dental School class sizes.



Programmatic components of Bioscience Connecticut included:

- Recruiting new faculty, including clinicians and basic scientists, to increase healthcare access and federal and industry research awards.
- Doubling existing business incubator space to support commercialization and start-up companies.
- Expanding the School of Medicine and School of Dental Medicine class sizes by 30 percent.
- Implementing a loan forgiveness program for UConn Medical School graduates who pursue careers in primary care in Connecticut.
- Sponsoring a Health Disparities Institute to enhance research and the delivery of care to the minority and medically underserved populations of the state.
- Supporting the development of a primary care institute located on the campus of Saint Francis Hospital and Medical Center – the Connecticut Institute for Primary Care Innovation – intended to increase the number of primary care providers in the state.
- Supporting the development of a comprehensive cancer center to expand clinical trials and advance patient care at multiple sites in the Hartford region.

The groundbreaking for the first Bioscience Connecticut project took place on June 11, 2012. As previously reported, all projects associated with Bioscience Connecticut are complete and the UConn Health campus has been transformed into a modern, state-of-the-art academic medical center campus. Key construction projects that have been finalized include the Main Building Research Lab Renovations, the Technology Incubator Addition, the Academic Addition and Renovations, the Outpatient Pavilion, a new hospital bed tower known as the University Tower, three new parking garages, and many roadway improvements both on and off campus. The final project, Renovations to the Clinical Area of the Main Building, was completed in May 2019. With the completion of the final projects, FY18 was the final year of funding for UConn Health projects under the Bioscience Connecticut Initiative.

Also in 2011, legislation was enacted, which was subsequently amended in 2014 that provided \$169.5 million in funding to create the first building of a Technology Park (The Innovation Partnership Building – IPB) on the Storrs campus. The new Tech Park, like the Bioscience Connecticut Initiative, is a critical component of Connecticut’s plan to stimulate long-term economic growth by supporting innovation, new technologies and the creation of new companies and high-paying, sustainable jobs. Twenty-first century tech park models emphasize invention, incubation and entrepreneurship. The first building of the Tech Park will help hit the mark by promoting academic and industrial partnerships and by providing the physical and intellectual capacity to foster discovery. The IPB was completed in 2020.

In October 2011, just months after the passage of the capital investment at UConn Health, the General Assembly adopted in special session, Public Act 11-2 establishing the Connecticut Bioscience Collaboration Program (the “Collaboration”) supporting the establishment of a Bioscience cluster anchored by the world-renowned Jackson Laboratory. The Jackson Laboratory Center for Genomic Medicine research building, located on UConn Health’s campus in Farmington, opened in October 2014.

In July 2013, the General Assembly adopted Public Act 13-233 establishing Next Generation Connecticut for the purposes of construction, renovations, infrastructure and equipment related to the development of preeminence in the University of Connecticut's research and innovation

programs. The Act not only authorized additional projects, but it also extended the capital program by 6 years through 2024 and added \$1.551 billion of additional funding. Pending annual operating appropriations, the Next Generation Connecticut initiative will also allow the University to (1) hire and support outstanding faculty, (2) train and educate more science, technology, engineering and math (STEM) graduates to meet the future workforce needs of Connecticut, and (3) initiate collaborative partnerships that lead to scientific and technological breakthroughs. During the 2017 legislative session, the phasing of the capital program funding was changed and the Next Generation Connecticut initiative was extended by three years to 2027.

For UConn Health, during the 2021 legislative session, the General Assembly approved an additional \$25 million in the UCONN 2000 Program, Phase III, for deferred maintenance. During the 2022 legislative session, the General Assembly authorized the bond commission to issue an additional \$40 million in bonds to fund UConn Health deferred maintenance. In December 2022 the State Bond Commission approved the authorization of \$40 million in bond funds for UConn Health deferred maintenance. These critical funds will be used to repair or replace building infrastructure components that are outdated and/or past their projected life span. The overall need for such funding is projected to be \$490 million over a 10-year period.

During the 2023 legislative session, the General Assembly approved State bond funds (outside of the UCONN 2000 Program) as follows:

<b>State GO Bond Funds (\$M)</b>			
<b>UConn</b>		<b>FY24</b>	<b>FY25</b>
	Academic & Research Equipment	10.0	10.0
	Gampel Pavilion Renovation		10.0
	New Nursing Program Facility	30.0	
	XL Center Academic Space-Acquisition/Leasing & Renovation	5.0	
<b>UConn Total</b>		<b>\$45.0</b>	<b>\$20.0</b>
<b>UCH</b>			
	Deferred Maintenance	30.0	30.0
	Clinical Equipment to Enhance Revenue Growth	10.0	10.0
	Information Technology Security, Infrastructure & Equipment	3.0	3.0
<b>UCH Total</b>		<b>\$43.0</b>	<b>\$43.0</b>
<b>Grand Total</b>		<b>\$88.0</b>	<b>\$63.0</b>

The authorization for these funds requires approval and allotment by the State Bond Commission. As of December 2023, the following funds have been approved and allotted by the State bond Commission: New Nursing Program Facility, XL Center Academic Space, UConn and UConn Health Equipment, Library Collections & Telecommunications and UConn Health System Telecommunications Infrastructure Upgrades, Improvements & Expansions.

## **UCONN 2000: STATUS REPORT**

### **MASTER PLANNING, DESIGN AND MANAGEMENT**

The University's Master Plan provides a template for the development of the University's physical plant and infrastructure. The 1998 Storrs Campus Master Plan was updated in 2006 to reflect the extensive physical changes on all the campuses resulting from the UCONN 2000 initiatives. It should be noted that the Master Plan has been modified throughout the project planning, design and construction process of UCONN 2000. As part of the Technology Park initiative, an update to the North Campus Master Plan was prepared to delineate various site, vehicular and pedestrian pathways, utility corridors, and landscape improvements to facilitate the future development of that part of campus. This work is incorporated in the comprehensive 2015 Master Plan for the campus.

The 2015 Master Plan reflects the impacts of the proposed projects included in the Next Generation Connecticut initiative and guides the development of the Storrs campus for the twenty (20) year period between 2015 and 2035. The 2015 Master Plan documents the state of existing conditions on campus and issues related to land use; space needs for academic, research, student life and administrative uses; recommended deferred maintenance and capital investments in the physical plant; environmental issues and sustainable design principles for future development; parking, circulation and transportation issues; and specific strategies to improve landscape quality and open spaces. The Master Plan references the programmatic ties to the Regional campuses, but does not include separate master plans for those locations.

The 2015 Master Plan is a comprehensive summary of the current conditions of the campus as of 2015 and a guideline for the proposed development of the Storrs campus over the next 20 years. The first ten years of development (2015-2024) are driven by the adopted Academic Vision Plan and fueled by the Next Generation Connecticut funding program. The plan also forecasts development that may occur beyond the Next Generation Connecticut projects (2028 -2035). This potential future development will require new funding sources and builds on the success of the first decade of growth. The total amount of development portrayed in the plan includes facilities that would be needed to grow the undergraduate student population by 5,000, accommodate a substantially larger research enterprise, repair or replace obsolete facilities and meet the commitments of the Climate Action Plan for a sustainable campus.

#### **Implementation of the Master Plan**

Future planned development will be programmed and designed to conform to the concepts and the development pattern contained in the Master Plan documents. The Master Plan will inform the design and implementation of the utility infrastructure, storm water management systems, roads and parking areas, landscape and land use, and individual projects. The urban form of the campus will take shape as envisioned in the Master Plan and will be refined by future design initiatives as specific developments are designed and built. While the Master Plan will inform and guide future development decisions, the final location, design, and programming of specific projects will be decided when those projects are implemented. Individual construction projects undertaken as part of this plan will, as always, be brought to the Board of Trustees for approval, along with the project budgets.

The final version of the Master Plan and its Executive Summary, Design Guidelines and Appendices, dated May 2015, were issued and are posted on the UConn website at <http://masterplan.uconn.edu/documents/>.

## **BIOSCIENCE CONNECTICUT**

All of the Bioscience Connecticut construction projects are complete. Through March 2019, over 6,200 construction workers were employed on the Bioscience Connecticut projects and 85% of the construction contracts were awarded to Connecticut companies. The Minority/Women/Disadvantaged Business participation reached 23%, exceeding the statutory minimum of 6.25% by nearly 17%.

The Bioscience Connecticut initiative planned for \$69 million in funding from operations, special eligible gifts or other sources toward new construction and renovation projects. UConn Health and the University of Connecticut Foundation have met this requirement.

## **UNIVERSITY OF CONNECTICUT NEXT GENERATION CONNECTICUT REPORT DECEMBER 2023**

### **Statutory Requirement**

As part of Next Generation Connecticut, the University shall report not later than January 1, 2016, and annually thereafter, in accordance with the provisions of Section 10a-109x(c), to the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, commerce and higher education on its progress toward achieving the goals set forth in the plan developed pursuant to the act. The report shall include a summary of the research and economic development activities of the University. Pursuant to Section 10a-109nn, the University has assessed this progress in achieving the goals set forth in the plan as compared to nationally ranked research universities. This assessment is submitted to the chairpersons and ranking members of the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, commerce, and higher education by December 31, 2019, and thereafter.

### **Summary**

The Next Generation Connecticut (NextGenCT) initiative represents one of the most ambitious State investments in economic development, higher education, and research in the nation. UConn is grateful for the support we have received, especially capital funds. The continued pursuit of academic excellence will fuel Connecticut's economy with new technologies, highly skilled graduates, marketable patents and licenses, and the creation of new companies and high-wage jobs.

The components of this ambitious plan include:

- Hiring new research and teaching faculty;
- Increasing enrollment of undergraduate students at the Storrs and Stamford campuses;
- Building research facilities to house materials science, physics, biology, engineering, cognitive science, genomics and related disciplines;
- Constructing new teaching laboratories;

- Creating a premier STEM Honors program to attract increasing numbers of high achieving undergraduates;
- Upgrading aging infrastructure to accommodate new faculty and students;
- Expanding degree programs and providing student housing in Stamford;
- Relocating the Greater Hartford Campus to downtown Hartford; and
- Better integrating the research activities of the Storrs and regional campuses with the UConn Health campus.

NextGenCT is comprised of both capital and operating budget components. The University gratefully acknowledges this funding and hopes that sustained support will be received to allow the University to continue to move forward with this transformative program. Since capital funding was first made available in July 2015, the University has moved forward aggressively with NextGenCT projects. A Campus Master Plan, which is a summary of the current conditions of the campus as of 2015 and a guideline for the proposed development of the Storrs campus over the next 20 years, was approved by the Board of Trustees on February 25, 2015. A new Next Generation Residence Hall was completed for the Fall of 2016; and the Engineering and Science Building in Storrs and the new downtown Hartford Campus were completed for the Fall of 2017. A new Supplemental Utility Plant was completed in 2022 and a new STEM Research Center Science 1 will be completed in 2024. Given the State's fiscal challenges, the amount of operating funds appropriated from the State in FY15 through FY24 has understandably been significantly reduced relative to what was originally planned. It must be noted that this reduction in operating funds is specifically a reduction in the capacity to hire new faculty, and it creates significant challenges for the University in meeting the operating goals of NextGenCT. Growing STEM faculty is the first step in growing the discovery, innovation, technology commercialization, and new company/job creation pipeline that is a critical element of UConn's role in Connecticut's economic development. Lack of faculty also hampers our ability to grow undergraduate enrollment while maintaining class size and academic excellence.

The following report represents the specific information, per statute, which the University is required to submit. As appropriate and available, peer comparisons have been included.

- Student applications, student enrollment and degrees awarded
- Sponsored Programs Proposals, Awards, and Expenditures
- Industry partnerships including joint projects, consortium projects, and technology incubator support
- A summary of university and joint University-industry intellectual property activities, including the number of disclosures, patents, licenses, new businesses, and entrepreneurial activities established with university technologies
- Identification of research and innovation benchmarks and an analysis of the University's progress in meeting such benchmarks

## Undergraduate Student applications, student enrollment and degrees awarded:

Undergraduate Student Applications and Student Enrollment													
	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	13-24 % Change
<b>Undergraduate First-Year Applications</b>													
STEM : Storrs	14,916	13,857	15,539	17,540	19,264	17,295	17,800	18,195	16,706	20,464	22,593	25,795	73%
Non-STEM : Storrs	15,050	13,622	15,741	17,438	16,716	16,903	17,086	16,901	17,731	16,289	18,301	20,850	39%
Subtotal : Storrs	29,966	27,479	31,280	34,978	35,980	34,198	34,886	35,096	34,437	36,753	40,894	46,645	56%
Regionals	1,397	1,610	912	1,022	1,083	2,699	3,144	1,945	2,115	2,176	2,208	2,088	49%
<b>Total</b>	<b>31,363</b>	<b>29,089</b>	<b>32,192</b>	<b>36,000</b>	<b>37,063</b>	<b>36,897</b>	<b>38,030</b>	<b>37,041</b>	<b>36,552</b>	<b>38,929</b>	<b>43,102</b>	<b>48,733</b>	<b>55%</b>
<b>Avg Peer Total</b>	<b>22,090</b>	<b>23,482</b>	<b>25,377</b>	<b>25,991</b>	<b>27,261</b>	<b>29,408</b>	<b>30,256</b>	<b>32,118</b>	<b>32,755</b>	<b>35,647</b>	<b>38,259</b>		
<b>Undergraduate Enrollment</b>													
STEM : Storrs	8,025	8,818	9,542	9,995	10,532	10,637	10,815	10,655	10,791	10,732	10,977	11,292	41%
Non-STEM : Storrs	9,503	9,214	8,853	8,831	8,792	8,604	8,318	8,192	8,126	7,835	8,006	8,096	-15%
STEM : Regionals	1,143	1,251	1,283	1,410	1,405	1,452	1,538	1,648	1,855	1,777	1,790	1,844	61%
Non-STEM : Regionals	3,630	3,312	3,295	3,171	2,901	3,152	3,307	3,405	3,599	3,493	3,303	3,124	-14%
<b>Total</b>	<b>22,301</b>	<b>22,595</b>	<b>22,973</b>	<b>23,407</b>	<b>23,630</b>	<b>23,845</b>	<b>23,978</b>	<b>23,900</b>	<b>24,371</b>	<b>23,837</b>	<b>24,076</b>	<b>24,356</b>	<b>9%</b>
<b>Avg Peer Total</b>	<b>26,288</b>	<b>26,901</b>	<b>27,094</b>	<b>27,557</b>	<b>27,777</b>	<b>27,444</b>	<b>27,813</b>	<b>27,846</b>	<b>27,785</b>	<b>28,387</b>	<b>28,998</b>		

Undergraduate Student Degrees Awarded													
	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	13-23 % Change
<b>Bachelors Degrees</b>													
STEM	2,387	2,537	2,634	2,672	2,964	3,036	3,158	3,226	3,176	2,989	3,051	3,051	28%
Non-STEM	2,735	2,663	2,686	2,525	2,566	2,582	2,498	2,505	2,447	2,401	2,483	2,483	-9%
<b>Total</b>	<b>5,122</b>	<b>5,200</b>	<b>5,320</b>	<b>5,197</b>	<b>5,530</b>	<b>5,618</b>	<b>5,656</b>	<b>5,731</b>	<b>5,623</b>	<b>5,390</b>	<b>5,534</b>	<b>5,534</b>	<b>8%</b>
<b>Avg Peer Total</b>	<b>5,789</b>	<b>5,665</b>	<b>5,894</b>	<b>6,023</b>	<b>6,112</b>	<b>6,222</b>	<b>6,301</b>	<b>6,490</b>	<b>6,579</b>	<b>6,212</b>	<b>6,517</b>	<b>6,517</b>	<b>13%</b>

## Graduate Student applications, student enrollment and degrees awarded:

Graduate Student Applications and Student Enrollment													
	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	13-24 % Change
<b>Master's Applications</b>													
STEM	2,175	2,461	2,854	3,703	4,449	4,828	4,289	3,775	3,657	1,600	1,482	1,717	-21%
Non-STEM	3,126	2,937	3,018	3,136	3,192	2,859	2,712	2,575	2,643	3,076	3,353	3,003	-4%
<b>Total</b>	<b>5,301</b>	<b>5,398</b>	<b>5,872</b>	<b>6,839</b>	<b>7,641</b>	<b>7,687</b>	<b>7,001</b>	<b>6,350</b>	<b>6,300</b>	<b>4,676</b>	<b>4,835</b>	<b>4,720</b>	<b>-11%</b>
<b>Doctoral Applications</b>													
STEM	2,856	2,548	2,407	2,224	2,145	2,193	2,067	2,119	2,264	2,359	2,473	2,744	-4%
Non-STEM	1,516	1,543	1,567	1,613	1,613	1,615	1,439	1,472	1,456	1,534	1,344	1,572	4%
<b>Total</b>	<b>4,372</b>	<b>4,091</b>	<b>3,974</b>	<b>3,837</b>	<b>3,758</b>	<b>3,808</b>	<b>3,506</b>	<b>3,591</b>	<b>3,720</b>	<b>3,893</b>	<b>3,817</b>	<b>4,316</b>	<b>-1%</b>
<b>Graduate Enrollment</b>													
Master's STEM	775	862	880	876	837	781	784	812	880	939	934	1,004	30%
Master's Non-STEM	2,593	2,536	2,662	2,830	3,107	3,131	3,077	3,113	2,901	2,757	2,614	2,413	-7%
Subtotal Master's	3,368	3,398	3,542	3,706	3,944	3,912	3,861	3,925	3,781	3,696	3,548	3,417	1%
Doctoral STEM	1,428	1,500	1,524	1,477	1,481	1,560	1,592	1,607	1,600	1,672	1,699	1,804	26%
Doctoral Non-STEM	1,023	1,041	1,051	1,024	1,009	971	947	925	894	929	882	880	-14%
Subtotal Doctoral	2,451	2,541	2,575	2,501	2,490	2,531	2,539	2,532	2,494	2,601	2,581	2,684	10%
Other Graduate	794	616	713	738	705	655	604	640	653	626	546	545	-31%
<b>Total</b>	<b>6,613</b>	<b>6,555</b>	<b>6,830</b>	<b>6,945</b>	<b>7,139</b>	<b>7,098</b>	<b>7,004</b>	<b>7,097</b>	<b>6,928</b>	<b>6,923</b>	<b>6,675</b>	<b>6,646</b>	<b>0%</b>
<b>Avg Peer Total</b>	<b>8,117</b>	<b>8,113</b>	<b>8,160</b>	<b>8,328</b>	<b>8,515</b>	<b>8,518</b>	<b>8,562</b>	<b>8,542</b>	<b>8,713</b>	<b>9,248</b>	<b>9,276</b>		

Graduate Student Degrees Awarded												
	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	13-23 % Change
<b>Master's Degrees</b>												
STEM	391	415	453	443	425	477	429	351	401	420	453	16%
Non-STEM	1,136	1,221	1,260	1,307	1,479	1,571	1,466	1,423	1,525	1,283	1,299	14%
<b>Total</b>	<b>1,527</b>	<b>1,636</b>	<b>1,713</b>	<b>1,750</b>	<b>1,904</b>	<b>2,048</b>	<b>1,895</b>	<b>1,774</b>	<b>1,926</b>	<b>1,703</b>	<b>1,752</b>	<b>15%</b>
<b>Doctoral Degrees</b>												
STEM	201	214	234	232	270	261	286	253	255	230	270	34%
Non-STEM	139	128	138	147	141	123	132	129	113	122	137	-1%
<b>Total</b>	<b>340</b>	<b>342</b>	<b>372</b>	<b>379</b>	<b>411</b>	<b>384</b>	<b>418</b>	<b>382</b>	<b>368</b>	<b>352</b>	<b>407</b>	<b>20%</b>
<b>Master's + Doctoral Total</b>	<b>1,867</b>	<b>1,978</b>	<b>2,085</b>	<b>2,129</b>	<b>2,315</b>	<b>2,432</b>	<b>2,313</b>	<b>2,156</b>	<b>2,294</b>	<b>2,055</b>	<b>2,159</b>	<b>16%</b>
<b>Avg Peer Master's + Doctoral Total</b>	<b>2,078</b>	<b>2,140</b>	<b>2,151</b>	<b>2,154</b>	<b>2,291</b>	<b>2,341</b>	<b>2,363</b>	<b>2,374</b>	<b>2,332</b>	<b>2,804</b>	<b>2,741</b>	<b>32%</b>

Note: Peer Average is calculated utilizing Nationally Ranked Research Universities: Indiana University, Michigan State University, Purdue University, University of Delaware, University of Georgia, University of Kansas, University of Kentucky, and University of Utah.

The University has experienced significant growth in applications, enrollment and degrees awarded. Although the number of high school graduates has decreased in the State and region, UConn is drawing an even larger component of that shrinking pie – without compromising on its high academic standards and admission requirements. The University’s ability to offer an outstanding education and student experience at an affordable price for families continues to attract many of the very best students to UConn from across Connecticut, the nation, and the world, and makes UConn graduates the foundational workforce for Connecticut’s high technology economy. The fall 2023 first year class represents strong academic quality and diversity, which are great examples of how State investments in UConn are paying off. The University is proud to keep great students in Connecticut and to attract new students to our State every year. The competitive UConn Honors Program enrolled another strong class of students in the Fall 2023 class. Additionally, 47% of the members of the Class of 2027 are from minority groups, making it one of the most ethnically diverse group of students to join the University. Over the past 20 years, the mean SAT score of incoming first year students has increased significantly and now stands at 1296 for the entering class of fall 2023, which is 268 points above the national average and 289 points above the Connecticut average. The number of valedictorians and salutatorians in the first-year class has increased from 40 in 1995 to 192 in 2023. The University has improved first year retention and minority first year retention over this same period as well. The first-year retention rate of 91% is substantially higher than our public research peer universities. Following that positive trend are the University’s 4-year and 6-year undergraduate graduation rates. Since 1995, the 4-year graduation rate has risen from 43% to 73% and the 6-year graduation rate has risen from 70% to 84%. The average time to graduate for UConn students is at a remarkably low 4.1 years and ranks 1<sup>st</sup> among our public research peers, which helps to keep parent/student educational costs down.

In summary, the University has made significant progress towards improving the following NextGenCT goals, as shown the previous table.

- Storrs Engineering Undergraduate Enrollment increased from 1,978 in FY13 to 3,356 in FY24
- Storrs STEM Undergraduate Enrollment increased from 7,995 in FY13 to 11,292 in FY24
- Storrs Undergraduate Enrollment increased from 17,528 in FY13 to 19,388 in FY24
- Undergraduate STEM Degrees Awarded increased from 2,387 in FY13 to 3,051 in FY23

**Sponsored Programs Proposals, Awards, and Expenditures (Storrs and Regional Campuses - not including the UConn Health Campus)\***

	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	13-23 % Change
<b>Sponsored Programs Proposals (\$M)</b>												
STEM	\$511	\$573	\$648	\$619	\$569	\$625	\$645	\$609	\$743	\$776	\$922	80%
Non-STEM	56	83	74	84	135	67**	93	74	89	143	134	139%
<b>Total</b>	<b>\$567</b>	<b>\$656</b>	<b>\$722</b>	<b>\$703</b>	<b>\$704</b>	<b>\$692</b>	<b>\$738</b>	<b>\$683</b>	<b>\$832</b>	<b>\$919</b>	<b>\$1,056</b>	<b>86%</b>
<b>Sponsored Programs Awards (\$M)</b>												
STEM	\$71	\$79	\$120	\$165	\$102	\$139	\$135	\$150	\$180	\$170	\$179	152%
Non-STEM	24	26	27	30	27	25	29	31	26	38	50	108%
<b>Total</b>	<b>\$95</b>	<b>\$105</b>	<b>\$147</b>	<b>\$195</b>	<b>\$129</b>	<b>\$164</b>	<b>\$164</b>	<b>\$181</b>	<b>\$206</b>	<b>\$208</b>	<b>\$229</b>	<b>141%</b>
<b>Sponsored Programs Average Award Size (\$K)</b>												
STEM	\$176	\$195	\$257	\$370	\$247	\$295	\$307	\$324	\$373	\$340	\$380	116%
Non-STEM	204	220	201	280	245	348	338	370	312	348	369	81%
<b>Total</b>	<b>\$182</b>	<b>\$201</b>	<b>\$244</b>	<b>\$352</b>	<b>\$247</b>	<b>\$302</b>	<b>\$302</b>	<b>\$331</b>	<b>\$364</b>	<b>\$341</b>	<b>\$378</b>	<b>108%</b>
<b>Sponsored Programs Expenditures (\$M)</b>												
STEM	\$95	\$93	\$92	\$102	\$101	\$107	\$123	\$130	\$137	\$148	\$163	72%
Non-STEM	28	29	33	34	35	33	34	34	31	37	44	57%
<b>Total</b>	<b>\$123</b>	<b>\$122</b>	<b>\$125</b>	<b>\$136</b>	<b>\$136</b>	<b>\$140</b>	<b>\$157</b>	<b>\$164</b>	<b>\$168</b>	<b>\$185</b>	<b>\$207</b>	<b>68%</b>

\* Sponsored Programs data includes external funding for research, service, and education projects.

\*\* Non-Stem Proposals: FY17 included one large \$50M proposal and a change in the proposal submission date from previous fiscal years, which resulted in a \$6M proposal being submitted in FY17 that in other fiscal years would have been submitted in the following fiscal year, FY18.

**NSF Higher Education Research & Development (HERD) Survey of Research Expenditures (\$M)\***

Institution	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	13-22 % Change
<b>UConn (includes UCH)</b>	<b>\$242.3</b>	<b>\$258.1</b>	<b>\$259.4</b>	<b>\$265.5</b>	<b>\$267.6</b>	<b>\$269.7</b>	<b>\$285.8</b>	<b>\$280.1</b>	<b>\$302.3</b>	<b>\$ 367.6</b>	<b>52%</b>
Michigan State University	\$515.7	\$526.9	\$558.2	\$613.4	\$694.9	\$715.3	\$725.7	\$713.2	\$710.2	\$ 759.2	47%
Purdue University	\$595.7	\$564.9	\$558.6	\$606.3	\$622.8	\$631.6	\$663.9	\$687.1	\$679.5	\$ 754.6	27%
Indiana University	\$197.9	\$206.0	\$485.1	\$508.8	\$540.4	\$566.9	\$668.2	\$710.9	\$695.3	\$ 751.3	280%
University of Utah	\$494.1	\$486.1	\$518.9	\$350.2	\$380.3	\$552.3	\$601.1	\$607.1	\$624.7	\$ 670.1	36%
University of Georgia	\$350.2	\$355.5	\$374.3	\$410.3	\$455.4	\$453.2	\$477.5	\$482.8	\$493.9	\$ 545.6	56%
University of Kentucky	\$339.8	\$328.2	\$331.7	\$349.7	\$378.4	\$393.0	\$410.6	\$417.7	\$429.2	\$ 476.5	40%
University of Kansas	\$298.5	\$301.5	\$311.4	\$297.9	\$300.3	\$339.0	\$352.6	\$368.8	\$385.6	\$ 405.2	36%
University of Delaware	\$180.0	\$175.6	\$175.7	\$176.3	\$183.4	\$186.2	\$206.3	\$220.4	\$231.5	\$ 368.1	105%
<b>Peer Average</b>	<b>\$371.5</b>	<b>\$368.1</b>	<b>\$414.2</b>	<b>\$414.1</b>	<b>\$444.5</b>	<b>\$479.7</b>	<b>\$513.2</b>	<b>\$526.0</b>	<b>\$531.2</b>	<b>\$ 591.3</b>	<b>78%</b>

\*NSF HERD Survey data is only available through FY 2021

While the University continues to increase our research expenditures, the percentage change over the past five years lags other institutions, resulting in decreases in our national rank. The following table reflects our research expenditure rankings as compared to these nationally ranked peers.



Research Expenditure Rankings as Compared to Nationally Ranked Peers								
Institution	NSF HERD Expend (\$M)**	U.S. News & World Report Ranking*	Wall Street Journal Ranking*	NSF HERD Ranking**	Land Grant University	Reuters World's 100 Most Innovative Universities****	Princeton Review Top 50 Undergrad Entrepreneurship	Milken's Best Universities for Tech Transfer***
UConn (includes UCH)	\$367.6	26	46	79	Y	90	41	74
Indiana University	\$751.3	34	154	42	N	78	N/A	111
Michigan State University	\$759.2	28	57	40	Y	N/A	13	142
Purdue University	\$754.6	17	115	41	Y	62	18	12 (Research Fdn.)
University of Delaware	\$368.1	36	86	78	Y	N/A	31	118
University of Georgia	\$545.6	20	170	56	Y	N/A	N/A	51
University of Kansas	\$405.3	28	198	74	N	N/A	N/A	103
University of Kentucky	\$476.5	84	239	61	Y	N/A	N/A	80 (Research Fdn.)
University of Utah	\$670.1	58	43	47	N	38	23	1

\* 2024 Rankings  
\*\* Rankings based on FY22 NSF Survey of Higher Education Research and Development (HERD)  
\*\*\* Rankings based on most recent report from April 2017  
\*\*\*\* 2022 Rankings

In summary, the University has funded 174 NextGenCT new faculty hires from FY14 through FY24 with 98 of those hires in the STEM fields.

- Full-time tenured/tenure track STEM faculty increased from 503 in FY13 to 568 in FY24 or by 13%
- Full-time STEM faculty increased from 670 in FY13 to 857 in FY24 or by 28%

However, the total number of new faculty will be significantly lower than planned because of the cuts in State operating support since FY15. These cuts have also restricted our ability to replace retiring faculty, which can result in net loss of faculty despite the new hiring through NextGenCT. Due to the reduction in NextGenCT funding that has occurred, our original goals of hiring faculty to train the next generation of students, conduct transformational research, create new companies based on intellectual property from this research, and to drive job creation in Connecticut may be significantly reduced. Progress toward NextGenCT goals could be further eroded if originally planned funding is not restored and if out-year funding is not appropriated at requested levels.

Full Time Instructional Faculty													
Full Time Faculty	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	13-24 % Change
T/TT Faculty	1,044	1,102	1,151	1,121	1,127	1,140	1,085	1,071	1,098	1,109	1,068	1,088	4%
Peer Avg T/TT Faculty	1,233	1,426	1,385	1,401	1,408	1,400	1,471	1,484	1,476	1,451	1,444		
Non-TT	268	306	294	298	326	355	350	350	390	385	424	439	64%
Total Faculty	1,312	1,408	1,445	1,419	1,453	1,495	1,435	1,421	1,488	1,494	1,492	1,527	16%
Peer Avg Total Faculty	1,985	2,060	1,847	1,875	1,911	1,930	2,015	2,062	2,050	2,114	2,157		

Notes: FT=Full Time; T/TT=Tenured/Tenure Track  
Source: National Center for Education Statistics, Integrated Postsecondary Education Data Systems Data Center.

The increase in the number of tenured/tenure track faculty shown in the table above has contributed to the increase in the amount of research proposals and research awards. The positive impact on research expenditures and business activity will continue to be seen over the next few years as the growing number of proposals and research awards translate into expenditures and business

activity. While the University's total faculty growth exceeds our peers, our focus on growing tenured/tenure track faculty will continue in order to support greater research growth. Importantly, over the past eight years, the University has made significant progress towards improving the following metrics:

- Sponsored Program New Awards increased from \$95M in FY13 to \$229M in FY23 (Storrs and Regional Campuses)
- Average Award Size increased from \$182K in FY13 to \$378K in FY23

**Industry partnerships including joint projects, consortium projects, and technology incubator support:**

The full economic return from NextGenCT will be measured not only by the University's continued increases in research and talent generation, but by its broader engagement and partnerships with industry. One of the key objectives set out in the legislation for NextGenCT is to initiate collaborative partnerships with industry that lead to scientific and technological breakthroughs. With this focus on advancing industry partnerships in mind, the University has set out to have an explicit strategic roadmap to establish a comprehensive and leading program for impactful industry partnerships. This strategic roadmap focuses on the development of world-class strengths in areas of expertise that match the needs of Connecticut's industry growth opportunities.

Thus far, the University has developed centers of excellence and announced partnerships with multiple key industries and institutions as part of the development of the Innovation Partnership Building (IPB) at UConn Tech Park totaling nearly \$300 million in partnership funding:

- \$25 million UConn Thermo Fisher Scientific Center for Advanced Microscopy and Materials Analysis
- \$51.4 million for Advanced Systems Engineering
- \$59.7 million Eversource Energy Center
- \$128.4 million Project Daedalus - Air Force Advanced Manufacturing Initiative
- \$48.3 million National Institute for Undersea Vehicle Technology (\$21.3 million committed between FY17-23)
- \$10 million Raytheon Technologies
- \$27 million Reverse Engineering Fabrication Inspection & Non-Destructive Evaluation Labs
- \$12.8 million Pratt & Whitney Additive Manufacturing Center
- \$7.5 million Comcast Center for Security Innovation
- \$13.0 million Connecticut Center for Applied Separation Technologies
- \$3.2 million Synchrony Center of Excellence in Cybersecurity
- \$4.2 million Collins Aerospace Center for Advanced Materials
- \$3.4 million Connecticut Manufacturing Simulation Center
- \$1.8 million Enterprise Solution Center/Proof of Concept Center/Quiet Corner Innovation Cluster
- \$27.0 million Connecticut Advanced Computing Center
- \$5.6 million Center of Science Heterogeneous Manufacturing of 3D Materials

Corporate partnerships and sponsorships are also key to the exploration of new digital methodologies and the development of new digital practices. UConn's Digital Media and Design Center has had many relationships with industry leaders including Cigna, Boston Children's Hospital, Diversified Media Group, UTC Aerospace System, and BBDO, allowing the Center to identify and tackle new challenges that further its research goals.

There are many other growing business and entrepreneurial initiatives intended to encourage and foster a vigorous entrepreneurial culture at UConn and increase the potential for success of commercially viable companies formed from its innovation, entrepreneurship, and proof-of-concept competitions. These activities are designed to: 1) Maximize entrepreneurial education and incentivize the creation of cross-disciplinary innovation teams; 2) Aid the teams in identifying and addressing key business issues through mentorship and real-world problem-solving activities; and 3) Provide mechanisms to deploy and allocate resources to the best commercialization opportunities that can attract future public or private fundraising. Some examples of these initiatives are listed below.

- Technology Commercialization Services (TCS) within UConn's Office of the Vice President for Research is the University's technology transfer and innovation enterprise and has successfully launched more than 160 start-ups since the incubator debuted in 2003. In addition to new company startup creation, TCS also markets commercially viable patents through license agreements with appropriate industry partners. TCS works closely with internal and external stakeholders and maintains a close relationship with UConn's other entrepreneurship programs.
- The UConn SPARK Technology Commercialization Fund continues to provide support for faculty at Storrs and UConn Health to conduct prototype and proof-of-concept (idea to commercial product) work necessary to establish a startup or attract commercial partners. SPARK is an annual competition that has demonstrated value in a variety of ways, with a strong return on the \$2.54 million in funding awarded as of May 2023, including:
  - More than 20 companies formed
  - Many licensing/option agreements
  - At least 16 US patent filings; 4 US patents issued (note: patents typically take several years from application to issuance)
  - Over \$775,000 in outside investment into companies formed
  - Over \$3 million in federal grant funding, including over \$1 million from SBIR programs
  - Over \$1.5 million in CTNext grant funding
- The TCS Internship Program is designed to provide tangible experiences for students in the areas of technology assessment, intellectual property protection, marketing, licensing, and entrepreneurship. The program was piloted in 2020 and launched a four-intern cohort in Fall 2021.
- A program funded by Connecticut Innovations for \$700,000 designed to streamline the translational pathway for researchers and convert their discoveries into new therapeutics for the treatment of human diseases. The fund is dedicated to five promising research projects at UConn and UConn Health.
- PATH (Program in Accelerated Therapeutics for Healthcare) was a targeted \$200,000 seed funding program in FY19 supported by the OVPR and the Schools of Medicine

- and Pharmacy that aimed to accelerate the translation of UConn discoveries into new medical therapeutics. Competitive awards were provided to seven projects addressing disease areas with an unmet treatment need in the current commercial marketplace.
- The \$2.25 million UConn Innovation Fund was created in partnership with Connecticut Innovations and Webster Bank to invest in new startups that have a UConn affiliation.
  - In December 2017, UConn announced the establishment of The Peter J. Werth Institute for Entrepreneurship & Innovation after philanthropist and entrepreneur Peter J. Werth made a historic \$22.5 million commitment to UConn. Under University leadership, the Institute brings together student and faculty programs fostering entrepreneurship and innovation that potentially have commercial application and can be used to create new companies.
  - The Connecticut Center for Entrepreneurship and Innovation (CCEI) serves as a hub for entrepreneurship at the University and facilitates student and faculty participation in entrepreneurial activities. CCEI administers the CCEI Summer Fellowship, the Wolff New Venture Competition, Accelerate UConn, Entrepreneurship Abroad, the InsurTech Initiative, Get Seeded, and Veteran Bootcamp. CCEI has moved to Hartford and is leading the Hartford Innovation Place initiative to build new entrepreneurial companies in the city.
  - Accelerate UConn (AU) is UConn's National Science Foundation (NSF) Innovation Corps (I-Corps) Site. The only site of its kind in the state, Accelerate UConn aims to catalyze the transition of new scientific discoveries and capabilities from the lab to the marketplace. Launched in 2015 with \$300,000 in funding from the NSF to operate the program, AU offers participating teams an introduction to the most critical elements of the I-Corps Curriculum and Lean Launchpad methodology as well as small seed grants for customer discovery activities. To date, over 100 teams have learned how to determine the market opportunity for their product or technology. The NSF continues to renew funding for Accelerate UConn based on successes achieved by the program and its participating commercialization team.
  - Several recent awards granted through the CTNext Higher Education Innovation and Entrepreneurship Initiative include:
    - \$4.2M (split between StamfordNext and UConn) for efforts to support workforce development and bolster UConn's standing in data science research. UConn is expanding deeper into Fairfield County and capitalizing on the tremendous opportunities the city of Stamford has to offer as the fastest growing city in Connecticut by working closely with CTNext and StamfordNext to launch a multiphase data science initiative that began with a successful internship program in the summer of 2019 and expanded with the development of a data sciences incubator in the downtown area (Technology Incubation Program, opened February 2021). The next components of the initiative include the creation of the Stamford Startup Studio, a yearlong entrepreneurial co-op experience for extremely motivated and talented undergraduates, and a cluster hire of five cutting-edge data science faculty researchers spanning four colleges/schools at UConn. The Office of the Vice President for Research administers this program and is leading efforts to grow UConn's impact in Stamford in collaboration with UConn's Office of the Provost, Schools of Business, Fine Arts, Engineering, the College of Liberal Arts and Sciences, and the Werth Institute for Entrepreneurship & Innovation.

- \$638,204 to launch a new Master of Engineering in Global Entrepreneurship to attract highly talented entrepreneurs from around the world to the State of Connecticut. The first class from this program graduated in the spring of 2020.
- \$200,000 to increase entrepreneurial education and output among the State's top researchers from industry and academia.
- \$237,000 to expand an existing program at UConn Health through a multi-institution public-private, academia-industry partnership that engages students and faculty in innovation and entrepreneurship training and development in the health and bioscience sectors.
- \$484,500 to leverage current innovation infrastructures at UConn through a multi-institution partnership that will commercialize inventions, promote entrepreneurship, support startups, foster collaboration, and encourage the sharing of knowledge among partners.
- Intellectual Property and Entrepreneurship Law Clinic (IP Law Clinic) at the UConn School of Law was established through a collaborative effort of Technology Commercialization Services in the Office of the Vice President for Research, the UConn School of Law, and the School of Business to help potential companies with analysis of the IP landscape. The Clinic provides law students with the opportunity to counsel Connecticut's innovators in a range of intellectual property (patent, trademark, copyright, and trade secret) and related business law issues.
- Innovation Quest (iQ) is a longstanding entrepreneurial competition at UConn that provides training and potential funding to promising teams of student entrepreneurs. It was established through a collaborative effort of Technology Commercialization Services within the Office of the Vice President for Research and CCEI but is funded, supported, and driven by UConn alumni.
- The University joined Yale, Quinnipiac University, and Connecticut Innovations to establish the Bioscience Pipeline CT Program to support commercialization of early-stage biomedical technologies (medical devices, diagnostics, and health information technology) with \$30,000 grants that help make projects more competitive under the State's Bioscience Innovation Fund.
- The Third Bridge Grant provides seed funding to the School of Engineering with promising technologies developed independently and within UConn labs. The goal of Third Bridge is to mentor and aid students as they advance their technologies toward commercialization.
- Senior Design Projects: More than 250 industry-sponsored projects per year (spanning 1 to 2 semester courses) designed for senior engineering students to solve real-world engineering problems from industry with the guidance of faculty mentors.
- Industry Liaison Activities: Through the Executive Director for Innovation, External Engagement, and Industry Relations, the University can catalyze new interactions between faculty, potential commercial partners, and other research organizations. The OVPR Executive Director enhances existing efforts of industry liaisons across the University whose mission includes outreach to scientists and engineers from industry. These individuals establish partnerships with industry at many levels, from student internships and senior design projects to the formation of large, industry or federally sponsored research projects and collaborations. In addition, industry liaisons work with chambers of commerce, trade associations, supply chains, and OEMs to broaden awareness of UConn's research capabilities and leverage state and federal programs

- (e.g., Connecticut Innovations, DECD, federal sponsors, etc.) to establish and strengthen partnerships between UConn faculty and industry partners.
- Student internships through UConn’s Center for Career Services and many individual departments.
  - Industry sponsorship of undergraduate and graduate research students through research grants.
  - Institute of Materials Science (IMS) Industrial Affiliates Program: Since 1974, the program has provided companies with access to UConn resources that can assist industry with materials-related research, development, and production projects. The program is administered through IMS.

The UConn Technology Incubation Program (TIP), which offers incubator facilities at three locations across the State (Storrs, Farmington, and soon in Stamford) is able to provide technology-based startup companies access to a unique range of unparalleled resources, including:

- Incubator facilities featuring offices, wet labs, and access to instrumentation.
- Collaboration with scientific experts.
- Technically trained student interns, employees, and graduates.
- UConn’s world-class library resources.
- Customized business educational events, planning assistance, and mentoring.
- Access to venture funding.

TIP received funding from StamfordNext/CTNext and UConn to launch a new Stamford location focused on Data Science initiatives in Stamford. Expansion of TIP in Stamford is one of the components for this initiative and launched in February 2021.

The following table reflects growth in TIP:

Growth in TIP											
	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Companies in Program	29	26	20	26	30	40	35	43	58	71	59
Capital Raised (\$M)	\$29.1	\$8.6	\$41.9	\$19.1	\$51.9	\$89.2	\$69.0	\$378.8	\$70.9	\$151.0	\$146.0
Revenue (\$M)	\$4.5	\$5.8	\$1.6	\$1.2	\$3.1	\$7.1	\$9.0	\$84.1	\$6.4	\$32.0	\$40.0
Taxes Paid (\$M)	Didn't collect	\$0.4	\$0.4	\$0.4	\$0.6	\$0.8	\$1.3	\$2.1	\$3.4	\$5.5	\$5.2
Full-Time Jobs	85	66	61	66	81	118	106	144	204	121	257
Part-Time Jobs	33	49	38	38	56	53	62	64	80	395	180
Company Patents Filed	37	60	62	38	74	41	103	112	124	109	137
Company Patents Granted	22	27	19	12	43	34	48	32	40	25	25
Company Patents in Process	19	50	40	57	60	49	65	79	58	Not Avail	Not Avail

Note that the number of companies in TIP varies based on available space and square footage needs of the current incubator companies. TIP leasing practices allow these startups to increase and decrease space as needed. While the number of companies in the program has fluctuated since FY13, this occurs due to graduation of some companies and the entry of others, which happens on a rolling basis. The overall number of companies has grown due to the opening of the new facility at UConn Health in January 2016, which drastically increased the program’s available space. The

new facility now features 32 new state-of-the-art labs and 40 offices, bringing the total space to 45 labs and almost 58 offices. The current space is 94% occupied.

The University engaged with Connecticut Innovations (CI) and Battelle in 2014 by way of an MOU to identify opportunities for faculty-industry collaboration and to help advance the goals of NextGenCT utilizing the following programs:

- Department of Economic & Community Development (DECD):
  - Connecticut Manufacturing Innovation Fund (MIF) administered through DECD to assist the manufacturing industry to innovate commercial products and services and help drive enhancement in, or development of, products or services designed to strengthen their competitive position. The MIF supports competitive initiatives in the following areas:
    - Voucher Program (DECD/CCAT) – It is designed to help keep pace with the state-of-the-art product development and manufacturing technology. The program provides companies with access to the expertise they need to become more efficient, productive, and profitable.
    - Incumbent Worker Training Program (DECD/DOL) – The program provides financial assistance to train incumbent workers in the appropriate skills to meet current and emerging market needs.
    - Apprenticeship Program (DECD/DOL) – To support a combination of on-the-job training and classroom instruction for apprentices in Connecticut’s manufacturing industry. Grants awarded through the program can be used for wage subsidy, tuition reimbursement, and to offset the costs of gaining appropriate credentials for apprentices.
- CT Bioscience Innovation Fund, which creates the opportunity to develop collaborations with UConn faculty and industry partners to leverage external funds from federal agencies (administered through CI).
- Technology Talent Bridge (TTB) – A program that connects student interns with work on relevant projects and companies within the state (administered through CI).
- Multiple collaborations between the University and CCAT to spur innovation within the State’s manufacturing sector and facilitate access to state and federal support.
- Eli Whitney Equity Fund – Provides R&D support and research space to innovative companies receiving investment from CI.

**A summary of University and joint University-industry intellectual property activities, including the number of disclosures, patents, licenses, new businesses and entrepreneurial activities established with University technologies:**

The mission of Technology Commercialization Services within the Office of the Vice President for Research is to expedite and facilitate the transformation of UConn discoveries into products and services that benefit society and drive economic growth and job creation. The goals are to bring together UConn researchers and the business community in order to significantly enhance the commercial and societal impact of UConn’s research; identify and help move inventions by UConn faculty, staff, and students towards the market through licensing or new company formation; secure patent protection for new technologies where warranted by market forces; and generate industrial support for ongoing applied/translational research projects.

University inventions have led to more than 733 US patents with 25 US patents issued to UConn inventors in FY23 alone. Additionally, many of our faculty have more intellectual property and patents based on their previous work at other institutions.

Recent State initiatives, such as Next Generation Connecticut and Bioscience Connecticut, have enhanced the University’s role as a center for innovation, but reduced State funding for new faculty hires has substantially limited its capacity to maximize the hoped-for return on investment. A \$169.5 million UConn Technology Park with an anchor facility, the Innovation Partnership Building, designed to house industry-academic research collaborations, officially opened in September 2018, and continues to serve as a hub for the development and growth of industry relationships and entrepreneurship at the University. These initiatives will provide a robust pipeline of innovation, talent, and unique research facilities for technology development, business incubation, and growth.

**Identification of research and innovation benchmarks and an analysis of the University’s progress in meeting such benchmarks:**

More than eighty research centers, institutes, and programs serve UConn’s teaching, research, diversity, and outreach missions. Undergraduate, graduate, and faculty research at the University drives business development and enhances quality of life. UConn’s research operations make real and vital contributions to the state’s economy. Research dollars enter the Connecticut economy through UConn, but the impact does not end there. Through the bold NextGenCT initiative, the State’s economic development will be fueled.

Experience indicates that there is no uniform approach to technology licensing that will meet the needs of our diverse industry partners. The University is committed to working with its partners to provide the intellectual property rights necessary to meet company goals.

Licensing and Commercialization											
	FY 2013 (Base)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Invention Disclosures Received	71	59	93	69	61	71	90	102	91	91	86
Patent Applications Filed	95	86	113	91	89	83	105	128	102	109	137
Patents Issued	20	21	28	31	28	39	30	25	28	25	25
Licenses & Options Executed	19	22	10	11	10	26	21	15	10	22	25
Licensing Revenue (\$M)	\$1.0	\$1.7	\$1.1	\$1.0	\$1.7	\$0.9	\$0.7	\$0.9	\$1.2	\$1.1	\$1.7
UConn Startup Companies Formed	4	5	3	2	1	6	15	17	5	8	6

Year to year fluctuations in disclosures received is not unusual. Since FY 2013 the average number of disclosures received was 78.6. While there was a record high 102 disclosures received in FY 2020, FY 2021 also had a high number of disclosures in spite of the global pandemic. There was a spike in licensing revenue in FY 2014 due to the one-time sale of patent rights to a large international company, and in FY 2017 due to a one-time settlement for underpaid royalties that had been identified during an audit of the licensee’s books.

The table below measures UConn against our peers using data based on research expenditures, which is accepted as the chief factor driving innovation.



UConn vs. Peers FY21, Research Expenditures						
Institution	Invention Disclosures Received	Patent Applications Filed	Patents Issued	Licenses & Options Executed	Licensing Revenue (\$K)	Startup Companies Formed
<b>UConn</b>	<b>91</b>	<b>52</b>	<b>30</b>	<b>11</b>	<b>\$1.12M</b>	<b>5</b>
Indiana University	139	166	63	27	\$9.31M	7
Michigan State University	120	71.0	41	40	\$3.99M	3
Purdue University	394	234	187	210	\$5.71M	13
University of Georgia	152	44	36	151	\$10.87M	7
University of Kansas	61	43	40	36	\$6.74M	5
University of Kentucky	99	67	22	40	\$2.65M	6.0
Peer Average	<b>160.8</b>	<b>104.2</b>	<b>64.8</b>	<b>84</b>	<b>\$6.54M</b>	<b>6.8</b>

Notes: Based on annual AUTM Survey Data. The University of Delaware did not respond to the AUTM survey for a portion of this reporting period. Their data has been omitted for that reason. FY21 is the most recent year for which AUTM survey data is available.

## CAPITAL PROGRAM

The Board of Trustees, at its June 28, 2023 meeting, approved the FY24 Capital Budget totaling \$315,000,000 as shown in Exhibit A. This amount reflects \$84,700,000 of UCONN 2000 State bond funds, \$59,489,200 of other State bond funds, \$100,000,000 of University Special Obligation bond funds, \$40,810,800 of University funds, and \$30,000,000 of Gift funds.

### Storrs & Regional Campuses

The following is a brief description of some of the named projects included in the FY24 Capital Budget or previous budgets, which are currently in planning, design or construction.

#### *Academic and Research Facilities*

The 285,000 gross square foot Gant Science Complex will be renovated to accommodate current and future University needs in response to growing student enrollment in STEM programs. These improvements will include classrooms, lecture halls, teaching and research laboratories, faculty offices and support spaces. Exterior site and plaza areas will be improved to make them more inviting and accessible to the campus community. The building envelope (façades and roofs) will be reconstructed to provide a more attractive waterproof skin with better energy performance. A new light court was built as part of phase 1 which enclosed the existing opening at the Gant Plaza. Phased construction began in Winter 2018. Phase 1 and 2 were completed in 2022. The schedule for completion of Phase 3 is pending.



### New School of Nursing Building

The School of Nursing currently resides in Storrs Hall, a historic building constructed in 1906. In 2012, an expansion was added to accommodate the growing needs of the school, resulting in a total of 51,000 gross square feet (GSF). However, due to the continued growth of the school's program, the existing building can no longer adequately fulfill the school's requirements in terms of size and spatial configuration.

The goal of this project is to construct a new building for the School of Nursing to accommodate an increased enrollment and to address the shortage of nurses, the changing nature of health care, and the increasing disparity in health outcomes. The new approximately 80,000 square foot building will be strategically located near the existing Brain Imaging Research Center, aligning with the vision outlined in the 2015-2035 Campus Master Plan.

### ***Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities***

Per statute, the “deferred maintenance” portion of the project name is defined as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In addition, the University intentionally designs projects to repair under-maintained facilities and infrastructure up to current standards and with necessary improvements to accommodate the current needs of the campuses. Also, the statutory named line clarification and new definition allows the University to construct new utility, infrastructure, administrative

and support facilities as needed. In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation
- New utility, infrastructure, administrative and support facilities

Some of the major projects to be funded within this category during FY24 are, South Campus Infrastructure, Field House Renovation, Boiler Plant Equipment Replacement and Utility Tunnel Connection, Mirror Lake Improvements, Eversource Second Electrical Feed, and various programmatic renovations. Eight of the projects recently completed or currently underway in this category are summarized below.

#### UCONN Code Remediation – Stamford Downtown Relocation

After completing a required plan review and field inspection of the UCONN 2000 Code Remediation-Stamford Campus Downtown Relocation project, the Office of the Fire Marshal and Building Inspector cited numerous code discrepancies related to the original project. This project will mitigate the balance of the discrepancies and integrate the designed mitigation with planned programmatic renovations. The project will be completed in phases over a number of consecutive summers in order to minimize the impacts on the use and occupancy of the building during construction. Scope of work includes sprinkler, egress, fire separation assemblies, restrooms, and office/classroom renovations. The first phase was completed in 2020. Construction on the second phase began in Summer 2021 with completion in Summer 2023.



#### University Safety Building Addition

The University Safety Building is the headquarters for the Division of University Safety and



houses the UConn Police Department, UConn Fire Department, statewide Dispatch Center as well as Division administrative staff. The building serves to support UConn's statewide public safety efforts at all UConn campuses. The building was opened in 1992 and has not

expanded in the nearly 30 years it has been occupied, even though the Division has grown significantly during this time. This project will construct a new addition at the lawn area adjacent the existing fire department equipment bays to house the fire department staff. The floor area in

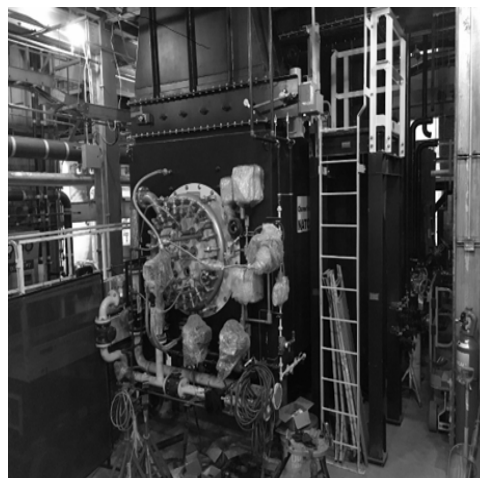
the existing facility currently occupied by the Fire Department will be renovated to provide additional locker areas for the Police Department, and an expanded dispatch area. Construction began in Spring 2021 and was complete in Summer 2023.

#### Boiler Plant Equipment Replacement & Utility Tunnel Connection

This project will replace the existing 1960's vintage Central Utility Plant (CUP) Boilers as well as complete the utility tunnel interconnection between the future Supplemental Utility Plant and the existing Central Utility Plant. The existing boilers are inefficient and require extensive maintenance to remain operational. In addition, CT Department of Energy and Environmental Protection notified the University that the boilers are to be de-commissioned by Summer 2023 due to non-compliance with more stringent emissions regulations. The new efficient boilers will reduce greenhouse gas emissions by 3.5%-5.25% from current levels.

The Boiler Plant Equipment Replacement and Utility Tunnel Connection project will be implemented in three phases. In Phase I, the University pre-purchased three factory-fabricated, dual-fuel water-tube boilers.

In Phase II, the existing boilers were decommissioned and demolished in preparation for Phase III. Also, in phase II, the utility tunnel connection was completed. Work included extension of the steam, chilled water, and electrical connections from their current locations within the existing "North Utility Tunnel" at the northeast corner of the UTEB building into the CUP.



Phase III will install the new boilers. Construction began in Summer 2020 with anticipated completion in Summer 2024.

#### Field House Renovation

The Greer Field House was the former Student Recreation Center, and upon their move to the new facility in August 2019, space became available to meet other Athletic Department program needs. In the summer of 2021, design consultants were hired to begin the programming and conceptual design for the backfill of the facility.

This project will bring athletics, research, sports medicine, hydrotherapy, training, rehabilitation, recovery, nutrition, compliance, clinical operations as well as academic support together in one building. The proposed program for the facility will include a consolidated Academic Center to support the Student Academic Success Program (SASP) for all student athletes and additional space for Kinesiology. This project also seeks to address Title IX requirements for the various athletic teams.

This project will renovate existing offices, support spaces, team rooms, coaches and official's locker rooms, and team locker rooms for the following sports: men's and women's track and field, women's field hockey, women's rowing, women's tennis, and women's swimming & diving. These renovated spaces within the Field House and Wolff-Zackin facility will be constructed to a standard established in the new locker rooms within the Rizza Performance Center. Exterior accessed toilet rooms for use by the public at sporting events at Sherman Field will be provided. Design of the project will continue through FY24.



### South Campus Infrastructure

In 2015, the University completed the NextGenCT Campus Masterplan and in 2016 the University performed a Framework Utility Analysis to create a systematic approach for infrastructure projects that support development of the NextGenCT program. The intent of this project is to provide infrastructure improvements in the South Campus district to support the construction and sustainable operation of the South Campus Residence Hall.

The overall scope of this project will provide renewable infrastructure to the new South Campus Residence Hall and includes: new utilities (steam, electric, hot and chilled water), communications, sanitary, stormwater, and domestic water for the South Campus Residence Hall, and expansion of the South Campus Chiller Plant to accommodate a new geothermal heating and cooling system and to meet the needs of the Residence Hall and surrounding buildings. The project is currently in construction with anticipated completion in 2026.

### Mirror Lake Improvements

Mirror Lake has been a beloved and historic campus landmark since 1922. After multiple decades of deferred maintenance and campus growth within its watershed, numerous studies through 2021 concluded that critical improvements to the lake and its dam are necessary. Permitting, design, and preconstruction activities were completed in late 2022 however, a reduction and phasing of the scope of work became necessary due to budget constraints. The University then conducted collaborative working meetings with CT DEEP through early 2023, concluding with an amended Memorandum of Understanding for Mirror Lake and its watershed that attenuates stormwater flows from development since 1993.

The first phase of work will focus on critical improvements and repairs to the dam, and a future phase will address stormwater attenuation and water quality improvements associated with construction in the watershed.

The project is now finalizing the design documents and cost estimates, and permit applications have been submitted to CT DEEP and Army Corps of Engineers for their respective reviews. Upon final permit approvals expected by the fall of 2024, construction is anticipated to begin in the spring of 2025.

### Eversource Second Electrical Feed

The UConn Storrs campus receives electrical power from two sources: 1) the generation of power on campus at the Central Utility Plant by UConn owned and operated electrical generating turbines, and 2) the Utility provider's (Eversource) overhead power lines via a utility substation located west of the area "F" parking lot on the north side of North Eagleville Road. Each of the sources was originally designed with the capacity to independently provide all electricity needed for the campus, thus providing the University with the reliability and redundancy necessary should one or the other service be interrupted. Studies have shown that as the University continues to expand in accordance with its Master Plan, the electrical demand has increased to a point where both imported, and campus-based production of electrical power will need to be increased to maintain the required reliability and resiliency of the on-campus electrical distribution system.

This project establishes a new second connection to Eversource, terminating at a new 50-75 MVA transformer located on the exterior of the Supplemental Utility Plant (SUP) in the substation switchgear yard. Included is a required modernization of the electrical distribution control system to manage multiple sources and the increased load demands which enables code compliant switching for personnel safety. The Eversource Second Electrical Feed project is currently in the Design phase with expected construction completion in Fall 2026.

### ***Equipment, Library Collections & Telecommunications***

The enhancement of the University's infrastructure includes its instructional and scientific equipment. The equipment replacement category permits the University to replace outmoded items with state-of-the-art laboratory devices and computers. The funding encompasses seven major categories: management information systems, computers, research equipment, instructional equipment, furnishings, operational and public safety support, and library materials.

### ***Residential Life Facilities***

Preliminary planning and design of a new South Campus Residence Hall was started in 2021. The new building will create much needed swing space for the housing rehabilitation and replacement program. The new 647 bed residence hall includes an adjoining 500-seat dining hall. Construction of the South Campus Residence Hall commenced in the Fall of 2022 with anticipated completion for the Fall of 2024.



### **UConn Health**

In 2018, an external third party provided UConn Health with a ten-year Facilities Condition Assessment (FCA). The assessment identified \$490 million (inflation adjusted) in Deferred Maintenance needs across the following categories:

- **Recurring Deferred Renewal:** Items that have not been replaced during the normal course of maintenance that have reached or exceeded their expected life: \$234 million (e.g., original 1970 era HVAC systems).
- **Recurring Projected Renewal:** Items that during the 2018-2028 time period will “age out” and need to be replaced: \$235 million (e.g., roofs that are 25 years old now but will reach their 30 year life expectancy in the next 5 years and need to be replaced).

- **Nonrecurring:** Items that require replacement on a one-time basis: \$21 million (e.g., stair railings that are not code compliant and need to be replaced but are not expected to be replaced again).

The FY22 allocation of \$25 million in new UCONN 2000 funding for deferred maintenance needs marked the first new funds for UConn Health since the Bioscience Connecticut Initiative funding ended in FY18. In December of 2022, the State Bond Commission approved a state bond allocation for UConn Health in the amount of \$40 million to finance deferred maintenance needs of our buildings and grounds. During the 2023 legislative session, the General Assembly approved an additional \$43 million in State GO bonds to be allocated to Deferred Maintenance (\$30 million), Telecommunications Systems & Infrastructure Upgrades (\$3 million), and for Equipment, Library Collections, and Telecommunications (\$10 million). UConn Health will request DM funding on an on-going basis to meet the needs identified in the FCA.

***Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities***

Per statute, the “deferred maintenance” portion of the project name is defined as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair.” In addition, the University intentionally designs projects to repair under-maintained facilities and infrastructure up to current standards and with necessary improvements to accommodate the current needs of the campuses. The statutory named line clarification and new definition also allows the University to construct new utility, infrastructure, administrative and support facilities as needed. In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation
- New utility, infrastructure, administrative and support facilities

Numerous projects involving paving, roof replacements, academic and research renovations, and replacement of building infrastructure components are under design or construction including the 16 Munson Road Emergency & Egress Upgrades, Buildings E & K Roof Replacement, Cadaver Lab Renovation & AHU Replacement, 16 Munson Road Parking Lot Repaving, Buildings F & H Hot Water Tank Replacement and the Buildings D & N Roof Replacement.

**UCONN 2000: SET-ASIDE CONTRACTOR**

Public Act 99-241 called for, among other things, information regarding use of Connecticut owned businesses on UCONN 2000 program projects, including those owned by women and minorities (“set-aside” contractors). Since Fiscal Year 1996, construction and related contracts for the UCONN 2000 program totaled \$5.0 billion from all fund sources; \$3.9 billion is strictly UCONN 2000 funds. As of January 2024, Connecticut businesses have accounted for \$2.9 billion of the

total contracted dollars with “set-aside” general contractors and subcontractors accounting for \$1.0 billion. Overall, small business participation has amounted to \$561.1 million, minority business participation has amounted to \$135.5 million, and women-owned business participation has amounted to \$313.5 million.

### **UCONN 2000: FINANCE**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the semi-annual report to the General Assembly is to provide, among other things, information on the number of projects and securities authorized, approved and issued; the payment of debt service requirements, and the payment of principal and interest on the UCONN 2000 securities; and the amount of investment earnings. This section provides that information.

### **Legislative Authorizations - Selected Discussion**

In 2023, the General Assembly enacted and the Governor signed Public Act 23-1 that decreased the amount of bonds secured by the Debt Service Commitment that may be issued for fiscal year 2025 and in the aggregate by \$12,000,000 which decreased the Fiscal Year 1996 to 2027 total Debt Service Commitment amounts to \$4,295,900,000.

During June 2021, the Governor signed Public Act 21-2 increasing the Debt Service Commitment amount for Fiscal Year 2022 by \$25,000,000 to \$215,500,000. The estimated costs in the act were also changed including increasing the project known as “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities - Health Center”.

During March 2020, Public Act 20-1 was approved which reallocated the authorized Debt Service Commitment debt funding paid for by the State among the Fiscal Years 2020 through 2027 but did not change the total Debt Service Commitment amount authorized for UCONN 2000 projects.

During October 2017, the General Assembly passed Public Act 17-2 and the Governor signed on October 31, 2017 “An Act Concerning the State Budget for the Biennium Ending June 30, 2019, Making Appropriations Therefore, Authorizing and Adjusting Bonds of the State And Implementing Provisions of the Budget” that which among other things, moved some of the authorized DSC bond funding from Fiscal Years 2018 through 2023 and added it to 2024, and the newly added Fiscal Years 2025, 2026 and 2027 (without changing total DSC funding); and changed the name of the UCONN 2000 project “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities” and UConn Health’s UCONN 2000 named project formally known as “Deferred Maintenance/Code/ADA Renovation Sum — Health Center” to the new UCONN 2000 project name of “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities – Health Center”.

During the May 2016 Special Session, the General Assembly passed Public Act 16-4 effective July 1, 2016 which among other things changed the name of the UCONN 2000 project “Deferred Maintenance/Code/ADA Renovation Lump Sum” to “Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum” and also



shifted \$26,000,000 of Debt Service Commitment authorizations from Fiscal Year 2017 to Fiscal Year 2018.

During May 2014, Governor Malloy signed Public Act 14-98 which clarified that the language in the UCONN 2000 legislation limiting UCONN 2000 debt applied only to the debt service commitment debt that the State pays and the limit does not apply to UCONN 2000 Special Obligation Student Fee Revenue bonds and other types of UCONN 2000 debt which the University and not the State pays. Public Act 14-5 was also signed in May 2014 and eliminated certain UCONN 2000 Act language that limited leasing outside the Storrs Campus.

In July 2013, Governor Malloy signed Public Act 13-233, “An Act Concerning Next Generation Connecticut”, which extended the UCONN 2000 program another six years until Fiscal Year 2024; increased the statutory authorizations for the UCONN 2000 bonds secured by the State’s debt service commitment by \$1.551 billion for a total of \$4,282,900,000; changed certain Fiscal Year bond authorizations; added a new named project called “Academic and Research Facilities”; and also added the housing language to the “Stamford Campus Improvements/Housing” project.

In July 2011, Governor Malloy signed Public Act 11-75, “An Act Concerning the University of Connecticut Health Center”, the Bioscience Connecticut initiative, which increased the statutory authorizations for the UCONN 2000 bonds secured by the State’s debt service commitment by \$262,900,000 for a total of \$2,731,900,000; changed certain Fiscal Year bond authorizations; eliminated a prior provision relating to the \$100 million contribution of federal, private or other non-state money; and provided for funding to renovate research laboratory space and create additional business incubator space.

On August 25, 2011, the Governor signed Public Act 11-57 for creation of a world-class Technology Park on the Storrs Campus to serve as a cornerstone for a new Connecticut research triangle. The Technology Park is expected to generate hundreds of new jobs in Connecticut, encourage new business growth, and leverage millions of dollars in federal and private research funding. The Technology Park will link to UConn Health in Farmington, the Connecticut Bioscience Initiative to Yale University in New Haven and others. A total of \$169.5 million of State General Obligation bond funding has been authorized by the State Bond Commission for the Technology Park. On August 26, 2011, the State Bond Commission authorized the first \$18 million of State General Obligation bonding to begin planning and design. On April 26, 2013, the State Bond Commission authorized the release of \$20 million in State General Obligation bonding to cover the purchase of equipment. On May 11, 2015, the State Bond Commission authorized the release of \$131.5 million in State General Obligation bonding to fund the construction of the new facility.

During the October 2011 special session, the General Assembly adopted Public Act 11-2 which established the Connecticut Bioscience Collaboration Program (the “Collaboration”) and authorized \$290,685,000 of State General Obligation bonds to be issued over a ten-year period and to be deposited in the Connecticut Bioscience Collaboration Fund. The Collaboration will support the establishment of a bioscience cluster anchored by the Jackson Laboratory for Genomic Medicine located on UCH’s Farmington campus.

In Fiscal Year 2002, the General Assembly enacted and the Governor signed into law Public Act 02-3, An Act Concerning 21st Century UConn. This act authorized additional projects for the

University and UConn Health for Phase III of UCONN 2000. This act amended Public Act 95-230 and extended the UCONN 2000 financing program.

Public Act 95-230 enabled the University to borrow money in its own name for a special 10-year capital improvement program designed to modernize, rehabilitate, and expand the physical plant of the University. It authorized projects for Phases I and II of UCONN 2000, estimated to cost \$1,250 million, of which \$962 million was financed by bonds of the University secured by the State Debt Service Commitment; \$18 million was funded by State General Obligation Bonds; and the balance of \$270 million was financed by gifts, other revenue, or borrowing resources of the University, including Special Obligation Student Fee Revenue bonds.

#### General Obligation Bonds

Section 10a-109 of the Connecticut General Statutes empowers the University to issue General Obligation Bonds secured by the State's Debt Service Commitment ("DSC"). These bonds are referred to as "General Obligation Debt Service Commitment Bonds" or "GO-DSC Bonds" throughout the remainder of this report. These bonds are issued pursuant to the General Obligation Master Indenture of Trust, dated as of November 1, 1995, between the University of Connecticut, as Issuer, and Fleet National Bank of Connecticut as Trustee (now U.S. Bank Trust Company, National Association). The University's Board of Trustees on November 10, 1995, and the State Bond Commission on December 21, 1995 approved the Master Indenture of Trust. The Master Indenture was subsequently amended and approved by the University's Board of Trustees on September 26, 2003 and the State Bond Commission on December 19, 2003. The Board of Trustees and the Governor approve the subsequent Supplemental Indenture for each bond issue. The University and the Office of the State Treasurer, working in conjunction, manage the GO-DSC Bond sale process.

#### General Obligation Bond Issues Completed

As of December 31, 2023, there have been thirty-eight GO-DSC Bond issues for the UCONN 2000 program including twenty-five new money and thirteen refunding bond issues.

There have been twenty-five new money GO-DSC Bonds issues totaling \$3,736,192,147 in par value which, together with original issue premium, funded \$4,044,000,000 of UCONN 2000 projects. The remaining balance, together with accrued interest and net original issue premium, funded the costs of issuance including deposits to the State Treasurer pursuant to the indentures.

Pursuant to Section 10a-109g of the Connecticut General Statutes, the UCONN 2000 GO-DSC Bonds authorized, approved and issued to date are listed below:

**GO-DSC Bonds Phase I, II & III**

<b><u>Date of Issue</u></b>	<b><u>Par Amount</u></b>	<b><u>TIC (1)</u></b>	<b><u>Bond Issue</u></b>
February 21, 1996	\$83,929,714.85	4.94%	1996 Series A <sup>(2)</sup>
April 24, 1997	124,392,431.65	5.48%	1997 Series A <sup>(2)</sup>
June 24, 1998	99,520,000.00	4.78%	1998 Series A <sup>(2)</sup>
April 8, 1999	79,735,000.00	4.46%	1999 Series A <sup>(2)</sup>
March 29, 2000	130,850,000.00	5.42%	2000 Series A <sup>(2)</sup>
April 11, 2001	100,000,000.00	4.54%	2001 Series A <sup>(2)</sup>
April 18, 2002	100,000,000.00	4.74%	2002 Series A <sup>(2)(3)</sup>
March 26, 2003	96,210,000.00	3.97%	2003 Series A <sup>(2)(4)</sup>
January 22, 2004	97,845,000.00	3.76%	2004 Series A <sup>(2)(5)</sup>
March 16, 2005	98,110,000.00	4.20%	2005 Series A <sup>(2)(6)</sup>
March 15, 2006	77,145,000.00	4.20%	2006 Series A <sup>(2)(7)</sup>
April 12, 2007	89,355,000.00	4.14%	2007 Series A <sup>(2)(8)</sup>
April 16, 2009	144,855,000.00	4.01%	2009 Series A <sup>(2)(9)</sup>
May 25, 2010	97,115,000.00	3.64%	2010 Series A <sup>(2)(10)</sup>
December 8, 2011	179,730,000.00	3.31%	2011 Series A <sup>(2)(11)</sup>
July 31, 2013	172,660,000.00	3.58%	2013 Series A <sup>(12)</sup>
April 22, 2014	109,050,000.00	3.37%	2014 Series A <sup>(13)</sup>
April 16, 2015	220,165,000.00	3.09%	2015 Series A <sup>(14)</sup>
April 21, 2016	261,510,000.00	2.76%	2016 Series A <sup>(15)</sup>
January 19, 2017	311,200,000.00	3.42%	2017 Series A <sup>(16)</sup>
May 3, 2018	276,075,000.00	3.67%	2018 Series A <sup>(17)</sup>
May 8, 2019	174,785,000.00	3.05%	2019 Series A <sup>(18)</sup>
December 17, 2020	160,230,000.00	2.21%	2020 Series A <sup>(19)</sup>
April 13, 2022	\$227,185,000.00	3.25%	2022 Series A <sup>(20)</sup>
<u>November 21, 2023</u>	<u>\$224,540,000.00</u>	4.12%	2023 Series A <sup>(21)</sup>
<b>Subtotal Phase I, II &amp; III</b>	<b>\$3,736,192,146.50</b>		

**GO-DSC Refunding Bonds**

January 29, 2004	\$216,950,000.00	3.55%	2004 Series A Refunding <sup>(2)(5)</sup>
March 15, 2006	61,020,000.00	4.17%	2006 Series A Refunding <sup>(2)(7)</sup>
April 12, 2007	46,030,000.00	4.22%	2007 Series A Refunding <sup>(2)(8)</sup>
May 25, 2010	36,095,000.00	2.86%	2010 Series A Refunding <sup>(2)(10)</sup>
December 8, 2011	31,905,000.00	2.29%	2011 Series A Refunding <sup>(2)(11)</sup>
July 31, 2013	51,250,000.00	2.71%	2013 Series A Refunding <sup>(12)</sup>
April 22, 2014	92,940,000.00	1.41%	2014 Series A Refunding <sup>(13)</sup>
April 16, 2015	34,625,000.00	2.15%	2015 Series A Refunding <sup>(14)</sup>
April 21, 2016	80,425,000.00	1.70%	2016 Series A Refunding <sup>(15)</sup>
January 19, 2017	33,950,000.00	2.01%	2017 Series A Refunding <sup>(2)(16)</sup>
May 8, 2019	64,680,000.00	2.23%	2019 Series A Refunding <sup>(18)</sup>
December 17, 2020	\$119,085,000.00	0.95%	2020 Series A Refunding <sup>(19)</sup>
<u>November 21, 2023</u>	<u>\$133,505,000.00</u>	3.57%	2023 Series A Refunding <sup>(21)</sup>
<b>Subtotal Refunding Bonds</b>	<b>\$1,002,460,000.00</b>		

<b>Total GO-DSC Bonds</b>	<b><u>\$4,738,652,146.50</u></b>
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- (1) TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue.
- (2) Bonds are no longer outstanding.
- (3) The GO-DSC 2002 Series A Bonds provided \$994,688.03 directly to the Office of the State Treasurer.
- (4) The GO-DSC 2003 Series A Bonds par amount of \$96,210,000 plus \$3,790,000 of the original issue premium totaled \$100,000,000 available for projects.
- (5) The GO-DSC 2004 Series A Bonds new money and GO-DSC Refunding 2004 Series A Bonds were issued under a single Official Statement with a par amount of \$216,950,000. The GO-DSC 2004 new money bonds totaled \$100,000,000 for projects, funded by the \$97,845,000 par amount plus \$2,155,000 of the original issue premium; and the GO-DSC Refunding 2004 Series A Bonds provided \$223,160,000 for a refunding escrow. Additional proceeds, including premium, funded the refunding escrow and the costs of issuance.
- (6) The GO-DSC 2005 Series A Bonds totaled \$100,000,000 available for projects funded by the \$98,110,000 par amount plus \$1,890,000 of the original issue premium. Phase II authorizations accounted for \$50 million and Phase III for \$50 million.
- (7) The GO-DSC 2006 Series A Bonds new money and GO-DSC Refunding 2006 Series A Bonds were issued under a single Official Statement with a par amount of \$138,165,000. The GO-DSC 2006 Series A Bonds new money totaled \$79,000,000 available for projects, funded by the \$77,145,000 par amount plus \$1,835,000 of the original issue premium, and the GO-DSC Refunding 2006 Series A Bonds provided \$65,472,900 for a refunding escrow. Additional proceeds, including premium, funded the refunding escrow and the costs of issuance.
- (8) The GO-DSC 2007 Series A Bonds new money and GO-DSC Refunding 2007 Series A Bonds were issued under a single Official Statement with a par amount of \$135,385,000. The GO-DSC 2007 Series A Bonds new money totaled \$89,000,000 available for projects, and the GO-DSC Refunding 2007 Series A Bonds provided \$49,505,476.89 for a refunding escrow. Additional proceeds, including premium, funded the refunding escrow and the costs of issuance.
- (9) The GO-DSC 2009 Series A Bonds par amount of \$144,855,000 plus \$5,145,000 of the original issue premium totaled \$150,000,000 available for projects.
- (10) The GO-DSC 2010 Series A Bonds new money and GO-DSC Refunding 2010 Series A Bonds were issued under a single Official Statement with a combined par amount of \$133,210,000. The GO-DSC 2010 Series A Bonds new money par amount of \$97,115,000 plus \$7,885,000 of the original issue premium totaled \$105,000,000 available for projects; and the GO-DSC Refunding 2010 Series A Bonds par amount of \$36,095,000 plus \$2,609,428.69 of the original issue premium provided \$38,704,428.69 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (11) The GO-DSC 2011 Series A Bonds new money and GO-DSC funding 2011 Series A Bonds were issued under a single Official Statement with a combined par amount of \$211,635,000. The GO-DSC 2011 Series A Bonds new money par amount of \$179,730,000 plus \$20,700,000 of the original issue premium totaled \$200,000,000 available for projects; and the GO-DSC Refunding 2011 Series A Bonds par amount of \$31,905,000 plus \$4,936,565.62 of the original issue premium provided 36,841,565.62 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (12) The GO-DSC 2013 Series A Bonds new money and GO-DSC Refunding 2013 Series A Bonds were issued under a single Official Statement with a combined par amount of \$223,910,000. The GO-DSC 2013 Series A Bonds new money par amount of \$172,660,000 plus \$16,340,000 of the original issue premium totaled \$189,000,000 available for projects; and the GO-DSC Refunding 2013 Series A Bonds par amount of \$51,250,000 plus \$6,978,911.08 of the original issue premium provided \$58,228,911.08 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (13) The GO-DSC 2014 Series A Bonds new money and GO-DSC Refunding 2014 Series A Bonds were issued under a single Official Statement with a combined par amount of \$201,990,000. The GO-DSC 2014 Series A Bonds new money par amount of \$109,050,000 plus \$10,950,000 of the original issue premium totaled \$120,000,000 available for projects; and the GO-DSC Refunding 2014 Series A Bonds par amount of \$92,940,000 plus \$6,573,683.06 of the original issue premium provided \$99,513,683.06 for the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.
- (14) The GO-DSC 2015 Series A Bonds new money and GO-DSC Refunding 2015 Series A Bonds were issued under a single Official Statement with a combined par amount of \$254,790,000. The GO-DSC 2015 Series A Bonds new money par amount of \$220,165,000 plus \$29,835,000 of the original issue premium totaled \$250,000,000 available for projects; and the GO-DSC Refunding 2015 Series A Bonds par amount of \$34,625,000 plus \$5,654,495.56 of

the original issue premium totaled \$40,279,495.56 deposited to the refunding escrow. Additional proceeds, including premium, funded the costs of issuance.

- (15) The GO-DSC 2016 Series A Bonds new money and GO-DSC Refunding 2016 Series A Bonds were issued under a single Official Statement with a combined par amount of \$341,935,000. The GO-DSC 2016 Series A Bonds new money par amount of \$261,510,000 plus \$38,490,000 of the original issue premium totaled \$300,000,000 available for projects; and the GO-DSC Refunding 2016 Series A Bonds par amount of \$80,425,000 plus \$10,056,693.44 of the original issue premium totaled \$90,481,693.44 deposited to the refunding redemption fund and/or escrow. Additional proceeds, including premium, funded the costs of issuance.
- (16) The GO-DSC 2017 Series A Bonds new money and GO-DSC Refunding 2017 Series A Bonds were issued under a single Official Statement with a combined par amount of \$345,150,000. The GO-DSC 2017 Series A Bonds new money par amount of \$311,200,000 plus \$38,800,000 of the original issue premium totaled \$350,000,000 available for projects; and the GO-DSC Refunding 2017 Series A Bonds par amount of \$33,950,000 plus \$3,010,192.04 of the original issue premium totaled \$36,960,192.04 deposited to the refunding redemption fund and/or escrow. Additional proceeds, including premium, funded the costs of issuance.
- (17) The GO-DSC 2018 Series A Bonds were issued with a par amount of \$276,075,000 plus \$23,925,000 of the original issue premium funded \$300,000,000 for projects. Additional proceeds, including premium, funded the costs of issuance.
- (18) The GO-DSC 2019 Series A Bonds new money and GO-DSC Refunding 2019 Series A Bonds were issued under a single Official Statement with a combined par amount of \$239,465,000. The GO-DSC 2019 Series A Bonds new money par amount of \$174,785,000 plus \$25,215,000 of the original issue premium totaled \$200,000,000 available for projects; and the GO-DSC Refunding 2019 Series A Bonds par amount of \$64,680,000 plus \$8,133,086.38 of the original issue premium totaled \$72,813,086.38 deposited to the trustee bank redemption fund which was immediately used to call and refund all of the outstanding \$72,060,000 DSC 2009 bonds and pay the accrued interest on the same. Additional premium proceeds funded the costs of issuance.
- (19) The GO-DSC 2020 Series A Bonds new money and GO-DSC Refunding 2020 Series A Bonds were issued under a single Official Statement with a combined par amount of \$279,315,000. The GO-DSC 2020 Series A Bonds new money par amount of \$160,230,000 plus \$39,770,000 of the original issue premium funded \$200,000,000 for projects; and the GO-DSC Refunding 2020 Series A Bonds par amount of \$119,085,000 plus \$22,298,769.83 of the original issue premium totaled \$141,383,769.83 deposited to the trustee bank redemption fund and/or escrow. Additional premium proceeds funded the costs of issuance.
- (20) The GO-DSC 2022 Series A Bonds were issued with a par amount of \$227,185,000 plus \$32,815,000 of the original issue premium funded \$260,000,000 for projects. Additional premium proceeds funded the costs of issuance.
- (21) The GO-DSC 2023 Series A Bonds new money and GO-DSC Refunding 2023 Series A Bonds were issued under a single Official Statement with a combined par amount of \$358,045,000. The GO-DSC 2023 Series A Bonds new money par amount of \$224,540,000 plus \$15,460,000 of the original issue premium funded \$240,000,000 for projects; and the GO-DSC Refunding 2023 Series A Bonds par amount of \$133,505,000 plus \$8,825,161.01 of the original issue premium totaled \$142,330,161.01 deposited to the trustee bank redemption fund and/or escrow. Additional premium proceeds funded the costs of issuance.

### **General Obligation Bonds – Projects**

During Fiscal Year 2005, the University finished Phase I and Phase II and initiated Phase III of the UCONN 2000 project authorizations secured by the State's DSC. As of December 31, 2023, the UCONN 2000 act provides for a total of \$4,295,900,000 of UCONN 2000 bonds secured by the State's DSC. As of December 31, 2023, the following projects totaling \$4,228,900,000 have been authorized to receive GO-DSC Bond proceeds by both the Governor and the Board of Trustees:

<b><u>Phase I &amp; II</u></b>	<b><u>Authorized</u></b>
Agricultural Biotechnology Facility Completion	\$3,000,000.00
Agricultural Biotechnology Facility	9,400,000.00
Alumni Quadrant Renovations	11,183,623.91
Avery Point Marine Science Research Center-Phase I	30,000,000.00
Avery Point Marine Science Research Center-Phase II	7,254,246.03
Avery Point Renovation	4,875,717.46
Benton State Art Museum Addition	700,000.00
Business School Renovation	7,958,470.42
Central Warehouse New	6,933,751.77
Chemistry Building	53,062,000.00
Deferred Maintenance & Renovation Lump Sum	40,798,259.65
Deferred Maintenance & Renovation Lump Sum Balance	117,386,096.72
East Campus North Renovations	7,382,604.53
Equipment, Library Collections & Telecommunications	60,500,000.00
Equipment, Library Collections & Telecommunications Completion	105,812,000.00
Gant Plaza Deck	7,287,174.10
Gentry Renovation	9,664,596.69
Grad Dorm Renovations	2,928,227.59
Heating Plant Upgrade	9,969,000.00
Hilltop Dormitory Renovations	8,176,528.89
Ice Rink Enclosure	3,280,000.00
International House Conversion/(a.k.a. Museum of Natural History)	886,134.00
Litchfield Agricultural Center-Phase I	1,000,000.00
Mansfield Apartments Renovation	2,071,000.00
Mansfield Training School Improvements	3,500,000.00
Monteith Renovation	444,348.00
Music Drama Addition *	7,400,000.00
North Campus Renovation	10,996,050.15
North Superblock Site & Utilities	7,668,000.00
Northwest Quadrant Renovation-Phase I	2,001,000.00
Northwest Quadrant Renovation-Phase II	30,000,000.00
Parking Garage-North	9,658,000.00
Pedestrian Walkways/(a.k.a. Fairfield Road Pedestrian Mall)	6,074,000.00
School of Business	25,652,366.00
School of Pharmacy	88,609,000.00
Shippee/Buckley Renovations	6,920,000.00
South Campus Complex	12,251,000.00
Stamford Downtown Relocation-Phase I	55,781,471.55
Student Union Addition	44,622,633.00
Technology Quadrant-Phase IA	39,993,000.00
Technology Quadrant-Phase II	34,120,000.00
Torrey Life Science Renovation	251,109.43
Towers Renovation	17,950,243.11

Underground Steam & Water Upgrade	6,000,000.00
Underground Steam & Water Upgrade Completion	6,000,000.00
Waring Building Conversion	11,452,000.00
Waterbury Property Purchase	200,000.00
West Campus Renovations	519,507.20
White Building Renovation	2,427,268.80
<u>Wilbur Cross Building Renovation</u>	<u>19,999,571.00</u>
<b>TOTAL PHASE I &amp; II PROJECTS</b>	<b>\$962,000,000.00</b>

*\* Board of Trustees added Project 2/22/2001*

<b><u>Phase III - Storrs and Regional Campuses</u></b>	<b><u>Authorized</u></b>
Academic and Research Facilities	420,704,438.00
Arjona and Monteith (new classroom buildings)	128,219,870.93
Avery Point Campus Undergraduate and Library Building	10,461,245.77
Avery Point Renovation	8,327,447.54
Beach Hall Renovations	5,146,688.33
Benton State Art Museum Addition	2,903,508.88
Biobehavioral Complex Replacement	3,495,807.00
Bishop Renovation	2,480,140.83
Deferred Maintenance/Code Compliance/ADA Compliance/ Infrastructure Improvements & Renovation Lump Sum and Utility, Administrative and Support Facilities	893,993,262.83
Engineering Building (with Environmental Research Institute)	92,579,389.76
Equipment, Library Collections & Telecommunications	242,857,656.00
Family Studies (DRM) Renovation	2,868,306.20
Farm Buildings Repairs/Replacement	6,408,304.09
Fine Arts Phase II	38,792,721.25
Floriculture Greenhouse	6,691,798.67
Gant Building Renovations	12,455,770.32
Gentry Completion	9,628,208.95
Hartford Relocation Acquisition/Renovation	139,027,625.42
Heating Plant Upgrade	11,877,724.42
Intramural, Recreational and Intercollegiate Facilities	31,009,920.63
Jorgensen Renovation	3,899,128.58
Koons Hall Renovation/Addition	1,461,146.00
Lakeside Renovation	3,800,000.00
Law School Renovations/Improvements	16,660,677.09
Manchester Hall Renovation	772,577.13
Mansfield Training School Improvements	3,000,000.00
Natural History Museum Completion	500,000.00
North Hillside Road Completion	6,700,000.00
Old Central Warehouse *	126,000.00
Parking Garage #3	75,214.27
Psychology Building Renovation/Addition	24,337,399.00
Residential Life Facilities	215,622,476.01

School of Pharmacy/Biology Completion	6,000,000.00
Stamford Campus Improvements/Housing	1,500,870.00
Storrs Hall Addition	14,664,091.44
Student Union Addition	13,000,000.00
Support Facility (Architectural and Engineering Services)	16,583.05
Torrey Renovation Completion and Biology Expansion	1,530,373.00
Torrington Campus Improvements	369,156.42
Waterbury Downtown Campus	1,608,764.00
West Hartford Campus Renovations/Improvements	6,774,305.19
<u>Young Building Renovation/Addition</u>	<u>23,651,403.00</u>
<b>Total – Storrs &amp; Regionals Project List</b>	<b>\$2,416,000,000.00</b>

### **UConn Health**

CLAC Renovation Biosafety Level 3 Lab	\$15,901,465.91
Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure & Improvements Renovation Lump Sum and Utility, Administrative and Support Facilities - Health Center	76,959,697.17
Dental School Renovation	3,525,000.00
Equipment, Library Collections and Telecommunications - Health Center	116,429,390.00
Library/Student Computer Center Renovation	1,266,459.97
Main Building Renovation	117,484,315.91
Medical School Academic Building Renovation	39,578,232.00
Planning and Design Costs	25,000,000.00
Research Tower	67,992,229.21
Support Building Addition/Renovation	100,000.00
<u>The University of Connecticut Health Center New Construction and Renovation</u>	<u>386,663,209.83</u>
<b>Total – UConn Health Project List</b>	<b>\$850,900,000.00</b>

**TOTAL PHASE III PROJECTS** **\$3,266,900,000.00**

**TOTAL GO-DSC BONDS: PHASE I, II AND III PROJECTS** **\$4,228,900,000.00**

\* The Old Central Warehouse was added by PA 07-108 effective 7-1-2007

### **General Obligation Bonds – Refundings**

Pursuant to Section 10a-109 of the Connecticut General Statutes, the University may issue refunding securities.

As of December 31, 2023, the University achieved \$104.5 million in cumulative gross debt service savings for Connecticut taxpayers by issuing GO-DSC Refunding Bonds in 2004, 2006, 2007, 2010, 2011, 2013, 2014, 2015, 2016, 2017, 2019, 2020 and 2023 as detailed below.

On January 29, 2004, the University achieved \$15.2 million in debt service savings for Connecticut taxpayers through the \$216,950,000 of UCONN 2000 GO-DSC Refunding 2004 Series A Bonds. Proceeds pre-refunded \$223,160,000 of the portions of the 1996, 1997, 1998, 2000, 2001 and 2002 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$15,215,582.84 on a gross cash debt service savings basis or \$10,117,718.77 on a net present



value basis (4.53% savings over refunded bonds debt service) spread across Fiscal Years 2004 to 2020.

On March 15, 2006, the University achieved \$4.0 million in debt service savings through the issuance of \$61,020,000 of UCONN 2000 GO-DSC Refunding 2006 Series A Bonds. Proceeds pre-refunded \$61,675,000 of the portions of the 1998, 1999, 2000, 2001 and 2002 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$4,003,734.09 on a gross cash debt service savings basis or \$2,495,916.47 on a net present value basis (3.94% savings over refunded bonds debt service) spread across Fiscal Years 2006 to 2020.

On April 12, 2007, the University achieved \$1.7 million in debt service savings through the issuance of \$46,030,000 of UCONN 2000 GO-DSC Refunding 2007 Series A Bonds. Proceeds pre-refunded \$46,695,000 of the portions of the 2002 and 2003 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$1,680,056.23 on a gross cash debt service savings basis or \$1,387,269.87 on a net present value basis (2.97% savings over refunded bonds debt service) spread across Fiscal Years 2008 to 2022.

On May 25, 2010, the University achieved \$1.8 million in debt service savings through the issuance of \$36,095,000 of UCONN 2000 GO-DSC Refunding 2010 Series A Bonds. Proceeds pre-refunded \$35,885,000 of portions of the 1999, 2001, 2003 and 2004 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$1,791,739.17 on a gross cash debt service savings basis or \$1,470,720.91 on a net present value basis (4.1% savings over refunded bonds debt service) spread across Fiscal Years 2011 to 2021.

On December 8, 2011, the University achieved \$1.9 million in debt service savings through the issuance of \$31,905,000 of UCONN 2000 GO-DSC Refunding 2011 Series A Bonds. Proceeds pre-refunded \$33,735,000 of portions of the 2003 and 2004 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$1,918,938.89 on a gross cash debt service savings basis or \$1,680,287.49 on a net present value basis (5.0% savings over refunded bonds debt service) spread across Fiscal Years 2011 to 2021.

On July 31, 2013, the University achieved \$4.8 million in debt service savings through the issuance of \$51,250,000 of UCONN 2000 GO-DSC Refunding 2013 Series A Bonds. Proceeds pre-refunded \$54,375,000 of portions of the 2004 and 2005 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$4,768,953.75 on a gross cash debt service savings basis or \$3,982,216.07 on a net present value basis (7.3% savings over refunded bonds debt service) spread across Fiscal Years 2014 to 2024.

On April 22, 2014, the University achieved \$8.5 million in debt service savings through the issuance of \$92,940,000 of UCONN 2000 GO-DSC Refunding 2014 Series A Bonds. Proceeds refunded \$97,930,000 of portions of the 2004 and 2005 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$8,491,280.28 on a gross cash debt service savings basis or \$7,697,944.62 on a net present value basis (7.9% savings over refunded bonds debt service) spread across Fiscal Years 2015 to 2025.

On April 16, 2015, the University achieved \$4.9 million in debt service savings through the issuance of \$34,625,000 of UCONN 2000 GO-DSC Refunding 2015 Series A Bonds. Proceeds refunded \$38,550,000 of certain maturities of the 2006 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$4,944,870.51 on a gross cash debt service savings basis or

\$4,281,359.73 on a net present value basis (11.1% savings over refunded bonds debt service) spread across Fiscal Years 2016 to 2026.

On April 21, 2016, the University achieved \$8.5 million in debt service savings through the issuance of \$80,425,000 of UCONN 2000 GO-DSC Refunding 2016 Series A Bonds. Proceeds refunded \$46,535,000 of certain maturities of the 2006 UCONN 2000 GO-DSC Refunding Bonds and pre-refunded \$42,000,000 of certain maturities of the 2007 UCONN 2000 GO-DSC Bonds. Debt service savings amounted to \$8,489,980.00 on a gross cash debt service savings basis or \$7,751,484.82 on a net present value basis (8.8% savings over refunded bonds debt service) spread across Fiscal Years 2017 to 2027.

On January 19, 2017, the University achieved \$3.8 million in debt service savings through the issuance of \$33,950,000 of UCONN 2000 GO-DSC Refunding 2017 Series A Bonds. Proceeds refunded \$36,095,000 of the 2007 UCONN 2000 GO-DSC Refunding Bonds 2018 to 2022 maturities. Debt service savings amounted to \$3,763,591.11 on a gross cash debt service savings basis or \$3,295,890.33 on a net present value basis (9.1% savings over refunded bonds debt service) spread across Fiscal Years 2018 to 2022.

On May 8, 2019, the University achieved \$9.6 million in debt service savings through the issuance of \$64,680,000 of UCONN 2000 GO-DSC Refunding 2019 Series A Bonds. Proceeds refunded \$72,060,000 of the 2009 UCONN 2000 GO-DSC Refunding Bonds 2020 to 2029 maturities. Debt service savings amounted to \$9,567,690.89 on a gross cash debt service savings basis or \$8,110,020.66 on a net present value basis (10.9% over refunded bonds debt service) spread across Fiscal Years 2020 to 2029.

On December 17, 2020, the University achieved \$29.0 million in debt service savings through the issuance of \$119,085,000 (plus original issue premium) of UCONN 2000 GO-DSC Refunding 2020 Series A Bonds. Proceeds refunded \$138,340,000.00 of principal of outstanding bonds as follows: on December 17, 2020 to call and refund \$43,695,000.00 of certain maturities of the GO-DSC 2010 bonds principal outstanding plus \$724,583.42 of accrued interest for a total of \$44,419,583.42; and on February 15, 2021 to call and refund \$89,850,000.00 of the GO-DSC 2011 new money bonds principal outstanding plus \$2,208,875.00 accrued interest for a total of \$92,058,875.00; and to call and refund \$4,795,000.00 of the GO-DSC 2011 Refunding bonds Principal outstanding, plus \$119,875.00 of accrued interest for a total of \$4,914,875.00. Additional premium proceeds funded the costs of issuance. Apart from the 2/15/2021 maturity of the 2011 New Money and Refunding bonds all the outstanding principal was refunded. Debt service savings amounted to \$29,010,290.14 on a gross cash debt service savings basis or \$27,416,150.18 on a net present value basis (19.81% over refunded bonds debt service) spread across Fiscal Years 2021 to 2031.

On November 21, 2023, the University achieved \$10.8 million in debt service savings through the issuance of \$133,505,000 of UCONN 2000 GO-DSC Refunding 2023 Series A Bonds. Proceeds refunded \$86,315,000 of the 2013 UCONN 2000 GO-DSC Bonds 2024 to 2033 maturities and \$54,505,000 of the 2014 UCONN 2000 GO-DSC Bonds 2025 to 2034 maturities. Debt service savings amounted to \$10,838,391.67 on a gross cash debt service savings basis or \$8,434,775.58 on a net present value basis (5.99% over refunded bonds debt service) spread across Fiscal Years 2024 to 2034.

### **Special Obligation Student Fee Revenue Bonds**

UCONN 2000 also authorizes the University to issue Special Obligation bonds. Unlike the UCONN 2000 GO-DSC Bonds that are paid from the State’s General Fund, debt on the Special Obligation bonds is paid from certain pledged revenues of the University as defined in the particular bond series indenture.

A Special Capital Reserve Fund may be established for UCONN 2000 Special Obligation bond issues only if the Board of Trustees determines that the Special Obligation bond issue is self-sufficient as defined in the Act. The self-sufficiency finding by the University must be submitted to and confirmed as not unreasonable or arbitrary by the State Treasurer prior to issuance of the bonds. Once approved, the Special Capital Reserve Fund is funded at issuance by the University to meet the minimum capital reserve requirement.

### **Special Obligation Student Fee Revenue Bond Issues Completed**

The UCONN 2000 Special Obligation Student Fee Revenue Bonds (“SO-SFR Bonds”) authorized, approved and issued to date are listed below.

SO-SFR Bonds have been issued pursuant to the Special Obligation Indenture of Trust, dated as of January 1, 1997, between the University as Issuer and U.S. Bank Trust Company, National Association as successor to State Street Bank & Trust as Trustee (“the Special Obligation Master Indenture”). The Board of Trustees approved the Special Obligation Master Indenture on November 8, 1996. The Board of Trustees and the Governor approve the subsequent Supplemental Indentures for each Special Obligation bond issue.

There have been nine bond issues, including four refundings, pursuant to the Special Obligation Master Indenture that, as mentioned above, are not secured by the State’s DSC. The University and the Office of the State Treasurer, working in conjunction, manage the Special Obligation bond sale process. UCONN 2000 SO-SFR Bonds issued to date are summarized below:

### **UCONN 2000 SO-SFR Bonds**

<b><u>Date of Issue</u></b>	<b><u>Par Amount</u></b>	<b><u>TIC</u></b> <sup>(1)</sup>	<b><u>Bond Issue</u></b>
February 4, 1998	\$33,560,000	5.08%	1998 Series A <sup>(2)</sup>
June 1, 2000	\$89,570,000	6.02%	2000 Series A <sup>(2)</sup>
February 14, 2002	\$75,430,000	4.94%	2002 Series A <sup>(2)</sup>
March 29, 2018	\$141,725,000	4.06%	2018 Series A
November 21, 2023	\$97,140,000	5.00%	2023 Series A
February 27, 2002	\$96,130,000	4.89%	Refunding 2002 Series A <sup>(2)</sup>
June 16, 2010	\$47,545,000	3.14%	Refunding 2010 Series A <sup>(2)</sup>
December 13, 2012	\$87,980,000	2.47%	Refunding 2012 Series A <sup>(2)</sup>
November 15, 2022	\$52,515,000	3.80%	Refunding 2022 Series A

<sup>(1)</sup> TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue. The UCONN 2000 SO-SFR Bonds are generally issued for an approximate 30-year final maturity, compared to a 20-year final maturity for the GO-DSC Bonds; hence the TIC may appear relatively higher for SO-SFR Bonds.

<sup>(2)</sup> Bonds are no longer outstanding

On February 4, 1998, the University issued \$33,560,000 of UCONN 2000 Student Fee Revenue 1998 Series A Bonds with a final maturity of November 15, 2027. The Special Obligation First Supplemental Indenture was also dated January 1, 1997 and authorized the issuance of bonds up to a principal amount not to exceed \$30,000,000 for construction of the South Campus Residence and Dining Hall, plus amounts necessary to fund a Special Capital Reserve Fund (“SCRF”) and provide for costs of issuance. The University managed the issuance and sale of these bonds and realized a favorable true interest cost over the term. Debt service for these bonds is paid from pledged revenues as further defined in the Indenture of Trust. Such pledged revenues also help support future operation and maintenance costs for facilities built or renovated through UCONN 2000.

On June 1, 2000, the University issued \$89,570,000 of the UCONN 2000 Student Fee Revenue 2000 Series A Bonds pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Second Supplemental Indenture dated as of May 1, 2000. Bond proceeds funded \$87,000,000 of construction for the Hilltop Dormitory, Hilltop Student Rental Apartments, and Parking Garage South. They also provided for capitalized interest and costs of issuance. The \$89,570,000 Student Fee Revenue 2000 Series A Bonds were defeased in substance on February 27, 2002, as further described below, and are no longer reflected as outstanding debt on the University’s financial statements.

On February 14, 2002, the University issued \$75,430,000 of the UCONN 2000 Student Fee Revenue 2002 Series A Bonds pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Fourth Supplemental Indenture dated as of November 16, 2001. Bond proceeds funded \$72,180,000 of construction for the Alumni Quadrant Renovations, Shippee/Buckley Renovations, East Campus North Renovations, Towers Renovations (including Greek Housing), and North Campus Renovations (including North Campus Student Suites and Apartments).

On March 29, 2018, the University issued \$141,725,000 of the UCONN 2000 Student Fee Revenue 2018 Series A Bonds pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Fifth Supplemental Indenture dated as of November 21, 2017. Bond proceeds funded \$152,000,000 of construction for the UCONN 2000 Project Intramural, Recreational and Intercollegiate Facilities including a new Student Recreation Center, associated infrastructure, and Stadia. They also funded capitalized interest and costs of issuance.

On November 21, 2023, the University issued \$97,140,000 of the UCONN 2000 Student Fee Revenue 2023 Series A Bonds pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Sixth Supplemental Indenture dated as of November 1, 2023. Bond proceeds funded \$100,000,000 towards the design, construction, equipping and/or furnishing for the UCONN 2000 Project, Residential Life Facilities, for a new student residence hall located on South Campus in Storrs, plus costs of issuance.

**Special Obligation Student Fee Revenue Bonds - Projects**

As of December 31, 2023, the following eleven projects totaling \$441,180,000 have been authorized to receive SO-SFR Bond proceeds (some of these projects were also supported by GO-DSC or other funding) as follows:

<b><u>Phase I &amp; II</u></b>	<b><u>Authorized &amp; Issued</u></b>
Alumni Quadrant Renovations	7,000,000.00
East Campus North Renovations	1,000,000.00
Hilltop Dormitory New	21,000,000.00
Hilltop Student Rental Apartments	42,000,000.00
North Campus Renovation (including North Campus Student Suites and Apartments)	45,000,000.00
Parking Garage-South	24,000,000.00
Shippee/Buckley Renovations	5,000,000.00
South Campus Complex	30,000,000.00
Towers Renovations (including Greek Housing)	14,180,000.00
<b>TOTAL SO-SFR BONDS: PHASE I AND II PROJECTS</b>	<b>\$189,180,000.00</b>
<b><u>Phase III</u></b>	
Intramural, Recreational and Intercollegiate Facilities	152,000,000.00
Residential Life Facilities	100,000,000.00
<b>TOTAL SO-SFR BONDS: PHASE III PROJECTS</b>	<b>\$252,000,000.00</b>
<b>TOTAL SO-SFR BONDS AUTHORIZED &amp; ISSUED</b>	<b>\$441,180,000.00</b>

**Special Obligation Student Fee Revenue Bonds – Calls and Refundings**

Pursuant to Section 10a-109 of the Connecticut General Statutes, the University may issue refunding securities. The University achieved a total of \$50.3 million in gross debt service savings for Connecticut taxpayers by issuing SO-SFR Refunding Bonds or calling and retiring debt as follows.

On February 27, 2002, the University achieved debt service savings of \$3,553,672 on a gross cash debt service savings basis or \$2,383,106 on a net present value basis (3.036% savings over refunded bonds debt service) through its issuance of \$96,130,000 of the UCONN 2000 Student Fee Revenue Refunding 2002 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to advance refund and defease in substance all the \$89,570,000 of Student Fee Revenue 2000 Series A Bonds outstanding. Proceeds were deposited with the Trustee bank in an irrevocable escrow fund sufficient to satisfy future debt service and call premiums on the prior issue.

On June 16, 2010, the University achieved debt service savings of \$9,479,927 on a gross cash debt service savings basis or \$7,211,753 on a net present value basis (9.915% savings over refunded bonds debt service) (including the free up of \$2,126,425 of SCRF amounts), through its issuance of \$47,545,000 of the UCONN 2000 Student Fee Revenue Refunding 2010 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the SO-SFR Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to refund, advance refund and defease in substance \$51,305,000 of prior bonds (including \$25,140,000 of the Student Fee Revenue 1998 Series A Bonds and \$26,165,000 of the Student Fee Revenue 2002 Series A Bonds outstanding). The par amount of \$47,545,000 plus \$4,267,926 of the original issue premium and \$2,126,425 from the 1998 Special Capital Reserve Fund release were deposited with the Trustee bank in a \$53,939,351 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues.

On December 13, 2012 the University achieved debt service savings of \$31,861,283 on a gross cash debt service savings basis or \$26,701,079 on a net present value basis (25.2% savings over refunded bonds debt service) spanning Fiscal Years 2013 thru 2030 by issuing \$87,980,000 of the UCONN 2000 Student Fee Revenue Refunding 2012 Series A Bonds. We achieved a stunning Total Net Present Value Savings of \$26,701,079 or 25.2% of the refunded principal. Working in conjunction with the Office of the State Treasurer, the University negotiated a remarkable all in True Interest Cost of 2.48 % which is the lowest interest rate for a single bond issue in the history of the program. We refunded \$106,030,000 of prior bonds including \$32,430,000 of the SO-SFR 2002 A new money bonds (all the outstanding), and \$73,600,000 of the SO-SFR Refunding 2002 A bonds (all the outstanding). The par amount of \$87,980,000 plus \$19,690,292 of the original issue premium were deposited with the Trustee bank in a \$107,670,292 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues. The bonds were issued pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Third Supplemental Indenture, dated as of February 1, 2002.

On November 15, 2019 the University achieved debt service savings of \$2,683,550 by calling and retiring at face value the outstanding principal of \$16,745,000 of Special Obligation Student Fee Revenue 2010 Refunding bonds on their call date of November 15, 2019, from available pledged revenues. Interest payments on the outstanding debt have an average coupon of 4.6% per year. Calling and retiring the \$16,745,000 principal eliminates the \$2,683,550 of scheduled interest payments, providing a 16% return on this transaction. The University pledged revenues had been invested in the State Short Term Investment Fund which yields vary daily, but which averaged only 0.72% over the eight years prior to the call. The benefit of calling and retiring the \$16,745,000 bond principal eight years early minus the cost of earning an average of 0.72% per year results in a return of 9.66% in net present value savings of \$1,617,919 (9.66% of refunded par) in favor of retiring the bonds. Accordingly, the Board of Trustees approved the transaction on October 23, 2019, and University pledged revenues were wired to the Trustee bank's dedicated redemption account, pursuant to the indentures, sufficient to call and retire the outstanding \$16,745,000 principal at par on the day of the call. The original bonds refunded all the outstanding SO-SFR 1998-A Bonds and part of the 2002-A Bonds as further described above.

On November 15, 2022 the University achieved debt service savings of \$2,688,850 on a gross cash debt service savings basis or \$2,352,390 on a net present value basis for Fiscal Years 2023 thru 2030 by issuing \$52,515,000 of the UCONN 2000 Student Fee Revenue Refunding 2022 Series A Bonds. Working in conjunction with the Office of the State Treasurer, the University negotiated an all in True Interest Cost of 3.80 %. We refunded all outstanding SO-SFR 2012 Refunding Series A bonds, totaling \$54,795,000. The par amount of \$52,515,000 plus \$3,073,700 of the original issue premium, less costs of issuance, were deposited with the Trustee bank in a \$54,893,565 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues.

**UCONN 2000 Heating Plant Upgrade Tax-Exempt Governmental Lease Purchase Agreement for the Cogeneration Facility**

Pursuant to Section 10a-109 of the Connecticut General Statutes, the University may issue other UCONN 2000 securities pursuant to other financing documents. The Board of Trustees authorized \$81,900,000 of UCONN 2000 debt in the form of a Heating Plant Upgrade Tax-Exempt Governmental Lease Purchase Agreement ("Heating Plant Upgrade GLPA") secured by the

University's general obligation for the Cogeneration facility portion of the UCONN 2000 Heating Plant Upgrade project on the Storrs Campus.

This \$81,900,000 of UCONN 2000 debt was not issued under the UCONN 2000 General Obligation or Special Obligation Indentures of Trust but was entered into under certain separately negotiated documents and agreements in two parts. On December 18, 2003, the University entered a privately placed \$75,000,000 Heating Plant Upgrade GLPA with a reported nominal interest rate of 4.42% compounded monthly to finance the design and construction of a combined heat and power plant. On August 15, 2005, the University amended the agreement for an additional Heating Plant Upgrade GLPA of \$6,900,000 at a 5.09% interest rate compounded monthly (resulting in a combined interest rate of approximately 4.5%).

The Heating Plant Upgrade is a UCONN 2000 Act listed project and the funding, in addition to the \$81.9 million, has also included GO-DSC proceeds. The cogeneration facility is a linchpin of the University's commitment to energy efficiency and generates much of the needs for electrical power, heating and cooling on the Storrs campus. Cost avoidance achieved through the construction and operation of the facility is expected to provide funds to pay the debt service.

The Heating Plant Upgrade GLPA is not rated by the credit rating agencies, however, all UCONN 2000 debt is considered in the credit rating analysis of the UCONN 2000 General Obligation and Special Obligation programs.

#### **UCONN 2000 Heating Plant Upgrade GLPA– Refundings**

Pursuant to the financing documents the University twice renegotiated the interest rate on the original financings of the Heating Plant Upgrade GLPAs and achieved a total of \$7.4 million in gross debt service savings (\$5.0 million net present value) for Connecticut taxpayers through two separate transactions.

During July 2013, the University achieved gross debt service savings of \$5.2 million by negotiating a lower interest rate amendment to the UCONN 2000 Heating Plant Upgrade-Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement. The interest rate was lowered, effective in the August 29, 2013 monthly payment, from 4.42% on the original \$75 million loan, and 5.09% on the original \$6.9 million loan, to 3.22% on both. The combined remaining balance of the loans was approximately \$59 million. The amendment achieved combined gross nominal debt service savings of \$5,168,392.06 million or \$3,238,326.69 (4.2%) on a net present value basis over the remaining 12 years and 5 months of the original financing.

During November 2016 the University achieved gross debt service savings of \$2.2 million by negotiating another lower interest rate amendment to the UCONN 2000 Heating Plant Upgrade-Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement. The interest rate was lowered a full 100 basis points to 2.22% from 3.22% on the outstanding \$45.1 million balance for a debt service nominal savings of \$2,246,699.46 or present value savings of \$1,758,933.37 (3.9% as a percentage of par) over the remaining term. Monthly debt service payments which UConn pays for out of its own resources were reduced by \$20,802.76 or about \$249,633.06 a year. The new rate was effective from January 2017 to the original term of the loan ending on 12/29/2025 (approximately nine years).

#### ***UCONN 2000 Residential Life Facilities – Nathan Hale Inn Promissory Taxable Note***

On December 1, 2016, the UCONN 2000 Residential Life Facilities – Nathan Hale Inn Promissory Taxable Note was fully paid off and retired.

On April 29, 2015, the Board of Trustees authorized \$5,500,000 of UCONN 2000 debt in the form of a taxable Promissory Note secured by the University’s general obligation for that portion of the UCONN 2000 Residential Life Facilities project used to purchase the Nathan Hale Inn on the Storrs Campus. The Governor approved the financing on May 19, 2015.

On July 1, 2015, the University entered with Webster Bank a privately placed \$5,376,712.73 principal amount at a 6.84% interest rate known as “UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable)” which was secured by the general obligation of the University with an approximate 18-month maturity (August 1, 2015 to December 1, 2016). The note’s debt service payments are \$44,989.20 monthly with a balloon payment due on December 1, 2016. The UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable) was issued pursuant to certain separately negotiated (by the University with Webster Bank) documents and agreements. UCONN 2000 bond counsel reviewed the process. The note was not issued pursuant to the UCONN 2000 General Obligation or Special Obligation Indentures of Trust.

### **UCONN 2000 DEBT SERVICE**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the Semiannual Report to the General Assembly is to list the payment of debt service requirements and the payment of principal and interest on the UCONN 2000 securities.

### ***DEBT SERVICE: General Obligation Debt Service Commitment Bonds***

The State General Fund pays the debt service and any arbitrage rebate due on the University’s GO-DSC Bonds.

As of December 31, 2023:

- Since the program’s inception in 1996, total GO-DSC debt service (which is paid by the state) amounted to \$5,459.6 million, representing \$3,670.8 million of principal and \$1,788.8 million of interest (including the Refunding Bonds but net of refunded debt).
- Of this amount, debt service of \$3,098.6 million, representing \$1,929.2 million of principal and \$1,169.4 million of interest (including capital appreciation bonds) has been paid.
- Future debt service requirements amount to \$2,361.1 million, representing \$1,741.6 million of principal and \$619.4 million of interest (including capital appreciation bonds).

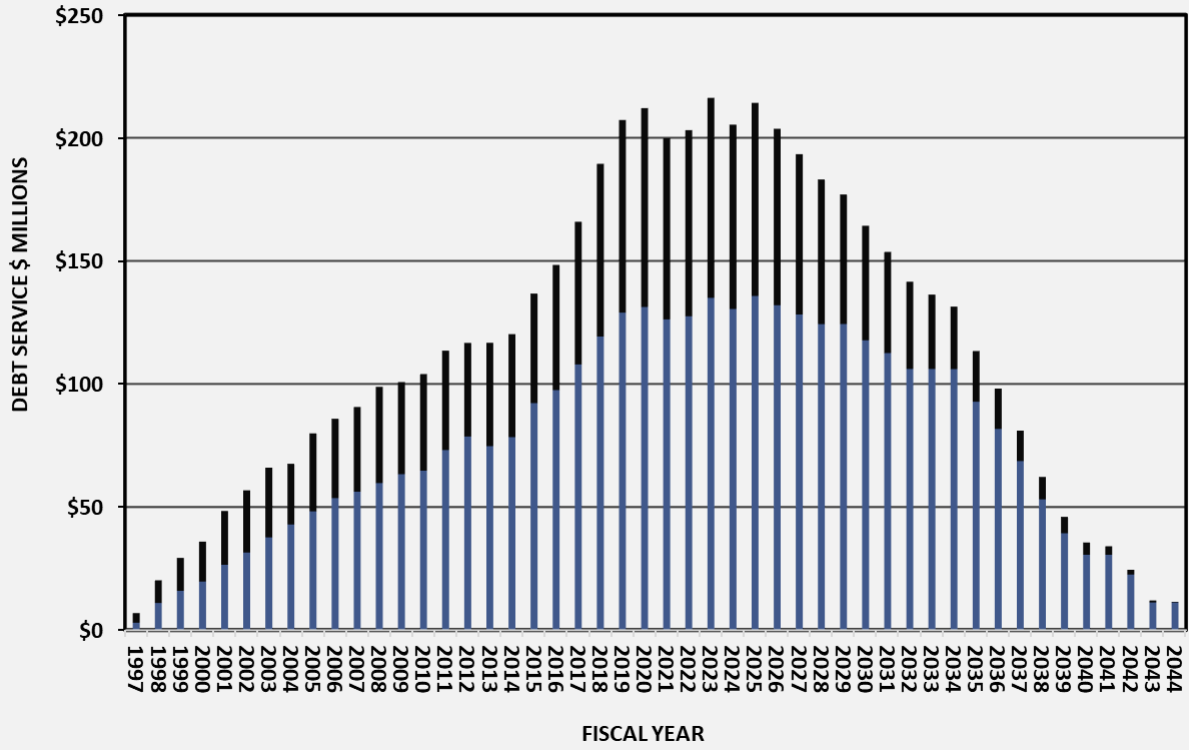
For the Fiscal Year ending June 30, 2023, the GO-DSC bonds debt service paid was \$216.2 million, representing \$135.0 million of principal and \$81.2 million of interest.

As of December 31, 2023, the following graph shows UCONN 2000 GO-DSC debt service by Fiscal Year including refunding issues, but net of refunded.



# UCONN 2000 GENERAL OBLIGATION BONDS DEBT SERVICE

■ Principal ■ Interest



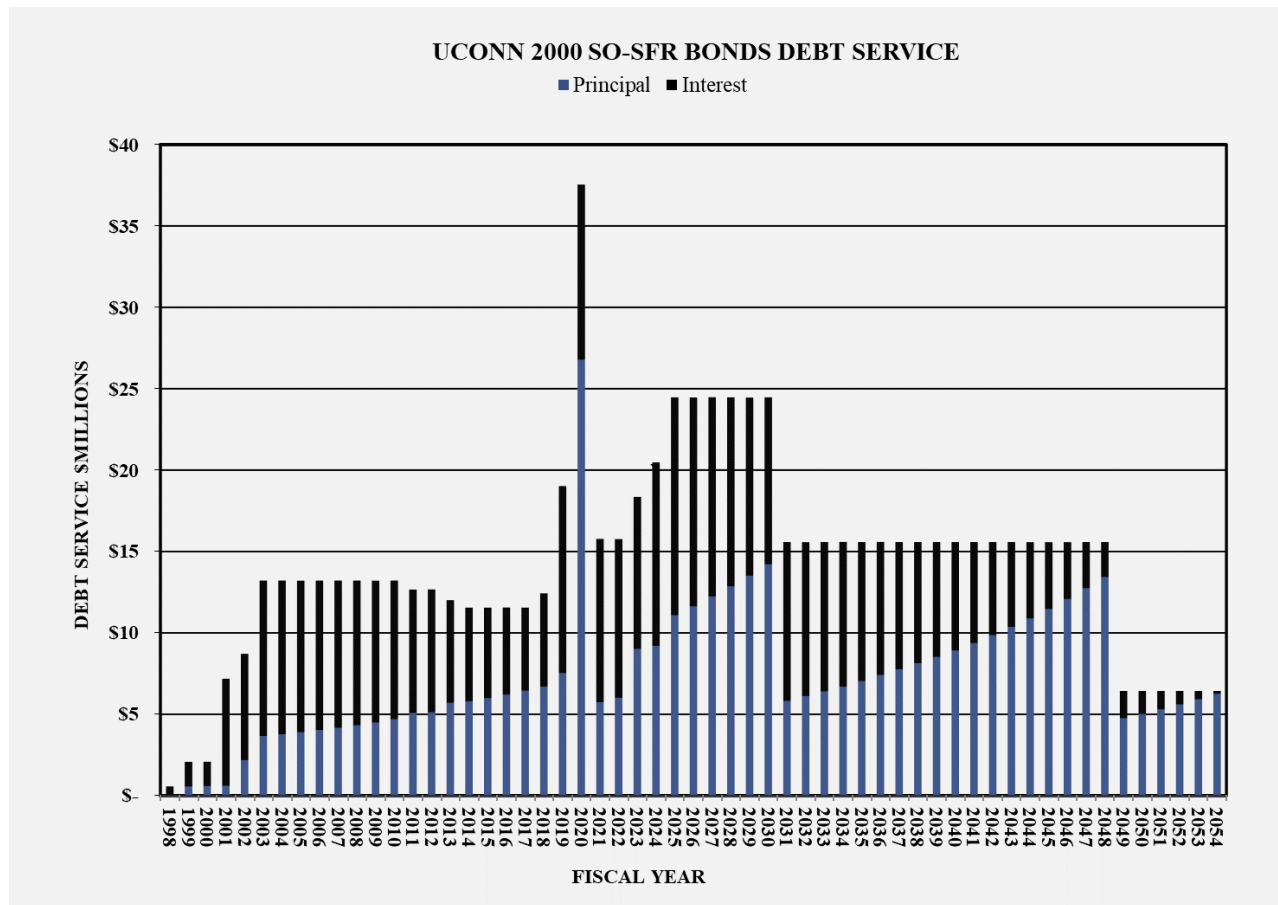
**DEBT SERVICE: Special Obligation Student Fee Revenue Bonds**

The University is responsible for paying the debt service and any arbitrage rebate due on its Special Obligation debt. All other things equal, the Special Obligation bonds incur proportionally more interest expense because they are generally issued for terms of up to approximately thirty years compared to twenty years for the GO-DSC Bonds. The longer term of the bonds (up to 30 years) generally reflects the long-term debt cost of the assets financed by the bonds being spread more evenly over the annual benefits received by the student populations utilizing the assets.

As of December 31, 2023 (comprising the outstanding SO-SFR 2023-A and 2018-A New Money and the Refunding 2022 Series A Bonds, all previous SO-SFR bonds have been retired):

- Total UCONN 2000 SO-SFR debt service (which is paid by the University) amounted to \$815.1 million, representing \$419.9 million of principal and \$395.2 million of interest.
- Of this amount, the University had paid debt service of \$342.5 million representing \$148.4 million of principal and \$194.1 million of interest.
- Debt service remaining totals \$472.6 million comprising \$271.5 million of principal and \$201.1 million of interest (including capital appreciation bonds).

As of December 31, 2023, the graph below shows the SO-SFR debt service paid using UConn’s own funds.



\* Fiscal Year 2020 debt service includes a voluntary early call and defeasement of the SO-SFR 2010 bonds of \$16,745,000 in outstanding principal to provide future debt service savings.

**DEBT SERVICE: UCONN 2000 Heating Plant Upgrade GLPA**

The University is responsible for paying the debt service for the Heating Plant Upgrade GLPA. Originally, there were two financing tranches providing a total of \$81,900,000 of funding for the UCONN 2000 Heating Plant Upgrade the Storrs Campus cogeneration facility for the project on the Storrs Campus. Heating Plant Upgrade GLPA debt service payments commenced on January 29, 2006.

Originally, debt service was to be paid in 240 monthly installments of approximately \$517,135 (which over the life of the financing would have totaled \$124,112,424 comprising \$42,212,424 of interest and \$81,900,000 of principal).

During July 2013, the University negotiated and amended the agreement to the lower rate of 3.22% providing for the (at that time) remaining 149 monthly installments to be lowered to approximately \$482,448, which over the life of the financing, totals to debt service of \$118,944,031 comprising \$37,044,031 of interest and \$81,900,000 of principal.

During November 2016, the University renegotiated and amended the agreement to a lower interest rate of 2.22% providing for the remaining 79 monthly installments to be lowered to approximately \$461,645.13 effective January 29, 2017. Following this amendment over the life of the financing total debt service now totals \$116,697,331.91 comprising \$34,797,331.91 of interest and \$81,900,000.00 of principal.

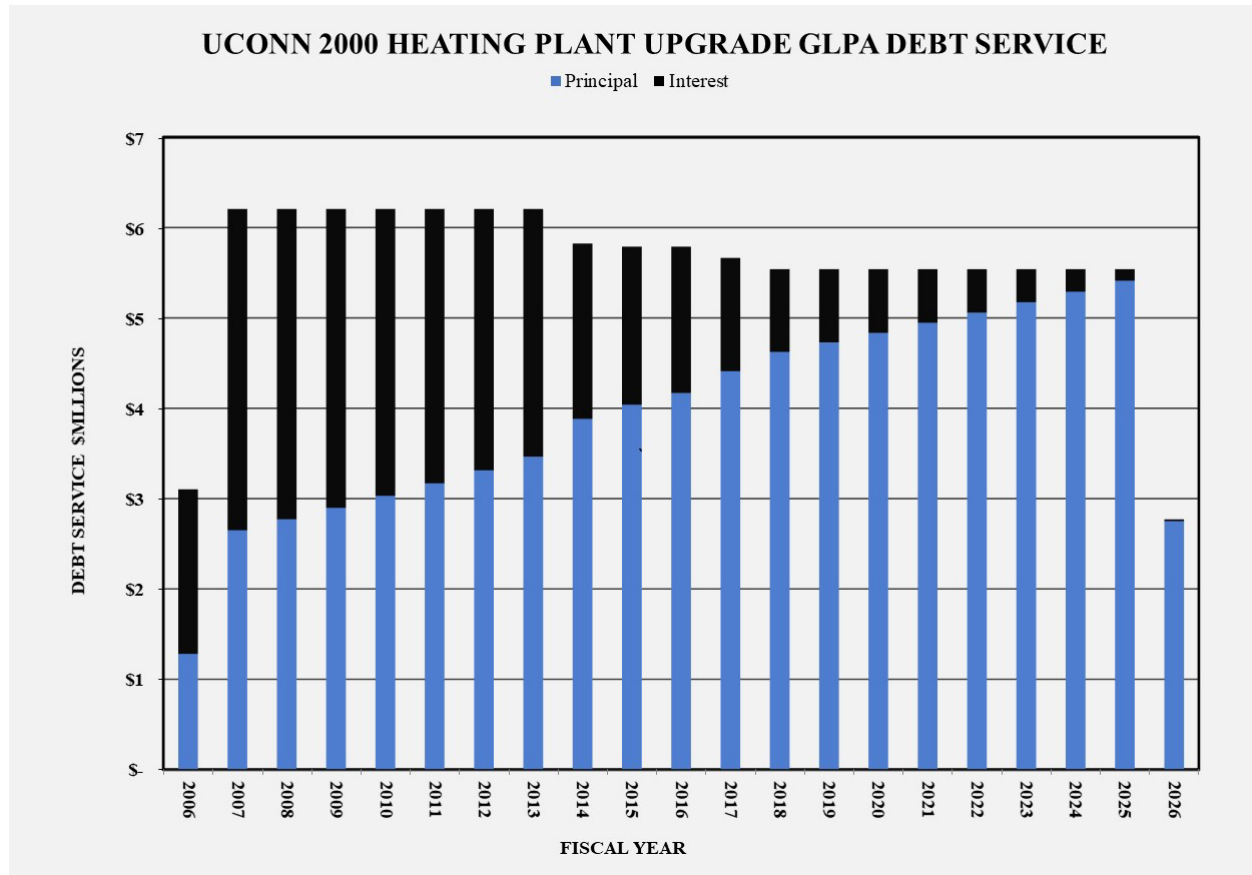
As of December 31, 2023:

Total UCONN 2000 Heating Plant Upgrade GLPA debt service (which is paid for by the University) amounted to \$116.7 million representing \$81.9 million of principal and \$34.8 million of interest, (post both refinancings to lower rates).

- The University had paid down the Heating Plant Upgrade GLPA debt service by \$105.6 million representing \$71.1 million of principal and \$34.5 million of interest.
- Remaining debt service amounts to \$11.1 million representing \$10.8 million of principal and \$0.3 million of interest.

For the Fiscal Year ending June 30, 2023, the University paid from its own resources Heating Plant Upgrade GLPA debt service of \$5.5 million (representing \$5.2 million of principal and \$0.4 million of interest).

As of December 31, 2023, the below graph shows the UCONN 2000 Heating Plant Upgrade GLPA (for the cogeneration plant) debt service by Fiscal Year.



**DEBT SERVICE: UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable)**

The UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable) was retired on December 1, 2016. Over the eighteen-month term, the University paid total debt service of \$5.9 million representing \$5.4 million of principal and \$0.5 million of interest.

**Investment of Debt Proceeds - Management, Investment and Earnings**

The proceeds of any bond sale by the University are part of the Trust Estate established under the General Obligation Master Indenture of Trust with the Trustee Bank as security for bondholders. Consequently, the University holds all of the bond proceeds at the Trustee Bank, with the exception of the Cost of Issuance account funded by the University’s GO-DSC Bonds, which may be held and invested by the State Treasurer’s Office in a segregated account from which the Treasurer pays debt service to the Trustee Bank. The Special Obligation Master Indenture Trust Estate provisions includes all of the Trustee Bank Special Obligation bond proceeds received at issuance including the Costs of Issuance account, plus the periodically funded debt service fund, and the Renewal and Replacement Fund which was not funded with tax-exempt bond proceeds. The University directs the Trustee to invest and disburse these accounts.

Prior to June 1998, all UCONN 2000 GO-DSC Bond proceeds were deposited with the Office of the State Treasurer and treated like state bond proceeds, including payments made to vendors through the Office of the State Comptroller. Subsequently, the Office of the Attorney General opined that the University, and not the State, issues UCONN 2000 bonds. Accordingly, upon advice of bond counsel and in conformity with the Master Indenture of Trust, GO-DSC Bond construction fund proceeds were deposited to the Trustee Bank and disbursed as directed by the University pursuant to the Indenture. The UCONN 2000 GO-DSC Bond proceeds for costs of issuance are still treated like State bond proceeds and deposited with the Office of the State Treasurer and disbursed through the Office of the State Comptroller.

The Indentures of Trust provide that the University is authorized and directed to order each disbursement from the Construction Account held by the Trustee upon a certification filed with the Trustee bank and, in the case of the DSC bonds, the State Treasurer. The Indentures provide that such certification shall be signed by an Authorized Officer of the University and include certain disbursement information. Once the Authorized Officer certification filings are made, the University can directly disburse payments.

The investment of tax-exempt debt proceeds is heavily regulated by the Internal Revenue Service, the relevant Indentures of Trust with bondholders, Connecticut law, and other regulatory restrictions. In addition to meeting those requirements, the University's general investment policy is to balance an appropriate risk-return level, heavily weighted towards safety of assets, with estimated cash flow needs and liquidity requirements. The University is also mindful that the rating agencies, bond buyers, and bond insurers often weigh the quality of an issuer's investment portfolio.

Bond proceeds form part of the Trust Estate established with the Trustee Bank as security for bondholders. To date, the University has directed the Trustee Bank to invest any DSC construction fund proceeds in the State Treasurer's Short Term Investment Fund ("STIF") which is "AAAm" rated by Standard and Poor's and offers daily liquidity and historically attractive risk-adjusted yields. As discussed above, the State Treasurer's Office holds and invests the University's GO-DSC funded Costs of Issuance account.

The GO-DSC Refunding, 2004, 2006, 2007, 2010, 2011, 2013, 2014, 2015, 2016, 2017, 2019, 2020 and 2023 Series A Bond proceeds, other than the costs of issuance, were deposited with the Trustee Bank to immediately redeem bonds or invest in irrevocable escrow funds, which are invested in U.S. Treasury Securities and/or U.S. Treasury State and Local Government Securities ("SLGS") and cash pursuant to the indenture and respective Escrow Agreements.

It has been the University's practice to invest all of the Special Obligation new money bond proceeds, including the debt service funds, in dedicated STIF accounts, with the exception of the 1998 Special Obligation Special Capital Reserve Fund which at times had also been invested in longer term highly rated federal agencies' fixed income Investment Obligations as defined in the Special Obligation Indenture of Trust.

The SO-SFR Refunding 2002, 2010, 2012, and 2022 Series A Bond proceeds, other than the costs of issuance and debt service accounts that were invested in STIF, were deposited with the Trustee

Bank in their respective irrevocable Escrow Accounts, which were invested in SLGS, and cash pursuant to the Escrow Agreement.

The University's GO-DSC Bond proceeds investment earnings are retained and accounted for by the State Treasurer's Office and do not flow to the University or to the Trustee Bank. The University's Special Obligation bond investment earnings are part of the pledged revenues and are directly retained by the Trustee Bank to pay debt service on the bonds and may also be used to flow to other Trustee bond accounts, if necessary, pursuant to the Indenture of Trust.

Fiscal Year end June 30, 2023, UCONN 2000 SO-SFR Bond funds (not including the refunding escrows and the Renewal & Replacement Fund) investment earnings amounted to \$54,185.27 (cash basis). Additionally, for Fiscal Year end June 30, 2023, the non-bond proceeds Renewal & Replacement Fund held at the Trustee Bank (U.S. Bank Trust Company, NA) earned \$554,818.84 of investment earnings and were retained in that Trustee Bank account.

Investment earnings on the SO-SFR Bonds Refunding Escrow Accounts flow to their respective irrevocable escrows and are used by the Trustee Bank to meet debt service payments and redeem the defeased bonds. Similarly, investment earnings on the GO-DSC Refunding Escrow Accounts flow to each respective irrevocable escrow and are used by the Trustee Bank to meet debt service payments and redeem the defeased bonds.

On December 29, 2005, the University received \$15,847,241.65 representing the last advance of the \$81,900,000 of funds to the University under the Heating Plant Upgrade GLPA. These funds, and the related investment income, are for uses related to the Cogeneration financing and were deposited in a dedicated STIF account. During December 2006, part of the remaining proceeds, representing the initial December 18, 2003 financing, was yield restricted by investing it in a dedicated Tax-Exempt Proceeds Fund. All the Heating Plant Upgrade GLPA proceeds have been spent.

#### ***Future UCONN 2000 Debt Issuance***

The University has issued the entire \$962 million of UCONN 2000 Phase I & II authorizations for the GO-DSC bonds.

UCONN 2000 Phase III, as amended, provides for an additional \$3,333,900,000 of funding with GO-DSC bonds. Of this amount, as of December 31, 2023, \$3,266,900,000 of Phase III has been authorized by the Board of Trustees and the Office of the Governor, of which \$184,900,000 is unissued.

On June 28, 2023, UConn's Board of Trustees approved a Fiscal Year 2024 capital budget of \$315.0 million that includes \$84.7 million of GO-DSC bond funds. On November 21, 2023, the University issued General Obligation, 2023 Series A and General Obligation, 2023 Series A Refunding bonds for a combined par amount of \$358,045,000, consisting of \$224,540,000 new money and \$133,505,000 refunding bonds. The University does not plan to issue any new money GO-DSC Bonds or refund current outstanding debt during the remainder of Fiscal Year 2024. In future fiscal years, the University may issue additional new money GO-DSC bonds as well as refund certain GO-DSC bonds to provide debt service savings to the program, should conditions warrant.

Also included in the Fiscal Year 2024 capital budget, the Board of Trustees and the Office of the Governor approved the Special Obligation Student Fee Revenue Bonds Sixth Supplemental Indenture, authorizing \$100,000,000 for the UCONN 2000 Project “Residential Life Facilities”. On November 21, 2023, the University issued Special Obligation Student Fee Revenue, 2023 Series A bonds for the full authorized bonding amount. In future fiscal years, the University may issue additional SO-SFR new money bonds provided that there is financial self-sufficiency capacity and aggregate pledged revenues sufficient to meet requirements of the Special Obligation Master Indenture. Additionally, the University may refund certain SO-SFR bonds to provide debt service savings to the program, should conditions warrant. The University does not plan to issue any new money SO-SFR Bonds or refund current outstanding debt during the remainder of Fiscal Year 2024.

The University could enter other types of tax-exempt or taxable financings pursuant to the UCONN 2000 Act. Market conditions and other factors could also lead to the refunding of other non-public debt in the future.

### **Credit Ratings**

The capital markets have recognized the tangible benefits to the state’s economy of meeting the infrastructure and educational goals of the program, as well as the University’s success in implementing them. A good credit rating not only provides the State and the University with less expensive access to the capital markets but also supports the State’s quality reputation among investors.

#### **General Obligation Ratings**

As of December 31, 2023, the University’s GO-DSC Bonds were rated “AA-” by S&P Global Ratings, “Aa3” by Moody’s Investors Service, and “AA-” by Fitch Ratings.

On October 25, 2023, Fitch Ratings upgraded the University’s GO-DSC Bonds to “AA-” from “A+”.

On November 21, 2022, S&P Global Ratings upgraded the University’s GO-DSC Bonds to “AA-” from “A+” following an upgrade of the State’s General Obligation Bonds to “AA-” from “A+”.

On March 31, 2021, Moody’s Investors Service upgraded the University’s GO-DSC Bonds to “Aa3” from “A1” following an upgrade of the State’s General Obligation Bonds to “Aa3” from “A1”.

#### **Special Obligation Ratings**

As of December 31, 2023, the University’s SO-SFR Bonds were rated “A+” by S&P Global Ratings and “Aa3” by Moody’s Investors Service. Fitch Ratings does not have an underlying rating for the University’s SO-SFR Bonds.

On April 5, 2019, S&P Global Ratings downgraded the University's SO-SFR Bonds to "A+" from "AA-".

On October 13, 2022 Moody's Investors Service upgraded the University's SO-SFR Bonds to "Aa3" from "A1".

In addition to the underlying credit ratings, in the past the University at times would secure certain bond maturities at issuance with municipal bond insurance from FGIC, MBIA and FSA which originally provided "AAA" credit ratings. Subsequently many bond insurers experienced credit rating downgrades and other changes. At times, this has resulted in the UCONN 2000 bonds underlying credit ratings exceeding the credit ratings for certain bond insurers. Additionally, at times investors might utilize bond insurance at their own expense in the secondary market. As a result, people are advised to check with the credit rating agencies for the most recent bond ratings including those that might be so insured.

#### ***UCONN 2000 Debt Reflected on Audited Financial Statements***

UCONN 2000 financings are debt obligations of the University, as the issuer, and are reflected on UConn's financial statements accordingly. For example, the SO-SFR Bonds, the Heating Plant Upgrade GLPA, and the UCONN 2000 Residential Life Facilities (Nathan Hale Inn) Promissory Note (Taxable) (prior to being paid off and retired by December 1, 2016) debt are shown as liabilities on the University's financial statements and the related capital improvements are shown as assets. The University is the issuer and ultimately responsible for the GO-DSC Bonds which also fund certain assets at UConn Health, and consequently are also partially reflected on UConn Health's financial statements as further discussed below.

Phase III of the Act authorizes the University to fund projects for UConn Health with, among other sources, GO-DSC Bonds and the University has done so. Accordingly, the UCONN 2000 GO-DSC Phase III project proceeds and any unspent debt proceeds are reflected on both UConn's and UConn Health's financial statements. As bonds are issued, the amount of the commitment for UConn Health is recorded as an offset to revenue on UConn's financial statements in the University's Other Changes in Net Position section of the Statement of Revenues, Expenses, and Changes in Net Position. A corresponding liability is recorded in due to affiliate in UConn's Statement of Net Position for the unspent portion of the bonds due to UConn Health. Correspondingly, UConn Health records that portion of UCONN 2000 bond issuance proceeds for UConn Health in the capital appropriation line in UConn Health's Statement of Revenues, Expenses, and Changes in Net Position. The corresponding receivable is recorded for the unspent portion of the bonds in the Statement on Net Position. The State's DSC for interest on the UCONN 2000 General Obligation bonds is included in Non-Operating Revenues (Expenses) section of the Statement of Revenues, Expenses, and Changes in Net Position.



### **UCONN 2000: Private Financial Support**

For Fiscal Year 2024, the Foundation has a fundraising goal of \$125 million, as of December 31, 2023, the Foundation has raised \$36.8 million in new gifts and commitments.

- Mr. Scott H. Caplan, CLAS '79 \$1.5 million to support capital improvements on the Storrs campus.
- Mr. George A. Uriano, CLAS '61 \$1.5 million to support scholarships for College of Liberal Arts and Sciences.
- Ms. Margaret J. Chiang PhD, CLAS '68 \$1.3 million to support scholarships for College of Liberal Arts and Sciences.
- Mr. Ralph S. Formica BUS '74 \$1.2 million to support Athletics.
- Eversource Energy \$1.2 million to support research at Avery Point.

### **UCONN 2000 in CYBERSPACE**

Information about UCONN 2000 is available on the World Wide Web at: <https://bpir.uconn.edu/home/capital-budget/capital-reports-and-presentations/uconn2000reports/>

The website contains all previous legislative updates to the Governor and the General Assembly (Progress Reports), the four-year Progress Report, the original UCONN 2000 proposal and UCONN 2000 project updates.

**University of Connecticut  
FY24 Capital Budget Spending Plan  
Proposed Projects by Statutory Named Lines & by Program\***

UCONN 2000 Bond Funded Projects by Statutory Named Lines		Total	By Program		
			Academic/ Research	Deferred Maintenance	Other
<b>Academic and Research Facilities</b>		<b>\$ 19,697,009</b>			
Nursing Program Facility	19,697,009		19,697,009		
<b>Deferred Maintenance</b>		<b>52,152,991</b>			
Field House Renovation	27,000,000			27,000,000	
Infrastructure-South Campus	12,850,010			12,850,010	
Programmatic Renovations	10,800,000		10,800,000		
Contingency	1,502,981				1,502,981
<b>Equipment, Library Collections &amp; Telecommunications</b>		<b>12,850,000</b>			
Academic & Research	5,250,000		5,250,000		
Information Technology	5,450,000			5,450,000	
Other	2,150,000				2,150,000
<b>Total UCONN 2000 Bond Funded Projects</b>		<b>\$ 84,700,000</b>	<b>\$ 35,747,009</b>	<b>\$ 45,300,010</b>	<b>\$ 3,652,981</b>
<b>Other Funded Projects (University, Gifts, State GO Bonds)</b>					
Equipment (State GO Bonds)		10,000,000	5,000,000	5,000,000	
Facilities Repairs & Improvements (University)		11,500,000		11,500,000	
Faculty Innovators (State GO Bonds)		14,489,200	14,489,200		
Field House Renovations (Gifts)		30,000,000		30,000,000	
Nursing Program Facility (State GO Bonds)		30,000,000	30,000,000		
Programmatic Renovations (University)		10,000,000	10,000,000		
South Campus Residence Hall (SO Bonds)		100,000,000			100,000,000
South Campus Residence Hall (University)		15,000,000			15,000,000
XL Center Academic Space Renovation (State GO Bonds)		5,000,000	5,000,000		
Other/Contingency (University)		4,310,800			4,310,800
<b>Total Other Funded Projects</b>		<b>\$ 230,300,000</b>	<b>\$ 64,489,200</b>	<b>\$ 46,500,000</b>	<b>\$ 119,310,800</b>
<b>Grand Total FY23 Capital Budget</b>		<b>\$ 315,000,000</b>	<b>\$ 100,236,209</b>	<b>\$ 91,800,010</b>	<b>\$ 122,963,781</b>

\*Projects less than \$500,000 are approved by UConn administrative committee. Projects costing \$500,000 or more are submitted for Board action on a project by project basis.

**UCONN 2000 Bonds  
State General Obligation Bond Phasing Plan & Actuals by Statutory Named Line**

Project	Authorization as of 11.30.23*				Actuals as of 11.30.23		
	FY96-FY23	FY24	FY25-27	Total Phases I, II, III	Expenditures	Encumbrances	Available**
Academic and Research Facilities	\$401,007,429	\$19,697,009		\$420,704,438	\$329,069,826	\$7,972,985	\$83,661,627
Agricultural Biotechnology Facility & Completion	12,400,000			12,400,000	12,400,000		
Alumni Quadrant Renovations	11,183,624			11,183,624	11,183,624		
Arjona and Monteith (new classroom buildings)	128,219,871			128,219,871	128,219,871		
Avery Point Campus Undergraduate & Library Building	10,461,246			10,461,246	10,461,246		
Avery Point Marine Science Research Center - Phase I & II	37,254,246			37,254,246	37,254,246		
Avery Point Renovation	13,203,165			13,203,165	13,203,165		
Beach Hall Renovations	5,146,688			5,146,688	5,146,688		
Benton State Art Museum Addition	3,603,509			3,603,509	3,603,509		
Biobehavioral Complex Replacement	3,495,807			3,495,807	3,495,807		
Bishop Renovation	2,480,141			2,480,141	2,480,141		
Business School Renovation - Phase II	7,958,470			7,958,470	7,958,470		
Central Warehouse New	6,933,752			6,933,752	6,933,752		
Chemistry Building	53,062,000			53,062,000	53,062,000		
Deferred Maintenance-Storrs & Regionals	1,000,024,628	52,152,991	42,450,000	1,094,627,619	840,899,115	94,517,908	116,760,596
East Campus North Renovations	7,382,605			7,382,605	7,382,605		
Engineering Building	92,579,390			92,579,390	92,579,390		
Equipment, Library Collections & Telecommunications	363,403,656	12,850,000	24,550,000	400,803,656	345,709,488	1,941,470	28,602,698
Family Studies (DRM) Renovation	2,868,306			2,868,306	2,868,306		
Farm Buildings Repairs/Replacement	6,408,304			6,408,304	6,408,304		
Fine Arts Phase II	38,792,721			38,792,721	38,792,721		
Floriculture Greenhouse	6,691,799			6,691,799	6,691,799		
Gant Plaza Deck	7,287,174			7,287,174	7,287,174		
Gant Building Renovations	12,455,770			12,455,770	12,455,770		
Gentry Renovation & Completion	19,292,806			19,292,806	19,292,806		
Grad Dorm Renovations	2,928,228			2,928,228	2,928,228		
Hartford Relocation Acquisition/Renovation	139,027,625			139,027,625	139,027,625		
Heating Plant Upgrade	21,846,724			21,846,724	21,846,724		
Hilltop Dormitory Renovations	8,176,529			8,176,529	8,176,529		
Ice Rink Enclosure	3,280,000			3,280,000	3,280,000		
International House Conversion (Natural History Museum)	886,134			886,134	886,134		
Intramural, Recreational & Intercollegiate Facilities	31,009,921			31,009,921	31,009,921		
Jorgensen Renovation	3,899,129			3,899,129	3,899,129		
Koons Hall Renovation/Addition	1,461,146			1,461,146	1,461,146		
Lakeside Renovation	3,800,000			3,800,000	3,800,000		
Law School Renovations/Improvements	16,660,677			16,660,677	16,660,677		
Litchfield Agricultural Center - Phase I	1,000,000			1,000,000	1,000,000		
Manchester Hall Renovation	772,577			772,577	772,577		
Mansfield Apartments Renovation	2,071,000			2,071,000	2,071,000		
Mansfield Training School Improvements	6,500,000			6,500,000	6,137,450		362,550
Monteith Renovation	444,348			444,348	444,348		
Music Drama Addition	7,400,000			7,400,000	7,400,000		
Natural History Museum Completion	500,000			500,000	500,000		
North Campus Renovation	10,996,050			10,996,050	10,996,050		
North Hillside Road Completion	6,700,000			6,700,000	6,700,000		
North Superblock Site & Utilities	7,668,000			7,668,000	7,668,000		
Northwest Quadrant Renovation - Phase I & II	32,001,000			32,001,000	32,001,000		
Old Central Warehouse Renovation	126,000			126,000	126,000		
Parking Garage North	9,658,000			9,658,000	9,658,000		
Parking Garage #3	75,214			75,214	75,214		
Pedestrian Walkways (Fairfield Road Pedestrian Mall)	6,074,000			6,074,000	6,074,000		
Psychology Building Renovation/Addition	24,337,399			24,337,399	24,337,399		
Residential Life Facilities	248,538,476			248,538,476	214,483,378	6,341,960	27,713,138
School of Business	25,652,366			25,652,366	25,652,366		
School of Pharmacy/Biology	94,609,000			94,609,000	94,428,468		180,532
Shippee/Buckley Renovations	6,920,000			6,920,000	6,920,000		
South Campus Complex	12,251,000			12,251,000	12,251,000		
Stamford Downtown Relocation - Phase I	55,781,472			55,781,472	55,781,472		
Stamford Campus Improvements/Housing	1,500,870			1,500,870	1,500,870		
Storrs Hall Addition	14,664,091			14,664,091	14,664,091		
Student Union Addition	57,622,633			57,622,633	57,622,633		
Support Facility (Architectural & Engineering Services)	16,583			16,583	16,583		
Technology Quadrant - Phase IA	39,993,000			39,993,000	39,993,000		
Technology Quadrant-Phase II	34,120,000			34,120,000	34,120,000		
Torrey Life Science Renovation & Completion	1,781,482			1,781,482	1,781,482		
Torrington Campus Improvements	369,156			369,156	369,156		
Towers Renovation	17,950,243			17,950,243	17,950,243		
Underground Steam & Water Upgrade - Phase I & II	12,000,000			12,000,000	12,000,000		

**UCONN 2000 Bonds**  
**State General Obligation Bond Phasing Plan & Actuals by Statutory Named Line**

Project	Authorization as of 11.30.23*				Actuals as of 11.30.23		
	FY96-FY23	FY24	FY25-27	Total Phases I, II, III	Expenditures	Encumbrances	Available**
Waring Building Conversion	11,452,000			11,452,000	11,452,000		
Waterbury Property Purchase	200,000			200,000	200,000		
Waterbury Downtown Campus	1,608,764			1,608,764	1,608,764		
West Campus Renovations	519,507			519,507	519,507		
West Hartford Campus Renovations/Improvements	6,774,305			6,774,305	6,774,305		
White Building Renovation	2,427,269			2,427,269	2,427,269		
Wilbur Cross Building Renovation	19,999,571			19,999,571	19,999,571		
Young Building Renovation/Addition	23,651,403			23,651,403	23,651,403		
<b>SUBTOTAL FOR STORRS &amp; REGIONAL CAMPUS</b>	<b>\$3,293,300,000</b>	<b>\$84,700,000</b>	<b>\$67,000,000</b>	<b>\$3,445,000,000</b>	<b>\$3,009,944,535</b>	<b>\$110,774,323</b>	<b>\$257,281,141</b>
CLAC Renovation Biosafety Level 3 Lab	15,901,466			15,901,466	15,901,466		
Deferred Maintenance-UCH	76,959,697			76,959,697	51,199,465		25,760,232
Dental School Renovation	3,525,000			3,525,000	3,525,000		
Equipment, Library Collections & Telecom-UCH	116,429,390			116,429,390	115,823,961		605,429
Library/Student Computer Center Renovation	1,266,460			1,266,460	1,266,460		
Main Building Renovation	117,484,316			117,484,316	117,484,316		
Medical School Academic Building Renovation	39,578,232			39,578,232	39,578,232		
Planning & Design Costs	25,000,000			25,000,000	25,000,000		
Research Tower	67,992,229			67,992,229	67,992,229		
Support Building Addition/Renovation	100,000			100,000	100,000		
UCH New Construction and Renovation	386,663,210			386,663,210	386,663,210		
<b>SUBTOTAL FOR HEALTH CENTER</b>	<b>\$850,900,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$850,900,000</b>	<b>\$824,534,339</b>	<b>\$0</b>	<b>\$26,365,661</b>
<b>GRAND TOTAL</b>	<b>\$4,144,200,000</b>	<b>\$84,700,000</b>	<b>\$67,000,000</b>	<b>\$4,295,900,000</b>	<b>\$3,834,478,874</b>	<b>\$110,774,323</b>	<b>\$283,646,802</b>

\* UCONN 2000 Bond Authorization is separate from and does not agree to UCONN 2000 Bonds Sold, which totals \$4,044,000,000 as of the reported date. This results in a difference of \$184,900,000 between total UCONN 2000 Bonds authorized and total UCONN 2000 Bonds sold.

\*\* The available balance is calculated as FY96-FY24 UCONN 2000 Bond Authorization, less expenditures and encumbrances. As stated above, the bond authorization is separate from bonds sold. The total available balance from bonds sold would be \$184,900,000 less, or \$98,746,802.

## **EXHIBIT C**

### **POLICIES: SELECTION AND ACQUISITION OF PROFESSIONAL SERVICES**

Section 10a-109d (5) of the Connecticut General Statutes authorizes the University to contract with professionals to plan, design and supervise UCONN 2000 projects and other capital projects.

1. The Executive Vice President for Finance and Chief Financial Officer has the responsibility for the administration of these policies. Authority for their implementation may be delegated to appropriate operating departments and/or individuals.
2. A selection committee shall be appointed to select professional services for each project in accordance with university policies and procedures.
3. The selection committee shall develop the rating and evaluation criteria.
4. The contract shall be negotiated in consultation with the University Master Planner and Chief Architect or with the Associate Vice President for Campus Planning Design and Construction for the Health Center.
5. Changes in scope that affect the cost of the project must be approved by the Executive Vice President for Administration and Chief Financial Officer, or as delegated to appropriate operating departments and/or individuals.

## **EXHIBIT D**

### **POLICIES: PRE-QUALIFICATION AND SELECTION OF CONTRACTORS**

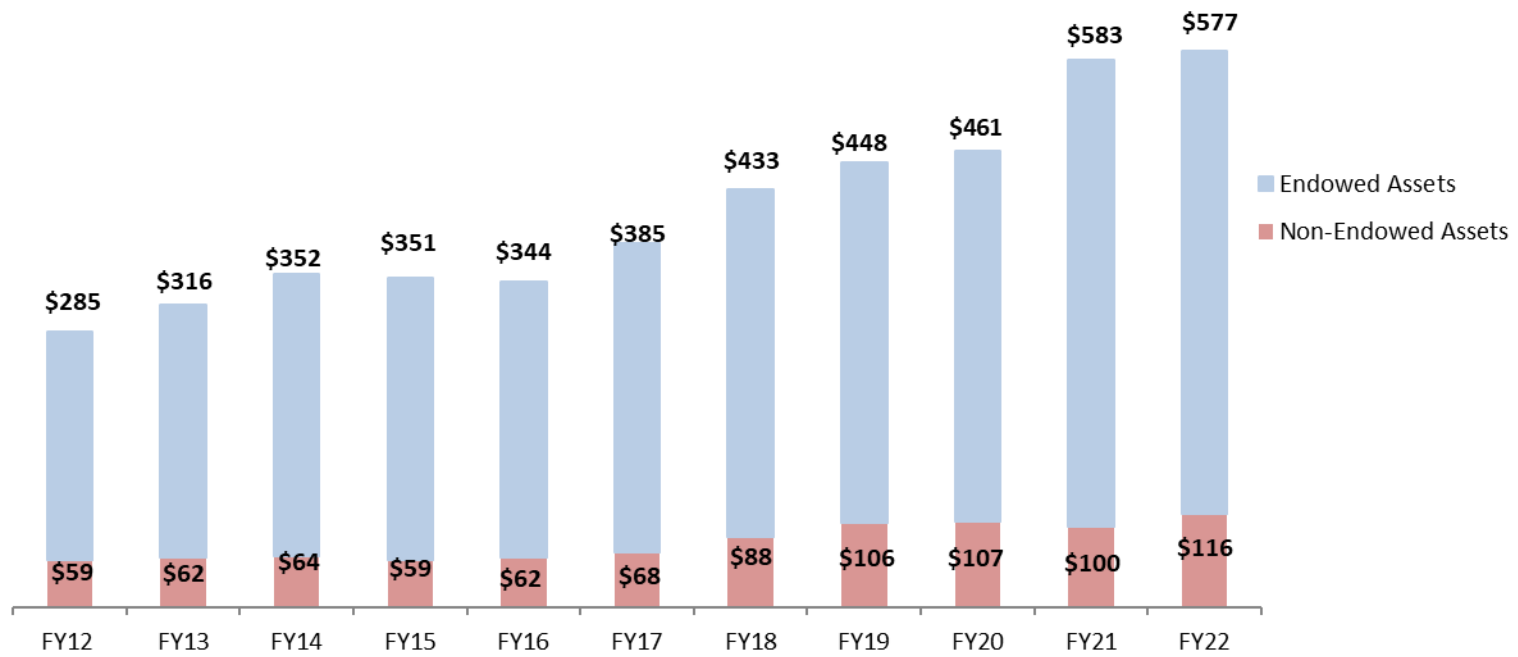
Section 10a-109n (c) (2) of the Connecticut General Statutes authorizes the University to contract with construction firms for the building of UCONN 2000 projects and other capital projects.

1. The Executive Vice President for Administration and Chief Financial Officer has the responsibility for the administration of these policies. Implementing authority may be delegated to appropriate operating departments.
2. Pre-qualification shall be required of all bidders for projects over \$100,000.
3. Objective written criteria for pre-qualification of firms shall be developed.
4. A list of pre-qualified contractors shall be developed on a project basis. Firms will be evaluated on written criteria, including but not limited to: (1) the firm's previous experience with similar projects, (2) the firm's financial ability to complete the project, (3) the firm's ability to post surety bonds, (4) evaluation of the firm's integrity, (5) a determination that the firm has no conflict of interest in connection with the project, (6) technical ability, (7) managerial ability and (8) whether a contractor or one of their subcontractors on one of their previous projects has been in compliance with the provisions of Part III of Chapter 557 and Chapter 558 during the previous five calendar years.
5. Pre-qualified firms shall be invited to bid upon a specific contract. Contracts shall be awarded to the lowest responsible bidder who conforms to the University's standards for the project.
6. If it is in the best interest of the University and the State of Connecticut, all bids may be rejected and the contracting process reopened.
7. The University may negotiate with any pre-qualified contractor that is currently under contract for incorporation work under exigent circumstance. This new work need not be related to the original contract. The new work shall be of a similar nature as the basic contract.
8. Exigent circumstances include work necessary to:
  - a. Prevent loss of grant income or other revenue
  - b. Produce additional revenue or grant income
  - c. Insure renovations are complete for new faculty and staff members
  - d. Provide for safety and health of the University Community
  - e. Comply with any Federal or State laws or regulations

- f. Insure timely and orderly completion of UCONN 2000 or 21<sup>st</sup> Century UConn Projects.
- 9. The Executive Vice President for Administration and Chief Financial Officer shall approve use of the exigency provision for an individual job based upon a written recommendation from the University Master Planner and Chief Architect or by the Associate Vice President for Campus Planning Design & Construction as designee for the Health Center
- 10. The negotiations may be conducted with any contractor or contractors currently performing one of the following types of contracts; lump sum, design build or construction manager at risk.
- 11. The University Master Planner and Chief Architect or by the Associate Vice President for Campus Planning Design & Construction as designee for the Health Center will prepare a price negotiations memorandum detailing the basis for the award.
- 12. The Executive Vice President for Administration and Chief Financial Officer shall also stipulate whether the added work shall be incorporated into the contract by change order or be consummated as a new contract.

# Investment Growth

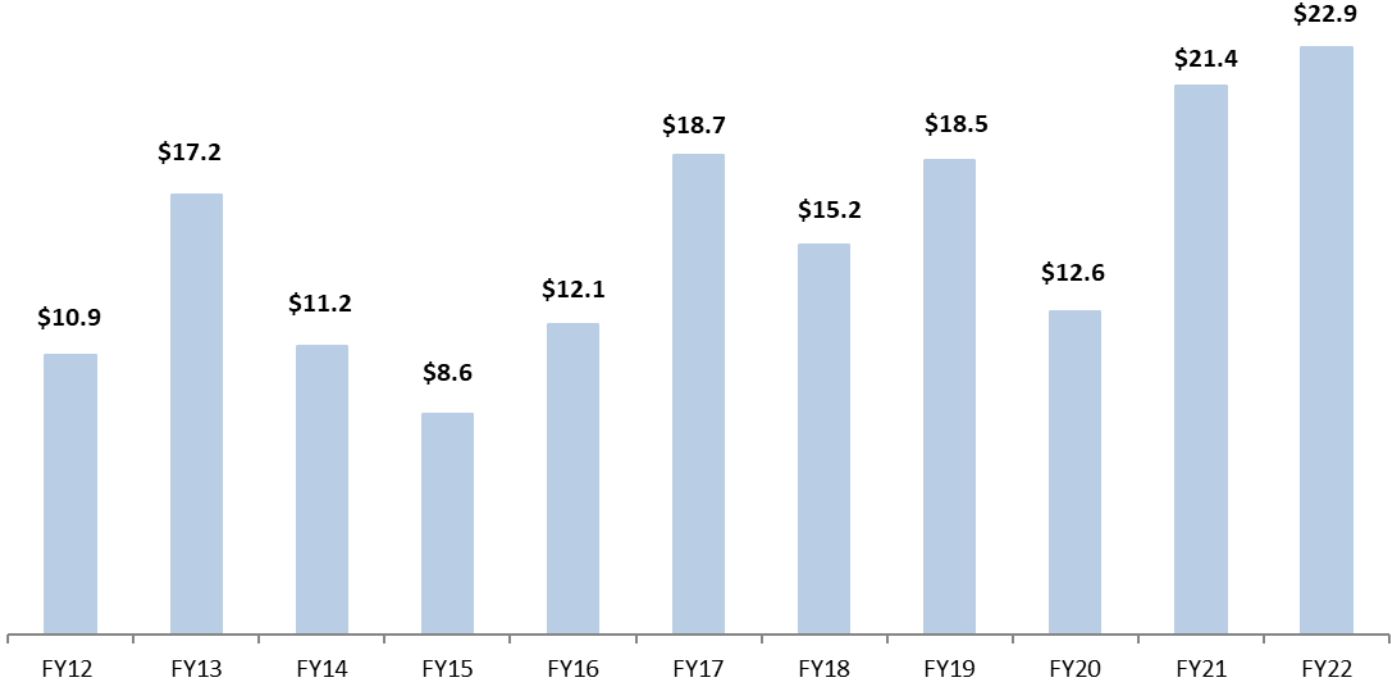
In Millions of Dollars





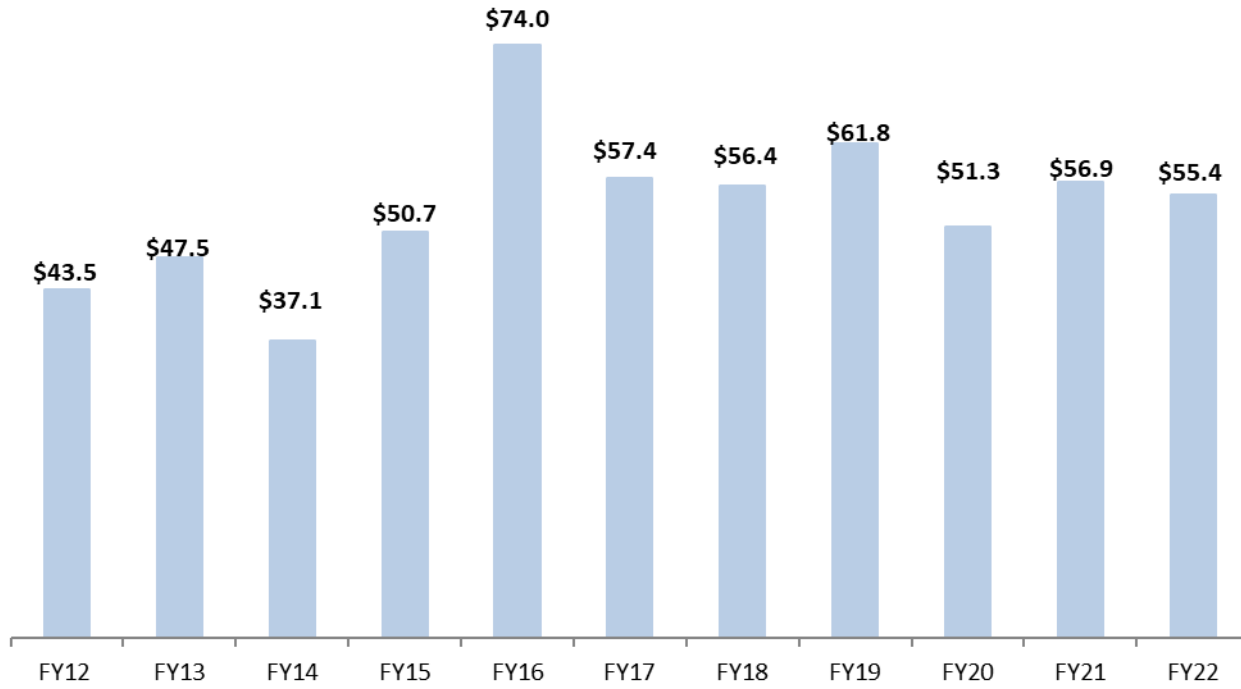
# Total Endowment Gift Receipts for the University of Connecticut

In Millions of Dollars



# Total Gift Receipts for the University of Connecticut

In Millions of



## PREVAILING WAGE COMPLIANCE REPORT

The attachments referred to below cover the reporting period from July 1, 2023 thru December 31, 2023 and are issued pursuant to the requirements of subsection (f) of section (7) of Public Act 02-3, an Act Concerning 21<sup>st</sup> Century UCONN, by providing the following information:

(1) The names and addresses of contractors and subcontractors performing repair, addition, alteration and new construction on the university's campuses in the previous six months.

*Attachment A provides the listing in alphabetical order. This is being filed in conjunction with the January 2024 Report Number Fifty-Seven to provide a consolidated report for UCONN 2000 activities. There is no sub-grouping of contractors or subcontractors as the nature of their business makes each interchangeable with the other as business opportunities become available.*

*Attachment B is based on information from the State of Connecticut Department of Labor, Wage and Workplace Standards Division, Contract Compliance Unit and represents a combined sharing of information by the University of Connecticut and the Department of Labor. No report is made from the Department of Labor, for this reporting period.*

*Attachment C is the Debarment list issued by the Department of Labor.*

**ATTACHMENT A**ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
APRIL 1, 2023 THRU SEPTEMBER 30, 2023

Contractor Name	Address 1	Address 2	City	State	Zip
A Best Buy Premium Fuel & Trucking LLC	196 W Pond Road Extension		North Branford	CT	06471
A Royal Flush Inc	146 Andover Street		Bridgeport	CT	06605
A&R Appliance Parts & Service Inc (DBA Keith's Appliances)	320 West Thames Street (Route 32)		Norwich	CT	06360
Able Tool & Equipment LLC	410 Burnham Street		South Windsor	CT	06074
Acorn Glass inc	30 Century Drive		Stratford	CT	06615
Advanced Sheetmetal Associates LLC	52 Industrial Park Access Road		Middlefield	CT	06455
AGA Architectural Millwork & Interior Design LLC	178 Newell Street		Southington	CT	06489
All Electric Construction and Communication LLC	80 Farwell Street		New Haven	CT	06515
All Seasons Landscaping Inc	120 Commerce Court		Cheshire	CT	6410
All State Construction Inc	449 Cooke Street	PO Box 805	Farmington	CT	06034
Amenta Emma Architects	242 Trumbull Street		Hartford	CT	06103
American Ladders & Scaffolds	129 Kreiger Lane		Glastonbury	CT	06033
Anderson Electrical Contractors Limited	55 Airport Road, Suite 101		Hartford	CT	06114
Antinozzi Associates PC	271 Fairfield Avenue		Bridgeport	CT	06604
Armani Restoration Inc	95 Bysiewicz Drive		Middletown	CT	06457
Array Systems LLC	205 Research Drive Unit 4		Milford	CT	06460
Asphalt Repair Solutions Inc	20 North Larkey Road		Oxford	CT	06478
ATC Group Services Inc	221 Rue Dejean, Suite 200		Lafayette	LA	70508
Atlantic Masonry Products Corporation	67 Quinpiac Avenue		North Haven	CT	06473
Barber Firestop Systems LLC	175 Englewood Drive		Orange	CT	06477
Beacon Light & Supply CompNY	180 Walnut Street		Hartford	CT	06120
Bestech Inc of Connecticut	25 Pinney Street		Ellington	CT	06029
Bond Brothers Inc	370 James Street #405		New Haven	CT	06513
C&A Distributors Inc	595 Church Street		Newington	CT	06111
Capasso Restoration Inc	39 Sugar Hill Road		North Haven	CT	06473
Caruso Electric Company	815 Farmington Avenue		New Britain	CT	06053
CDM Smith Inc	75 State Street, Suite 701	PO Box 4021	Boston	MA	02109
Cenaxo LLC	16 Tolland Turnpike		Willington	CT	06279
Central Connecticut Holdings LLC	699 Bloomfield Avenue		Bloomfield	CT	06002
Charter Oak Environmental Services Inc	33 Ledgebrook Drive		Mansfield Center	CT	06250
Christopher Williams Architects LLC	85 Willow Street		New Haven	CT	06511
Clohessy Harris & Kaiser LLC	573 Hopmeadow Street		Simsbury	CT	06070
Collier Electrical Corporation	50 Harvard Street		New Britain	CT	06051
Columbia Sheet Metal Company Inc	4 Commerce Drive		North Windham	CT	06256
Commercial Flooring Concepts Inc	350 Addison Road		Windsor	CT	06095-0628
Connecticut Boiler Repair & Manufacturing Co Inc	694 Oakwood Avenue		West Hartford	CT	06110
Connecticut Carpentry Corporation	1850 Silas Deane Highway, 2nd Floor		Rocky Hill	CT	06067

**ATTACHMENT A**ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
APRIL 1, 2023 THRU SEPTEMBER 30, 2023

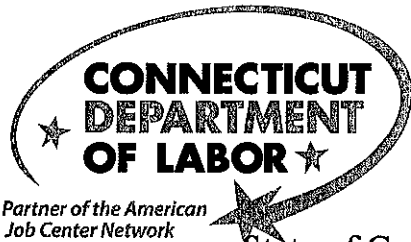
Contractor Name	Address 1	Address 2	City	State	Zip
Constantine, Jr, William (DBA Constantine Sealing Service)	100 Kreiger Lane, Suite D		Glastonbury	CT	06033
Construction Specialties of New England (DBA CSNE LLC)	636 Nutmeg Road North		South Windsor	CT	06074
Consulting Engineering Services Inc	811 Middle Street		Middletown	CT	06457
D/E/F Services Group Ltd	1171 Voluntown Road		Griswold	CT	06351
Daniel O'Connells Sons Inc	234 Church Street, Suite 403		New Haven	CT	06510
Decco International LLC	67 Poland Street		Bridgeport	CT	06605
Desco Professional Builders Inc	290 Somers Road		Ellington	CT	06029
DeSiato Sand & Gravel Corporation	999 Stafford Road		Storrs	CT	06268
DiBlasi Associates PC	500 Purdy Hill Road		Monroe	CT	06468
Dimeo Construction Company	700 State Street, Suite 101		New Haven	CT	06511
Distinctive Tree Care LLC	48 Patria Road		South Windsor	CT	06074
Eagle Environmental Inc	8 South Main Street, Suite 3		Terryville	CT	06786
Electrical Energy Systems Corporation Voice & Data LLC	215 Captain Lewis Drive		Southington	CT	06489
Electrical Power Solutions LLC	2 Wethersfield Avenue		Hartford	CT	06114
Elite Fire Stop LLC	133-B Horse Fence Hill Road		Southbury	CT	06488
Environmental Testing & Balancing Inc	154 State Street, Suite 204		North Haven	CT	06473
Ferguson Mechanical Company Inc	112 Northwest Drive		Plainville	CT	6062
Fire Rated LLC	254 Prospect Avenue		Hartford	CT	06106
Fitzgerald & Halliday Inc	416 Asylum Street		Hartford	CT	06103
Flow Tech Inc	800 Cottage Grove Boulevard, Building #3		Bloomfield	CT	06002
Friar Associates Inc	281 Farmington Avenue		Farmington	CT	06032
G Donovan Associates Inc	627 Route 32		North Franklin	CT	06254
General Welding & Fabrication Inc	977 Echo Lake Road		Watertown	CT	06795
Genovesi Construction LLC	62 Tall Oak Drive		Coventry	CT	06238
Gerard E LePine (DBA LePine Electric)	436 Lisbon Road		Canterbury	CT	06331
Gerber Construction Inc	1 Nutmeg Drive		Ellington	CT	06029
Haz-Pros Inc	125-A Brook Street		West Hartford	CT	06110
Horizon Services Corporation (DBA Horizon Services Company)	250 Governor Street		East Hartford	CT	06108
ID3A LLC	655 Winding Brook Drive		Glastonbury	CT	06033
Independent Materials Testing Laboratories Inc	57 North Washington Street		Plainville	CT	06062
Kenneth Boroson Architects LLC	315 Peck Street		New Haven	CT	06513
Kim Industries Inc	34 Mill Plain Road #2D		Danbury	CT	06811
Kohler Ronan LLC	92 Lake Avenue, Suite 301		Danbury	CT	06810
Landmark Facilities Group Inc	252 East Avenue		Norwalk	CT	06855
Langan Insulation LLC	420 Sackett Point Road, Units 4-5		North Haven	CT	06473
LK Sheet Metal Inc	35 Wrobel Place		East Hartford	CT	06108
Loureiro Engineering Associates Inc	100 Northwest Drive		Plainville	CT	06062

**ATTACHMENT A**ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
APRIL 1, 2023 THRU SEPTEMBER 30, 2023

Contractor Name	Address 1	Address 2	City	State	Zip
M Frank Higgins & Company Inc	780 North Mountain Road		Newington	CT	06111
MacKenzie Painting Company	60 Mead Street		Stratford	CT	06615
Mather Corporation	21 West Dudley Town Road		Bloomfield	CT	06002
Mazzotta Rentals Inc	77 Boston Road		Middletown	CT	06457
Metropolitan Interactive	100 Willenbrock Road		Oxford	CT	06478
Milton C Beebe & Sons Inc	12 Beebe Lane		Storrs	CT	06268
Ms Pipe LLC	49 Oakland Road		South Windsor	CT	06074
MT Ford Industries Inc	645 Main Street		Somers	CT	06071
National Sign Corporation	1541 S 92nd Place, Suite B		Seattle	WA	98108
Net Services LLC	1185 Elm Street Extension		Rocky Hill	CT	06067
Neuber Environmental Services Inc	1100 Grosser Road		Gilbertsville	PA	19525
New England Yankee Construction	34 High Street		West Haven	CT	06516
Northeast Flooring & Kitchens LLC	233 B Kennedy Drive		Putnam	CT	06260
Northeast Lightning Protection LLC	10 Peters Road		Bloomfield	CT	06002
Northeastern Communications & Electrical LLC	896 Bear Hill Road		Middletown	CT	06457
NWN Corporation	6 Executive Drive, Suite 118		Farmington	CT	06032
OFI Contract Interiors	28 Garfield Street		Newington	CT	06111
Orissa LLC	45 Andover Drive		Rocky Hill	CT	06067
PAC Group LLC	126 South Main Street, Suite 200		Torrington	CT	06790
Park Hardware Company Inc	415 Park Street		Hartford	CT	06106
PC Richard & Son Long Island Corp	150 Price Parkway		Farmingdale	NY	11735
Percision Installation Inc	218 Preston Avenue		Middletown	CT	06457
Prime Electric LLC	33 Wisconsin Avenue, Suite 101		Norwich	CT	06360
Proiron LLC	300 Morgan Lane		West Haven	CT	06516
Quisenberry Arcari Malik LLC	195 Scott Swamp Road		Farmington	CT	06032
Reynolds Welding & Fabrication LLC	3486 Main Street		Hartford	CT	06120
RJB Contracting Inc	588 Winsted Road		Torrington	CT	06790
Safety Solution Consultants Inc	99 Rainbow Road #H		East Granby	CT	06026
Sarazin General Contractors Inc	6 North Commerce Drive		North Windham	CT	06256
Scholar Painting LLC (DBA Scholar Painting & Restoration)	2 Klarides Village Drive, #145		Seymour	CT	06483
Security Technologies Inc		PO Box 5357	Bolton	CT	06043
Sign Pro Inc	60 Westfield Drive		Plantsville	CT	06479
Sil/Carr Corporation	34 Newberry Road		East Windsor	CT	06088
Silver Petrucelli & Associates Inc	3190 Whitney Avenue		Hamden	CT	06518
Simpson Gumpertz And Heger Inc	41 Seyon Street, Building 1, Suite 50		Waltham	MA	02453
SK Mechanical (Corbett Bernier)	266 Center Street		Manchester	CT	06040
Sound Mechanical Contractors LLC	82 Colonial Road		Manchester	CT	06042

**ATTACHMENT A**ALPHABETICAL LISTING OF CONTRACTORS AND SUBCONTRACTORS  
APRIL 1, 2023 THRU SEPTEMBER 30, 2023

Contractor Name	Address 1	Address 2	City	State	Zip
Steeltech Building Products Inc	636 Nutmeg Road North		South Windsor	CT	06074
Stonehedge Landscaping Company Inc	1616 Willard Avenue		Newington	CT	06111
Sullivan Benson LLC	112 Northwest Drive		Plainville	CT	06062
Sullivan Industrial Services LLC	125 Old Iron Ore Road, Suite A		Bloomfield	CT	06002
Summit Masonry & Building Restoration	178 Front Avenue		West Haven	CT	06516
SystemOne LLC	215 Captain Lewis Drive		Southington	CT	06489
TC Lawncare LLC (DBA TC Landscaping LLC)	101 Crouch Road		Amston	CT	06231
Test-Con Inc	16 East Franklin Street		Danbury	CT	06810
The Hartford Lumber Company	17 Albany Avenue	PO Box 320550	Hartford	CT	06120
The John Boyle Company	152 South Main Street	PO Box 397	New Britain	CT	06050
Total Fence LLC	525 Ella Greasso Boulevard		New Haven	CT	06519
Transfer Enterprises Inc	140 Progress Drive		Manchester	CT	06040
Tri State Materials Testing Lab LLC	160 South Turnpike Road, Suite 3		Wallingford	CT	06492
Trueflow Testing & Balancing LLC	489 Old Hartford Road		Colchester	CT	06415
Turner Construction Company	50 Waterview Drive, Suite 220		Shelton	CT	06484
West State Mechanical Inc	3000 South Main Street	PO Box 1045	Torrington	CT	06790
Wilco Sales & Services Inc	18 King Street		Stratford	CT	06615
Wing's Testing & Balancing Company Inc	94 North Branford Road, Suite 1		Branford	CT	06405
Wiss, Janney, Elstner Associates Inc	330 Pfingsten Road		Northbrook	IL	60062
WW Grainger Inc	75 Maxim Road		Hartford	CT	06114
Young Developers LLC	42 Crestway A		Hamden	CT	06514
Zlotnick Construction Inc	161 Storrs Road		Mansfield Center	CT	06250



June 8, 2017

State of Connecticut Debarment List Pursuant to Section 31-53a(a)

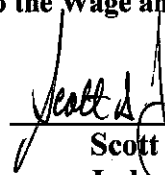
No contract shall be awarded to any person or firms appearing on this list or to any firm, corporation, partnership, or association in which such persons or firms have an interest until the expiration date listed has elapsed.

Please be informed that the following persons or firms have been debarred in accordance with Section 31-53a of the Connecticut General Statutes, as amended:

**DEBARMENT LIST**

<u>Name of Person or Firm</u>	<u>Expiration Date</u>
-Canterbury Communications, Canterbury Marion T. Mershon, an individual	Indefinite Indefinite
-City Electrical Enterprises LLC, Hartford Lloyd Thompson, an individual	Indefinite Indefinite
-Elite Roofing, Cheshire Sam Stevens, an individual	Indefinite Indefinite
-Gatollari Enterprises Inc., Rochelle Park, NJ Robert Gatollari, an individual	Indefinite Indefinite
-Greenland Enterprises Inc., Wayne, NJ Oneil Mendez, an individual Raymond Blum-Romero, an individual	Indefinite Indefinite Indefinite
-Jarosz Welding Company Inc., Hartford Andrew W. Jarosz, an individual	Indefinite Indefinite
-SCD Services, LLC, Somers Philip Snow, an individual	Indefinite Indefinite
-Seakco Construction, Stamford Robert Evans, an individual	Indefinite Indefinite
-Sun Ray Electric, Prospect Reinaldo DeSousa, an individual	Indefinite Indefinite

Any inquiries regarding this list should be directed to the Wage and Workplace Standards Division at (860)263-6790.

  
\_\_\_\_\_  
Scott D. Jackson  
Labor Commissioner



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**ATTACHMENT C**

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -February 28, 2024**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 2/13/24)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Planning Budget Phase</b>								
Energy Services Performance Contract	FO502072	\$500,000	\$0	P	University Funds	12/06/23		
Von Der Mehden Recital Hall Roof Restoration	FO501881	\$1,010,000	\$42,305	P	University Funds	10/25/23		
<b>Design Budget Phase</b>								
School of Nursing Building	300260	\$5,400,000	\$1,741,727	P-\$2M D-\$5.4M	UCONN 2000 Bond Funds	06/28/23 10/25/23		
<b>Revised Design Budget Phase</b>								
Field House- Old Rec Center Renovation	300209	\$8,500,000	\$2,742,189	RP-\$3M D-\$4.5M RD-\$8.5M	UCONN 2000 Bond Funds University Funds	06/29/22 06/28/23 12/06/23		
Mirror Lake Improvements	300174	\$4,000,000	\$2,992,748	D-\$1.6M RD-\$2.6M RD- \$4.0M	UCONN 2000 Bond Funds	10/27/21 03/30/22 04/19/23		
Werth Residence Hall High Humidity Mitigation	300251	\$1,500,000	\$744,561	RP-\$925 D-\$1.5M	University Funds	12/06/23 02/28/24-pending		
<b>Final Budget Phase</b>								
Academic and Research Facilities - STEM Research Center	901802	\$220,000,000	\$172,420,706	P-\$1M RP-\$5M RP-\$15M D-\$15M F-\$220M	UCONN 2000 Bond Funds	11/13/13 06/29/16 09/27/17 06/26/19 04/29/20	Spring 2024	99%
Avery Point Seawall Exigent Improvements	300253	\$3,063,069	\$2,532,315	F	UCONN 2000 Bond Funds	12/07/22	April 2024	99%
Branford House Exterior Repairs, Phases 1 & 2	FO500106	\$800,000	\$795,049	F	University Funds	02/22/23	May 2024	99%
C2E2 To IPB Office & Lab Renovation	300264/ TL2547	\$5,400,000	\$1,192,420	P-\$1.4M F-\$5.4M	UCONN 2000 Bond Funds	10/25/23 12/06/23	October 2025	0%
Dining Hall Facilities Ventilation Upgrades	FO500010	\$892,700	\$436,126	F	University Funds	02/21/18	December 2024	25%
Electric Vehicle Charging Infrastructure & Service Upgrades	FO502039	\$957,200	\$233,598	F	University Funds	09/27/23	September 2024	0%
Eversource Second Electrical Feed	300136	\$15,000,000	\$12,528,840	D-\$3M F-\$15M	UCONN 2000 Bond Funds	12/08/21 09/27/23	Fall 2026	0%
Fenton River Well Field and Road Replacement	901653	\$1,700,000	\$1,550,102	F	UCONN 2000 Bond Funds	04/19/23	March 2024	99%
Gampel Pavilion Court Replacement	300255	\$688,480	\$651,924	F	University Funds	12/07/22	March 2024	99%
Gilbert Road Site Preparation	300235	\$6,600,000	\$4,749,253	RD-\$1.25M F-\$6.6M	UCONN 2000 Bond Funds	06/29/22	Fall 2024	Phase 1: 100% Phase 2: 0%
Greer Field House Indoor Track Resurfacing	FO500159	\$807,092	\$788,239	F	University Funds	12/07/22	March 2024	99%
Hydrogen Fuel Dispenser	FO502031	\$835,500	\$0	F	University Funds	09/27/23	November 2024	0%

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**BOARD OF TRUSTEES MEETING -February 28, 2024**

Project Name by Construction Phase	Project #	Current BOT Approved Budget	Expenditures & Encumbrances (As of 2/13/24)	Budget Phase	Budgeted Funding Source(s)	BOT Budget Approval Date(s)	Estimated Completion Date	Estimated Construction % Complete
<b>Final Budget Phase - continued</b>								
Jones Annex Renovation	300203/ TL2540	\$4,940,000	\$874,673	D-\$940k F-\$4.94M	UCONN 2000 Bond Funds	09/28/22 12/06/23	July 2025	0%
Northwest Quad: Science 1-Site Improvements	300050	\$56,000,000	\$52,882,991	RP-\$1M D-\$7.5M F-\$56M	UCONN 2000 Bond Funds	02/21/18 06/27/18 04/29/20	March 2024	99%
Residential Life Facilities: South Campus Residence Hall	300200	\$215,000,000	\$161,928,244	P-\$800k D-\$6.5M F-\$215M	UCONN 2000 Bond Funds	12/08/21 12/09/20 08/03/22	Fall 2024	70%
Stamford Abutting Property Remediation	300149	\$2,500,000	\$1,978,879	F	UCONN 2000 Bond Funds	04/24/19	Summer 2024	99%
Wilbur Cross Cupola Repair	FO500161	\$932,000	\$846,900	F	University Funds	10/25/23	August 2024	0%
Wired Access Layer Infrastructure Refresh - Phase III	Various	\$4,000,000	\$3,942,041	F	UCONN 2000 Bond Funds	09/29/21	March 2024	99%
Wired Access Layer Infrastructure Refresh - Phase IV	Various	\$2,000,000	\$1,910,762	F	UCONN 2000 Bond Funds	06/29/22	June 2024	78%
Wired Access Layer Infrastructure - Cabling Phase V	Various	\$2,000,000	\$1,248,342	F	UCONN 2000 Bond Funds	06/28/23	September 2024	30%
<b>Revised Final Phase</b>								
Academic & Research Facilities - Gant Building Renovations - STEM	901803	\$268,000,000	\$153,659,189	P-\$1M RP-\$5M D-\$15M F-\$85M RF-\$170M	UCONN 2000 Bond Funds University Funds	11/13/13 09/30/15 08/10/16 02/22/17 06/26/19	TBD	MOB-100% Phase 1-100% Phase 2-100% Phase 3-0%
Andover Infrastructure and Software Upgrade- Phase I, II, III	FO500073	\$3,855,439	\$3,814,157	F-\$2.6M F-\$3.346M RF-\$3.48M FR-3.48M	University Funds	09/30/20 02/24/21 04/27/22 06/28/23 10/25/23	COMPLETE COMPLETE COMPLETE July 2024	Phase - 1 100% Phase - 2 100% Phase - 3 100% Phase - 4 30%
Boiler Plant Equipment Replacement and Utility Tunnel Connection	300151	\$43,000,000	\$38,632,526	RP-\$1.25M D-\$2.3M F-\$40M RF-\$43M	UCONN 2000 Bond Funds	04/24/19 08/14/19 02/26/20 06/28/23	Winter 2024	95%
Freitas Renovation	300252	\$3,000,000	\$1,519,854	F-\$2.5M RF-\$3.0M	Gift Funds	04/19/23 02/28/24-pending	Fall 2024	Phase 1: 100% Phase 2: 0%
North & South Parking Garages Restoration, Phase I & II	FO500056	\$4,000,000	\$2,838,476	F-\$1.0M RF-\$2.0M RF\$3.0M RF-\$4.0M	University Funds	10/28/20 06/30/21 12/07/22 12/06/23	July 2023 January 2024 January 2024 August 2024	Phase 1- 100% Phase 2- 98% Phase 3-95% Phase 4 - 0%
North Eagleville Road and Discovery Drive Intersection Improvements	300169	\$3,000,000	\$2,222,464	D-\$750k F-\$800k RF-\$2.0M	UCONN 2000 Bond Funds	09/30/20 10/27/21 04/27/22	Spring 2024	60%

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**BOARD OF TRUSTEES MEETING -February 28, 2024**

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<b>Revised Final Budget Phase - continued</b>								
South Campus Infrastructure-Phase I, II, III	300241	\$89,500,000	\$82,137,637	RP-\$1.25M	UCONN 2000 Bond Funds	03/30/22	Winter 2026	22%
				D-\$1.750M		06/29/22		
				RD- \$4.5M		09/28/22		
				F-\$11.0M		12/07/22		
				RF-\$44M		04/19/23		
				RF-\$82M		06/28/23		
				RF-\$89.5M		09/27/23		
Storrs LED (SLED) Lighting Upgrade	FO500025	\$7,850,000	\$7,848,910	F-\$5.35M RF-\$7.85M	University Funds	12/09/20	May 2024	95%
UCONN 2000 Code Remediation: Stamford Downtown Relocation	201523	\$22,000,000	\$19,746,880	P-\$2M	University Funds	10/28/15	Jan. 2021	Phase 1: 100%
				RP-\$2.5M	UCONN 2000 Bond Funds	10/23/19	Winter 2024	Phase 2: 99%
				F-\$4M		02/26/20		
				RF-\$22M		02/24/21		
<b>Recently Completed Projects <sup>(1)</sup></b>								
Atwater Laboratory Emergency Power Installation	FO500058	\$682,700	\$674,851	F-\$535k	University funds	04/28/21	Complete	100%
				RF-\$603k		10/27/21		
				RF-\$682k		06/29/22		
B4 Steam Vault and Line Exigent Repair	300247	\$7,700,000	\$7,328,833	F-\$5.5M	UCONN 2000 Bond Funds	03/30/22	Complete	100%
				RF-\$7.7M	University Funds	06/29/22		
Chemistry Building Roof Snow Guard Replacement	FO500093	\$916,000	\$730,275	F	University Funds	09/30/20	Complete	100%
George J. Sherman Family Sports Complex Turf Replacement	FO500156	\$1,640,997	\$1,491,815	F	University Funds	06/26/22	Complete	100%
UConn Hockey Arena	300133	\$70,000,000	\$67,627,465	RP- \$0.85M	University Funds	08/14/19	Complete	98%
				D-\$2.85M	Revenue Bonds	01/29/20		
				RD-\$4.6M	Gift Funds	09/03/20		
				F-\$70M		04/28/21		
Kinesiology Bldg. Human Anatomy Learning Laboratory Renovation	300188	\$753,500	\$517,055	F	UCONN 2000 Bond Funds	12/09/20	Complete	100%
Main Campus SubStation Switchgear Relay Replacement AET 5P-14G SCADA	FO500090	\$640,000	\$614,796	F-\$565k	University Funds	02/26/20	Complete	100%
				RF-\$592k		04/27/22		
				RF-\$640k		06/29/22		
Public Safety Building Improvements	201703	\$7,750,000	\$7,456,193	F-\$4.55M	UCONN 2000 Bond Funds	03/27/19	Complete	100%
				RF-\$7.0M	University Funds	12/09/20		
				RF-\$7.45M		09/29/21		
				RF-\$7.75M		06/29/22		
Residential Life Facilities: Mansfield Apartments Redevelopment - Part 1	300234	\$12,000,000	\$10,505,128	P-\$850k	UCONN 2000 Bond Funds	09/29/21	Complete	Demo: 100%
				D-\$4.6M		03/30/22		
				F-\$12.0M		06/29/22		
School of Engineering Backfill Renovations	TL2405	\$1,771,410	\$1,734,134	F	University Funds	06/29/22	Complete	100%
Supplemental Utility Plant	300025	\$67,000,000	\$63,402,096	P-\$5M	UCONN 2000 Bond Funds	09/27/17	Complete	100%
				D -\$5M		06/26/19		
White Building Roof and Drainage System Replacement	FO500127	\$945,800	\$938,488	F	University Funds	06/29/22	Complete	Phase 1 - 100%
							Complete	Phase 2 - 100%

**CONSTRUCTION PROJECTS STATUS REPORT**  
**BOARD OF TRUSTEES MEETING -February 28, 2024**

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<b>Planning Budget Phase</b>								
UCH - Hybrid OR#2 Fit-Out	23-035	\$7,100,000	\$198,000	P	UConn Health Capital Funds	(06/12/23)* 06/28/23		
UCH - Interventional Radiology Equipment Replacement & Renovation	20-001	\$4,700,000	\$14,643	P	UConn Health Capital Funds	(06/12/23)* 06/28/23		
UCH- Labor & Delivery Infant Protection System Replacement	21-022	\$760,000		P	UConn Health Capital Funds	(03/11/24)* 02/28/24-pending		
UCH-University Tower (UT) 7th Floor Pharmacy Fit-Out	23-024	\$10,250,000	\$114,308	P	UCONN 2000 Bond Funds UConn Health Capital Funds	(09/11/23)* 09/27/23		
<b>Design Budget Phase</b>								
UCH- Building F & Building M Roof Replacement	22-601.10	\$1,615,000		D	UCONN 2000 Bond Funds	(03/11/24)* 02/28/24-pending		
UCH - Cryo Electron Microscope Installation	22-055	\$960,000	\$154,030	P-\$960k D-\$960k	UConn Health Research IDC Capital	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23		
UCH - Southington Clinic Expansion	23-045	\$1,325,000		D	UConn Health Capital Funds	(03/11/24)* 02/28/24-pending		
UCH-Tomotherapy Unit Replacement	20-058	\$4,985,000	\$349,586	P-\$5.22M D-\$4.985M	UConn Health Capital Funds	(06/12/23)* 04/19/23 (03/11/24)* 02/28/24-pending		
<b>Final Budget Phase</b>								
UCH - Anatomic Pathology & Autopsy Renovation	21-059	\$1,175,000	\$79,821	F-\$1.175M	UConn Health Capital Funds	(03/13/23)* 12/06/23	July 2024	0%
UCH - Building D & Building N Roof Replacement	22-601.02	\$2,500,000	\$2,174,042	P-\$1.1M D-\$2.565M F-\$2.5M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23	February 2024	90%
UCH - Building E & Building K Roof Replacement	22-601.01	\$2,160,000	\$1,091,463	P-\$630K D-\$2.16M F-\$2.16M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (06/12/23)* 06/28/23	January 2024	90%
UCH - Cadaver Lab Renovation & Air Handling Unit Replacement	23-601.12	\$2,960,000	\$79,250	D-\$1.8M F-\$2.96	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23	August 2024	0%

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<b>Final Budget Phase - continued</b>								
UCH - Canzonetti (F) Building Wound Care Center Renovation	22-019	\$1,225,000	\$0	D-\$850k F-\$1.225M	UConn Health Capital Funds Restorix Health Inc Design & Construction Allowance	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23	April 2024	60%
UCH - Cardio Catheterization (Cath) & Electro Physiology (EP) Lap Renovation	22-017	\$6,430,000	\$5,379,268	P-\$3.7M D-\$6.166M F-\$6.430M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	December 2024	15%
UCH -Cell & Genome Science Building Data Center Cooling Upgrades	23-601.07	\$840,000	\$757,824	D-\$650k F-\$840k	UCONN 2000 Bond Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23	July 2024	0%
UCH-CGSB & ARB Autoclave and Washer Replacement	22-012	\$1,200,000	\$1,107,487	F-\$1.2M	UConn Health Research IDC Capital	(12/05/22)* 12/07/22	April 2024	95%
UCH - Connecticut Tower (CT) 7th Floor Med-Surg/Observation Unit Renovation	23-027	\$2,500,000	\$1,658,303	P-\$2.5M F-\$2.5M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (03/11/24)* 02/28/24-pending	April 2024	50%
UCH-Fluroscopy Equipment Replacement and Renovation	21-009	\$745,000	\$76,040	F	UConn Health Capital Funds	(09/11/23)* 09/27/23	August 2024	0%
UCH - Garage 1, 2 & 3 Electric Vehicle Charger Installation	21-066	\$550,000	\$26,640	F	UConn Health Energy Conservation Pool CT DEEP Grant Eversource Rebate	(03/11/24)* 02/28/24-pending		
UCH - KB034-036 Research Lab Renovation	24-011	\$760,000	\$62,500	F	UCH Operating Funds	(03/11/24)* 02/28/24-pending		
UCH-Main Building (L) Lab Renovations - 2nd Floor	22-013	\$10,200,000	\$4,867,537	P-\$7.8M D-\$8.972M F-\$10.2M	UCONN 2000 Bond Funds UConn Health Research IDC Capital UCH School of Medicine Operating Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (12/05/22)* 12/07/22	August 2024	55%
UCH - 16 Munson Road Emergency Lighting & Egress Upgrades	21-010	\$1,900,000	\$38,500	D-\$935k F-\$1.9M	UCONN 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21 (09/11/23)* 09/27/23	TBD	0%
UCH - Muscular Skeletal Institute Chiller Replacement	23-601.20	\$570,000	\$116,378	F	UCONN 2000 Bond Funds	(09/11/23)* 09/27/23	May 2024	5%
UCH - Musculoskeletal Institute Rehabilitation Expansion	23-029	\$1,010,000	\$90,180	P-\$640k D-\$640k F-\$1.01M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24-pending		



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<b>Final Budget Phase - continued</b>								
UCH - New England Sickle Cell Institute Renovation	21-063	\$4,865,000	\$142,150	P-\$3M D-\$4.865M F-\$4.865M	UCONN 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23	September 2024	0%
UCH - Outpatient Pavilion 3rd Floor Backfill	22-060	\$4,250,000	\$246,100	P-\$3.3M D-\$3.3M F-\$4.25M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24-pending		
UCH - Outpatient Pavilion X-Ray & Blood Draw Relocation	22-046	\$1,925,000	\$110,580	P-\$650k D-\$850k F-\$1.925M	UConn Health Capital Funds	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/11/24)* 02/28/24-pending		
UCH - Replace Chilled Water Pump CHWP#4	21-018	\$642,000	\$295,090	F	UCONN 2000 Bond Funds UConn Health Capital Funds	(12/06/21)* 12/08/21	April 2024	90%
UCH-Transitional Nursery Renovation	21-016	\$1,800,000	\$777,141	D-\$1.8M F-\$1.8M	UConn Health Capital Funds	(03/13/23)* 02/22/23 (06/12/23)* 06/28/23	April 2024	55%
<b>Revised Final Phase</b>								
UCH - 5 Munson Road Clinical Fit-Out	22-042	\$9,344,000	\$5,524,980	F-\$8.4M RF-\$9.3M	UConn Health Capital Funds Landlord Tenant Fit-out Allowance	(06/13/22)* 06/29/22 (09/11/23)* 09/27/23	January 2024	99%
UCH-16 Munson Road Parking Lot Paving	22-601.03	\$2,075,000	\$1,365,164	P-\$1.16M D-\$1.445M F-\$1.93M RF-\$1.61M RF-\$2.1M	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (06/13/22)* 06/29/22 (09/12/22)* 09/28/22 (09/12/22)* 09/28/22 (03/13/23)* 02/22/23 (09/11/23)* 09/27/23	November 2023	99%

**CONSTRUCTION PROJECTS STATUS REPORT**  
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<b>Revised Final Phase - Continued</b>								
UCH-836 Hopmeadow Street, Simsbury Clinical Practice Relocation	21-036	\$4,729,000	\$4,596,523	P-\$3.875M D-\$3.875M F-\$4.297M RF-\$47.3	UConn Health Capital Funds Landlord Tenant Fit Out Allowance	(09/20/21)* 09/29/21 (12/06/21)* 12/08/21 (06/13/22)* 04/27/22 (09/11/23)* 09/27/23	January 2024	99%
UCH - Central Sterile Washer & Sterilizer Replacement	21-034	\$2,835,000	\$1,365,164	P-\$1.9M D-\$3.985M F-\$4.97M RF-\$2.835M	UCONN 2000 Bond Funds UConn Health Capital Funds	(06/13/22)* 06/29/22 (06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/23	January 2025	0%
UCH - Psychiatry Seclusion Suite & Nurse Station Security Renovation	21-050	\$1,197,000	\$892,984	D-\$790k F-\$1.042M RF-\$1.197M	UConn Health Capital Funds	(06/13/22)* 06/29/22 (12/05/22)* 12/07/22	February 2024	95%
UCH - Replace Building F & H Hot Water Tanks	19-603.11	\$845,000	\$422,600	F-547k RF-\$845k	UCONN 2000 Bond Funds	(12/06/21)* 12/08/21 (09/12/22)* 09/28/22	March 2024	80%
UCH - TB-121 Blood Bank Relocation	23-010	\$1,075,000	\$43,000	F-\$765k RF-\$880k RF-\$1.075M	UConn Health Capital Funds CIRP	(06/12/23)* 06/28/23 (09/11/23)* 09/27/23 (03/13/23)* 12/06/23	July 2024	0%
<b>Recently Completed Projects <sup>(1)</sup></b>								
UCH-HIM Workplace Improvements	21-019	\$763,000	\$643,463	F	UCONN 2000 Bond Funds	(03/13/23)* 02/22/23	January 2024	100%
P = Planning Budget		RD = Revised Design Budget						
RP = Revised Planning Budget		F = Final Budget						
D = Design Budget		RF = Revised Final Budget						
* UConn Health Board of Directors Reviewed and Recommended for Board of Trustees Approval								
<sup>(1)</sup> Completed projects assume "Completed, Commissioned and Occupied". Projects continue to be included in this report for 1 year after completion to accommodate initial financial closeout adjustments.								

# **ATTACHMENT D**

**University of Connecticut  
Capital Project Expenditure Report - All Funding Sources  
Fiscal Year 2024 as of 12/31/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source			
					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
<b>Storrs and Regional Campuses</b>								
<b>Academic and Research Facilities</b>								
Academic & Research Facilities - Gant Building Renovations - STEM	169,827,606	151,610,454	Substantially Complete	1,461,450	1,461,450			
Academic & Research Facilities - School of Nursing Building	5,400,000	134,242	Planning/Design	134,242	134,242			
Academic & Research Facilities - STEM Research Center Science 1	190,000,000	167,706,085	Substantially Complete	4,696,124	4,696,124			
<b>Academic and Research Facilities Total</b>				<b>6,291,816</b>	<b>6,291,816</b>	-	-	-
<b>Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements &amp; Renovation Lump Sum and Utility, Administrative and Support Facilities</b>								
Ace Water Heater Replacement	275,000	268,912	Substantially Complete	6,597			6,597	
Alumni Center Wood Shake Roof Replacement	360,000	327,916	Substantially Complete	295,603			295,603	
Andover Infrastructure & Software Upgrade	3,855,439	3,480,051	Substantially Complete	56,215			56,215	
Arjona 143 Lecture Hall Renovation (TL2562)	375,000	-	Planning/Design	-				
Arjona Building 105 & 143 Lecture Hall Upgrades (TL2438)	366,269	366,269	Completed	306,026	306,026			
Athletics Master Plan	425,000	376,219	Planning/Design	59,323				59,323
Atwater Facade Repairs - Phase 2	42,000	25,953	Planning/Design	-				
Avery Point Academic Building Roof Replacement	996,826	996,826	Completed	74,621			74,621	
Avery Point Sea Lab Floor Repair	230,000	190,841	Substantially Complete	9,189			9,189	
Avery Point Seawall Restoration (FO500157)	3,063,069	2,319,273	Substantially Complete	2,247,659	2,247,659			
B4 Steam Vault Replacement	7,700,000	6,827,207	Substantially Complete	633,820	633,820			
Babbidge Library Node 12 Fire Panel Upgrade	275,000	11,895	Construction	11,895			11,895	
Beach Hall 109, 111 & 113A Geosciences Labs	375,000	-	Planning/Design	-				
Beach Hall 130 Suite Renovation	295,000	241,440	Construction	172,628			172,628	
Beach Hall Underground Storage Tank Replacement	250,000	234,761	Substantially Complete	234,761			234,761	
Benton 106 Teaching Space Improvements	100,000	87,526	Substantially Complete	76,134			76,134	
Bishop Center Roof - Electrical & HVAC Upgrade	400,000	161,047	Substantially Complete	-				
Boiler Plant Equipment Replacement and Utility Tunnel Connection	43,000,000	33,877,026	Construction	2,440,361	2,440,361			
Bousfield 3rd Floor Office Upgrades	155,000	96,978	Construction	88,757			88,757	
Branford House Exterior Repairs	800,000	667,695	Substantially Complete	301,901			301,901	
Bronwell Elevator Modernization	300,000	-	Planning/Design	-				
Bronwell Switchgear Service Replacement	350,000	281,656	Planning/Design	-				
C2E2 to IPB Phase 1 Office & Lab Renovation (TL2547)	5,400,000	-	Planning/Design	-				
Campus Wayfinding 2022	300,000	260,089	Substantially Complete	6,823				
Castleman - 122, 123, 123A Renovations For NASA Research	185,837	185,837	Completed	-			(9,664)	9,664
Castleman Machine Shop Areas Upgrades	300,000	203,133	Construction	198,942			198,942	
Central Utility Plant Office Renovation	175,000	164,438	Substantially Complete	32,617			32,617	
Chemistry 4th Floor Teaching Lab Renovation	345,000	38,500	Planning/Design	19,250			19,250	
Chemistry Roof Snow Guard Repair	916,000	730,275	Substantially Complete	2,322			2,322	
Coventry Boathouse Upgrades	220,000	111,591	Construction	11,195			11,195	
CUP Equipment Replacement and Pumping Improvements	17,000,000	14,893,771	Substantially Complete	178,712	178,712			
CUP Main Campus Fire Pump Replacement	250,000	89,994	Construction	89,994			89,994	
Cyber Security Upgrades	275,000	210,938	Substantially Complete	-				
Depot Campus - Sewer Line Inspection	400,000	237,844	Construction	237,844			237,844	
Dining Hall Facilities Ventilation Upgrades	892,700	221,411	Substantially Complete	63,919			63,919	
Electric Vehicle Charging Infrastructure & Service Upgrades	957,200	-	Planning/Design	-				
Energy Savings Performance Contract Phase 2	500,000	-	Planning/Design	-				
Engineering II 303 Suite Office Renovation	30,000	28,460	Substantially Complete	28,460			28,460	
Engineering II 303 Suite Renovation (TL2508)	460,000	-	Planning/Design	-				
Eversource Second Electrical Feed - Planning	15,000,000	696,845	Planning/Design	187,141	187,141			
Facilities Code Remediation	196,757	150,403	Substantially Complete	-				
Facilities Expensed Deferred Maintenance Projects	4,692,569	2,964,042	Construction	2,027,127			1,832,713	194,414
Fenton River Well Field & Road Repair	1,700,000	1,438,667	Substantially Complete	1,208,438	1,208,438		-	
Field House - Old Recreation Center Renovation	8,500,000	1,869,260	Planning/Design	299,914			299,914	
Freitas Renovation	2,500,000	823,173	Construction	651,916				651,916
Gampel Electrical Generator Replacement	325,000	53,308	Planning/Design	-				
Gampel Hydrotherapy Mechanical Room Upgrade	65,000	6,500	Construction	6,500			6,500	
Gampel Pavilion Court Replacement (FO500158)	688,480	626,736	Substantially Complete	376,126	376,126			
Gant 404 Lab Renovation	152,059	152,059	Completed	34,776			34,776	
Garrigus Staircase Replacement	154,400	69,044	Construction	10,776			10,776	
Garrigus Suites Control Valves Installation	200,000	108,105	Construction	27,683			27,683	
Gilbert Road Site Preparation	6,600,000	2,806,576	Substantially Complete	927,938	927,938			
Golf Practice Facility	100,000	-	Planning/Design	-				
Greer Field House Indoor Track Resurface	807,092	758,810	Substantially Complete	758,810				758,810
Hartford 38 Prospect Street Air Handling Unit Replacement	89,000	83,750	Substantially Complete	-				
Hartford Graphic Art & Interior Signage	350,000	313,135	Substantially Complete	-				
HEEP Pavilion and Pollinator Garden	50,000	11,912	Planning/Design	-				
High Voltage Distribution Switching Control System	375,000	-	Construction	-				
Hilltop & Charter Oak Apartment Washer Replacement	360,000	358,897	Substantially Complete	358,897			358,897	
Human Development Center Houston Lab Renovation	115,000	66,459	Construction	975			975	
Hydrogen Fuel Dispenser Installation	835,500	-	Planning/Design	-				

**University of Connecticut**  
**Capital Project Expenditure Report - All Funding Sources**  
**Fiscal Year 2024 as of 12/31/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source			
					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
I-Lot Improvements	7,000,000	6,508,173	Substantially Complete	433,873	433,873			
IPB 3rd Floor NIUVT Office Fit Out	480,000	417,345	Substantially Complete	28,115		28,115		
Jones Annex Renovation	4,940,000	400,292	Planning/Design	53,274	53,274			
Jorgensen Building Envelope & Site Improvements	59,190	39,615	Planning/Design	39,615		39,615		
Jorgensen Freight Elevator Modernization	550,000	532,351	Substantially Complete	819		819		
Jorgensen Lobby Renovations	159,000	133,527	Substantially Complete	18,298		18,298		
Kellogg Dairy Center HVAC Replacement	12,500	12,250	Planning/Design	-				
Law School - Knight and Hosmer Window Replacement	80,000	37,420	Construction	2,004		2,004		
Law School Boiler Optimization	233,000	179,657	Substantially Complete	-				
Main Campus Substation Switchgear Relay Replacement	640,000	608,620	Substantially Complete	1,800		1,800		
Manchester Hall Exterior Drainage Improvements	425,000	-	Planning/Design	-				
McConaughy Elevator Modernization	230,000	175,852	Construction	145,483		145,483		
McMahon / 2019 Hillside Rd - Events & ISSS Renovation (TL2402)	402,332	402,332	Completed	21	21			
Mirror Lake Improvements	4,000,000	2,638,897	Planning/Design	192,108	192,108			
Mold / Lead / Asbestos Remediation	4,312,300	4,312,300	Completed	31,843		31,843		
Music Building Hypalon Roof Replacement	61,071	13,518	Planning/Design	-				
N. Eagleville Road and Discovery Drive Intersection Improvements	3,000,000	258,259	Construction	50,800	50,800			
North & South Garages Safety Screens Phase 2	52,400	20,976	Construction	-				
North and South Parking Garage Restoration	4,000,000	1,713,396	Construction	238,855		238,855		
North Eagleville Road East Steam Repair	850,000	480,110	Substantially Complete	22,864	22,864			
Northwest Quad - Science 1 - Site Improvements & Tunnel Phase II	56,000,000	48,648,463	Substantially Complete	4,380,565	4,380,565			
Northwest Science Quad Infrastructure - Phase 1	20,750,000	20,291,618	Substantially Complete	-				
Northwest Science Quad Supplemental Utility Plant	67,000,000	58,462,081	Substantially Complete	2,154,946	2,154,946			
Pharmacy/Biology Autoclave Replacement	140,822	140,822	Completed	4,043		4,043		
Phillips Communication Sciences 136 Renovation	150,000	9,555	Construction	9,555		9,555		
Public Safety Building Improvements	7,750,000	7,270,924	Substantially Complete	214,436	214,436			
Public Safety Risk Assessment and Design Guideline	200,000	102,067	Planning/Design	-				
Putnam Refectory 2 Boiler Replacement	393,500	392,315	Substantially Complete	1,565		1,565		
Ratcliffe Hicks 009 Sensory Lab Renovation	120,000	-	Planning/Design	-				
Ratcliffe Hicks LA VR Lab & Support Space Renovation	182,000	-	Planning/Design	-				
Residence Hall Dryer Replacement	140,000	47,515	Construction	47,515		47,515		
Residence Hall Water Bottle Filling Stations	100,000	22,454	Planning/Design	1,752		1,752		
Residence Halls Washer / Dryer Replacement	200,000	-	Planning/Design	-				
School of Fine Arts Complex Acoustical Improvements	475,000	43,300	Planning/Design	43,300		43,300		
SFA Benton American Alliance of Museums Modification	122,058	122,058	Completed	19,583		19,583		
Sherman Complex Turf Replacement	1,640,997	1,464,950	Substantially Complete	1,464,950		1,464,950		
Smart Parking Displays Installation	200,000	-	Planning/Design	-				
SOE Backfill Project	1,777,410	1,684,533	Substantially Complete	286,660		286,660		
South Campus Infrastructure	89,500,000	14,628,603	Construction	10,651,571	10,651,571			
Spring Manor Farm Demolition Mitigation	75,000	42,665	Substantially Complete	-				
Stamford Abutting Property Restoration	2,500,000	1,760,689	Substantially Complete	14,961	14,961			
Stamford Campus Garage - Demolition	9,250,000	8,950,213	Substantially Complete	3,863	3,863			
Stamford Main Building Roof Replacement	57,054	39,189	Planning/Design	13,434		13,434		
Storrs Hall Simulations Lab Renovation (TL2535)	200,000	69,217	Construction	69,217	69,217			
Storrs LED (SLED) Lighting Upgrade	7,850,000	7,817,406	Substantially Complete	287,268		287,268		
Student Union Cultural Center Renovation (TL2451)	300,000	86,026	Substantially Complete	-				
Tasker/Foundation Buildings Retaining Wall Replacement	175,000	143,771	Substantially Complete	121,494		121,494		
Torrey Life Sciences 267/277 MCB New Faculty Lab Renovation	395,800	395,800	Completed	36,099		36,099		
Torrey Life Sciences Building Evaluation Study	175,000	163,770	Planning/Design	-				
Torrey Life Sciences CLAS Teaching Labs	300,000	-	Planning/Design	-				
Torrey Life Sciences Greenhouses Improvements	450,000	23,372	Planning/Design	23,372		23,372		
UCFM Code Remediation - McMahon Hall Laundry Operations	280,000	238,334	Substantially Complete	21,896		21,896		
UConn 2000 Code Remed - Stamford Downtown Relocation	22,000,000	18,774,818	Substantially Complete	1,956,354	1,956,354			
UConn Hartford Cafe	100,000	-	Planning/Design	-				
UConn Stamford Mill River Remediation	450,000	236,618	Planning/Design	24,615	24,615			
UConn Tennis Facility	150,000	-	Planning/Design	-				
University Athletic District Development (a.k.a. Stadia)	21,800,000	21,788,282	Substantially Complete	-				
UPDC Modular Building Demolition	100,000	33,952	Planning/Design	26,650		26,650		
UPDC Relocation (TL2399)	960,000	905,669	Substantially Complete	23,511	23,511			
Von Der Mehden Recital Hall Roof Restoration	1,010,000	22,257	Planning/Design	22,257		22,257		
Werth Champions Center Dining Renovation	908,217	908,217	Completed	26,231		26,231		
Werth Residence Hall High Humidity Mitigation	925,000	342,287	Planning/Design	293,053		293,053		
White Building 208, 210, 211 Lab Renovations	235,000	32,676	Construction	32,676		32,676		
White Building Roof & Drainage System Replacement	945,800	563,123	Construction	61,316		61,316		
White Building Water Heater Replacement	275,000	226,229	Substantially Complete	2,310		2,310		
Whitney House Demolition	150,000	21,843	Substantially Complete	21,843	21,843			
Wilbur Cross Cupola Repair	932,000	29,440	Construction	29,440		29,440		
Wilbur Cross One Stop Student Services	100,000	25,391	Construction	25,391		25,391		
WPCF Collection System Interior Lift Stations	250,121	86,252	Construction	80,714		80,714		

**University of Connecticut**  
**Capital Project Expenditure Report - All Funding Sources**  
**Fiscal Year 2024 as of 12/31/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source				
					UCONN 2000 Bonds	University Operating	Revenue Bonds	Other Funding**	
WPCF Dumpster Veyor	230,000	14,751	Construction	14,751		14,751			
WPCF SCADA Upgrade	300,000	9,421	Construction	9,421		9,421			
Young Quad Steam Repairs	189,933	98,528	Construction	32,514		32,514			
<b>DM/Code/ADA/Infrastructure/Renovation/Utility/Administrative/Support Facilities Total</b>				<b>38,577,449</b>	<b>28,781,866</b>	<b>8,121,456</b>	<b>-</b>	<b>1,674,127</b>	
<b>Equipment, Library Collections &amp; Telecommunications - Phase III</b>									
Academic Capital Equipment	23,237,837	21,673,179	Underway	188,135	188,135				
Cyber Security Upgrades	2,000,000	1,860,354	Underway	1,860,354	1,860,354				
ITS Capital Equipment	30,470,366	27,926,150	Underway	390,918	390,918				
Kuali Cloud Implementation	1,400,000	968,592	Underway	684,063	684,063				
Public Safety Capital Equipment	16,501,130	15,140,539	Underway	114,351	114,351				
Wired Access Layer (ITS) - All Phases	16,507,326	14,321,003	Underway	2,239,437	2,239,437				
<b>Equipment, Library Collections &amp; Telecommunications - Phase III Total</b>				<b>5,477,258</b>	<b>5,477,258</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Intramural, Recreational &amp; Intercollegiate Facilities</b>									
UConn Hockey Arena	70,000,000	65,501,666	Substantially Complete	4,061,599		3,861,599		200,000	
University Athletic District Development (a.k.a. Stadia)	67,125,696	65,741,233	Substantially Complete	165,759		165,759			
<b>Intramural, Recreational &amp; Intercollegiate Facilities Total</b>				<b>4,227,358</b>	<b>-</b>	<b>4,027,358</b>	<b>-</b>	<b>200,000</b>	
<b>Residential Life Facilities</b>									
Res Life Facilities - Mansfield Apartments Redevelopment	12,000,000	10,009,847	Planning/Design	3,501,091	3,501,091				
Res Life Facilities - South Campus Residence Halls Improvements	185,549,990	122,474,495	Construction	70,928,936	15,663,589	50,265,347	5,000,000		
<b>Residential Life Facilities Total</b>				<b>74,430,027</b>	<b>19,164,680</b>	<b>50,265,347</b>	<b>5,000,000</b>	<b>-</b>	
<b>Sub Total - Storrs &amp; Regional Campuses:</b>				<b>129,003,908</b>	<b>59,715,620</b>	<b>62,414,161</b>	<b>5,000,000</b>	<b>1,874,127</b>	
<b>UConn Health Center (Projects With Budgets Over \$500K)</b>									
<b>Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements &amp; Renovation Lump Sum and Utility, Administrative and Support Facilities - UCH</b>									
16 Munson Road Emergency Lighting & Egress	1,900,000	94,600	Planning/Design	2,100	2,100				
16 Munson Road Parking Lot Paving	1,615,000	1,047,237	Substantially Complete	980,517	980,517				
5 Munson Road Clinical Fit-Out	6,068,103	2,756,253	Construction	2,718,617		2,718,617			
Anatomic Pathology and Autopsy Renovation	190,000	60,968	Planning/Design	12,788		12,788			
Building D & Building N Roof Replacement	2,400,000	1,351,787	Construction	1,304,049	1,304,049				
Building E & Building K Roof Replacement	2,160,000	878,091	Construction	835,128	835,128				
Building F & Building H Hot Water Tank Replacement	580,200	294,920	Construction	268,500	268,500				
Cadaver Lab Renovation and Air Handling Unit Replacement	2,962,860	50,220	Planning/Design	1,100	1,100				
Canzonetti Building F Wound Care Center Renovation	1,225,000	-	Construction	-	-				
Cell & Genome Sciences Building Data Center Cooling System Upgrade	840,000	37,205	Planning/Design	16,690	16,690				
Central Sterile Washer & Sterilizer Replacement	1,389,784	164,890	Planning/Design	152,665		152,665			
CGSB and ARB Autoclave and Washer Replacement	1,192,441	1,101,430	Substantially Complete	797,016		797,016			
Chilled Water Pump 4 Replacement	545,000	350,900	Construction	115,261	47,406	67,855			
Connecticut Tower 7th Floor Inpatient / Observation Unit Renovation	2,500,000	124,310	Planning/Design	124,310		124,310			
Cryo Electron Microscope Installation	510,000	99,873	Planning/Design	87,023		87,023			
Dermatology Clinic Renovation - C Building	2,600,000	2,337,872	Substantially Complete	-		-			
Electro Physiology Lab Equipment Replacement & Renovation	6,430,000	176,401	Construction	64,399		64,399			
Fluoroscopy Equipment Replacement and Renovation	745,000	60,270	Planning/Design	13,270		13,270			
Health Information Management Workplace Improvements	763,000	534,542	Substantially Complete	494,302	494,302				
Hybrid Operating Room 2 Fit-out	-	-	Planning/Design	-		-			
Interventional Radiology Equipment Replacement & Renovation	34,000	13,500	Planning/Design	13,500		13,500			
Main Building Lab Renovations - 2nd Floor	9,749,000	3,486,872	Construction	3,081,780	743,209	2,338,571			
Main Building Lab Renovations - 3rd Floor	6,884,560	6,552,334	Substantially Complete	-		-			
Muscular Skeletal Institute Chiller Replacement	493,000	24,510	Planning/Design	24,510	24,510				
Musculoskeletal Institute Rehabilitation Expansion	99,000	64,753	Planning/Design	64,753		64,753			
New England Sickle Cell Institute Renovation	4,865,000	142,177	Planning/Design	5,027		5,027			
Outpatient Pavilion 3rd Floor Backfill	270,000	160,814	Planning/Design	126,225		126,225			
Outpatient Pavilion X-Ray & Blood Draw Relocation	116,750	70,424	Planning/Design	50,345		50,345			
Pharmacy Area USP-800 Regulation Compliance	1,932,000	1,922,673	Substantially Complete	-		-			
Psychiatry Seclusion Suite & Nurse Station Security Renovation	1,197,000	398,400	Construction	362,974		362,974			
Simsbury Clinical Practice Relocation to 836 Hopmeadow Street	4,729,000	4,270,506	Substantially Complete	467,787		467,787			
TB-121 Blood Bank Relocation	880,000	20,675	Construction	15,525		15,525			
Tomotherapy Unit Replacement	3,849,000	44,400	Planning/Design	44,400		44,400			
Transitional Nursery Renovation	1,028,000	91,254	Construction	42,954		42,954			
University Tower 7th Floor Pharmacy Fit-Out	120,000	111,308	Planning/Design	111,308		111,308			
<b>DM/Code/ADA/Infrastructure/Renovation/Utility/Administrative/Support Facilities - UCH Total</b>				<b>12,398,823</b>	<b>4,717,511</b>	<b>7,681,312</b>	<b>-</b>	<b>-</b>	
<b>Equipment, Library Collections &amp; Telecommunications - UCH</b>									
UCH Capital Equipment	74,399,314	73,793,885	Underway	-		-			
<b>Equipment, Library Collections &amp; Telecommunications - UCH Total</b>				<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Sub Total - UConn Health Center (Projects With Budgets Over \$500K):</b>				<b>12,398,823</b>	<b>4,717,511</b>	<b>7,681,312</b>	<b>-</b>	<b>-</b>	
<b>Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:</b>				<b>\$ 141,402,731</b>	<b>\$ 64,433,131</b>	<b>\$ 70,095,473</b>	<b>\$ 5,000,000</b>	<b>\$ 1,874,127</b>	

**University of Connecticut**  
**Capital Project Expenditure Report - All Funding Sources**  
**Fiscal Year 2024 as of 12/31/2023**

Project Name	Current Funded Budget*	Total Project Expenditures	Project Status	Total Fiscal Year Expenditures	Fiscal Year to Date Expenditures By Funding Source			
					UConn 2000 Bonds	University Operating	Revenue Bonds	Other Funding**
<b>Adjustment for Timing and Transfers Between Fund Sources***:</b>				\$ -	\$ (4,717,511)	\$ 4,717,511		
<b>Adjusted Total - Storrs, Regional Campuses and UConn Health Center - Current Year Expenditures:</b>				\$ 141,402,731	\$ 59,715,620	\$ 74,812,984	\$ 5,000,000	\$ 1,874,127

\* - Current Funded Budget may be less than the approved budget, and represents the current funding available for the project

\*\* - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds

\*\*\* - UConn 2000 expenditures at the Health Center are reimbursed by the University as submitted. A timing difference is created since the reimbursements are not on the same fiscal year basis as this report. Additionally, transfers between funding sources may occur periodically, which creates a negative balance in the report if the transfer includes expenses paid in a previous fiscal year. This adjustment corrects for these timing differences.

**University of Connecticut**  
**Capital Project Financial and Funding Summary by Status**  
**Fiscal Year 2024 as of 12/31/2023**

<b>Financial Summary of FY24 Projects by Project Status</b>				
<b>Project Status</b>	<b>Funded Budget - FY24 Projects</b>	<b>Cumulative Project Expenditures - FY24 Projects</b>	<b>Total Project Count By Status</b>	<b>Total Fiscal Year Expenditures</b>
Planning / Design / Bidding:	87,652,810	18,957,189	59	5,785,222
Construction:	368,466,133	186,572,030	44	95,506,205
Underway (Equipment Only):	164,515,973	155,683,701	7	5,477,258
Substantially Complete:	795,866,618	714,805,845	62	32,967,261
Completed:	9,379,130	9,379,130	10	1,666,785
<b>Totals:</b>	<b>1,425,880,664</b>	<b>1,085,397,895</b>	<b>182</b>	<b>141,402,731</b>

<b>FY24 Expenditures by Status and Funding Source</b>				
<b>Project Status</b>	<b>UCONN 2000 Bonds</b>	<b>University Operating</b>	<b>Revenue Bonds</b>	<b>Other Funding*</b>
Planning / Design / Bidding:	4,136,871	1,588,211	-	59,323
Construction:	32,073,830	59,295,779	5,000,000	846,330
Underway (Equipment Only):	5,477,258	-	-	-
Substantially Complete:	22,439,125	8,993,951	-	958,810
Completed:	306,047	217,532	-	9,664
<b>Totals:</b>	<b>64,433,131</b>	<b>70,095,473</b>	<b>5,000,000</b>	<b>1,874,127</b>

\* - Other funding sources include State Bond Funds, Gifts, Grants and Federal Funds



**University of Connecticut  
Capital Project Analytics: By Status and Department  
Fiscal Year 2024 as of 12/31/2023**

<b>Fiscal Year Expenditures by Status and Department</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	4,691,487	180,773	850,014	62,948	-	5,785,222
Construction:	84,762,174	1,214,504	8,809,187	720,340	-	95,506,205
Underway (Equipment Only):	-	-	-	-	5,477,258	5,477,258
Substantially Complete:	22,572,536	6,951,405	2,739,622	703,698	-	32,967,261
Completed:	120,435	1,050,168	-	496,182	-	1,666,785
<b>Totals:</b>	<b>112,146,632</b>	<b>9,396,850</b>	<b>12,398,823</b>	<b>1,983,168</b>	<b>5,477,258</b>	<b>141,402,731</b>

<b>Cumulative Project Expenditures by Status and Department</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	16,863,620	686,936	1,324,222	82,411	-	18,957,189
Construction:	172,235,957	3,570,702	9,805,553	959,818	-	186,572,030
Underway (Equipment Only):	-	-	73,793,885	-	81,889,817	155,683,701
Substantially Complete:	670,893,645	22,817,408	17,766,594	3,328,197	-	714,805,845
Completed:	2,027,101	5,417,813	-	1,934,216	-	9,379,130
<b>Totals:</b>	<b>862,020,323</b>	<b>32,492,860</b>	<b>102,690,254</b>	<b>6,304,642</b>	<b>81,889,817</b>	<b>1,085,397,895</b>

<b>Funded Budget by Status and Department</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	47,825,000	10,601,416	20,884,394	8,342,000	-	87,652,810
Construction:	323,949,990	10,069,339	32,262,304	2,184,500	-	368,466,133
Underway (Equipment Only):	-	-	74,399,314	-	90,116,659	164,515,973
Substantially Complete:	746,538,302	25,849,906	19,716,001	3,762,410	-	795,866,618
Completed:	2,027,101	5,417,813	-	1,934,216	-	9,379,130
<b>Totals:</b>	<b>1,120,340,393</b>	<b>51,938,474</b>	<b>147,262,013</b>	<b>16,223,126</b>	<b>90,116,659</b>	<b>1,425,880,664</b>

<b>Project Count by Status and Department***</b>						
<b>Project Status</b>	<b>Departments</b>					<b>Totals</b>
	<b>UPDC</b>	<b>Facilities Ops</b>	<b>Health Center</b>	<b>Academic Reno</b>	<b>All Others**</b>	
Planning / Design / Bidding:	15	18	17	9	0	59
Construction:	8	17	11	8	0	44
Underway (Equipment Only):	0	0	1	0	6	7
Substantially Complete:	24	24	7	7	0	62
Completed:	3	1	0	6	0	10
<b>Totals:</b>	<b>50</b>	<b>60</b>	<b>36</b>	<b>30</b>	<b>6</b>	<b>182</b>

\*\* This category includes project managed by ITS, as well as projects managed centrally.

\*\*\* Project Count for Facilities Operations reported above includes a single project for Facilities Expensed Deferred Maintenance Projects. For Q2 FY24 this single project was comprised of 66 Facilities Operations and 31 Academic Renovations sub-projects.